

**Martin, Janice N**

---

**From:** Brooks, Waldo W (Chip)  
**Sent:** Friday, April 10, 2009 3:49 PM  
**To:** Martin, Janice N  
**Subject:** RE: FW: Ethics Agreement Compliance Reminder: Clinton

You should be able to find that on line at FEC (federal election commission).

---

**From:** Martin, Janice N  
**Sent:** Friday, April 10, 2009 3:42 PM  
**To:** Brooks, Waldo W (Chip)  
**Subject:** FW: FW: Ethics Agreement Compliance Reminder: Clinton

Hill PAC  
CO 303994  
FEC

**From:** Kimberley Kaplan [mailto:khkaplan@oge.gov]  
**Sent:** Friday, April 10, 2009 3:41 PM  
**To:** Martin, Janice N  
**Subject:** Re: FW: Ethics Agreement Compliance Reminder: Clinton

Janice,

One thing that we will need is the FEC termination report for HillPac. I will follow up with you on Tuesday with additional information about other documentation that we need.

Kim

>>> "Martin, Janice N" <MARTINJN@state.gov> 4/10/2009 2:59 PM >>>

Kimberley, from Ms. Clinton ethics agreement she did not have to resign from any o/s affiliation or sell any conflicting stocks. What does OGE want from us to reflect that Clinton has complied with her obligations?

Janice

---

**From:** Kimberley Kaplan [mailto:khkaplan@oge.gov]  
**Sent:** Friday, April 10, 2009 8:30 AM  
**To:** Brooks, Waldo W (Chip)  
**Subject:** Ethics Agreement Compliance Reminder: Clinton

Dear Mr. Brooks:

This is a friendly reminder that **Hillary Clinton** has **11 Days** remaining to comply with her ethics agreement. Notice of compliance with the terms agreed to in an ethics agreement is a statutory requirement per 5 U.S.C. app. 110.

Please confirm that she has satisfied the elements of her agreement by forwarding the appropriate compliance documentation to the Office of Government Ethics by fax, email or standard mail. A full listing of the acceptable documentation that may be required is provided below. Please consult the individual's ethics agreement to determine the specific elements requiring compliance.

If you have any questions or require assistance, please don't hesitate to contact me in advance of the compliance deadline.

Sincerely,

Kim Kaplan  
 U.S. Office of Government Ethics  
 (202) 482-9309  
 (202) 482-9238 Fax  
[khkaplan@oge.gov](mailto:khkaplan@oge.gov)

Element	Accepted Evidence of Compliance
Resignation	Copy of the resignation letter or a written statement that the resignation has occurred, <u>including the resignation date</u>
Divestiture	Sale documents or a written statement of sale that <u>includes the date(s) of sale</u>
208 Recusal	Screening arrangement (matters covered, name of screening individual(s), individual(s) to whom recused matters will be referred).
502 Recusal	Screening arrangement (matters covered, name of screening individual(s), individual(s) to whom recused matters will be referred).
503 Recusal	Screening arrangement (matters covered, name of screening individual(s), individual(s) to whom recused matters will be referred).
Waiver	Signed by appropriate appointing official or that officials delegate

**Martin, Janice N**

**From:** Martin, Janice N  
**Sent:** Friday, April 10, 2009 2:59 PM  
**To:** 'khkaplan@oge.gov'  
**Subject:** FW: Ethics Agreement Compliance Reminder: Clinton  
**Attachments:** State\_Clinton, Hillary.doc; State\_Clinton, Hillary.pdf

Kimberley, from Ms. Clinton ethics agreement she did not have to resign from any o/s affiliation or sell any conflicting stocks. What does OGE want from us to reflect that Clinton has complied with her obligations?

Janice

---

**From:** Kimberley Kaplan [mailto:khkaplan@oge.gov]  
**Sent:** Friday, April 10, 2009 8:30 AM  
**To:** Brooks, Waldo W (Chip)  
**Subject:** Ethics Agreement Compliance Reminder: Clinton

Dear Mr. Brooks:

This is a friendly reminder that **Hillary Clinton** has **11 Days** remaining to comply with her ethics agreement. Notice of compliance with the terms agreed to in an ethics agreement is a statutory requirement per 5 U.S.C. app. 110.

Please confirm that she has satisfied the elements of her agreement by forwarding the appropriate compliance documentation to the Office of Government Ethics by fax, email or standard mail. A full listing of the acceptable documentation that may be required is provided below. Please consult the individual's ethics agreement to determine the specific elements requiring compliance.

If you have any questions or require assistance, please don't hesitate to contact me in advance of the compliance deadline.

Sincerely,

Kim Kaplan  
U.S. Office of Government Ethics  
(202) 482-9309  
(202) 482-9238 Fax  
[khkaplan@oge.gov](mailto:khkaplan@oge.gov)

Element	Accepted Evidence of Compliance
Resignation	Copy of the resignation letter or a written statement that the resignation has occurred, <u>including the resignation date</u>
Divestiture	Sale documents or a written statement of sale that <u>includes the date(s) of sale</u>

- 208 Recusal Screening arrangement (matters covered, name of screening individual(s), individual(s) to whom recused matters will be referred).
- 502 Recusal Screening arrangement (matters covered, name of screening individual(s), individual(s) to whom recused matters will be referred).
- 503 Recusal Screening arrangement (matters covered, name of screening individual(s), individual(s) to whom recused matters will be referred).
- Waiver Signed by appropriate appointing official or that officials delegate

**Martin, Janice N**

**From:** Brooks, Waldo W (Chip)  
**Sent:** Friday, March 06, 2009 10:16 AM  
**To:** Martin, Janice N  
**Subject:** FW: Ethics Agreement Compliance Reminder: Clinton  
**Attachments:** State\_Clinton, Hillary.pdf; State\_Clinton, Hillary.doc

---

**From:** Kimberley Kaplan [mailto:khkaplan@oge.gov]  
**Sent:** Friday, March 06, 2009 9:59 AM  
**To:** Brooks, Waldo W (Chip)  
**Subject:** Ethics Agreement Compliance Reminder: Clinton

Dear Mr. Brooks:

This is a friendly reminder that **Hillary Clinton** has **46 Days** remaining to comply with her ethics agreement. Notice of compliance with the terms agreed to in an ethics agreement is a statutory requirement per 5 U.S.C. app. 110.

Please confirm that she has satisfied the elements of her agreement by forwarding the appropriate compliance documentation to the Office of Government Ethics by fax, email or standard mail. A full listing of the acceptable documentation that may be required is provided below. Please consult the individual's ethics agreement to determine the specific elements requiring compliance.

If you have any questions or require assistance, please don't hesitate to contact me in advance of the compliance deadline.

Sincerely,

Kim Kaplan  
 U.S. Office of Government Ethics  
 (202) 482-9309  
 (202) 482-9238 Fax  
[khkaplan@oge.gov](mailto:khkaplan@oge.gov)

Elements	Accepted Evidence of Compliance
Resignation	Copy of the resignation letter or a written statement that the resignation has occurred, <u>including the resignation date</u>
Divestiture	Sale documents or a written statement of sale that <u>includes the date(s) of sale</u>
208 Recusal	Screening arrangement (matters covered, name of screening individual(s), individual(s) to whom recused matters will be referred).
502 Recusal	Screening arrangement (matters covered, name of screening individual(s), individual(s) to whom recused matters will be referred).
503 Recusal	Screening arrangement (matters covered, name of screening individual(s), individual(s) to whom recused matters will be referred).
Waiver	Signed by appropriate appointing official or that officials delegate



United States  
**Office of Government Ethics**

1201 New York Avenue, NW., Suite 500  
 Washington, DC 20005-3917

March 6, 2009

Chip Brooks  
 Senior Ethics Counsel  
 Office of the Legal Adviser  
 Department of State  
 Room 5425  
 2201 C Street, NW  
 Washington, DC 20520

Dear Mr. Brooks:

This letter concerns ethics agreements made by Presidential appointees to positions requiring the advice and consent of the Senate, pursuant to 5 U.S.C. App. 110. Upon confirmation, an appointee has 90 days (unless otherwise specified by the agreement) in which to satisfy any ethics agreement.

An appointee must notify her designated agency ethics official (DAEO) of any action(s) taken to satisfy her ethics agreement. Once the DAEO has received verification of compliance, he must submit this documentation to the Office of Government Ethics (OGE) and the appropriate Senate confirmation committee. (See 5 C.F.R. 2634.804.)

To assist agencies in ensuring that appointees comply with their ethics agreements within 90 days, I am writing to remind you that the following appointee has made an ethics agreement. As of March 6, 2009, she has 46 days remaining to comply with her ethics agreement.

<u>Appointee</u>	<u>Confirmation Date</u>	<u>COMPLIANCE EXPIRATION DATE</u>
Clinton, Hillary	January 21, 2009	APRIL 21, 2009

If she has already complied, please collect the documentation and forward it to OGE. Should you have any questions or require additional assistance, such as obtaining a Certificate of Divestiture for an appointee, please do not hesitate to contact me at 202-482-9309 or khkaplan@oge.gov.

Sincerely,

Kim Kaplan  
 Government Ethics Specialist