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| **Subpart F of the Standards of Conduct** | **Section 17 of the STOCK Act** | |
| **Disqualification Requirements**  **Employee must:** | **Notification and Recusal Requirements**  **“Covered employee” must:** | |
| * Immediately disqualify himself from participation in particular matters affecting the financial interests of a prospective employer with whom he is seeking employment as defined in 5 C.F.R. §§2635.603(b)(1)(ii) and (iii), unless the employee’s activities are authorized in accordance with 5 C.F.R. § 2635.605(b); and * Immediately disqualify himself from participation in particular matters affecting the financial interests of a person with whom he is negotiating for employment as defined in 5 C.F.R. § 2635.603(b)(1)(i), or with whom he has an arrangement concerning prospective employment pursuant to 5 C.F.R. § 2635.606, unless authorized to participate in the matter by a written waiver issued under the authority of 18 U.S.C. § 208(b)(1) or (b)(3), or by regulatory exemption. | * File a notification statement within three business days of commencement of negotiations or agreements of non-federal, post-government employment or compensation with any non-federal entity; * File a notification regarding recusal whenever there is a conflict of interest or appearance of a conflict of interest with respect to the non-federal entity identified in the notification statement; and * Comply with all applicable recusal obligations under 5 C.F.R part 2635 and, where applicable, 18 U.S.C. § 208. | |
| **Triggering Events** | | |
| * Unsolicited communications regarding possible employment, as described in 5 C.F.R. §§2635.603(b)(1)(ii)and (iii); * Negotiations for employment within the meaning of 5 C.F.R. § 2635.603(b)(1)(i); * Entered into an arrangement concerning prospective employment, as described in 5 C.F.R. § 2635.606. | * Negotiations for post-government employment or compensation within the meaning of 5 C.F.R. § 2635.603(b)(1)(i); or * Entered into an agreement for post-government employment or compensation. | |
| **Employment** | | **Employment or Compensation** |
| * Non-federal employment or business relationship involving the provision of personal services by the employee, including personal services as an officer, director, employee, agent, attorney, consultant, contractor, general partner, or trustee. 5 C.F.R. § 2635.603(a). | * Non-federal post-government employment, within the meaning of 5 C.F.R. § 2635.603(a), or post-government compensation for the provision of personal services, including teaching, speaking, and writing activities. | |
| **Occurrence of Non-Federal Employment or Compensation** | | |
| * Employment either concurrent with or subsequent to Federal government employment. | | * Provision of all personal services subsequent to Federal government employment. |