### National Government Ethics Summit Speaker Bios

### **David Apol**

Mr. Apol is the General Counsel of the U.S. Office of Government Ethics (OGE). Prior to his position as OGE's General Counsel, Mr. Apol served as the Chief Counsel for Administrative Law at the Office of the U.S. Trade Representative, leading the administrative law program of a cabinet-level agency. Before that, he served as an Associate General Counsel at OGE, where his accomplishments included both working on ethics law reform in the United States and advising a foreign government on establishing its own ethics laws at a critical time of political change. Prior to coming to OGE, Mr. Apol served as Associate Counsel to the President, advising the President, the First Lady, and senior White House officials on ethics issues and the Presidential nominee financial disclosure program. In that capacity, he had the opportunity to work personally with ethics officials from almost every executive branch agency. Mr. Apol served as an executive branch agency ethics official at the Department of Labor from 1992 to 2000, where he was charged with establishing and then managing a new Department-wide ethics program. Previously, he served as a Counsel for the Senate Ethics Committee from 1987 to 1992. Prior to coming to Washington, Mr. Apol served as a Judge Advocate General Officer in the U.S. Army where he was responsible for ethics, administrative law, international law and contract law. He is a graduate of both Wheaton College and the University of Michigan Law School.

### **Monica Ashar**

Monica Ashar is an Assistant Counsel in the Ethics Law and Policy Branch at the U.S. Office of Government Ethics (OGE). Prior to joining OGE in September 2012, she served for eight years in various roles at the National Endowment for the Arts (NEA). These included Alternate Designated Agency Ethics Official, FOIA Officer, Government Affairs Specialist, and NEA Fellow. Monica holds a J.D. from the Georgetown University Law Center, a master's degree from Carnegie Mellon University, and a bachelor's degree from the Catholic University of America.

#### Susan Beard

Susan is the Assistant General Counsel for General Law, Department of Energy and in that position she is the leader and supervisor of the Department of Energy's attorneys who provide legal advice and counsel in a wide range of areas, including ethics, personnel and labor law, information law, Constitutional law, appropriations, property law, and administrative litigation.

Susan is a recognized leader in the area of ethics law and has served as an ethics law practitioner for more than 25 years. She has been the lead ethics official – the Designated Agency Ethics Official – at the Department of Energy for more than 10 years and prior to that she was the deputy for that role. Susan has received an SES rank award for her outstanding legal contributions to the Department of Energy. She also received the first Department of Energy General Counsel's Award for Legal Excellence.

Susan has experience in working in both a small agency and a Cabinet Department. She started her Federal career as a staff attorney with the Federal Election Commission. Before entering

Government service, Susan worked at law firms in Los Angeles and Anchorage. Susan received her JD from UCLA where she also served on its law review. She is a member of the California and District of Columbia Bars. Susan has a BA in anthropology from Pomona College.

### **Stuart Bender**

Stuart Bender serves as the Designated Agency Ethics Official (DAEO) and Director of the Office of Ethics at the U.S. Department of Agriculture (USDA). In this capacity, Mr. Bender is responsible for coordinating and managing the Department's Ethics Program, serving USDA employees across the country and around the globe. Mr. Bender reports directly to USDA's General Counsel.

Mr. Bender has been a public servant for more than 25 years. Before joining USDA in 2010, Mr. Bender was the Designated Agency Ethics Official and Assistant General Counsel at the Office of Management and Budget (OMB). From 1995 to 2004, he served as the Legal Counsel and Ethics Officer for the U.S. Holocaust Memorial Museum during its first decade. He has served as an attorney and ethics official in the Executive Office of the President and as a civilian attorney in the U.S. Navy.

Mr. Bender received his B.A. degree, *cum laude*, in political science from Brandeis University, and holds a J.D. degree, *cum laude*, from the George Washington University School of Law.

## **Mark Bialek**

Mark Bialek was appointed Inspector General for the Board of Governors of the Federal Reserve System (Board) and the Consumer Financial Protection Bureau (CFPB) effective July 25, 2011. Mr. Bialek leads the Office of Inspector General (OIG) staff in promoting economy, efficiency, and effectiveness and preventing and detecting waste, fraud, and abuse within the Board's and the CFPB's programs and operations.

Mr. Bialek has more than 35 years of experience in the Inspector General community. Previously, he served as the Deputy Inspector General at the U.S. Environmental Protection Agency (EPA) OIG. In addition, he served as the Acting Deputy Inspector General, the Associate Deputy Inspector General, and the Counsel to the Inspector General at the EPA OIG. Prior to joining the EPA OIG, Mr. Bialek served for 12 years as the Deputy Counsel to the Inspector General at the U.S. Department of State OIG and for 8 years as the Associate Counsel to the Inspector General at the U.S. Department of Commerce OIG.

Mr. Bialek also served as Chairman of the Council of Counsels to the Inspectors General, an organization comprising over 250 Inspector General attorneys throughout the federal government. Further, he has lectured extensively on the roles and responsibilities of federal Inspectors General and on ethics in the federal government, including presenting to officials of foreign governments.

Mr. Bialek received his bachelor of science in sociology and political science from Suffolk University and his juris doctor from the Antioch School of Law.

### **Deborah Bortot**

Deborah Bortot is the Chief of the Presidential Nominations Branch in the General Counsel and Legal Policy Division at the U.S. Office of Government Ethics (OGE). Ms. Bortot joined OGE as a Financial Analyst in 1998. Ms. Bortot is currently responsible for the financial disclosure program for Presidential nominees to positions requiring Senate confirmation (PAS nominees). She received her B.A. degree in Economics and Finance from the University of Maryland.

### Matilda Brodnax

Matilda Brodnax is an ADR Attorney with the Federal Emergency Management Agency (FEMA) Alternative Dispute Resolution Division of the Office of the Chief Counsel. Matilda is an attorney mediator with over 15 years of ADR experience. She provides a broad range of ADR services such as conflict management and leadership coaching, mediation, facilitation, and training. She also serves as a hearing officer conducting oral hearings for the Individual Assistance Recoupment Program. Matilda is the recipient of the 2008-2009 Chief Counsel Award of Excellence. She formerly served as Chair of the Federal Interagency ADR Working Group Steering committee that promotes the use of ADR throughout the Federal Government from April 2013 to March 2014.

### Lisa Brown

Lisa joined the University in March 2013. As Vice President and General Counsel, Lisa provides general legal counsel to the President, the University's governing boards, and its senior academic and administrative officers; advises on the legal implications of University policy and decisionmaking; and manages the team of lawyers and administrative professionals who serve in the Office of University Counsel. Prior to joining Georgetown, Lisa served in the Administration of President Obama, first as Assistant to the President and Staff Secretary in the White House, and then as Acting Chief Performance Officer at the Office of Management and Budget. She had previously served as Co-Director of Agency Review for the Obama-Biden Transition Project. Prior to joining the Transition, Lisa served for six years as the Executive Director of the American Constitution Society for Law and Policy. During the Administration of President Clinton, Lisa was Counsel to Vice President Gore and a member of the Executive Board of the President's Committee for Employment of People with Disabilities. She also served as an Attorney Adviser in the Office of Legal Counsel at the Department of Justice. Before entering the government, Lisa was a partner at the Washington law firm of Shea & Gardner. Lisa clerked for the Honorable John C. Godbold on the U.S. Court of Appeals for the Eleventh Circuit. She graduated Magna Cum Laude from Princeton University with a degree in political economy, and earned her law degree from the University of Chicago Law School where she graduated with Honors and served as Editor-in-Chief of the University of Chicago Legal Forum.

#### **Brandon Bunderson**

Brandon L. Bunderson joined OGE's Program Counsel Division as a Desk Officer in January 2015. Prior to that, he served with the Department of Interior and earned a master's degree from the University of California-Santa Barbara.

## **Troy Byers**

Troy is a Deputy Ethics Officer with U.S. Citizenship & Immigration Services, although he is currently serving on detail to the Office of the Vice President. He has previously served as an Ethics Officer at the Department of Homeland Security and the Department of Commerce. Troy is a veteran of the U.S. Army where he served as a Korean linguist. Troy graduated with a B.A. from the University of Washington and a J.D. from the George Washington University Law School.

## **Doug Chapman**

Doug Chapman joined the Office of Government Ethics' Program Review Division in 1993 as a management analyst, later serving as Lead/Senior Program Analyst. In these positions, he conducted more than a hundred ethics program reviews at all levels of the Executive Branch and has helped to define the standards against which ethics programs are measured. In January 2012, Mr. Chapman joined the Program Services Division as a Government Ethics Specialist. He has trained and advised ethics officials on a wide variety of ethics program responsibilities. In January 2013, Mr. Chapman was selected to serve as Chief, Professional Staff Group 2, in the Program Review Branch of OGE's Compliance Division. As Chief, he oversees a staff of program analysts who conduct ethics program reviews at agencies throughout the Executive Branch. He also served as an intelligence analyst for four years on active duty with the Army and 18 years in the Army Reserve, including two mobilizations, before retiring from the Reserves in May 2006.

## **Dale Christopher**

Mr. Christopher was selected as the Deputy Director for Compliance in February 2015.

He first joined OGE in 1991 as a Management Analyst in the Program Review Division, the predecessor to the Compliance Division. He served for 15 years in the Program Review Division before being promoted to head the division in 2006. He then served as the Associate Director of the Program Services Division for two years before transitioning to OGE's Office of General Counsel, where he was a Lead Financial Analyst. Most recently, Mr. Christopher served as the Chief of OGE's Agency Assistance Branch, where he and his staff provided valuable guidance and support to the executive branch ethics community.

He earned a Bachelor of Science in Business Administration from West Virginia University.

## **Steve Corbally**

Steve Corbally is a Desk Officer in the Agency Assistance Branch at the U.S. Office of Government Ethics (OGE), where he provides ethics program assistance to a portfolio of Executive branch agencies. He is also a member of the Integrity Rollout Team. Steve holds a B.A. from Suffolk University in Boston, MA, and a J.D. from Loyola School of Law in New Orleans, LA.

## Kerri Cox

Kerri Cox is the Associate Deputy General Counsel (Ethics, Fiscal & Administrative Law) and the Alternate Deputy Agency Ethics Official (ADAEO) for the Office of the Director of National Intelligence. Ms. Cox most recently served as an Associate General Counsel at the United States Office of Government Ethics (OGE) from 2008 to 2013. In addition to working with ethics statutes and regulations, Ms. Cox was the Acting Chief Freedom of Information Act Officer and the Privacy Officer for OGE. Prior to coming to OGE, she was an Associate General Counsel with the Department of the Air Force at The Pentagon. While there, Ms. Cox served for several years in the Acquisition Division of the General Counsel's Office focusing on Fraud Remedies and Bid Protests. She later moved within the Air Force to the Fiscal, Ethics & Administrative Law section of the Office of the General Counsel, where she practiced in the areas of Ethics, Fiscal Law, Administrative Law, and the Freedom of Information Act and Privacy Act. Ms. Cox received her J.D. from the Washington College of Law at American University in Washington, D.C. and her undergraduate degree from Texas State University (formerly Southwest Texas State University) in San Marcos, TX. She is a member of the State Bar of Texas.

## Linda M. Cruciani

Linda M. Cruciani is the Deputy General Counsel for Operations in the Department of Housing and Urban Development's Office of General Counsel. In that role, she is responsible for oversight of HUD's ten regional counsel offices and 28 field counsel offices. In addition to managing the day-to-day operations of the OGC, she has assumed Departmental leadership responsibilities with respect to the continuity of government operations in the events of lapses of appropriations and natural disasters and serves as the sponsor of HUD's IT Fund's Regulatory, Legislative and Enforcement Segment. She is the alternate designated agency ethics official for HUD. In 2009, she was the acting general counsel of HUD during the transition of Administrations. Prior to her appointment as the Deputy General Counsel, in August 2007, she served as HUD's Assistant General Counsel for Fair Housing Enforcement and Deputy Assistant General Counsel for Fair Housing. Before coming to HUD in 1994, Ms. Cruciani worked at the United States Equal Employment Opportunity Commission in a variety of legal positions, focusing on systemic cases of employment discrimination.

Ms. Cruciani was a Council for Excellence in Government Fellow. She graduated from Davidson College and the University of North Carolina School of Law.

## **Scott Deyo**

On April 25, 2011, Mr. Scott Deyo assumed the duties of Ombudsman, National Geospatial-Intelligence Agency. Mr. Deyo is an independent, neutral conflict resolution practitioner that provides an informal and confidential forum to hear and help address workplace concerns. Employees (civilian and military), contractors, and external stakeholders may address the full scope of organizational issues related to the mission, policies, practices, morale, and any systemic issues confronting NGA. The Ombudsman actively engages Department of Defense (DoD), Intelligence Community, and federal officials, including ombudsman partners, to address issues that transcend organizational boundaries. Prior to joining the NGA community, Mr. Deyo served as Ombudsman for the DoD Office of Inspector General (from 2009 –2011). Prior to that assignment, he served the Office of the Secretary of Defense (OSD) and a number of Defense agencies through Washington Headquarters Services –first as the Alternative Dispute Resolution (ADR) Advisor (from 2001– 2007) and later as the Deputy, then Director of Equal Opportunity and Diversity (from 2007– 2009). In these roles, he was the principal advisor to the Director, Administration and Management and the DoD Fourth Estate liaison for civilian and military equal opportunity complaint processing, diversity management, ADR, affirmative employment, special emphasis, and partnership-in-education programs. Mr. Deyo received the OSD Medal for Exceptional Civilian Service for his leadership, sustained excellence and effectiveness overseeing these programs.

Mr. Deyo earned a bachelor of science in Psychology with honors from James Madison University and a master of science from the Institute for Conflict Analysis and Resolution at George Mason University. He is an expert mediator, facilitator, trainer and consultant regarding conflict resolution within complex organizational systems. Mr. Deyo was the first Federal employee to earn the credential Certified Organizational Ombudsman Practitioner® by the International Ombudsman Association (IOA). He has mediated over 200 cases, most of which involved equality and diversity concerns. As an Ombudsman, Mr. Deyo assisted over 2,450 people explore resolution options for 9,100 issues in large, complex worldwide organizations.

In March 2014, Mr. Deyo was elected to serve a two year term as Chair, Coalition of Federal Ombudsman, which is the principal interagency forum that provides collaboration, advice, and guidance to Ombuds serving United States government agencies. He also serves as Chair of the IOA Professional Practices Committee, which investigates complaints of professional misconduct and alleged ethics violations of certified ombuds, is a member of the Interagency ADR Working Group, DoD ADR Coordinating Committee, and co-founder of the Intelligence Community Ombuds Forum.

## **Rachel Dowell**

Rachel Dowell is currently an Ethics Counsel at the White House Counsel's Office, where she is on detail from the U.S. Office of Government Ethics (OGE). As an Assistant Counsel at OGE, she focuses on developing executive branch ethics program policies and regulations, interpreting laws and regulations, and assisting agencies in legal and policy implementations. She also serves as OGE's FOIA Officer and assists with legislative affairs. Rachel earned a Bachelor of Science degree in Journalism and Political Science, *summa cum laude*, from Central Michigan University, and a Juris Doctor degree, *magna cum laude*, from Michigan State University College of Law.

#### Norman Eisen (following up with condensed bio)

Norm Eisen served as the U.S. Ambassador to the Czech Republic since January 2011. He emphasized three pillars of the U.S.-Czech relationship in leading over 225 dedicated colleagues at Embassy Prague.

First, Ambassador Eisen broadened our two nations' strategic and defense relationship. He helped advance the first new NATO Smart Defense proposal to be adopted by the U.S., a Multilateral Aviation Training Center in the Czech Republic. He secured continued Czech commitment to the fight against terrorism, including through traveling to Afghanistan to study the situation on the ground with senior Czech policy makers. He also partnered with the Czechs to support U.S. policy on Israel; for example, during his tenure they were the only one of the 27 EU members to vote with the U.S. against the UN's 2012 Palestine resolution.

Second, the Ambassador deepened U.S.-Czech commercial and economic ties. He led his Embassy team in working closely with U.S. and international business leaders at Fortune 100 companies and small and medium-sized enterprises alike. During Ambassador Eisen's time at post, U.S.-Czech bilateral trade increased by over 50%, more than three times the average for U.S. Embassies in EU countries. The Ambassador also helped level the playing field for American companies by developing an innovative anti-corruption strategy in cooperation with U.S. and Czech law enforcement and other stakeholders. The State Department now uses the Embassy Prague approach as a model for U.S. posts around the world.

Third, Ambassador Eisen championed our two nations' shared values. He is an outspoken advocate against all forms of bigotry, including anti-Semitism as well as discrimination against the Roma, the LGBT community and other minorities in the Czech Republic. He and his Embassy colleagues achieved concrete successes in this area, including helping to revitalize the European Shoah Legacy Institute (ESLI) headquartered in Prague; supporting the launch of a successful annual Prague Pride event; and starting an internship program for Czech Roma to gain work experience in U.S. companies.

Prior to coming to Prague, the Ambassador served in the White House from January 2009 to January 2011 as Special Counsel to the President and Special Assistant to the President. In that capacity, he helped lead the Obama administration's initiatives on government ethics, lobbying regulation and open government. He was dubbed "Mr. No" by the media for the tough compliance program he helped implement. His portfolio also included campaign finance law, whistleblower protection, and other reform issues. He served as the White House Counsel representative on the interagency group that produced the Obama administration's blueprint for the Dodd-Frank financial regulatory reform.

Before entering the administration, Ambassador Eisen was a litigation partner in the Washington, D.C. law firm of Zuckerman Spaeder. He joined the firm in 1991 and spent almost two decades there. He launched and co-chaired the firm's Public Client Practice, representing governmental entities on an array of matters, and he also handled white-collar investigations and complex commercial litigation. His cases included Enron and Refco, the ADM antitrust case, and the subprime financial collapse. He maintained an active pro bono practice, including civil rights litigation, and was named by Washingtonian as among the city's top lawyers.

Ambassador Eisen had experience in the non-profit sector. In 2001, he co-founded Citizens for Responsibility and Ethics in Washington (CREW), a government watchdog group. He was also the co-founder of Kids Computer Workshop, an after-school program for at-risk children in D.C. which began operations in 1997. From 1985 to 1988, the Ambassador launched his professional

career by serving as an Assistant Director of the Pacific Southwest Region of the Anti-Defamation League (ADL), a national civil rights organization.

Starting in his ADL days, Eisen was frequently quoted in print media, appeared on radio and television, and been an in-demand public speaker. The Ambassador was the subject of profiles in The Washington Post, The Wall Street Journal, and on National Public Radio (NPR), as well as in other U.S. and international publications.

Ambassador Eisen was born in Los Angeles, California on November 11, 1960. He received his J.D. degree in 1991 from Harvard Law School and his B.A. from Brown University in 1985, both with honors. He is married to Lindsay Kaplan, an Associate Professor of English at Georgetown University. The couple has a daughter, Tamar. Ambassador Eisen's service in the Czech Republic is, finally, notable because his mother was born in the former Czechoslovakia and survived the Holocaust. The Ambassador's Residence was a Nazi military headquarters in occupied Prague during World War II. It is now the scene of the Ambassador's weekly Sabbath dinners featuring food prepared in his kosher kitchen. As Senator Joseph Lieberman said in introducing Ambassador Eisen at his Senate confirmation hearing, "It is indeed a profound historical justice...that the Ambassador's residence in Prague, which was originally built by a Jewish family that was forced to flee Prague by the Nazis, who... took over that house as their headquarters, now 70 years later, is occupied by Norman and his family....The story of Norm Eisen and his family and their path back to Europe is a classic American story, a reflection of what our country is about at its very best. And that is also precisely why the Ambassador has proven such an effective representative of our Nation, our interests, and our values."

#### Mike Elston

Michael J. Elston is the Associate General Counsel and Chief Ethics & Compliance Officer of the United States Postal Service. He serves as the Postal Service's DAEO and its chief Freedom of Information Act officer. Before being appointed by the Postmaster General to his present position in May 2014, Mike was the chief counsel for employment law and the chief counsel for appellate and commercial litigation at the Postal Service. His prior government experience includes service as a law clerk to the Hon. Pasco M. Bowman of the U.S. Court of Appeals for the Eighth Circuit, an Assistant United States Attorney in Illinois and Virginia, a commissioner ex officio on the U.S. Sentencing Commission, and chief of staff and counselor to the Deputy Attorney General of the United States. Mike earned his J.D. with high honors from the Duke University School of Law in Durham, North Carolina, and his B.A. with honors from Drake University in Des Moines, Iowa.

## **Shelley Finlayson**

Shelley K. Finlayson serves as Chief of Staff and Program Counsel for the U.S. Office of Government Ethics (OGE). OGE's mission is to provide overall leadership and oversight of the executive branch ethics program designed to prevent and resolve conflicts of interest. As Chief of Staff she directs OGE's overall operations. As Program Counsel Ms. Finlayson's responsibilities include: strategic planning, monitoring ethics program performance, supporting agency ethics offices through OGE's Desk Officer program, overseeing the training thousands of

ethics officials, ensuring OGE's legal compliance, developing and implementing OGE's external communication strategy, managing OGE's congressional affairs, and spearheading strategic initiatives, including the development of an executive branch-wide electronic filing system for public financial disclosures.

Before coming to OGE in 2006, Ms. Finlayson developed expertise through positions with local, state, and federal governments, and in private legal practice. Her experience includes serving at the Congressional Budget Office, the Maryland General Assembly, the government of the District of Columbia, and the DLA Piper law firm.

Ms. Finlayson earned a Juris Doctor degree from Georgetown University Law Center, a Master of Science degree in Public Policy from Rutgers University, and a Bachelor of Art degree in Political Science from the University of California, Riverside.

### **Elizabeth Fischman**

Ms. Elizabeth J. Fischmann serves as the Designated Agency Ethics Official (DAEO) for the Department of Health and Human Services (HHS). She received her delegation of authority to serve in this position from the Secretary HHS and is the 5th person to serve as HHS DAEO since the enactment of the Ethics in Government Act of 1978. As the DAEO she has responsibility for all aspects of the HHS ethics program which consists of: (1) a comprehensive public and confidential financial disclosure system; (2) education, training, evaluation, and enforcement functions; and (3) legal guidance responsibilities. She also serves concurrently as the Associate General Counsel for Ethics in charge of the Office of the General Counsel, Ethics Division. Her responsibilities in this position include advising HHS on all legal issues pertaining to Government ethics, financial disclosure, professional responsibility, federal anti-lobbying restrictions, insider trading laws, and the Hatch Act.

Prior to joining HHS, she served as an Associate General Counsel with the United States Office of Government Ethics (OGE) in the Office of General Counsel and Legal Policy. In her OGE position, Ms. Fischmann helped to develop executive branch-wide ethics program policies and regulations. Prior to joining OGE, she was the Deputy General Counsel for the Strategic Systems Programs (SSP), Office of Counsel, which is part of the Department of the Navy's Office of the General Counsel. From 1989 to 1996, she served first as an Assistant Counsel, then as the Associate Counsel for General Law for the Space and Naval Warfare Command of the Department of the Navy. Ms. Fischmann also served as a certified mediator for the Department of the Navy's Alternate Dispute Resolution Program. From 1987 to 1989, Ms. Fischmann worked for the Equal Employment Opportunity Commission. Before joining the federal service, she worked as an associate at a private law firm.

Ms. Fischmann received her J. D. from Georgetown University Law Center where she served as the Administrative Editor for the journal, Law and Policy in International Business. She received her B. A. from the University of Virginia. Before going to law school, Ms. Fischmann worked as an intern criminal investigator with the Public Defender Service for the District of Columbia.

## Dan Fort

Dan Fort is an Ethics Official with the Environmental Protection Agency where he has served since 1990. Prior to that, he worked for 10 years in the private sector as a chemical engineer in the petroleum industry. Dan was the Ethics and FACA Policy Officer for EPA's Science Advisory Board before moving to his current position with the Office of General Counsel.

Dan has a B.A. in Chemistry from the University of California, San Diego, Masters of Science in Chemical Engineering from California State University, Northridge, and a Certificate in Online Teaching from the University of California, Los Angeles.

## Leigh J. Francis

Leigh J. Francis is an Assistant Counsel at the U.S. Office of Government Ethics. In this capacity, he has assisted OGE in Legislative Affairs by monitoring Capitol Hill for bills that affect the Federal Government's Ethics Program, providing technical advice to Congressional Leaders when requested; he also researches and drafts legal advisories and memoranda analyzing the criminal conflict of interest statutes, the Ethics in Government Act, and the Standards of Conduct regulations. Most recently, Mr. Francis was detailed to the White House. There he served as the Deputy Ethics Official and oversaw the White House Office's Ethics Program. Prior to joining OGE, Mr. Francis spent almost a year in the private sector assisting clients in complex business litigation cases, and in 2010 Mr. Francis published an article in the University of Miami's Business Law Review relating to bankruptcy law. During law school, Mr. Francis was an Editor of his school's Law Review and worked as an intern for a U.S. Congresswoman and a federal judge. Mr. Francis received his B.A., *cum laude*, from the University of Florida and his J.D., *cum laude*, from the American University, Washington College of Law.

## **Rita Franklin**

Rita R. Franklin is the Director of the Office of the Ombudsman for the Department of Energy. The Office of the Ombudsman was a Secretarial initiative created in March 2012 and Ms. Franklin was selected to spearhead this effort. Prior to assuming her new role as Ombudsman, Ms. Franklin was the Deputy Chief Human Capital Officer and she was responsible for the management and execution of the Department's human capital management programs.

Ms. Franklin has served the Federal Government for 37 years in three agencies – the U.S. Information Agency, the Department of Treasury Financial Management Service, and the Department of Energy. She began her career in a clerical position and has progressed to the level of Senior Executive Service. Ms. Franklin worked in the human capital field for 12 years before accepting the role as the Ombudsman and she is recognized as a leader in human capital management in the federal government.

Ms. Franklin earned a Bachelor of Science in Business Management/Law and Public Policy from the University of Maryland University College. She is a graduate of the Georgetown University Leadership Coaching Program and is International Coaching Federation certified.

### Justina Fugh

Justina Fugh is the Senior Counsel for Ethics for the U.S. Environmental Protection Agency. In this position since February 2006, she oversees EPA's ethics program and advises on all legal issues pertaining to Government ethics, professional responsibility and the Hatch Act.

Happily employed at EPA for almost thirty years, Justina joined EPA upon graduation from George Washington University Law School. She first started as a staff attorney enforcing the Clean Air Act and has since worked as an attorney in various positions in the Office of the Administrator, the Office of General Counsel and the Office of Enforcement and Compliance Assurance.

A devoted alumna of Vassar College, she married a Vassar co-ed, and together they have two sons and two male dogs. She avoids housework and gardening, instead spending much of her creative energy trying to outsmart the men in her life.

### Ana Galindo-Marrone

Ana Galindo-Marrone began her employment at the Office of Special Counsel in 1998, and in 1999 she joined the prosecution division. She has been chief of the Hatch Act Unit since 2000. The Unit enforces compliance with the Act by investigating complaint allegations and litigating Hatch Act cases before the Merit Systems Protection Board. In addition, the Unit is responsible for a nationwide program that provides Hatch Act advisory opinions to federal, state, and local officials, as well as the public at large. Ms. Galindo-Marrone has been a frequent presenter at conferences and forums on the Hatch Act and OSC's enforcement program. She has been a guest on several radio shows, including The Kojo Nnamdi Show, FEDtalk, Federal Drive, and In Depth. She also has testified or served as a technical consultant before several congressional committees considering Hatch Act reform, including the June 21, 2011, House Committee on Oversight and Government Reform hearing, "The Hatch Act: The Challenges of Separating Politics from Policy." Prior to joining OSC, Ms. Galindo-Marrone was a staff attorney for the School Board of Miami-Dade County, Florida. Ms. Galindo-Marrone, who is a native of Miami, Florida, received her law degree, cum laude, from the University of Miami School of Law.

## **Mary Gibert**

Mary Gibert serves as the General Services Administration's Public Buildings Service Regional Commissioner for the National Capital Region. Managing one fourth of the federal work space inventory for the entire country and generating more than one third of PBS's annual revenue, she is responsible for delivering world class space to federal agencies in the nation's capital. For 20 years at GSA, Mary served in a wide variety of national and regional roles including National Pricing POC, Occupancy Administration Director, Director for the Office of Portfolio Management at NCR PBS and ARRA Zone Executive. Prior to her return to GSA as the Director of Presidential Transition, Mary served as the Deputy Director of Workplace Solutions Department at Pension Benefit Guaranty Corporation.

In her role as the ARRA Zone executive, Mary was responsible for the delivery of over \$1.4 billion distributed across 114 projects and was recognized and honored with the Team and

Technical Expertise Excellence Award. In 2006, Mary led on a national level, the development and implementation of the \$35 million Rent Bill Management Contract, which centralized the billing process and instituted standardized quality control points. This new program was the largest change to PBS rent billing since the introduction of new pricing in 2000.

Mary is the recipient of numerous awards including the Meritorious Service Medal (U.S. Armed Forces) and the Commissioner's Service Excellence Award (GSA). She brings a wealth of experience in portfolio management, project management as well as project delivery and believes in sharing her past experiences with the next generation of leaders through mentorship. Mary holds a Bachelor of Science degree in Business Management from the University of Maryland College Park and is a graduate of the Federal Executive Institute, Leadership for a Democratic Society.

## Marcella Goodridge

Marcella Goodridge is the Deputy Assistant General Counsel for Ethics and has served in this position since June 2010. She also serves as the Department's Alternate Designated Agency Ethics Official.

Before joining the Ethics Division, Ms. Goodridge served as an employment litigation attorney within OGC's Division of Business and Administrative Law. She started her career with the Department in that role in October 2000. Prior to joining OGC, Ms. Goodridge served as judicial law clerk for the Commonwealth Court of Pennsylvania focusing on administrative law matters at the state level.

Ms. Goodridge received a B.A. from the University of Pennsylvania, and received her law degree from Villanova University.

# Wayne Gordon

Wayne Gordon joined the Department of Energy's General Counsel's office as an Attorney-Advisor in 2006. He is one of several attorneys and paralegals in the Standards of Conduct division of the GC office. His responsibilities include advising employees, supervisors, and senior leaders in the Department on a variety of topics associated with the conflicts of interest statutes, regulations, and other laws applicable to the enforcement of U.S. federal ethics. Prior to joining the DOE, Wayne was an officer in the United States Air Force, and worked in the Judge Advocate General's Corps (JAG). During his time as a JAG, Wayne defended Department of the Air Force actions at the Defense Office of Hearings and Appeals, the Armed Services Board of Contract Appeals, the Government Accountability Office, the Merit Systems Protection Board, and at the Equal Employment Opportunity Commission. Wayne was a litigator during his entire time as a JAG, and also had several assignments as a prosecutor, defense counsel, and senior defense counsel in the Air Force. He has a Bachelor's degree from Norwich University, a Juris Doctor degree from Western New England College School of Law, and a Master of Laws degree from Georgetown University Law Center. He is licensed to practice law in the State of Connecticut.

# Jeffrey Green

Jeffrey Green was promoted to the position of senior attorney and Deputy Designated Agency Ethics Official at the Department of Defense in November 2000. Prior to that, Jeff was a deputy ethics official for the Department of Veterans Affairs Office of General Counsel, serving in that job for over 8 years. In 1997, he was selected for a 6 month detail to serve in the Office of the Counsel to the President.

Jeff has given numerous presentations on the Standards of Ethical Conduct for Employees of the Executive Branch. He has published several articles on ethics that have been approved for use by the Office of Government Ethics in lieu of an "in person" regulatory training requirement. Jeff also has written a law review article on the History of the Federal Conflicts of Interest Law, and on the Emoluments Clause to the Constitution. He has served as a Guest Lecturer at the Georgetown University School of Law.

Prior to Government work experience, Jeff worked in private practice where he developed ethics compliance programs for corporations and taught a business ethics course. He graduated with a BA from Northwestern University and with both a JD and MBA from Washington University in St. Louis. Jeff is a member of the bars of Texas, Pennsylvania and the District of Columbia.

## Ciara Guzman

Ciara Guzman is a Program Analyst in the Program Review Branch at the Office of Government Ethics (OGE). Since joining OGE in 2006, Ciara has led many ethics program reviews at all levels of the Executive Branch. During the course of these reviews, Ciara provided expert advice and assistance to senior ethics officials. Additionally, Ciara has conducted training sessions for federal officials at OGE and at National Government Ethics Conferences and has led highly visible projects including surveys, and the design and planning of the exhibit room at OGE conferences. Prior to OGE, Ciara worked at the Social Security Administration as a Claims Representative. Ciara completed her Bachelor's degree in Business Administration with a minor in Marketing, Magna cum Laude, from the University of Puerto Rico (2001).

## **George Hancock**

George Hancock is OGE's Integrity manager. He joined OGE in November 2012 to work on OGE's electronic filing initiative that became Integrity.

George came to OGE from the Army where he was Associate Deputy General Counsel, Ethics & Fiscal, Office of the Army General Counsel from January 9 2006. There, he directed Financial Disclosure Management (FDM) implementation and development. Before that he served over twenty-six years active duty service in the Judge Advocate General's Corps retiring as a colonel in 2005. During his military career, George was the Director, Legal Technology Policy, Resources, Operations, & Security, Office of the Judge Advocate General (OTJAG) (July 2002 – June 2005). Colonel Hancock also served as Chief, Legal Assistance Policy Division, OTJAG (July 1998 – July 2002); Deputy Staff Judge Advocate, 21st Theater Army Area Command, Germany (November 1996 – June 1998); and Chair & Professor, Administrative & Civil Law Department, The Judge Advocate General's School, Army (May 1994 – June 1995), among other positions.

George has a DoD CIO Certificate, Information Resources Management College, National Defense University (2005); graduated from the Combined Arms Command and General Staff Course (1992); and has an LLM (Tax), Marshall-Wythe School of Law, College of William & Mary (1991); LLM (Military Law), Judge Advocate Officer Graduate Course, TJAGSA (1987); JD, University of Toledo College of Law (1978); and BA, University of Kentucky (1975). He is admitted to practice before the Supreme Court of the United States and the Supreme Court of Ohio.

## Laura Eddleman Heim

Laura Eddleman Heim is an Attorney-Adviser at the Office of Legal Counsel in the Department of Justice, where a significant portion of her practice focuses on advising Executive agencies regarding the Vacancies Reform Act and related areas of law. Before she joined the Department of Justice in 2011, she served in state government as a Deputy Solicitor and Simon Karas Fellow in the Ohio Solicitor General's Office and clerked for Judge Jeffrey Sutton of the U.S. Court of Appeals for the Sixth Circuit. An Ohio native, she earned her law degree at Ohio State University.

### Justin Herman

Justin Herman manages social media and digital engagement for the General Services Administration's Office of Citizen Services and Innovative Technologies, where he leads the SocialGov Community of more than 1000 managers at over 170 government organizations. His program is dedicated to helping modernize public services to improve how government works together with citizens, collaborates across agencies, and performs within their own organizations.

Justin serves on the White House Open Government tiger team developing the Third U.S. National Action Plan for Open Government as a commitment to the international Open Government Partnership of 64 nations. He was also assigned as a Talent Scout to attract and recruit the brightest, most diverse-minded technologists into public service for 18F and the U.S. Digital Service.

He's been recognized as one of the 15 most forward-thinking people in government by FierceGovernment; Up-and-Comer of the Year and one of the Top 25 Most Influential People Under 40 by Fedscoop; one of the Top 50 luminaries, disruptors and newsmakers by InTheCapitol; one of the five men reshaping the city by Capitol File magazine; and by Washington Life magazine as one of the most influential young leaders in Washington.

## **Craig Holman**

Craig Holman, Ph.D. is currently Government Affairs Lobbyist for Public Citizen. As Legislative Representative, he serves as the organization's Capitol Hill lobbyist on campaign finance and governmental ethics. Previously, Holman was Senior Policy Analyst at the Brennan Center for Justice, New York University School of Law. Dr. Holman worked closely with reform organizations and the Democratic congressional caucus of the 110th Congress in drafting and promoting the "Honest Leadership and Open Government Act," the new federal lobbying and ethics reform legislation signed into law on September 14, 2007. As a consequence of this legislation, Holman is also working with European nongovernmental organizations and members of the European Commission and Parliament in developing a lobbyist registration system for the European Union.

Holman has assisted in drafting campaign finance reform legislation, including pay-to-play legislation, and has conducted numerous research projects on the initiative process and the impact of money in politics. He has been called upon to assist as a researcher and/or expert witness defending in court the Bipartisan Campaign Reform Act of 2002 (BCRA) as well as the campaign finance reform laws of Alaska, Arkansas, California and Colorado. He has authored and co-authored several studies on campaign finance and the initiative process, including four major works entitled BUYING TIME 2000: TELEVISION ADVERTISING IN THE 2000 FEDERAL ELECTIONS (2001); THE PRICE OF JUSTICE: A CASE STUDY IN JUDICIAL CAMPAIGN FINANCING (1995); TO GOVERN OURSELVES: BALLOT INITIATIVES IN THE LOS ANGELES AREA (1992), and DEMOCRACY BY INITIATIVE (1992). Some of his other publications include: "Lobbying Reform in the United States and the European Union: Progress on Two Continents," in Conor McGrath, ed., INTEREST GROUPS AND LOBBYING (2009); "The Structure and Organization of Congress and the Practice of Lobbying," in Thomas Susman and William Luneburg, eds., THE LOBBYING MANUAL: A COMPLETE GUIDE TO FEDERAL LAW GOVERNING LAWYERS AND LOBBYISTS, FOURTH EDITION (2008); "Close the 527 Loophole" in Matt Kerbel, ed., GET THIS PARTY STARTED: HOW PROGRESSIVES CAN FIGHT BACK AND WIN (2006); "The Bipartisan Campaign Reform Act: Limits and Opportunities for Non-Profit Groups in Federal Elections," Northern Kentucky Law Review (2004); "The Nuts and Bolts of Public Financing of State Candidate Campaigns," National Civic Review (2003); and THE NEW POLITICS OF JUDICIAL ELECTIONS (2002).

## **Elizabeth A. Horton**

Elizabeth Horton is currently the Deputy Assistant General Counsel for Ethics and ADAEO at the Department of the Treasury. Ms. Horton has over 13 years of ethics experience, earned in both the Executive and Legislative branches of the government. She previously worked at OGE, the White House, Senate Ethics, and the Office of Congressional Ethics within the House of Representatives. Prior to her government service, Ms. Horton practiced Antitrust law with Howrey. She is a member of the District of Columbia and Mississippi Bars. Ms. Horton received her JD and MBA from the University of Mississippi.

## **Elizabeth D. Horton**

Elizabeth D. Horton joined the U.S. Office of Government Ethics (OGE) in April 2014 and currently serves as an Assistant Counsel in the Legal, External Affairs and Performance Branch in the Program Counsel Division. Elizabeth earned a B.B.A. degree in Finance, *magna cum laude*, from Howard University and a Juris Doctor degree from the Howard University School of Law. Elizabeth began her legal career as a law clerk in the Appellate Litigation Section of the Federal Deposit Insurance Corporation and then served as an Attorney Advisor with the Commodity Futures Trading Commission. Prior to joining OGE, she was a partner at the law firm of White & Horton where she focused on construction law and government contracts.

## Lucy Hurley

Lucy Hurley has been employed by U.S. Department of Justice for 29 years. She has been a Management Analyst with the Executive Office for U.S. Attorneys' offices (EOUSA) General Counsel's Office since 2006, and with EOUSA since 2001. Prior to working at EOUSA, Ms. Hurley was a Paralegal Specialist with the U.S. Attorney's Office in Portland, Maine, for 14 years.

## **Tina Hymer**

Tina Hymer is the Deputy Assistant General Counsel for Standards of Conduct and Alternate Designated Agency Ethics Official at the Department of Energy. Tina previously served as an Attorney-Advisor at the Department of Energy and on a detail to the Office of the White House Counsel as an ethics counselor. Prior to joining the government, Tina was a judicial law clerk in the Court of Appeals in the State of Arizona.

## Seth Jaffe

Seth Jaffe is the Chief of the Ethics Law and Policy Brach at the U.S. Office of Government Ethics (OGE). While at OGE, Seth worked on detail for a year as an Ethics Advisor in the White House Counsel's Office. Seth joined OGE after practicing civil rights and constitutional law. After graduating *cum laude* from Duke University School of law, Seth began his career working as a litigation associate for Curtis Mallet-Prevost Colt & Mosle, a large New York City law firm. Immediately prior to joining the Office of Government Ethics, Seth served as Acting Deputy General Counsel and Director of EEO Programs at the U.S. Commission on Civil Rights. In addition, Seth worked as the Managing Attorney for the ACLU of North Carolina where he represented clients in both state and federal court.

## **Clay Johnson**

Clay Johnson is the Deputy Director for Management at the Office of Management and Budget. The Deputy Director for Management provides government-wide leadership to Executive Branch agencies to improve agency and program performance. Prior to this he was the Assistant to the President for Presidential Personnel, responsible for the organization that identifies and recruits approximately 4000 senior officials, middle management personnel and part-time board and commission members.

From 1995 to 2000, Mr. Johnson worked with Governor George W. Bush in Austin, first as his Appointments Director, then as his Chief of Staff, and then as the Executive Director of the Bush-Cheney Transition.

Mr. Johnson has been the Chief Operating Officer for the Dallas Museum of Art and the President of the Horchow and Neiman Marcus Mail Order companies. He also has worked for Citicorp, Wilson Sporting Goods and Frito Lay.

He received his undergraduate degree from Yale University and a Masters degree from MIT's Sloan School of Management. In Austin, he helped create the Texas State History Museum, and

was also an Adjunct Professor at the University of Texas Graduate School of Business. In Dallas, he served as President of the Board of Trustees for St. Marks School of Texas, and as a Board Member of Equitable Bankshares, Goodwill Industries of Dallas, and the Dallas Chapter of the Young Presidents Organization.

## **Heather Jones**

Heather Jones joined the U.S. Office of Government Ethics (OGE) in September 2014 as the Senior Counsel for Financial Disclosure. As counsel to the Presidential Nomination Branch, Ms. Jones reviews the financial interests of Presidential nominees to positions requiring Senate confirmation, consults on complicated reporting and conflicts of interest questions, and prepares substantive regulation and guidance documentation related to these issues.

Prior to joining OGE, Ms. Jones served as Senior Counsel to the U.S. House of Representatives Committee on Ethics in the financial disclosure and advice and education sections. Prior to her work for the House Ethics Committee, Ms. Jones worked for Wilmer, Cutler, Pickering, Hale & Dorr LLP for nine years in its securities litigation and enforcement practice. Ms. Jones focused on internal investigation and representing individuals and companies in investigations by the Securities Exchange Commission.

Ms. Jones received her J.D. from the University of Kansas in 1999, and received her undergraduate degree from Dartmouth College.

## Cheryl Kane-Piasecki

Cheryl Kane-Piasecki is a Senior Ethics Specialist and Lead Instructor in the Program Counsel Division at the U.S. Office of Government Ethics (OGE). She has been with OGE since December of 1991. Prior to her current position, Cheryl served as a Team Lead responsible for overseeing a group of ethics specialists providing ethics program assistance to 34 Departments and agencies throughout the executive branch. During her tenure at OGE, Cheryl has served in the Program Review, Program Services, and Education and Training Divisions, respectively. She also served on a detail to the White House Counsel's office in 2009.

Prior to joining OGE, Cheryl worked in adult education as a Peace Corps volunteer in Costa Rica.

Cheryl holds a master's degree from the Columbia University School of International and Public Affairs. She earned her BA, cum laude, from Mount Holyoke College.

## Helen R. Kanovsky

Helen R. Kanovsky was sworn in by Secretary Shaun Donovan as the General Counsel of the U.S. Department of Housing and Urban Development on May 4, 2009. As General Counsel she is the chief law officer of the Department and principal legal adviser to the Secretary and staff of HUD. She is also head of the Departmental Enforcement Center. OGC provides legal opinions, advice, and services with respect to all departmental programs and activities. OGC has primary

responsibility for the development of HUD program regulations and assists in the development of HUD programs and policies. OGC has over 600 employees in Headquarters, 10 Regional Offices and 40 Field Offices.

Prior to coming to HUD, Ms. Kanovsky served as Chief Operating Officer and General Counsel of the AFL-CIO Housing Investment Trust. She was an officer of the Trust for 13 years, beginning in 1995. The Trust is a \$3.5 billion registered investment company for Taft-Hartley and Public Pension funds which targets investment in multi-family investment securities and also invests in insured or guaranteed single family mortgage pools. During 1998-99, she was Chief of Staff to Senator John Kerry of Massachusetts.

Before joining the Trust in 1995, Ms. Kanovsky was Executive Vice President and General Counsel of GE Capital Asset Management Corporation (a subsidiary of the General Electric Company) and predecessor company Skyline Financial Services Corporation from 1986-1994.

Prior to that, she was in private law practice as a partner with Leff & Mason (1984-1986) and before that, a partner and associate with Dickstein, Shapiro and Morin (1981-84; 1976-79). During 1979-1981, she served in the federal government as a Special Assistant to Secretary of Housing and Urban Development Patricia Roberts Harris. She went with Secretary Harris to the Department of Health, Education and Welfare where she served as Special Assistant to the Secretary and Associate Executive Secretary to the Department which became the Department of Health and Human Services. Ms. Kanovsky holds an A.B. cum laude in Government from Cornell University where she was Phi Beta Kappa. She received her J.D. cum laude from the Harvard Law School in 1976.

Prior to becoming General Counsel of HUD, she served as Chair of the National Housing Conference (NHC) and a member of the Board of the NHC's research affiliate, the Center for Housing Policy. She also was a Trustee of the National Labor College and a member of the Board of the Special Olympics of the District of Columbia.

# **Kimberley Kaplan**

Kim Kaplan is a Program Analyst/Desk Officer in the Agency Assistance Branch at the U.S. Office of Government Ethics (OGE), where she provides ethics program assistance to a portfolio of Executive branch agencies and departments. From May 2012 through May 2014, she served as Ethics Program Manager at the U.S. Consumer Financial Protection Bureau. She also served on detail to the U.S. Department of Agriculture's ethics office in 2011. Prior to joining OGE in 2009, she worked for several years at the U.S. Department of Justice where she provided legal support services to Assistant United States Attorneys in the prosecution of fraud, public corruption, and narcotics cases. Kim holds a B.A. in History from the University of North Carolina at Chapel Hill.

## Jody T. Keegan

Ms. Keegan is a Certified Ethics Specialist with the HHS Office of the General Counsel (OGC) Ethics Division (ED) where for the past three years she has provided services to both the Financial Disclosure and Advice Teams in the ED, as well as the HHS operating divisions. Ms.

Keegan is currently working at the newly created OGC ED satellite office located at the Food and Drug Administration (FDA) where she serves as the financial disclosure ethics specialist lead for this office. Her duties include, among other things, (1) review of complex financial disclosure reports for vetting and pre-clearance of Senate-confirmed Presidential appointees ("PAS"), as well as other political appointees and HHS filers, and the preparation of analysis for review by FDA management and other OGC offices; (2) resolution of technical reporting deficiencies, new and/or on-going resolution and disposition of any conflicts of interest; (3) working with senior ED staff to draft complex ethics agreements and certificates of divestiture; (4) working on financial disclosure issues related to Congressional inquiries, 201 Requests, and inquiries from OGE; (5) providing one-on-one, as well as group review and analysis, trainings for FDA Ethics Specialists; (6) working with the FDA Division of Ethics and Integrity (DEI) and OGC ED attorneys to create Standard Operating Procedures (SOP) for "OGE 278 Pre-Clearance Process" and "Certificates of Divestiture." She has been instrumental in establishing the OGC ED FDA Satellite Office and the financial disclosure workflow design between this office and the FDA DEI.

Prior to joining HHS, from 2008 until 2013, Ms. Keegan was an Ethics Specialist for the United Stated Department of State (DOS) where she was responsible for creation and management of the ISPO/PASO Financial Disclosure program for civilian employees being deployed to Iraq, Afghanistan and Pakistan under the 5 U.S.C § 3161 hiring authority. Her responsibilities included ensuring expeditious review, identification, and remediation of conflicts of interest of over 400 filers. Ms Keegan also worked with key Senior Ethics Advisors in review of complex DOS senior level, political and PAS financial disclosure reports during the vetting, preclearance, and annual submission process. She worked directly with counsel and the PAS in preparation of SFRC questionnaire responses, and drafted complex ethics agreements. She worked on resolution of conflicts of interest issues and related certificates of divestiture. Ms. Keegan has a background in the private sector as a senior litigation paralegal having specialized in the areas of risk management, healthcare, health, life and environmental insurance, medical malpractice, family and contract law prior to joining the federal government. Ms. Keegan received a BA from the State University of New York at Buffalo in Legal Studies.

#### Megan Khaner

Megan Khaner is a Program Analyst (Finance) in the Presidential Nominations Branch, General Counsel and Legal Policy Division, U.S. Office of Government Ethics (OGE). Ms. Khaner began working at OGE in 2003 as a Management Analyst in the Program Review Division. She later served as a Desk Officer in OGE's Agency Assistance Branch.

Ms. Khaner holds a Bachelor's Degree in Psychology from George Mason University.

#### **Austin King**

Austin King is OGE's Integrity Help Desk Lead. He is embedded with the U.S. Office of Government Ethics (OGE) from the Office of Management and Budget (OMB). Prior to his

current position, he held a variety of support roles in the private sector. Austin has a Bachelor of Science in Public Administration from the University of Central Florida.

## Dr. Martha Joynt Kumar

Dr. Martha Joynt Kumar is a Professor in the Department of Political Science at Towson University. As a scholar with a research focus on the White House, she is interested in presidential – press relations, White House communications operations, and presidential transitions. Her most recent book, Managing the President's Message: The White House Communication Operation, won a 2008 Richard E. Neustadt Award from the presidency section of the American Political Science Association. Her previous books include White House World: Transitions, Organization, and Office Operations and Portraying the President: The White House and the News Media with Michael Grossman. Managing the President's Message is coming out in April 2010 in a paperback edition with a postscript comparison of the communications operations of Presidents Obama, George W. Bush, and Bill Clinton. Her most recent publication is "The 2008-2009 Transition Through the Voices of the Participants," which is in the December 2009 issue of Presidential Studies Quarterly.

She is director of the White House Transition Project, which is a nonpartisan effort by presidency scholars to provide information on presidential transitions and White House operations to those who came into the White House in January 2009 as the group did in 2001. She worked with the transition operations of presidential candidates Barack Obama and John McCain and with the team representing President George W. Bush. In the fall of 2008, she testified on effective practices for presidential transitions before the House Oversight and Government Reform Subcommittee on Government Management, Organization, and Procurement hearing: "Passing the Baton: Preparing for the Presidential Transition." The project builds on the earlier White House 2001 Project, which was designed to build an institutional memory for seven White House offices in order to provide the information to new staff coming into the selected positions in 2001. The White House 2001 Project was funded by The Pew Charitable Trusts and was associated with the Transition to Governing Project of the American Enterprise Institute.

Professor Kumar has received grants from the Ford Foundation as well as The Pew Charitable Trusts. In 1998 she was a fellow at the Joan Shorenstein Center on Press Politics at the Kennedy School at Harvard University. Professor Kumar was named by the University System of Maryland to be a Wilson H. Elkins Professor for 2003-2004 and again for 2005-2006 to support her work on presidential communications and to fund an interactive course she has taught each spring beginning in 2004: "White House Communications Operations." She interviews White House officials and reporters in Washington with her students back at Towson hooked in through an Internet connection.

In now the seventh year she has taught the course, she has interviews with over 70 reporters and officials. The interviews are video streamed nationwide and available at: http://www.ucdc.edu/aboutus/whstreaming\_archive.cfm. The Wilson H. Elkins grants also funded her work on presidential press conferences.

Kumar grew up in the Washington area and went to Connecticut College for her BA in government and then an MA and a PhD in political science from Columbia University. In between her masters and doctoral degrees, she taught at Tennessee State University in Nashville and worked as a researcher in the Election Unit of the News Department at NBC. In 2008, she was elected as a fellow of the National Academy of Public Administration. In addition to her scholarly work, Kumar is currently on the board of directors and the executive committee of the White House Historical Association, the board of the National Coalition for History, and serves as the representative to the National Archives for the American Political Science Association. She is a member of Phi Beta Kappa.

## Keith Labedz

Keith Labedz is the Content Lead for Integrity and a Senior Program Analyst with the Presidential Nominations Branch at the U.S. Office of Government Ethics. Mr. Labedz's prior work experience includes serving as a Management Analyst with the University of Illinois Medical Center at Chicago and as an Assistant Compliance Officer with the City of Chicago Inspector General's Office. Mr. Labedz has a Bachelor of Science in Business Administration from the University of Illinois at Urbana-Champaign and a Master of Arts in Political Science from Columbia University.

## Patrick J. Lightfoot

Patrick J. Lightfoot is an Assistant Counsel in the Ethics Law & Policy Branch of the General Counsel and Legal Policy Division at the U.S. Office of Government Ethics. Prior to joining OGE in May 2015, Mr. Lightfoot worked for the Social Security Administration and served as a Judicial Clerk for the Honorable Senior Judges of the District of Columbia Court of Appeals. Mr. Lightfoot received his Bachelor of Science degree from Cornell University and his Juris Doctor degree, *magna cum laude*, from the Catholic University of America's Columbus School of Law, where he was Managing Editor of the Catholic University Law Review.

## Lennard S. Loewentritt

Lennard S. Loewentritt was appointed as Deputy General Counsel on March 2, 2008. In his role as Deputy General Counsel, Mr. Loewentritt is responsible for helping to coordinate all legal functions and activities of the Office of General Counsel (OGC) nationwide, and for providing legal services and advice. He advises the General Counsel and other officials of the agency on all areas of agency's mission, including contracting, information technology, travel and transportation, real estate, construction, agency litigation, personnel and labor property management and disposal and ethics.

Mr. Loewentritt has been with the Office of General Counsel, General Services Administration, since February 1972. He began his career at GSA prior to graduating from law school as a legal intern. Prior to being appointed as Deputy General Counsel, he served as Deputy Associate General Counsel and Acting Associate General Counsel of the Personal Property Division, Office of General Counsel. In those positions, he was responsible for providing legal counsel on a wide range of contracting programs, several of which are multi-billion dollar programs such as GSA SmartPay® (the Government's Travel, Purchase and fleet cards), contract city pairs airline

contracts, He also provided legal services for GSA's multi-billion dollar Multiple Award Schedules program. From November 2006 through June 8, 2008 and during the last presidential transition, Mr. Loewentritt served as Acting General Counsel of the agency.

Mr. Loewentritt is a native of New York City. He graduated from the University of Wisconsin At Madison in 1969 with a degree in Economics. He received his Juris Doctor from American University in 1972, and that same year was admitted to the Bar in the State of Maryland as well as the District of Columba. He has been in the Office of General Counsel at the General Services Administration since law school and has been an attorney at GSA for the past 44 years.

## Lee Lofthus

Lee Lofthus was appointed as Assistant Attorney General for Administration on December 15, 2006. Prior to his appointment he served as the Principal Deputy Assistant Attorney General/Controller, Justice Management Division. Mr. Lofthus is the Department's Chief Financial Officer, with responsibility for Department-wide financial reporting, budget formulation and execution, accounting operations, assets forfeiture fund operational support, procurement, and debt management support. Mr. Lofthus also oversees the Department's libraries and records management, facilities, human resources, planning, and is the senior ethics official for the Department. He is a key executive liaison with the appropriations subcommittees on appropriations matters.

Mr. Lofthus joined the Department of Justice in 1982, and has served in management positions overseeing financial operations, financial policy, reporting, and systems. He was the Department's Controller and Deputy Chief Financial Officer from August 2003 to May 2006, and Director, Finance Staff, Justice Management Division, from January 1999 to August 2003. Prior to becoming the Finance Staff director in January 1999, he was the Chief, Finance Branch, for the Federal Bureau of Prisons, serving in that capacity since 1995. Mr. Lofthus received his MBA in 1982 from The American University in Washington, D.C. He was the recipient of a Distinguished Presidential Rank Award in 2009 and of a Meritorious Presidential Rank Award in 2006.

# **Robert Lubitz**

Robert Lubitz is a Program Analyst in the Compliance Division at the U.S. Office of Government Ethics. Prior to joining OGE in April 2015, Robert served at the Department of Interior developing specialized training products for internal stakeholders. Robert also worked at the Social Security Administration designing and delivering training programs for new employees. Robert earned a Bachelor of Arts in Economics from St. Mary's College in Maryland and is currently pursuing a Master of Business Administration in Marketing at Loyola University in Maryland.

# Sandra Mabry

Sandra Mabry is Lead Program Analyst in the Presidential Nominations Branch, General Counsel and Legal Policy Division, U.S. Office of Government Ethics (OGE). Previously, Mrs.

Mabry was a Senior Desk Officer in the Program Services Division at OGE. While she was a desk officer, she served three detail assignments to the White House Counsel's Office.

Prior to joining the OGE staff in November 2000, Mrs. Mabry was the paralegal assistant to the DAEO and Alternate DAEO at the U.S. International Trade Commission. In that position she assisted in all aspects of the Commission ethics program. Mrs. Mabry's government service also includes employment in the Department of the Army, Defense Supply Agency, Office of the Secretary of Defense, and the House of Representatives. Mrs. Mabry holds a Bachelor of Arts degree from George Mason University.

## Jack MacDonald

Jack MacDonald is a Program Analyst (Finance) in the Presidential Nominations Branch, General Counsel and Legal Policy Division, U.S. Office of Government Ethics (OGE). Previously, Mr. MacDonald was a Senior Desk Officer in the Program Services Division at OGE. He has also served as a detailee to the White House Counsel's Office.

Prior to joining OGE in 2009, Mr. MacDonald was an ethics official at the Department of the Treasury (Departmental Offices) and the Federal Election Commission. He holds a Bachelor's Degree in Political Science from St. Mary's College of Maryland.

# David Maggi

David Maggi serves as the Chief of the Ethics Law and Programs Division in the Office of the Assistant General Counsel for Administration and Transactions, U.S. Department of Commerce. In the centralized system used at the U.S. Department of Commerce, this office handles all ethics-related matters in the Department. He also serves as the Alternate Designated Agency Ethics Official. He has served in Federal ethics positions since his graduation from Georgetown University Law Center in 1979 and has worked in the Department of Commerce for 34 years.

# Tim Mallon

Tim is a systems engineer and software developer with over 20 years of experience in the IT field. He is the primary engineer responsible for building the communications and server infrastructure supporting internal OGE web applications and external (extranet) applications. When not doing systems design and engineering work, Tim also develops web applications for internal and external use. Before coming to OGE in 2014, Tim was a systems engineer and software developer at EPA's Office of General Counsel.

Over the course of his career in IT Tim has achieved engineering and software development certifications from Cisco, Microsoft, and IBM. Tim has a Bachelor of Science degree with a major in accounting from Wayne State University in Detroit, Michigan and after moving to the DC area many years ago Tim achieved a Maryland CPA.

## **Robert Marcovici**

Robert Marcovici serves as U.S. Marshals Service Ethics Officer and has been employed by the USMS as an Associate General Counsel for 16 years. Prior to that, Mr. Marcovici served in the General Counsel's office of the Executive Office for U.S. Attorneys for 10 years in various capacities that included ethics responsibilities. Before that, he clerked at the Department of Justice for three years and worked for one year in a law firm before rejoining DOJ at EOUSA.

### Jai Mathai

J. Mathai is a Lead Program Analyst at OGE's Compliance Division and has been with OGE since 2012. As such he has conducted plenary reviews and inspections that seek to identify strengths and address weakness within the ethics programs of a wide array of executive branch agencies. The agencies range from two-person operations to multi-bureau departments. His experience at OGE also includes identifying and addressing potential financial conflicts through reviews of financial disclosure reports of agency executives who are Presidentially appointed and Senate confirmed. Prior to joining OGE Mr. Mathai, who is a licensed CPA, has over 15 years of audit and procurement experience in fields such as banking, defense, and health care. He is a graduate of the University of Nevada, Las Vegas where he received a B.S. degree in Accounting.

### **Jennifer Matis**

Jen Matis serves as an Assistant Counsel in OGE's Program Counsel Division, where she helps ensure OGE's compliance with the many legal authorities applicable to Executive branch agencies. She also supports OGE's international and legislative affairs programs and serves as OGE's Federal Register Liaison Officer. Jen earned a B.A. in History from Connecticut College and a Juris Doctorate from the University of Texas School of Law. Prior to joining OGE, she served as Counsel to the Inspector General / Assistant IG for Investigations at the National Labor Relations Board.

## **David Meyers**

David Meyers is a Program Analyst in the Program Review Branch at the U.S. Office of Government Ethics. In this position, he has conducted more than a hundred ethics program reviews at all levels of the Executive Branch. He has also trained and advised ethics officials on a wide variety of ethics program responsibilities.

David is also responsible for reviewing public financial disclosure reports filed by persons whom the President nominates for positions that require Senate confirmation and has served on OGE's Presidential Transition Team.

Additionally, David is an active participant on OGE's Special Emphasis Program/Diversity Committee and serves as a member of OGE's cross-division communications team. David received his Bachelor of Science degree, *cum laude*, from Virginia State University.

#### **Shira Pavis Minton**

Shira Pavis Minton is the Ethics Counsel at the Securities and Exchange Commission (SEC). Prior to joining the SEC in 2010, Shira ran the Ethics Program and was ADAEO at the Treasury Department, where she received the Secretary's Certificate of Appreciation from Secretary Henry Paulson. Prior to the Treasury Department Shira was the Assistant General Counsel for Ethics, and ADAEO at the Federal Trade Commission. Before joining Government service, Shira was an attorney in private practice focused on corporate law. Shira graduated with honors from Harvard Law School in 1996 and received her B.A. in Philosophy from Binghamton University in 1990.

## **Shirine Moazed**

Shirine Moazed joined the Office of Special Counsel in 1997. She spearheads OSC's 2302(c) certification program, which is mandatory for all federal agencies. She also focuses on the agency's overall education and outreach efforts. She has been a frequent presenter at conferences on the prohibited personnel practices, with a focus on whistleblower retaliation. In addition, Ms. Moazed works with OSC's Investigation and Prosecution Division on special projects and serves as a subject matter expert in mediation cases. Ms. Moazed received her J.D. from the American University Washington College of Law.

### **Sean Moulton**

Sean Moulton is the Open Government Program Manager at POGO and oversees the effort to develop a "blueprint" the next president can use to build a more open and accountable administration.

Before joining POGO, Sean worked for over a decade on transparency and government accountability issues, with special attention to freedom of information issues, spending transparency, and environmental right-to-know policies. He has authored reports, testified before Congress, submitted comments on proposed regulations, and helped launch public disclosure websites. He has spoken on open government issues extensively with the media, having appeared on C-SPAN, NPR, ABC, and NBC and being quoted in *The New York Times, The Washington Post*, and other major news publications.

- In 2013, in the aftermath of the West, Texas, catastrophe he testified before Congress on the importance of public awareness of chemical plants and the risks they pose to communities.
- He directed a two-year project to produce government transparency recommendations for the incoming Obama Administration that were endorsed by hundreds of organizations across the country.
- He co-directed development of FedSpending.org, a groundbreaking website that opened trillions of dollars in federal spending to public scrutiny and handled millions of public searches. The site was the precursor to the government's USAspending.gov effort.

Sean led the Center for Effective Government's open government work for 13 years. He has also worked at Friends of the Earth, the U.S. Environmental Protection Agency, and the Council on

Economic Priorities. In 2011 Sean was inducted into the National Freedom of Information Act Hall of Fame. He holds a Master of Public Policy degree from the University of Maryland and a Bachelor of Arts in Economics and English from Albright College.

## **Barb Mullen-Roth**

Ms. Mullen-Roth is a career federal employee having served the United States Government for over 30 years. Ms. Mullen-Roth is currently the Deputy Director for Financial Disclosure at the U.S. Office of Government Ethics (OGE) but has served in a variety of positions during her 20 plus-year career with OGE including the positions of Deputy Director for Administration, Assistant Director for Compliance, Associate Director for International Assistance and Governance Initiatives, Associate Director for Education and Program Services, Special Assistant to the Director and as an OGE Desk Officer.

Before joining OGE in 1990, Ms. Mullen-Roth was a Senior Evaluator with the United States Government Accountability Office (GAO) serving in various locations including Washington, DC, Honolulu, Hawaii and Chicago, Illinois.

Ms. Mullen-Roth received her Bachelor' degree in Business Administration from Kent State University and completed the certificate for Senior Managers in Government from Harvard's Kennedy School Executive Education Program.

## **Elaine Newton**

Elaine is an Associate General Counsel at the U.S. Office of Government Ethics (OGE). Elaine's duties include analyzing financial disclosure reports for conflicts of interest, reviewing certificate of divestiture requests, and providing guidance and interpretation of Federal ethics laws and regulations. Elaine serves as the Desk Officer for the U.S. Department of the Treasury. Elaine began working at OGE in 1987 in an administrative capacity. After finishing her undergraduate work, she entered the University of Maryland School of Law. Elaine graduated from law school in 1999 and is a member of the Maryland State Bar Association.

## **Stephanie Nonluecha**

Stephanie Nonluecha joined the Office of Government Ethics (OGE) in 2006. Stephanie currently serves as a Program Analyst (Finance) in the Presidential Nominations Branch, Office of General Counsel and Legal Policy. She previously served as a Senior Desk Officer at OGE. Stephanie formerly worked as an Ethics Coordinator at the National Institutes of Health, National Institute on Aging, and as an Ethics Program Specialist at the U.S. Department of Justice, Departmental Ethics Office.

## Funmi Olorunnipa

Funmi E. Olorunnipa is currently an Ethics Counsel at the White House Counsel's Office, where she is on detail from the Administrative Conference of the United States. As an Attorney Advisor at ACUS, she focuses on a variety of administrative law and government administration and management matters. Ms. Olorunnipa previously worked in the private sector as an associate

with the law firm of Manatt Phelps & Phillips LLP where she practiced complex civil litigation, government investigations and white collar crime defense before both federal and state courts. Ms. Olorunnipa graduated from the University of California, Berkeley, School of Law (Boalt Hall) and holds a Masters in Public Administration from Harvard University's John F. Kennedy School of Government. Ms. Olorunnipa graduated with honors from the University of Florida, where she received a Bachelors in Political Science and a Bachelors in Public Relations and was inducted into the University of Florida Hall of Fame.

#### Richard W. Painter (following up with condensed bio)

Professor Richard W. Painter received his B.A., summa cum laude, in history from Harvard University and his J.D. from Yale University, where he was an editor of the Yale Journal on Regulation. Following law school, he clerked for Judge John T. Noonan Jr., of the United States Court of Appeals for the Ninth Circuit and later practiced at Sullivan & Cromwell in New York City and Finn Dixon & Herling in Stamford, Connecticut.

He has served as a tenured member of the law faculty at the University of Oregon School of Law and the University of Illinois College of Law, where he was the Guy Raymond and Mildred Van Voorhis Jones Professor of Law from 2002 to 2005.

From February 2005 to July 2007, he was Associate Counsel to the President in the White House Counsel's office, serving as the chief ethics lawyer for the President, White House employees and senior nominees to Senate-confirmed positions in the Executive Branch. He is a member of the American Law Institute and is an advisor for the new ALI Principles of Government Ethics. He has also been active in the Professional Responsibility Section of the American Bar Association.

Professor Painter has also been active in law reform efforts aimed at deterring securities fraud and improving ethics of corporate managers and lawyers. A key provision of the Sarbanes-Oxley Act of 2002 requiring the SEC to issue rules of professional responsibility for securities lawyers was based on earlier proposals Professor Painter made in law review articles and to the ABA and the SEC. He has given dozens of lectures on the Sarbanes-Oxley Act to law schools, bar associations, and learned societies, such as the American Academy of Arts and Sciences. Professor Painter has on four separate occasions provided invited testimony before committees of the U.S. House of Representatives or the U.S. Senate on securities litigation and/or the role of attorneys in corporate governance.

His book, Getting the Government America Deserves: How Ethics Reform Can Make a Difference, was published by Oxford University Press in January 2009. He has written op-eds on government ethics for various publications including the New York Times, the Washington Post and the Los Angeles Times, and he has been interviewed several times on government ethics and corporate ethics by national news organizations, including appearances on Lawrence O'Donnell (MSNBC), Anderson Cooper 360 (CNN), CNN News, Fox News, National Public Radio All Things Considered, and Minnesota Public Radio News. In 2011, he testified before the U.S. House Government Oversight Committee on partisan political activity by government officials and reform of the Hatch Act. Professor Painter has also given expert testimony in cases involving

securities transactions and the professional responsibility of lawyers. He testified as a defense witness in SEC. v. The Reserve Money Market Fund (SDNY, November 2012), a jury trial of an SEC enforcement action against the founders of the world's oldest money market fund that ended with a defense verdict on all of the fraud counts.

Professor Painter is the author of two casebooks: Securities Litigation and Enforcement (with Margaret Sachs and Donna Nagy; West 2003; Second Edition, 2007; Third Edition 2011) and Professional and Personal Responsibilities of the Lawyer (with Judge John T. Noonan Jr.; Foundation 1997; Second Edition, 2001; Third Edition 2011). He has written dozens of articles, book reviews, and essays, including a series of papers and a forthcoming book with Minnesota colleague Claire Hill on the personal responsibility of investment bankers.

During the 2014-15 academic year, Professor Painter will be on leave as a fellow at Harvard University's Safra Center for Ethics where he will work on a book on campaign finance reform.

## Wendy Pond

Wendy Pond joined the U.S. Office of Government Ethics in March of 2009. She currently serves as a Senior Desk Officer within the Agency and Assistance Branch. In this role, she develops and implements processes that improve OGE's overall delivery of ethics support to the approximately 130 agencies within the executive branch. In addition, she is responsible for directly providing timely and accurate advice to ethics officials in the 32 agencies assigned to her. Ms. Pond also reviews the financial disclosure reports filed by persons whom the President nominates for positions that require Senate confirmation and advises executive branch ethics officials about actions these filers must take to resolve conflicts of interest.

Ms. Pond also leads the agency's International Outreach and Assistance Team. At the request of foreign policy bodies of the U.S. Government, OGE discusses the agency's role as a corruption prevention organization and shares its ideas and experiences with foreign governments directly and within multilateral processes. In this context, Ms. Pond regularly briefs visiting international delegations about OGE's role in preventing conflicts of interest in the executive branch.

Prior to coming to OGE, Ms. Pond worked as a program manager in the Department of Legal Cooperation at the Organization of American States where she assisted countries in developing anti-corruption "plans of action." She has a Bachelor's degree in Political Science and a Master's Degree in Latin American Studies, both from the University of Florida.

## Francisco Reuben

Mr. Ruben joined the National Science Foundation in May 2015 and currently serves as the Assistant General Counsel and Alternate Designated Agency Ethics Official. Prior to joining NSF, he was a Senior Attorney in the Ethics Law and Program Division at the U.S. Department of Commerce for eight years. He served as an Attorney at the U.S. Office of Special Counsel and spent five years in a private litigation practice. He graduated from the Washington College of Law of the American University in Washington, D.C. where he also received a B.A. in Political

Science. Mr. Ruben is admitted to practice law in Maryland, the District of Columbia, the District Court for the District of Maryland, and the District Court for the District of Columbia.

## **Lindsay Roberts**

Lindsay Roberts is an Attorney-Advisor with the Department of Justice, Office of Information Policy. Her responsibilities include providing guidance to DOJ components and other federal agencies on FOIA issues, including reporting and regulatory requirements. In addition to serving as an instructor for various FOIA training programs, she is OIP's subject matter expert for FOIA-Privacy Act interface and FOIA Exemption 6. Ms. Roberts holds a J.D. from American University Washington College of Law, an M.A. in International Affairs from AU's School of International Service, and a B.A. in International Studies and French from Towson University.

## Alicia Rosado

Alicia Rosado is a Program Analyst in the Compliance Division at the U.S. Office of Government Ethics, where she reviews agency ethics programs to ensure compliance with applicable ethics requirements. Previously, Alicia worked for the Veterans Health Administration at the Department of Veterans Affairs (VA) providing evidenced-based counseling to Veterans as a Vocational Rehabilitation Counselor and organizing, implementing, and evaluating vocational rehabilitation programs as a Program Manager. Alicia also worked as a Military Service Coordinator for the Veterans Benefits Administration empowering Servicemembers, Veterans and their families through providing comprehensive VA benefits briefings, individual counseling, and proactive outreach and effective advocacy.

Prior to working at the VA, Alicia worked as a Human Resources Specialist for the Department of the Army. She also served in the United States Army as a Noncommissioned Officer and deployed to Southwest Asia in support of Operation Iraqi Freedom and Operation Enduring Freedom. During her deployment, she worked as the Congressional Liaison for the Coalition Forces Land Component Command. Alicia earned a Bachelor of Science in Psychology, *cum laude*, from Old Dominion University; a Master of Arts in Counseling, *magna cum laude*, from Regent University; a certificate in Industrial and Organizational Psychology from the Chicago School of Professional Psychology; and a certificate in Project Management from Syracuse University.

# Vincent J. Salamone

Before coming to the Office of Government Ethics in July 1992, Vince served in the U.S. Army as a captain in the Judge Advocate General Corps. He was stationed at the U.S. Army Communications-Electronics Command (CECOM), Fort Monmouth, New Jersey in the Office of the Chief Counsel, Staff Judge Advocate Division.

During his service in the U.S. Army from April 1988 to June 1992, Vince served as an Administrative Law Attorney. Among his other duties at CECOM, he provided services as the Command Procurement Fraud Advisor, an Ethics Counselor, and as a Legal Assistance Officer. Vince was also appointed as a Special Assistant United States Attorney.

Vince has served as an Associate Counsel at OGE since November 2000 and also is OGE's Media Liaison. He is currently an ethics instructor for GSA's Federal Advisory Committee Management Course. Vince is a graduate of Assumption College where he received his Bachelor of Arts degree (*Magna Cum Laude*) in History. He received his Juris Doctor degree from Western New England University School of Law. He is a member of the Massachusetts Bar.

### **Karen Santoro**

Karen Santoro is the Designated Agency Ethics Official at the National Science Foundation where she has served since 2007. Prior to that, she served in various ethics positions at the U.S. Department of Education, the National Institutes of Health, and the Office of the General Counsel at the U.S. Department of Health and Human Services.

Karen graduated from the Washington College of Law at American University. She is a longtime Arlington resident who enjoys biking and reading.

### **Robert A. Schafer**

Robert Schafer serves as Ethics Counsel within the Ethics Advice Section of the Ethics Division, Office of the General Counsel, United States Department of Health and Human Services (HHS). He is responsible for providing legal advice to the programs and senior-level HHS officials at the Health Resources and Services Administration, Agency for Health Research and Quality, Office of the National Coordinator for Health Information Technology, Office of the Inspector General, and the Office of Intergovernmental and External Affairs. Mr. Schafer advises on issues pertaining to Government ethics, financial disclosure, federal anti-lobbying restrictions, and the Hatch Act. He works closely with Deputy Ethics Counselors (DECs) and Ethics Coordinators (ECs) among the HHS subcomponents to coordinate and provide guidance on the administration of their ethics programs, and provides ethics training to the presidentially-appointed Special Government Employees serving on HHS Federal Advisory Committee Act committees.

Mr. Schafer began his federal service at the National Security Council as Assistant to the President's National Security Advisor. Subsequently, during law school he served as a legal intern with the HHS Ethics Division; law clerk for the U.S. Merit Systems Protection Board; law clerk for the U.S. Senate Judiciary Committee; and legal intern with the Office of the General Counsel, U.S. Department of Defense. Mr. Schafer received his J.D. from American University's Washington College of Law, where he served on the National Security Law Brief. He received his B.A. from McGill University.

#### Walter M. Shaub, Jr.

On January 8, 2013, President Obama appointed Mr. Walter M. Shaub, Jr., as Director of the U.S. Office of Government Ethics (OGE). He was sworn into office January 9, 2013.

Prior to his appointment, Mr. Shaub was Deputy General Counsel of OGE, a position he held since 2008. In addition, he served as a supervisory attorney at OGE from 2006 to 2008. From 2004 to 2006, he worked as an attorney with the law firm Shaw, Bransford, Veilleux and Roth, P.C., where he focused on federal employment law. Previously, Mr. Shaub served as a staff

attorney at several federal agencies, including OGE from 2001 to 2004, the Central Office of the U.S. Department of Veterans Affairs (VA) from 2000 to 2001, the Office of General Counsel of the U.S. Department of Health and Human Services from 1998 to 2000, and the VA's Baltimore-Washington Regional Counsel's office from 1997 to 1998.

### **Patrick Shepherd**

Patrick Shepherd is a lead instructor at OGE. Prior to joining OGE's Program Counsel Division, Patrick served as a Desk Officer in OGE's Program Services Division where he provided ethics liaison services to a portfolio of executive agencies. Patrick also served as the Ethics Program Manager at the Consumer Financial Protection Bureau from October 2011 through May 2012. In 2011 and 2015, Patrick received the U.S. Office of Government Ethics Director's Award for Excellence. He holds a B.A. degree in Philosophy, magna cum laude, from Mary Washington College.

### Kimberly L. Sikora Panza

Kim Sikora Panza joined the U.S. Office of Government Ethics in 2014, and currently serves as an Assistant Counsel in the General Counsel & Legal Policy Division. Prior to joining OGE, she was Special Counsel at Wiley Rein LLP, where she focused on transactional matters and regulatory counseling. From 2005-2015, Kim also worked as an adjunct in the Scholarly Writing Program at The George Washington University Law School, and from 2005-2006, she clerked for the Honorable Roger W. Titus, U.S. District Court for the District of Maryland. Kim earned a B.A. in Women's and Gender Studies and a B.A. in Political Science from American University, *summa cum laude* and Phi Beta Kappa. She earned her J.D. from The George Washington University Law School, with highest honors and Order of the Coif.

#### Dan Skalla

Dan Skalla serves as Chief, Professional Staff Group One, in the Compliance Division of the U.S. Office of Government Ethics. Dan and his team of Program Analysts hold agencies accountable for carrying out effective and compliant ethics programs through oversight and monitoring activities including plenary ethics program reviews, ethics program inspections, and the review of the annual, periodic transaction, and termination financial disclosure reports of senior government officials. Dan is a Certified Internal Auditor and holds a Masters of Business Administration. Prior to joining the OGE team in January 2008, Dan served ten years as a United States Air Force NCO and Officer.

#### **Kristine Simmons**

As the head of the Government Affairs team, Kristine leads the Partnership's education and advocacy work on Capitol Hill, oversees the Center for Presidential Transition and serves as a member of the Partnership's senior leadership team. Her responsibilities include developing and executing strategies to improve presidential transitions and reform the civil service system.

Prior to joining the Partnership, Kristine spent 11 years working in a senior capacity for House and Senate committees and served as a special assistant to President George W. Bush on the White House Domestic Policy Council. She graduated cum laude from the Rochester Institute of Technology with a B.S. in Professional and Technical Communication.

## **Kristina Spencer**

Kristina Spencer is an attorney in the Ethics Division, Office of the General Counsel at the U.S. Department of Education. She has served in this position since January 2011.

Prior to joining the Department, she served as a law clerk in the Office of the General Counsel at the National Archives and Records Administration. Ms. Spencer received a B.A. from the University of North Florida and her law degree from the George Mason University School of Law.

## **Brandon Steele**

Brandon Steele is an Assistant Counsel in the Program Counsel Division of the U.S. Office of Government Ethics. He holds a Juris Doctorate, with honors, from the Florida State University College of Law, where he was a member of the Jessup Moot Court Team, the Journal of Transnational Law and Policy, and the FSU Business Law Review; selected for the Public Interest Law Center Clinic; and an Associate Justice on the Student Supreme Court. While at FSU, he was awarded the Certificate of Distinguished Pro Bono Service and the Certificate of Excellence in Legal Research. His thesis exploring human trafficking and jus cogens was selected for publication by the University of British Columbia's Journal of International Law. He holds a B.S. in Politics and International Relations, with honors, from the University of London, where his lead college was the London School of Economics. And, he obtained an Award of Achievement in Web Analytics from the University of British Columbia. Mr. Steele is licensed to practice law in the state of Florida and before the U.S. Court of Appeals for Veterans Claims, and he is also a member of the Federal Bar Association. Prior to becoming a public servant, Mr. Steele worked for over a decade in the private sector. He is also a veteran of the U.S. Marine Corps.

# **Gary Stern**

Gary M. Stern has been the General Counsel of the National Archives and Records Administration since 1998, and is a career member of the Senior Executive Service. Mr. Stern also serves as NARA's Chief Freedom of Information Act Officer, Senior Agency Official for Privacy, and Dispute Resolution Specialist. Mr. Stern earned his law degree in 1987 from Yale Law School, where he served as editor-in-chief of the Yale Journal of International Law; he graduated Phi Beta Kappa from Vassar College in 1983, where he majored in Ancient Greek.

For the three years before becoming the National Archives' General Counsel, Mr. Stern worked for the U.S. Department of Energy, where he was a senior advisor to the Secretary of Energy, a special assistant to the General Counsel, and assistant general counsel for contractor litigation. In

1994-95, Mr. Stern worked as a senior policy and research analyst for the U.S. Federal Advisory Committee on Human Radiation Experiments.

Before then, Mr. Stern worked as a staff attorney for the Washington Office of the American Civil Liberties Union, where he specialized in national security, classification, and information law issues. There, Mr. Stern participated as a plaintiff in *Armstrong, et al. v. Executive Office of the President*, involving White House e-mail recordkeeping practices, and also served as legal consultant to the National Academy of Science's Committee on Declassification of Information for the Environmental Remediation and Related Programs of the Department of Energy.

## Max Stier

Mr. Stier has worked previously in all three branches of the federal government. In 1982, he served on the personal staff of Congressman Jim Leach. Mr. Stier clerked for Chief Judge James Oakes of the United States Court of Appeals for the Second Circuit in 1992 and clerked for Justice David Souter of the United States Supreme Court in 1994. Between these two positions, Mr. Stier served as Special Litigation Counsel to Assistant Attorney General Anne Bingaman at the Department of Justice.

In 1995, Mr. Stier joined the law firm of Williams & Connolly where he practiced primarily in the area of white collar defense.

Mr. Stier comes most recently from the Department of Housing and Urban Development, having served as the Deputy General Counsel for Litigation.

A graduate of Yale College and Stanford Law School, Mr. Stier is a member of the National Academy of Public Administration and a member of the New York State SAGE (Spending and Government Efficiency) Commission.

## **Yasaman Sutton**

Yasaman Sutton is the Alternate Designated Agency Ethics Official at the Office of Management and Budget in the Executive Office of the President. She came to that position with ten years of combined federal service in litigation and ethics where she practiced in the Office of the White House Counsel, the United States Attorney's Office for the District of Columbia, and the Department of Defense. Ms. Sutton has also worked at a private law firm and a large investment bank. During law school, she interned in the Housing Unit at Greater Boston Legal Services as an advocate for homeless families. She is a graduate of the University of Michigan, Harvard University, and the SUNY at Buffalo Law School. She is originally from Western New York, is married and has two children.

# **Chris Swartz**

Chris Swartz is an Assistant Counsel in the Ethics Law and Policy Branch, GCLPD, where he is responsible for developing executive branch-wide ethics policy, interpreting the criminal conflict of interest laws and the standards of conduct, and preparing substantive regulatory and guidance documentation. Chris graduated with a B.A. in Political Science from Elon University, and a J.D., *magna cum laude*, from the New England School of Law.

### Lorna Syme

Lorna Syme serves as a Program Analyst within the Presidential Nominations Branch of the General Counsel and Legal Policy Division of the U.S. Office of Government Ethics (OGE). Prior to joining OGE in July 1996, Lorna worked at the Department of Labor. Lorna received her Bachelor of Arts and Bachelor of Science degrees from the University of Maryland, and her Masters of Business Administration degree from Johns Hopkins University.

### **Bobak Talebian**

Bobak (Bobby) Talebian is Chief of the FOIA Compliance Staff in the Office of Information Policy (OIP) at the United States Department of Justice. His team assists OIP in its responsibilities to oversee and encourage government-wide compliance with the FOIA. Prior to this position, he spent three years adjudicating administrative appeals from initial FOIA request determinations made by components of the Department of Justice. In addition to serving as an instructor for FOIA training programs, Mr. Talebian provides legal advice on the FOIA to various federal agencies and is OIP's subject matter expert for Exemption 4 of the FOIA and reverse FOIA lawsuits. Prior to working for the Department of Justice, Mr. Talebian spent two years as an attorney-advisor for an administrative law judge in the U.S. Department of Labor.

Mr. Talebian is a 2008 graduate of the University of Tennessee College of Law where he served on the Tennessee Law Review. He received his B.A. in Political Science and Philosophy from Kenyon College.

## Amber Bell Vail

Amber Bell Vail is a Senior Ethics Counsel for the Consumer Financial Protection Bureau, a position she has held since December 2010. Her primary responsibilities include reviewing and certifying financial disclosure reports, counseling employees on the conflict of interest statutes and Standards of Ethical Conduct, and creating and providing training to agency employees.

In 2014, Amber completed a six-month detail at the Office of the White House Counsel, where she assisted with the vetting of potential Presidential appointees.

Prior to joining the Bureau, she worked for the U.S. Department of Veterans Affairs on their agency-wide government ethics program. She also was the Deputy Chief of the Hatch Act Unit at the U.S. Office of Special Counsel, where she assisted in the administration of a nation-wide program responsible for investigating allegations and providing advice concerning the Hatch Act. Amber received her LLM in Labor and Employment Law from Georgetown University School of Law, and her JD from Golden Gate University School of Law.

### **Diana Veilleux**

Diana J. Veilleux is Chief of the Legal, External Affairs and Performance Branch in the Program Counsel Division at the United States Office of Government Ethics. Prior to joining OGE in October 2009, Ms. Veilleux was a partner for nearly 15 years at the Washington, D.C. law firm of Shaw, Bransford, Veilleux & Roth, PC where she represented private clients and federal agencies in federal personnel cases at the Equal Employment Opportunity Commission, the Merit Systems Protection Board, the U.S. Court of Appeals for the Federal Circuit and D.C. District Court. She was also a frequent writer and lecturer on federal personnel law, and EEO and MSPB law practice and litigation, and was a co-host on FedTalk, a weekly talk show on issues of interest to the federal community.

Ms. Veilleux began her legal career in 1985 as an honors attorney with the United States Postal Service in the Office of Labor Law, and served as an associate at a Washington, D.C. federal employment law firm from 1990 to 1994. She earned her undergraduate degree from Boston University and her J.D. from Georgetown University Law Center.

## **Ruth Vetter**

Ms. Vetter was commissioned in the U.S. Army, branched Judge Advocate General's Corps in 2000. During her time on active duty, Ms. Vetter served in a wide variety of assignments across the globe. Her active duty tours included: Third Infantry Division (Mechanized) at Fort Stewart, Georgia with deployment to Bosnia-Herzegovina as a part of Stabilization Forces 8; the U.S. Army Field Artillery Center and Fort Sill, Fort Sill, Oklahoma; U.S. Armed Forces-Korea in Seoul, Republic of Korea; and U.S. Army V Corps in Heidelberg, Germany with deployment to Baghdad, Iraq as part of Multi-National Corps-Iraq.

Upon leaving active duty in February 2007, Ms. Vetter served as an Attorney-Advisor for the European Regional Medical Command in Heidelberg, Germany before moving to the Defense Logistics Agency (DLA) Office of General Counsel in March 2008 where she served until July 2015. She initially served as Assistant General Counsel, Operations and Readiness Division advising DLA Operations (J3), then in 2010, she became an Associate General Counsel for Personnel and Ethics and the Ethics Program Manager. Finally, Ms. Vetter headed the Administrative and International Law Division from January 2013 to July 2015.

In 2012, Ms. Vetter was detailed to the Office of the White House Counsel where she served as a Deputy Designated Agency Ethics Official for the White House. In this position, she was involved in the ethics vetting process for Presidential Appointed Nominees and managed the financial disclosure program for the Administration's filers.

Ms. Vetter was selected as a member of the Senior Executive Service in July 2015 and currently serves as the Director of the Department of Defense (DoD) Standards of Conduct Office. In this position, she serves as the DoD Alternate Designated Agency Ethics Official (ADAEO). In addition to advising Office of the Secretary of Defense officials on the gamut of ethics issues, she oversees the ethics and standards of conduct programs throughout DoD and provides

guidance to the 16 separate Designated Agency Ethics Official (DAEO) components, including the Military Departments, the Defense Agencies, and the DoD Field Activities.

Ms. Vetter's civilian awards include the Meritorious Civilian Service Award, the Superior Civilian Service Award, and the Commander's Medal for Civilian Service. Her military decorations include the Bronze Star, the Meritorious Service Medal, the Iraqi Campaign Medal, the Armed Forces Expeditionary Medal, the NATO medal, and the Korean Service Medal.

Ms. Vetter received her B.A. in Political Science from Taylor University, Upland, Indiana in 1996 and J.D. from Indiana University School of Law, Indianapolis, IN, in 1999.

# **Gregory Walden**

Gregory Walden is a nationally recognized authority in the fields of aviation and government ethics, with extensive experience in government service and private practice. Mr. Walden counsels passenger and cargo airlines; air taxi operators; companies that buy sell, lease or own aircraft; fixed-base operators; airports; security companies; shippers; and citizens groups with matters pending before the U.S. Department of Transportation (DOT), the Federal Aviation Administration (FAA), the National Transportation Safety Board, the Transportation Security Administration (TSA), U.S. Customs and Border Protection (CBP) and Congress. He also advises current and prospective presidential appointees, members of Congress and congressional candidates, companies and individuals on government ethics issues, including the Lobbying Disclosure Act; Foreign Agents Registration Act; House and Senate gift, travel and financial disclosure rules; and the Executive Branch Standards of Conduct.

Mr. Walden served as chief counsel of the FAA and as associate deputy attorney general with the U.S. Department of Justice, where he previously served as special assistant and counselor to the assistant attorney general of the Civil Division. Mr. Walden also served as associate counsel to President George H. W. Bush as a member of the Interstate Commerce Commission. He served as transition ethics counsel for then-President-elect George W. Bush.

With respect to his government ethics practice, Mr. Walden advises companies and individuals on the meaning and application of various ethics laws, including provisions in Title 18, the standards of conduct for executive branch officers and employees, financial reporting requirements applicable to presidential appointees and candidates for Congress, the Lobbying Disclosure Act and the Foreign Agents Registration Act.

Mr. Walden has taught aviation law at George Mason University School of Law since 1998. He also developed and teaches the university's first post graduate course in transportation law for the School of Public Policy. He is co-author of *Aviation Law – Cases and Materials*, published by Carolina Academic Press (2006).

## Representative Matters

• Serves as Washington counsel for a scheduled commuter carrier (and Essential Air Service provider) and several air taxi operators

- Served as Washington counsel for a foreign air carrier and a major U.S. carrier
- Advises scheduled airlines and air taxi operators regarding compliance with Federal Aviation Regulations and regulations of the Office of the Secretary of Transportation, including the Air Carrier Access Act and other consumer protection regulations
- Assists foreign and U.S. carriers with compliance with the Canadian Transportation Act, representing them before Transport Canada and the Canadian Transportation Agency
- Advises manufacturers/operators of Unmanned Aerial Vehicles (UAV) regarding obtaining authorization for UAV testing and operation
- Serves as Washington counsel for a large hub airport regarding FAA reauthorization legislation and FAA rules, policies and programs
- Assisted companies in obtaining FAA No Hazard determinations for wind turbine farms and commercial high-rise buildings in major metropolitan areas
- Assisted several airports in securing airport improvement program funding and in obtaining sufficient TSA screener and CBP agent staffing
- Assisted a foreign airline in obtaining U.S. and Canadian government approvals for cooperative agreements with a U.S. and Canadian carrier
- Represented two start-up air carriers in obtaining government certifications and in complying with FAA regulations
- Assisted cargo carrier and air taxi operators with obtaining waivers and exemptions from various provisions of the Federal Aviation Regulations
- Assisted companies seeking to acquire a repair station or flight school
- Assisted air carriers, ticket agents, and other companies and individuals in obtaining substantial mitigation of civil penalties sought by the FAA and DOT for alleged violations of the Federal Aviation Act and regulations issued thereunder, and has counseled several companies regarding an airport's compliance with federal grant assurances
- Advised fractional ownership companies on FAA and DOT regulations
- Drafted and reviewed air charter management agreements, time-share agreements, and purchase and sale agreements; and advised companies on FAA regulations and policies governing charter carriers, general aviation operations, and registration and deregistration of aircraft
- Served as an expert witness in a civil suit regarding legal options of a multi-airport sponsor to address problems of congestion and delay.

## Community Involvement

- Adjunct Professor, George Mason University Law School, (aviation law) (1998-present)
- Adjunct Professor, George Mason University School of Public Policy, (transportation law) (2002, 2004, 2008, 2010, 2012 and 2014)
- Member, Regulatory Issues Advisory Group, National Business Aviation Association
- Member, Editorial Advisory Board, Airport Noise Report

## Michelle Walker

Michelle Walker is a program analyst in the Program Review Branch in the Compliance Division of the U.S. Office of Government Ethics. Ms. Walker works on program reviews, inspections,

special projects, and the OGE website. Prior to joining OGE in 2008, she worked at the National Endowment for the Arts. Ms. Walker received her Bachelor of Arts from the University of Minnesota and her Masters of Arts from California State University-Northridge.

## **Gaye Williams**

Gaye Williams currently serves as the Deputy Chief of the Ethics Law and Programs Division of the U.S. Department of Commerce where she has worked at the Department for over 16 years. In her current position, she is responsible for the supervision of Ethics Program Specialists and serves as back-up to the Division Chief. Ms. Williams also served as an Ethics Advisor in the Office of the Counsel to the President from August of 2000 through January of 2001. She earned a J.D. from the University of Baltimore School of Law and a B.A. from the University of Pittsburgh. She is also a member of the Alpha Kappa Alpha Sorority, Inc.