

Questions for Potential New Hires

1. Do you have any equity interest in the company/firm that employs you?
 - Examples include, but are not limited to, stock/shares, restricted stock, RSUs, options, participation in an ESOP, partnership units, or a capital account.
2. If you have equity, do you own it outright or is it held in a trust, a family partnership, or in some other investment vehicle?
3. If it is not stock that can be sold on the public market: If you had to divest or sell your equity interest, do you understand the process for doing that?
 - If yes, what is the process?
 - If no, please inquire about the process.
4. Do you have any interest in private investment funds or private companies?

If you have an equity in your employer or a private company and intend to keep it:

1. If the business is a lobbying firm: Does the firm lobby the federal government?
2. If the business is an accounting firm: Does the firm represent people before the IRS or does it help with financial statements filed with government agencies, like the SEC?
3. If the business is a consulting firm or engineering firm:
 - Does the firm work with the federal government on behalf of clients?
 - Does the firm provide the federal government with reports on behalf of clients?