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| **Audience** | **Ethics Risks/**  **Education Needs** | **Compliance Requirement** | **Planned Education/Communications** | **Number of Employees Receiving** |
| Senior Leaders | **Meetings w/stakeholders:** (Conflicts and Appearances/Pledge/Public Office for Private Gain)  **Travel:** (Gifts/Misuse)  **Information access/release:** (Misuse) | EL I &II , 278 and 450  Live/Interactive + written materials | * Live briefing with execs and their travel planning and meeting planning assistants * One-page checklist for planners * One-page reminders for execs | 8 |
| Researchers | **Misuse:** Non-public information, access by regulated agencies, use of title, time etc. in outside activities  **Conflicts and Appearances:** with Universities LOA, holding stock in regulated entities, spousal employment with regulated entities, with outside organizations especially professional orgs.  **Gifts and invitations:** conferences and similar events  **Teaching, speaking, and writing:** adjunct teaching and consulting, service on boards in both official and personal capacity. | Mixture of 450 and 278 filers. Interactive/Live + written materials | * Twice annual briefings on risk areas * Reminders before major industry conferences * Talking points for program Director to use as reminder at quarterly meetings of the program. These will focus on outside activities and impartiality in work and avoiding misuse | 20 |