

| Subpart F of the Standards of Conduct | Section 17 of the STOCK Act |
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| <p align="center">Disqualification Requirements</p> <p align="center">Employee must:</p> | <p align="center">Notification and Recusal Requirements</p> <p align="center">“Covered employee” must:</p> |
| <ul style="list-style-type: none"> • <u>Immediately</u> disqualify himself from participation in particular matters affecting the financial interests of a prospective employer with whom he is seeking employment as defined in 5 C.F.R. §§2635.603(b)(1)(ii) and (iii), unless the employee’s activities are authorized in accordance with 5 C.F.R. § 2635.605(b); and • <u>Immediately</u> disqualify himself from participation in particular matters affecting the financial interests of a person with whom he is negotiating for employment as defined in 5 C.F.R. § 2635.603(b)(1)(i), or with whom he has an arrangement concerning prospective employment pursuant to 5 C.F.R. § 2635.606, unless authorized to participate in the matter by a written waiver issued under the authority of 18 U.S.C. § 208(b)(1) or (b)(3), or by regulatory exemption. | <ul style="list-style-type: none"> • File a notification statement within <u>three business days</u> of commencement of negotiations or agreements of non-federal, post-government employment or compensation with <u>any</u> non-federal entity; • File a notification regarding recusal whenever there is a conflict of interest or appearance of a conflict of interest with respect to the non-federal entity identified in the notification statement; and • Comply with all applicable recusal obligations under 5 C.F.R part 2635 and, where applicable, 18 U.S.C. § 208. |
| <p align="center">Triggering Events</p> | |
| <ul style="list-style-type: none"> • Unsolicited communications regarding possible employment, as described in 5 C.F.R. §§2635.603(b)(1)(ii) and (iii); • Negotiations for employment within the meaning of 5 C.F.R. § 2635.603(b)(1)(i); • Entered into an arrangement concerning prospective employment, as described in 5 C.F.R. § 2635.606. | <ul style="list-style-type: none"> • Negotiations for post-government employment or compensation within the meaning of 5 C.F.R. § 2635.603(b)(1)(i); or • Entered into an agreement for post-government employment or compensation. |
| <p align="center">Employment</p> | <p align="center">Employment or Compensation</p> |
| <ul style="list-style-type: none"> • Non-federal employment or business relationship involving the provision of personal services by the employee, including personal services as an officer, director, employee, agent, attorney, consultant, contractor, general partner, or trustee. 5 C.F.R. § 2635.603(a). | <ul style="list-style-type: none"> • Non-federal post-government employment, within the meaning of 5 C.F.R. § 2635.603(a), <u>or post-government compensation</u> for the provision of personal services, including teaching, speaking, and writing activities. |
| <p align="center">Occurrence of Non-Federal Employment or Compensation</p> | |
| <ul style="list-style-type: none"> • Employment either concurrent with or subsequent to Federal government employment. | <ul style="list-style-type: none"> • Provision of all personal services subsequent to Federal government employment. |

