

Ethics Agreement Compliance

A nominee to a position requiring Senate confirmation is required to enter into an ethics agreement that outlines specific steps the nominee will take to avoid conflicts of interest. Unless otherwise stated in the ethics agreement, a nominee is expected to comply with all provisions of their ethics agreement within 90 days of confirmation. Subsequent to the 90 day deadline, a nominee/appointee is required to submit to OGE a signed Certification of Ethics Agreement Compliance (Certification), affirming that they have complied with the provisions of their ethics agreement.

Restrictions on the sale of an asset, corporate mergers, and other technical issues may make it impossible or unduly difficult to meet the 90 day deadline. When it is known in advance that an issue will make it infeasible to require compliance within 90 days of confirmation, an appropriate deadline will be included in the ethics agreement. If an issue arises after the ethics agreement is signed by a nominee, OGE may grant an extension for compliance with the relevant provisions of the ethics agreement. This may result in a nominee/appointee filing multiple Certifications. OGE will post all Certifications it receives from a nominee/appointee.

If an appointee submits a Certification that is later found to be inaccurate, (through inadvertent or technical error, misinformation received from an account manager, or other reason) OGE will not delete the Certification. Questions regarding the inaccurate Certification will be referred to the appointee's agency ethics officials.

OR

A nominee/appointee must comply with all provisions of their ethics agreement within 90 days of Senate confirmation. They must also submit a signed Certification of Ethics Agreement Compliance (Certification) to OGE affirming that they have complied. OGE may grant an extension for compliance with specific provisions of an ethics agreement in cases of unusual hardship. If OGE grants an extension(s), a signed Certification must still be submitted after the general 90 day deadline affirming compliance with the provisions for which no extension was granted. Additional Certifications must be submitted in accordance with any extensions granted. OGE will post to its website all Certifications received from a nominee/appointee. If a Certification is found to be inaccurate after it has been posted (through inadvertent or technical error, misinformation received from an account manager, or other reason) OGE will not delete the Certification. Questions regarding the inaccurate Certification will be referred to the appointee's agency ethics officials.