

18 U.S.C. 207 Post Employment Analysis Worksheet

Employee Name: Susan Johnson

| 18 U.S.C. 207(a)(1) and (a)(2) | | | |
|---|--|---|---|
| 1. Did employee's duties involve any specific party matters? <i>(If yes, list them below.)</i> | Yes <input checked="" type="checkbox"/> | No No restrictions apply | |
| 2. List of specific party matters. <i>For each indicate whether the employee either participated personally and substantially in the matter, or (if a supervisor or manager) had the matter pending under his/her official responsibility during the last year of service.</i> | Personal and substantial participation (a)(1) | Pending under official responsibility <i>(during last year)</i> (a)(2) | |
| Multiple patent applications | <input checked="" type="checkbox"/> | N/A | |
| Multiple appeals of denials of patents | <input checked="" type="checkbox"/> | N/A | |
| 3. Identify employee's post government employment <i>(if known).</i> | | | |
| Dunlap, Grubb, Weaver LLC | | | |
| Post employment matters -- <i>For any matters identified indicate whether they are the same particular matters as any listed above. If the same, decide whether any proposed activities would involve a prohibited communication/appearance.</i> | Communication Appearance with Intent to Influence | To or Before U.S. | On Behalf of 3rd Party |
| <p style="text-align: right; margin-right: 50px;"><i>Same particular matter</i> Yes No</p> <p>1. Help law firm clients prepare and process applications for design patents. If new or if no p&s participation</p> <p>2. Assist attorneys in representing clients in appeals of PTO's denial of application/other patent litigation. Possibly</p> | <p>No restriction for new or unrelated applications/behind the scenes assistance okay for any involving same matters.</p> <p>No restriction for new or unrelated appeals/behind the scenes assistance okay for any involving same matters.</p> | <p>Bar is not only to USPTO—but any Dept or agency if litigation DOJ/US Courts</p> | <p>All comms as employee of Dunlap even in response to comms initiated by US.</p> |
| 4. Is there an exception? (list activity) | 5 CFR 2641.301 | | |
| Not for any known activities | | | |
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