

Conducting Research on OGE's Website

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Navigation Area

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The screenshot shows the United States Office of Government Ethics website. The header includes the logo and the tagline "Preventing Conflicts of Interest in the Executive Branch". A search bar and "Site Index" link are visible. The main navigation menu is a horizontal bar with the following categories: TOPICS, ABOUT, LAWS & REGULATIONS, FINANCIAL DISCLOSURE, OGE ADVISORIES, OGE ADVISORIES, PROGRAM MANAGEMENT, and EDUCATION. The "TOPICS" menu is expanded, showing a list of sub-topics: Financial Conflicts of Interest, Gifts and Payments, Use of Government Position and Resources, Outside Employment and Activities, Post-Government Employment, Selected Employee Categories, and Enforcement. The "LAWS & REGULATIONS" menu is also expanded, showing: Statutes, Employee Standards of Conduct, OGE Regulations, Agency Supplemental Regulations, Federal Register Issuances, Executive Orders, and Legal Interpretation. The "FINANCIAL DISCLOSURE" menu is expanded, showing: Confidential Financial Disclosure, Public Financial Disclosure, and Integrity. The "OGE ADVISORIES" menu is expanded, showing: Legal Advisories, Education Advisories, Program Management Advisories, and All Advisories. The "EDUCATION" menu is expanded, showing: Education Resources for Ethics Officials and OGE Summits & Conferences.

TOPICS	ABOUT	LAWS & REGULATIONS	FINANCIAL DISCLOSURE	OGE ADVISORIES	OGE ADVISORIES	PROGRAM MANAGEMENT	EDUCATION
Financial Conflicts of Interest		Statutes	Confidential Financial Disclosure	Legal Advisories			Education Resources for Ethics Officials
Gifts and Payments		Employee Standards of Conduct	Public Financial Disclosure	Education Advisories			OGE Summits & Conferences
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Outside Employment and Activities		Agency Supplemental Regulations		All Advisories			
Post-Government Employment		Federal Register Issuances					
Selected Employee Categories		Executive Orders					
Enforcement		Legal Interpretation					

HYPOTHETICAL

Jane Doe has been nominated to a presidentially appointed, Senate confirmed position at Agency X.

- Jane is the trustee of a family trust, established for the benefit of her dependent children.
 - She owns \$10,000 of stock in Company A, her former employer, which is regulated by Agency X.
 - Jane also owns \$74,000 of stock in Company B, which is also regulated by Agency X.
 - Included in her benefits package, Jane has the Company A Defined Contribution Plan.
 - Jane has a variable life insurance policy.
-

Public Financial Disclosure:

FINANCIAL DISCLOSURE

- Confidential Financial Disclosure
- Public Financial Disclosure
- Integrity

Public Financial Disclosure

The Ethics in Government Act of 1978, as amended, requires senior officials in the executive, legislative and judicial branches to file public reports of their finances as well as other interests outside the Government. The statute and the U.S. Office of Government Ethics's (OGE) regulations specify which officials in the executive branch file an OGE Form 278. Unlike confidential financial statements filed by some mid-level employees, the OGE 278 is available to the public. Reviewing officials within each agency certify and maintain these reports. Agencies do, however, forward reports of Presidential appointees confirmed by the Senate and certain other reports to OGE for additional review and certification. Although a financial disclosure report sometimes reveals a violation of law or regulation, the primary purpose of disclosure is to assist agencies in identifying potential conflicts of interest between a filer's official duties and the filer's private financial interests and affiliations. Once a reviewing official identifies a potential conflict of interest and consults with the filer's supervisor as necessary, several remedies are available to avoid an actual or apparent violation of Federal ethics laws and regulations.

Public Financial Disclosure Guide

The Public Financial Disclosure Guide is an interactive tool to assist individuals who file or review public financial disclosure report, the OGE Form 278e.

Integrity

Integrity is an electronic financial disclosure system for preventing conflicts of interest.

OGE Form 278e

The versions of the OGE Form 278e, the Public Financial Disclosure Report, available on the OGE website are for employees of those agencies that do not offer electronic filing.

Helpful Resources for Public Financial Disclosure

OGE has developed numerous materials to assist both the employees who are required to file public financial disclosure reports and the ethics officials responsible for reviewing the forms.

Public Financial Disclosure / 278e Guide:

Main Page:

PUBLIC FINANCIAL DISCLOSURE GUIDE

- Public Financial Disclosure Guide
- How to Use this Guide
- OGE Form 278e
- Cover Page
- 1 - Your Positions
- 2 - Your Employment Assets & Income
- 3 - Your Employment Agreements & Arrangements
- 4 - Your Sources of Compensation

Public Financial Disclosure Guide

Welcome to the Public Financial Disclosure Guide, a web-based set of instructions for completing and reviewing the Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e) and the supplemental Periodic Transaction Report (OGE Form 278-T).

Transparency is a critical part of government ethics, and Congress has determined that the citizens should know their leaders' financial interests. To facilitate such transparency, Congress enacted the financial disclosure provisions of the Ethics in Government Act. The Act imposes detailed requirements for public financial disclosure by senior United States Government officials. The OGE Form 278e and the OGE Form 278-T are financial disclosure reports that request only as much information as the Act requires a filer to disclose.

To assist you with completing or reviewing a report, the Public Financial Disclosure Guide offers helpful instructions, illustrations of sample language, definitions, and answers to frequently asked questions in plain language. We have attempted to keep our instructions clear and concise without compromising detail and accuracy.

This guide is an evolving document, which we plan to update over time. We encourage feedback and invite you to send your comments, suggestions, corrections, or submissions to contactOGE@oge.gov.

Proceed to the "How to Use this Guide" page in order to get started.

PUBLIC FINANCIAL DISCLOSURE GUIDE

- ▶ Public Financial Disclosure Guide
- ▶ How to Use this Guide
- ▶ OGE Form 278e
- ▶ Cover Page
- ▶ 1 - Your Positions
- ▶ 2 - Your Employment Assets & Income
- ▶ 3 - Your Employment Agreements & Arrangements
- ▶ 4 - Your Sources of Compensation
- ▶ 5 - Spouse's Employment Assets & Income
- ▶ 6 - Other Assets and Income
- ▶ 7 - Transactions
- ▶ 8 - Liabilities
- ▶ 9 - Gifts & Travel Reimbursements
- ▶ OGE Form 278-T
- ▶ For Ethics Officials

Your Positions Held Outside United States Government

Report any positions that you hold outside the United States Government in Part 1. Remember to report your position with your current employer.

Reportable positions include those of an officer, director, general partner, limited partner with an active role, proprietor, representative, executor, trustee, employee, or consultant of any for-profit or non-profit organization. This would include a member with an active role in a limited liability company and any managing member.

Organization Name	City/State	Organization Type	Position Held	From	To
George and Chapman, LLP	Washington, DC	Law Firm	Partner	5/2011	Present
Widgets Unlimited	Grand Rapids, MI	Corporation	Senior Vice President	2/2003	7/2015
Maryland Rivers Association	Annapolis, MD	Non-profit	Director	2/1998	2/2014
Family Trust #1	Scottsdale, AZ	Trust	Trustee	8/1999	Present

In a section below, we discuss positions that are not reportable. But, first, let's discuss how to address positions that are reportable.

For You

Part 2

Report any compensated or uncompensated position that you held with an organization other than the United States Government at any time during the [reporting period](#), unless an exception applies.

Organization Name: Provide the name of the organization. For a family trust, you may use initials in lieu of a person's name (e.g., "2003 J.S. Trust") or simply refer to the entity as a family trust (e.g., "family trust #1").

City/State: Provide the city and state in which the organization is located.

Organization Type: Describe the type of organization. If filing within *Integrity*, select the type from the drop-down list provided.

Position Type (This question is for internal purposes within *Integrity* and is not displayed on the printed OGE Form 278e.): Indicate the general type of position by selecting one of the options provided in the drop-down list. The list of options changes based on the type of organization. If none of the pre-determined options

PUBLIC FINANCIAL DISCLOSURE GUIDE	
Public Financial Disclosure Guide	
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Cover Page	
1 - Your Positions	
2 - Your Employment Assets & Income	
3 - Your Employment Agreements & Arrangements	
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5 - Spouse's Employment Assets & Income	
6 - Other Assets and Income	
7 - Transactions	
8 - Liabilities	
9 - Gifts & Travel Reimbursements	
OGE Form 278-T	
For Ethics Officials	

Other Assets and Income

Report assets and sources of investment income for you, your spouse, and your dependent children in Part 6. Do not include assets or income reported in Parts 2 or Part 5. Learn how to report a particular asset by selecting that asset from the table below.

- [American Depository Receipt](#)
- [Annuity \(fixed\)](#)
- [Annuity \(variable\)](#)
- [Bond \(corporate\)](#)
- [Bond \(municipal\)](#)
- [Brokerage Account](#)
- [Cash Account](#)
- [Collectible Item](#)
- [College Savings Plan \(529 plan\)](#)
- [Common Trust Fund of a Bank](#)
- [Equity Index-Linked Note](#)
- [Exchange-Traded Fund](#)
- [Farm \(or farmland\)](#)
- [Foreign Exchange Position \(forex\)](#)
- [Futures Contract](#)
- [Government Agency or Government-Sponsored Enterprise Security](#)
- [Investment Fund \(general\)](#)
- [Life Insurance \(term\)](#)
- [Life Insurance \(variable\)](#)
- [Life Insurance \(whole or universal\)](#)
- [Loan Made to Another Party](#)
- [Managed Account](#)
- [Money Market Fund](#)
- [Mutual Fund](#)

Helpful Public Financial Disclosure Resources:

Helpful Resources for Public Financial Disclosure

For Employees

- ◆ [Public Financial Disclosure Guide \(2016\)](#)
- ◆ [Periodic Transaction Reports \(2012\)](#) This video is an introduction to the periodic transaction reporting requirement for public financial disclosure filers. ([Begin Module](#))

For Reviewers/Ethics Officials

- ◆ [Public Financial Disclosure Guide \(2016\)](#)
- ◆ [Public Financial Disclosure: A Reviewer's Reference \(2004\)](#)
- ◆ [PAS Nominee Ethics Agreement Guide \(2014\)](#)
- ◆ [Certificate of Divestiture Request \(to be completed by the agency ethics official only\)](#)
- ◆ [Procedures for requesting a Certificate of Divestiture \(DAEOgram DO-06-030\)](#)
- ◆ [Model Qualified Blind and Diversified Trust Documents](#)

General Topics Pages:

TOPICS
• Financial Conflicts of Interest
• Gifts and Payments
• Use of Government Position and Resources
• Outside Employment and Activities
• Post-Government Employment
• Selected Employee Categories
• Enforcement

Topics

The “topics” on this website correspond to the subject areas addressed by the criminal and civil statutes, executive orders, and administrative code of conduct that are central to the executive branch ethics program. More than one ethics provision may be relevant to a particular topic. Certain conduct is prohibited by statutes, regulations, or other legal authorities that do not fall within the purview of the U.S. Office of Government Ethics (OGE), but some of those legal authorities are referenced in website materials if relevant to a topic. OGE’s mission does not extend to enforcement, but the website includes some discussion of that subject because enforcement is integral to the success of the executive branch ethics program.

Financial Conflicts of Interest & Impartiality

An executive branch employee’s personal or “imputed” financial interests or other circumstances may require that the employee be disqualified from working on a particular Government matter, be prohibited from holding specified property, or be prohibited from accepting a payment from a non-Federal source. [Learn More >](#)

Gifts and Payments

An executive branch employee generally may not give (or solicit contributions for) a gift to an official superior or accept a gift from another employee who receives less pay; generally may not solicit or accept a gift from a “prohibited source” or given because of the employee’s official position, and may be prohibited from accepting a payment from a non-Federal source. [Learn More >](#)

Use of Government Position & Resources

An executive branch employee is required to act impartially; may not make improper use of Government position, title, or authority; and may not use Government property, nonpublic information, or time (including the time of a subordinate) for other than authorized purposes. [Learn More >](#)

Outside Employment and Activities

An executive branch employee may be required to seek approval before engaging in an outside activity; may be disqualified from working on a particular Government matter while engaged in the activity; may be prohibited from accepting compensation for an activity; or may be prohibited from engaging in a particular outside activity. [Learn More >](#)

Post-Government Employment

An executive branch employee may be disqualified from working on a particular Government matter while seeking post-Government employment and, after leaving Government service, a former employee is prohibited from engaging in certain activities. [Learn More >](#)

Selected Employee Categories

Executive branch ethics provisions generally apply only to Government “employees”; may apply only to certain categories of employees or may apply differently to certain categories of employees or not at all; and generally do not apply to “representatives” serving on an advisory committee or to independent contractors. [Learn More >](#)

Enforcement

When ethics officials find evidence that an employee has violated an ethics criminal statute or regulation, they must refer that evidence to the appropriate authority for action. [Learn More >](#)

Financial Conflicts of Interest:

TOPICS
• Financial Conflicts of Interest
• Gifts and Payments
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• Outside Employment and Activities
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Financial Conflicts of Interest

The public may lose confidence in the integrity of Government if it perceives that an employee's Government work is influenced by personal interests or by payments from an outside source. An executive branch employee's Government work may have the potential to benefit the employee personally, affect the financial interests of the employee's family, or involve individuals or organizations with which the employee has some past, present, or future connection away from the employee's Government job. Separately, an employee might be offered a payment from a non-Federal source, such as a former employer, either before or after entering Government. Accordingly:

- ◆ An employee may be disqualified from working on a particular Government matter.
- ◆ An employee may be prohibited from holding specified property.
- ◆ An employee may be prohibited from accepting a payment from a non-Federal source.

Employees Entering Government

Individuals who join the executive branch may be required to take actions, either before becoming an employee or shortly thereafter, in order to comply with ethics laws and regulations concerning conflicting financial interests and impartiality.

Current Government Employees

Executive branch employees have a continuing obligation to take the actions necessary to comply with ethics laws and regulations concerning conflicting financial interests and impartiality.

Employees Leaving Government

In general, an executive branch employee is free to seek post-Government employment, but the employee may need to be disqualified from working on some Government matters while doing so.

Resolving Conflicts of Interest

There are a number of ways to deal with a conflict of interest arising under the criminal conflict of interest statute, 18 U.S.C. § 208.

Post-Government Employment:

TOPICS
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Post-Government Employment

An executive branch employee's experience and expertise may make the employee attractive to a private sector employer. If the employee is seeking post-Government employment and the employee's Government work offers the opportunity to benefit a potential employer, the public may be concerned that the employee will do that work in a way that favors the prospective employer. After leaving Government, a former executive branch employee could make unfair use of prior Government employment to influence Government action on behalf of another person or organization. Accordingly:

- ◆ An employee may be disqualified from working on a particular Government matter while seeking post-Government employment; and
- ◆ A former employee is prohibited from engaging in certain activities after leaving Government service.

Before Leaving Government

In general, an executive branch employee is free to seek post-Government employment, but the employee may need to be disqualified from working on some Government matters while doing so.

After Leaving Government

An executive branch employee may be affected by conflict of interest restrictions after leaving Government service (or after leaving certain high-level positions).

Enforcement:

TOPICS
• Financial Conflicts of Interest
• Gifts and Payments
• Use of Government Position and Resources
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Enforcement

Ethics officials should be alert for possible violations of the criminal or civil statutes or the Standards of Ethical Conduct for Employees of the Executive Branch. When ethics officials find evidence that an employee has violated an ethics criminal statute or regulation, they must refer that evidence to the appropriate authority for action. Depending on the circumstances and the legal authority at issue, an executive branch employee may be imprisoned, fined, demoted, or fired for violating an ethics provision.

Criminal Prosecution

In general, whenever ethics officials have information concerning a possible violation of a criminal statute, the agency should coordinate with its office of Inspector General, or similar investigative unit, to ensure the matter is referred to the Department of Justice (DOJ). Only DOJ may decide whether to pursue the violation with criminal charges. Agencies must report such referrals to OGE. They may use OGE Form 202, a "Notice of Conflict of Interest Referral Form."

Matters involving the criminal conflict of interest statutes should be referred to the United States Attorney where the alleged violation occurred, or:

Director, Conflict of Interest Crimes Branch Public Integrity Section
Criminal Division
U.S. Department of Justice Washington, DC 20038
Public Integrity Section Direct Line: 202-514-1412

Civil Penalties

Violations of other statutes are enforced by DOJ through the use of civil penalties. For example, violations of the statute setting the limitations on outside earned income may be enforced through the imposition of Federal civil penalties up to \$11,000 or the amount of any improperly received compensation. Agencies should refer violations of these statutes to:

Federal Programs Branch
Civil Division
U.S. Department of Justice
Washington, DC 20530
Phone: 202-514-1259/514-4651/514-3671

Disciplinary Actions

A violation of the Standards of Conduct may not be the subject of a criminal prosecution, but such violations may be cause for corrective action or for disciplinary action against an employee by the agency.

In addition, if DOJ declines prosecution of a possible violation of a criminal statute, the agency should then determine whether any violations of the Standards of Conduct regulation have occurred that should be pursued with disciplinary action. The agency must notify OGE in writing of any subsequent actions taken.

The information on this page is not a substitute for individual advice. Agency ethics officials should be consulted about specific situations.

Conflict of Interest Prosecution Surveys

Each year OGE issues a survey of prosecutions involving the conflict of interest criminal statutes (18 U.S.C. §§ 202-209). Information on the prosecutions by U.S. Attorneys' offices and the Public Integrity Section of the Department of Justice's Criminal Division is provided to OGE with the assistance of the Executive Office for the United States Attorneys at DOJ.

Conflict of Interest Prosecution Surveys Index by Statute

Each year OGE issues a survey of prosecutions involving the conflict of interest criminal statutes (18 U.S.C. §§ 202-209). Information on the prosecutions by U.S. Attorneys' offices and the Public Integrity Section of the Department of Justice's Criminal Division is provided to OGE with the assistance of the Executive Office for the United States Attorneys at DOJ. These are grouped by Statute.

Statutes:

LAWS & REGULATIONS

- ▶ Statutes
- ▶ Employee Standards of Conduct
- ▶ OGE Regulations
- ▶ Agency Supplemental Regulations
- ▶ Federal Register Issuances
- ▶ Executive Orders
- ▶ Legal Interpretation

Statutes

The conduct of executive branch employees is governed by criminal and civil statutes (and by an administrative code of conduct and certain other legal authorities). The U.S. Office of Government Ethics (OGE) does not have authority to provide guidance concerning every statute that restricts employee conduct. This website is focused on statutes that are both central to the executive branch ethics program and that fall within OGE's purview, but it may reference 18 U.S.C. § 201 or another statute that is relevant to a website topic, even though OGE lacks authority to interpret the provision.

Except as otherwise indicated, the hyperlinks to the text of each statute link to the [Federal Digital System \(FDsys\)](#). The Office of the Law Revision Counsel of the U.S. House of Representatives is responsible for updating and maintaining the [United States Code](#).

Criminal Statutes

The bribery and illegal gratuities statute (18 U.S.C. § 201) and the "criminal conflict of interest statutes" (18 U.S.C. §§ 202-209) are codified in 18 U.S.C. Chapter 11. These statutes were enacted in 1962 by Public Law 87-849 as part of a major revision of the then-existing conflict of interest laws. Each has been amended since its original enactment.

[Learn more >](#)

Civil Statutes

The civil statutes are codified in 5 U.S.C. app. 4. These statutes were enacted by the Ethics in Government Act of 1978 (Public Law 95-521), or by a subsequent amendment to that Act. Each has been amended since its original enactment.

[Learn more >](#)

Other Statutes

Selected other statutes are listed in OGE's [Compilation of Federal Ethics Laws](#) or in [subpart I](#) of 5 C.F.R. part 2635, or are referenced elsewhere on this website where relevant.

[Learn more >](#)

All Statutes

[List of all statutes](#)

Regulations:

LAWS & REGULATIONS
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OGE Regulations

OGE publishes its own chapter of regulations each year in the Code of Federal Regulations, in 5 C.F.R. Chapter XVI. Chapter XVI is divided into two subchapters.

- ◆ The regulations in subchapter A (parts 2600 – 2610) concern the internal organization and operation of OGE.
- ◆ The regulations in subchapter B (parts 2634 – 2641) are broader in scope and are central to the executive branch ethics program. In particular, the OGE regulation at 5 C.F.R. part 2635 contains standards that govern the conduct of all executive branch employees. The other regulations in Subchapter B contain guidance concerning the interpretation of certain civil and criminal conflict of interest laws, implement statutory provisions relating to financial disclosure, and describe responsibilities relating to the administration of the executive branch ethics program.

Except as otherwise indicated, all links below are to the [Electronic Code of Federal Regulations \(e-CFR\)](#). The e-CFR is updated daily. The official version of the Code of Federal Regulations is available in the [Federal Digital System \(FDSys\)](#).

5 C.F.R. Part 2600: Organization and functions of the Office of Government Ethics.

Part 2600 summarizes the history and mission of OGE and describes OGE's organization and the functions of each of its five offices.

5 C.F.R. Part 2601: Implementation of Office of Government Ethics statutory gift acceptance authority.

Part 2601 establishes guidelines for OGE relating to the use of its statutory authority to accept gifts and outlines

Federal Register Issuances:

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Federal Register Issuances

The following are links to many of the more important issuances of the U.S. Office of Government Ethics (OGE) published in the Federal Register since 1989. In addition to these issuances, OGE publishes its own chapter of regulations each year in the Code of Federal Regulations (5 C.F.R. chapter XVI). The Federal Register issuances are provided via links to GPO's Federal Digital System (FDSys).

Pending Rules and Comments

[2016](#) | [2015](#) | [2014](#) | [2013](#) | [2012](#) | [2011](#) | [2010](#) | [2009](#) | [2008](#) | [2007](#) | [2006](#) | [2005](#) | [2004](#) | [2003](#) | [2002](#) | [2001](#) | [2000](#) | [1999](#) | [1998](#) | [1997](#) | [1996](#) | [1995](#) | [1994](#) | [1993](#) | [1992](#) | [1991](#) | [1990](#) | [1989](#) | [all](#)

2016 **02/17/2016**

81 FR 8008

Standards of Ethical Conduct for Employees of the Executive Branch; Amendment to the Seeking Other Employment Rules (February 2016) Action: Proposed rule ([PDF](#))

02/05/2016

81 FR 6159

Agency Supplemental Standards of Ethical Conduct: Department of Homeland Security (February 2016) Action: Final rule ([PDF](#))

2015 **11/27/2015**

80 FR 74004

Standards of Ethical Conduct for Employees of the Executive Branch; Amendment to the Standards Governing

Agency Supplemental Regulations:

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Agency Supplemental Regulations

Some agencies have published regulations that supplement executive branch-wide regulations governing employee conduct and financial disclosure.

- [5 C.F.R. part 2635](#) contains standards that govern the conduct of all executive branch employees. As described in 5 C.F.R. § 2635.105, an agency may supplement part 2635 as necessary and appropriate, with the concurrence of OGE. Many agencies have published regulations supplementing part 2635.
- [5 C.F.R. part 2634](#) contains requirements and procedures concerning financial disclosure in the executive branch. As described in 5 C.F.R. § 2634.103, an agency may supplement part 2634 to address special or unique agency circumstances, with the approval of OGE.

A supplemental regulation is published in an agency's own chapter of title 5, Code of Federal Regulations. The e-CFR is updated daily. The official version of the Code of Federal Regulations is available in the [Federal Digital System](#) (FDsys).

Agencies having supplemental regulations

List of Agencies having supplemental regulations governing employee conduct and financial disclosure.

Executive Orders:

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Executive Orders

Several executive orders are of current or historical significance to the executive branch ethics program. These are listed below.

01/21/2009

[Executive Order 13490 \(Jan. 21, 2009\): Prescribing Standards of Ethical Conduct for Government Officers and Employees](#)

Executive Order 13490 requires political appointees to agree to additional restrictions by signing an Ethics Pledge.

12/27/2005

[Executive Order 13392 \(Dec. 27, 2005\): Improving Agency Disclosure of Information](#)

Executive Order 13392 directed each agency to review its FOIA operations, develop a plan to ensure that the agency's administration of the FOIA is in accordance with applicable law and the Executive Order, and periodically report on its progress.

01/03/2001

[Executive Order 13184 \(Jan 3, 2001\): Revocation of Executive Order 12834](#)

Executive Order 13184 revoked Executive Order 12834.

Legal Advisories:

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Legal Advisories

The Legal Advisories page contains the DAEOgrams on substantive ethics issues published by OGE from 1992 to 2010, the Advisory Opinions published by OGE from 1979 to 2010, and the Legal Advisories, which OGE began publishing in 2011.

[2016](#) | [2015](#) | [2014](#) | [2013](#) | [2012](#) | [2011](#) | [2010](#) | [2009](#) | [2008](#) | [2007](#) | [2006](#) | [2005](#) | [2004](#) | [2003](#) | [2002](#) | [2001](#) | [2000](#) | [1999](#) | [1998](#) | [1997](#) | [1996](#) | [1995](#) | [1994](#) | [1993](#) | [1992](#) | [1991](#) | [1990](#) | [1989](#) | [1988](#) | [1987](#) | [1986](#) | [1985](#) | [1984](#) | [1983](#) | [1982](#) | [1981](#) | [1980](#) | [1979](#) | [all](#)

2016

01/07/2016

[LA-16-01: Effect of Pay Adjustments on Ethics Provisions for Calendar Year 2016](#)

This LA clarifies the 2016 thresholds for: (1) determining which senior employees are subject to the post-employment restrictions; (2) implementing the outside employment and outside earned income restrictions for certain covered noncareer employees; and (3) identifying which officers and employees must file public financial disclosure reports.

Search Advisories

Statute

Year

2015

07/27/2015

[LA-15-10: 2014 Conflict of Interest Prosecution Survey](#)

2014 Conflict of Interest Prosecution Survey.

06/30/2015

[LA-15-09: Diversified and Sector Real Estate Funds \(Exemption under 5 C.F.R. § 2640.201\)](#)

The U.S. Office of Government Ethics (OGE) has issued a Legal Advisory on the application of the diversified mutual fund exemption to certain real estate funds under 5 C.F.R. § 2640.201(a).

Legal Interpretation: Court Cases & Department of Justice OLC Opinions:

[Home](#) | [Legal Interpretation](#) |

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Legal Interpretation

In this section the U.S. Office of Government Ethics provides links to judicial opinions, opinions from the Office of Legal Counsel at the Department of Justice, and other guidance interpreting the conflict of interest laws.

categories of interpretations:

[All](#) | [DOJ Office of Legal Counsel Opinions](#) | [Judicial Opinions](#) | [Other Guidance](#)

08/13/2008

[Applicability of 18 U.S.C. § 207\(f\) to Public Relations Activities Undertaken for a Foreign Corporation Controlled by a Foreign Government](#)

A foreign corporation is a "foreign entity" under 18 U.S.C. § 207(f) if it exercises sovereign authority or functions de jure or de facto. A former official's proposed activities are not prohibited by section 207(f)(1) if the former official does not provide those services on behalf of a "foreign entity," regardless of whether the former official's services incidentally benefit the foreign entity's interests.

10/23/2007

[Application of 18 U.S.C. § 207 to Former CIA Officials' Communications with CIA Employees on Detail to other Agencies](#)

The prohibition in 18 U.S.C. § 207(c), under which a former high level official, in the year after his departure, may not make "any communication to or appearance before any officer or employee" of his former agency, would apply if former CIA officials make communications to or appearances before CIA employees who are on detail to other agencies.

Education Resources for Ethics Officials:

EDUCATION

▸ [Education Resources for Ethics Officials](#)

▸ [OGE Summits & Conferences](#)

Education Resources for Ethics Officials

The Office of Government Ethics offers several resources to answer Federal employees' questions on ethics rules and novel issues. Federal employees can use this information to supplement annual ethics training or to educate themselves on novel issues. This material is not meant to replace the advice and counsel that agency ethics officials are trained to provide.

Popular Links

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[DAEO List](#)
[OGE Directory](#)
[OGE training courses on YouTube](#) ↗

External Resources [Interagency Ethics Council](#) ↗

MAX.Gov user accounts for Ethics Officials

To register for courses offered by OGE's Institute for Ethics in Government, you will need a Max.gov account. A Max.gov account is available to anyone with a .gov or .mil email address.

12/15/2015

OGE Training Courses on MAX

List of on-demand courses available to ethics officials on OGE's Institute for Ethics in Government Max.gov community

01/22/2015

Periodic Transaction Reports

This video is an introduction to the periodic transaction reporting requirement for public financial disclosure filers.

05/09/2013

Workshops Seminars

In addition to the national conference, OGE offers numerous workshops and seminars throughout the year, both in Washington, DC and in other parts of the country, for executive branch ethics officials.

Program Management:

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PROGRAM MANAGEMENT

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Program Management

The U.S. Office of Government Ethics (OGE) has designed and discovered many tools and techniques that can help executive branch ethics officials effectively manage agency ethics programs. Some of the program management tools highlighted in this section were discovered during the course of agency program reviews while others were shared by agency ethics officials. OGE hopes that you find this information useful and invites you to share new techniques or tools with us so that we can continue to support the ethics community in providing consistent advice, customer service and transparency across the executive branch.

Dates and Deadlines

OGE created this calendar to remind agency ethics officials of important dates and deadlines.

[Learn More >](#)

Program Review

The Program Review Division conducts program reviews of agency ethics programs to ensure that basic requirements of the Ethics in Government Act are met.

[Learn More >](#)

Program Review Reports

This section contains final reports of completed program reviews of executive branch agency ethics programs.

[Learn More >](#)

Program Management Resources

This section provides a list of available resources to assist ethics officials in effectively managing their ethics programs.

[Learn More >](#)

Search Bar:

UNITED STATES OFFICE OF
GOVERNMENT ETHICS
Preventing Conflicts of Interest
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Search Tips

September 2, 2015

How Search works

The website is full-text indexed so your search will return any page containing your criteria and any attachment that has matching elements. Your term(s) will be highlighted if a page is returned. If a PDF or other types of files are returned you can use the search features of the associated application to locate your search terms.

By default, the search shown on every page will include all website content. You can filter the search using the radio-buttons to the left of the search box to only search the documents shown in whatever category you are viewing.

The  [Advanced Search](#) gives you the ability to filter your search by category, dates, along with any text or text string.

Search Results

Results are shown in their order of relevance. Documents at the top of the list should have more instances of your terms being present.

*Tip: To narrow your results use a more unique phrase or multiple phrases. ie. "John Doe" + "legal advisory".

Basics

Enclose phrases with quotes. If you are looking for John Doe enter it as "John Doe", otherwise you will get results containing other Johns and other Doe's in addition to "John Doe"

*** DO NOT USE PARENTHESIS IN YOUR SEARCH STRING ***

Searching with wildcards

You can substitute wildcard characters for other characters when you search for text. Use a

? (question mark) for a single character, and an

* (asterisk) for multiple characters.

Wildcard characters work only in fields that contain text; they do not work in fields that contain dates or numbers.

Using wildcards

Using ?.	Finds documents that include these words...
?ero	Nero, Hero
*ount	count, amount, tantamount
You can also use wildcards at the end of words (Gen*)	

Simple Operators: AND, OR, NOT (or the symbols &, |, !)

Operator...	Finds documents...
NOT not !	that do not contain the condition or word following NOT (example: John NOT Doe returns docs with John or Doe but not both)
AND and &	that contain both the conditions or words separated by AND (example: John AND Doe returns all docs containing John and Doe)
OR or	that contain either of the conditions or words separated by OR (example: John OR Doe returns docs with , John, Doe, or both)
Use Quotation marks	words must be present and next to each other in the document. example: "John Doe" returns only those documents containing the phrase John Doe

Advanced Search

Enter your search term and select any of the filters to narrow your results

Search Tips

Query:

Title:

Date(s):  [About Date Search](#)

 =



Order by:

- Category**
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 - Documents
 - Education Advisories
 - Education Resources for Ethics Officials
 - Education Resources for Federal Employees
 - Employee Standards of Conduct

New Website:

OGE's new Website Content Management System

February 28, 2016

OGE is migrating its website content to a new content management system. The new application will simplify publication of new content, enhance your search options, and provide a more reliable and responsive website for your use. Here is information that will make the transition easier for you and provide you with a mechanism to provide us with your valuable feedback.

Advanced Search

This new search features allows you to search every collection individually, or the entire site filtering results by a number of parameters (i.e. dates, categories, text string). The site is full-text indexed so your search drills into document attachments as well as other content.

 [Advanced Search](#) gives you better filtering capabilities.

URLs

Every page in the new site has a new URL. This will require you to create new bookmarks to your favorite pages.

OGE's automated 201 request process is being revamped to give you faster access to filings. You will be able to select up to 20 documents once the system is in place. Until the update you will need to use the [OGE 201 request form](#).

Feedback

Please send questions or suggestions on improving the website to Mike Hanson at (202) 482-9221 or mhanson@oge.gov.