



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11225

Initiation Date*:	2017-02-07
Title*:	STOCK Act posting of public financial disclosure reports
Question*:	Why are some public FD reports posted on website and cannot find others? Where is a list of the positions that require public posting?
Update:	
Categories*:	278s, STOCK Act
Origin Of Interaction*:	Email
Source*:	Non-Agency Congress
First Name:	Jacob
Last Name:	Straus
Title:	Congressional Research Service
Position:	Other Senior Analyst
Email:	(b)(6) contact info
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Shelley K. Finlayson
Watching:	
Temporary Notes:	
created by:	Shelley K. Finlayson

Resolution Information

Resolution Date*:	2017-02-07
Resolution Category*:	Resolved
Response*:	Provided STOCK Act amendment language and statutory citations for EL I and II positions that must be posted. (Assigned to: Shelley K. Finlayson)
Complexity (level):	(b)(5) deliberative
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log

Closed	02/08/2017 09:49 03 AM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	02/08/2017 09:49 03 AM by Shelley K. Finlayson

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Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11273

Initiation Date*	2017-02-09
Title*	Congressional Inquiry from Joe Gaeta in Sen. Sheldon Whitehouse's office
Question*	He had questions about whether OGE was involved in the appointment Carl Icahn in the White House and whether Mr. Icahn is subject to the conflict of interest laws.
Update:	
Categories*	Conflicting Financial Interests
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name:	Joe
Last Name:	Gaeta
Title:	
Position:	Other Legislative Director to Senator Sheldon Whitehouse
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Kelsey D. Phipps, Seth Jaffe
Watching:	
Temporary Notes:	
created by:	Kelsey D. Phipps

Resolution Information

Resolution Date*	2017-02-09
Resolution Category*	Resolved – ELPB Consultation
Response*	I informed Mr. Gaeta that: 1) OGE is not involved in whether individuals receive an appointment to work in the White House, and 2) we were not involved in any determination of Mr. Icahn's role or, 3) whether he is or should be appointed to a government employee position. I also informed Mr. Gaeta that the Department of Justice's Office of Legal Counsel has previously issued a legal opinion detailing the factors to consider when determining whether a President's informal advisor should be considered an employee (usually an SGE). Mr. Gaeta indicated that he planned to write a letter to the White House inquiring about Mr. Icahn's employee status, and whether O.L.C. had weighed in on his determination. (Assigned to: Kelsey D. Phipps; Seth Jaffe)
Complexity (level):	(b)(5) deliberative
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log

Closed	02/09/2017 03:42:35 PM by Seth Jaffe
Now Assigned: Kelsey D. Phipps Seth Jaffe	02/09/2017 03:42:35 PM by Seth Jaffe
Create	02/09/2017 11:50:32 AM by Kelsey D. Phipps
Now Assigned: Kelsey D. Phipps	02/09/2017 11:50:32 AM by Kelsey D. Phipps

Division(s) assigned: PCD; GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11328

Initiation Date*	2017-02-13
Title*	Congressional Inquiry from Roselyn Cohen on the House Homeland Security Committee
Question*	Needed help finding John Kelly's 278 on our website.
Update:	
Categories*	Other
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name:	Roselyn
Last Name:	Cohen
Title:	
Position:	Other
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Kelsey D. Phipps
Watching:	
Temporary Notes:	
created by:	Kelsey D. Phipps

Resolution Information

Resolution Date*	2017-02-13
Resolution Category*	Resolved
Response*	I helped her find the 278. (Assigned to: Kelsey D. Phipps)
Complexity (level):	(b)(5) deliberative
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log

Closed	02/13/2017 09:52:32 AM by Kelsey D. Phipps
Now Assigned: Kelsey D. Phipps	02/13/2017 09:52:32 AM by Kelsey D. Phipps

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11380	
Initiation Date*:	2017-02-15
Title*:	18 USC § 207(k)
Question*:	CRS just received an inquiry about 18 USC 207(k) waivers granted by the president for up to 25 people. (k) (1) (A) The President may grant a waiver of a restriction imposed by this section to any officer or employee described in paragraph (2) if the President determines and certifies in writing that it is in the public interest to grant the waiver and that the services of the officer or employee are critically needed for the benefit of the Federal Government. Not more than 25 officers and employees currently employed by the Federal Government at any one time may have been granted waivers under this paragraph. Are these waivers tracked by OGE? If so, our requester would like to know who is on this waiver list. If they are not tracked by OGE, do you know where they are housed?
Update:	
Categories*:	207
Origin Of Interaction*:	Phone
Source*:	Non-Agency Congress
First Name:	Jacob
Last Name:	Straus
Title:	
Position:	Other Researcher from the CRS
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Rachel K. Dowell, Seth Jaffe
Watching:	
Temporary Notes:	
created by:	Seth Jaffe

Resolution Information	
Resolution Date*:	2017-02-15
Resolution Category*:	Resolved
Response*:	I informed Jacob that there have been no 18 USC 207(k) waivers ever issued. I also indicated that any such waiver would take the form of a certification by the President issued in the FR and that a copy of FR issuance would also have to be sent to the Director of OGE. I said that the FR would be a "repository" for any waivers as well as the issuing agency, while OGE only receives a copy of the certificate. In addition, I pointed out that no waiver can be issued to an employee of the EOP. Finally, I referred Jacob to our regulations on this statutory provision found at: 5 CFR § 2641.301(i). (Assigned to: Rachel K. Dowell; Seth Jaffe)
Complexity (level):	(b)(5) deliberative
Time Spent (hours):	0-1 hour
Contributor:	

reopen

Interaction History Log	
Closed	02/15/2017 11:23:24 AM by Se h Jaffe
Now Assigned: Seth Jaffe Rachel K. Dowell	02/15/2017 11:23:24 AM by Se h Jaffe

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11396

Initiation Date*	2017-02-09
Title*	Congressional Inquiry
Question*	Explained that we can't speak about particular nominees or particular ethics agreements. Upon request, provided technical assistance with regard to 208, 502, 503.
Update:	
Categories*	208, Impar iality
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name:	Carly
Last Name:	Rush
Title:	HELP
Position:	
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Jennifer Matis, Seth Jaffe
Watching:	
Temporary Notes:	
created by:	Jennifer Matis

Resolution Information

Resolution Date*	2017-02-09
Resolution Category*	Resolved
Response*	See above. (Assigned to: Jennifer Matis; Seth Jaffe)
Complexity (level):	(b)(5) deliberative
Time Spent (hours):	1-4 hours
Contributor:	
reopen	

Interaction History Log

Closed	02/16/2017 08:28:11 AM by Jennifer Ma is
Now Assigned: Jennifer Matis	02/16/2017 08:28:11 AM by Jennifer Ma is

Seth Jaffe

Division(s) assigned: PCD; GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11395	
Initiation Date*:	2017-02-09
Title*:	Congressional Inquiry
Question*:	Upon request, provided technical assistance re 2635.702 and 2638 Subpart E. Note: Marked category of "other" in reference to 2638 Subpart E.
Update:	
Categories*:	Misuse of Position, Other
Origin Of Interaction*:	Phone
Source*:	Non-Agency Congress
First Name:	Susanne
Last Name:	Grooms
Title:	HOCR
Position:	
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Jennifer Matis, Patrick Shepherd
Watching:	
Temporary Notes:	
created by:	Jennifer Matis

Resolution Information	
Resolution Date*:	2017-02-09
Resolution Category*:	Resolved
Response*:	See above (Assigned to: Jennifer Matis; Patrick Shepherd)
Complexity (level):	(b)(5) deliberative
Time Spent (hours):	1-4 hours
Contributor:	
reopen	

Interaction History Log	
Closed	02/16/2017 08:13:30 AM by Jennifer Matis
Now Assigned: Jennifer Matis	02/16/2017 08:13:30 AM by Jennifer Matis

Patrick Shepherd

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11400

Initiation Date*:	2017-02-16
Title*:	Request for Compilation Book
Question*:	Request to send over copies of Compilation of Federal Ethics Laws book.
Update:	
Categories*:	Ethics Program Administration
Origin Of Interaction*:	Phone
Source*:	Non-Agency Congress
First Name:	Tamar
Last Name:	Nedzar
Title:	
Position:	Ethics Official
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Rachel K. Dowell
Watching:	
Temporary Notes:	
created by:	Rachel K. Dowell

Resolution Information

Resolution Date*:	2017-02-16
Resolution Category*:	Resolved
Response*:	Because our printed compilation is from 2013 and out of date, I pointed her instead to https://www.oge.gov/web/oge.nsf/Other+Statutes which has our most up-to-date compilation. Also noted that we are in the process of reviewing changes made during the 114th Congress and will be revising the online version if needed. (Assigned to: Rachel K. Dowell)
Complexity (level):	(b)(5) deliberative
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log

Closed	02/16/2017 10:48:42 AM by Rachel K. Dowell
Now Assigned: Rachel K. Dowell	02/16/2017 10:48:42 AM by Rachel K. Dowell

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Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11304

Initiation Date*:	2017-02-09
Title*:	(b)(5)
Question*:	(b)(5)
Update:	
Categories*:	(b)(5)
Origin Of Interaction*:	Phone
Source*:	Agency Office Of The Vice President
First Name:	Deniz
Last Name:	Baykan
Title:	Ethics Counsel
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	(b)(6) contact
Other Contact Notes:	
Assignment:	Rachel K. Dowell
Watching:	
Temporary Notes:	
created by:	Rachel K. Dowell

Resolution Information

Resolution Date*:	2017-02-09
Resolution Category*:	Resolved
Response*:	(b)(5) (Assigned to: Rachel K. Dowell)
Complexity (level):	(b)(5) deliberative
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log

Closed	02/10/2017 07:58:11 AM by Rachel K. Dowell
Now Assigned: Rachel K. Dowell	02/10/2017 07:58:11 AM by Rachel K. Dowell

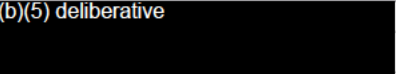
Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11398	
Initiation Date*:	2017-02-15
Title*:	(b)(5) del berative
Question*:	(b)(5) del berative
Update:	
Categories*:	(b)(5)
Origin Of Interaction*:	Phone
Source*:	Agency Office Of Management And Budget (EOP)
First Name:	Yasaman "Yasi"
Last Name:	Sutton
Title:	Assistant General Counsel
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Seth Jaffe
Watching:	
Temporary Notes:	
created by:	Seth Jaffe

Resolution Information	
Resolution Date*:	2017-02-15
Resolution Category*:	Resolved
Response*:	(b)(5) deliberative  (Assigned to: Seth Jaffe)
Complexity (level):	(b)(5) deliberative 
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Reopen Information	
Date:	2017-02-16
Reason:	To fix typo.

Interaction History Log	
Closed	02/16/2017 09:25:38 AM by Seth Jaffe
Reopen	02/16/2017 09:25:22 AM by Seth Jaffe
Closed	02/16/2017 09:24:59 AM by Seth Jaffe
Now Assigned: Seth Jaffe	02/16/2017 09:24:59 AM by Seth Jaffe

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11353

Initiation Date*	2017-02-13
Title*	(b)(5) deliberative
Question*	(b)(5) deliberative
Update:	
Categories*	(b)(5) deliberative
Origin Of Interaction*	Email
Source*	Agency Office Of Management And Budget (EOP)
First Name:	Yasaman "Yasi"
Last Name:	Sutton
Title:	Assistant General Counsel
Position:	E hics Official
Email:	(b)(6) contact info
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	A MS GCLPD Intake, Cheryl L. Kane-Piasecki
Watching:	
Temporary Notes:	
created by:	Cheryl L. Kane-Piasecki

Resolution Information

Resolution Date*	2017-02-14
Resolution Category*	Resolved
Response*	(b)(5) deliberative (Assigned to: AIMS GCLPD Intake; Cheryl L. Kane-Piasecki)
Complexity (level):	(b)(5) del berative
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log

Closed	02/14/2017 01:30:55 PM by Cheryl L. Kane-Piasecki
Now Assigned: Cheryl L. Kane-Piasecki A MS GCLPD Intake	02/14/2017 01:30:55 PM by Cheryl L. Kane-Piasecki
Closed	02/14/2017 01:30:55 PM by Cheryl L. Kane-Piasecki
Now Assigned: Cheryl L. Kane-Piasecki A MS GCLPD Intake	02/14/2017 01:30:55 PM by Cheryl L. Kane-Piasecki

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11345

Initiation Date*:	2017-02-14
Title*:	(b)(5) deliberative
Question*:	(b)(5) deliberative
Update:	
Categories*:	(b)(5)
Origin Of Interaction*:	Phone
Source*:	Agency Office Of The Vice President
First Name:	Deniz
Last Name:	Baykan
Title:	Ethics Counsel
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	(b)(6) contact
Other Contact Notes:	
Assignment:	Rachel K. Dowell
Watching:	
Temporary Notes:	
created by:	Rachel K. Dowell

Resolution Information

Resolution Date*:	2017-02-15
Resolution Category*:	Resolved
Response*:	(b)(5) del berative (Assigned to: Rachel K. Dowell)
Complexity (level):	(b)(5) del berative
Time Spent (hours):	1-4 hours
Contributor:	
reopen	

Reopen Information

Date:	2017-02-15
Reason:	Update

Interaction History Log	
Closed	02/15/2017 04:10:35 PM by Rachel K. Dowell
Reopen	02/15/2017 04 06:39 PM by Rachel K. Dowell
Closed	02/15/2017 04 04:22 PM by Rachel K. Dowell
Create	02/14/2017 09:24:24 AM by Rachel K. Dowell
Now Assigned: Rachel K. Dowell	02/14/2017 09:24:24 AM by Rachel K. Dowell

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11305	
Initiation Date*:	2017-02-09
Title*:	(b)(5) deliberative
Question*:	(b)(5) deliberative
Update:	
Categories*:	(b)(5)
Origin Of Interaction*:	Email
Source*:	Agency Office Of The Vice President
First Name:	Deniz
Last Name:	Baykan
Title:	Ethics Counsel
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	(b)(6) contact
Other Contact Notes:	
Assignment:	Rachel K. Dowell
Watching:	
Temporary Notes:	
created by:	Rachel K. Dowell

Resolution Information	
Resolution Date*:	2017-02-09
Resolution Category*:	Resolved
Response*:	(b)(5) deliberative (Assigned to: Rachel K. Dowell)
Complexity (level):	(b)(5) deliberative
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log	
Closed	02/10/2017 08 02:54 AM by Rachel K. Dowell
Now Assigned: Rachel K. Dowell	02/10/2017 08 02:54 AM by Rachel K. Dowell

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11235

Initiation Date*:	2017-02-08
Title*:	(b)(5) deliberative
Question*:	(b)(5)
Update:	
Categories*:	(b)(5) deliberative
Origin Of Interaction*:	Email
Source*:	Agency Office Of Management And Budget (EOP)
First Name:	Yasaman "Yasi"
Last Name:	Sutton
Title:	Assistant General Counsel
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	AIMS GCLPD Intake, Cheryl L. Kane-Piasecki
Watching:	
Temporary Notes:	
created by:	Cheryl L. Kane-Piasecki

Resolution Information

Resolution Date*:	2017-02-08
Resolution Category*:	Resolved
Response*:	(b)(5) deliberative (Assigned to: AIMS GCLPD Intake, Cheryl L. Kane-Piasecki)
Complexity (level):	(b)(5) deliberative
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Reopen Information

Date:	2017-02-14
Reason:	Additional information

Interaction History Log	
Closed	02/14/2017 01:27:02 PM by Cheryl L. Kane-Piasecki
Now Assigned: Cheryl L. Kane-Piasecki A MS GCLPD Intake	02/14/2017 01:27:02 PM by Cheryl L. Kane-Piasecki
Reopen	02/14/2017 01:25:59 PM by Cheryl L. Kane-Piasecki
Closed	02/14/2017 01:25:27 PM by Cheryl L. Kane-Piasecki
Update	02/08/2017 12:38:59 PM by Seth Jaffe
Now Assigned: Cheryl L. Kane-Piasecki	02/08/2017 12:38:59 PM by Seth Jaffe
Create	02/08/2017 12:07:35 PM by Cheryl L. Kane-Piasecki
Now Assigned: Cheryl L. Kane-Piasecki A MS GCLPD Intake	02/08/2017 12:07:35 PM by Cheryl L. Kane-Piasecki

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11222

Initiation Date*:	2017-02-07
Title*:	(b)(5) del berative
Question*:	(b)(5) del berative
Update:	
Categories*:	(b)(5)
Origin Of Interaction*:	Email
Source*:	Agency Office Of Management And Budget (EOP)
First Name:	Yasaman "Yasi"
Last Name:	Sutton
Title:	Assistant General Counsel
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Cheryl L. Kane-Piasecki
Watching:	
Temporary Notes:	
created by:	Cheryl L. Kane-Piasecki

Resolution Information

Resolution Date*:	2017-02-07
Resolution Category*:	Resolved
Response*:	(b)(5) deliberative (Assigned to: Cheryl L. Kane-Piasecki)
Complexity (level):	(b)(5) deliberative
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log

Closed	02/07/2017 04:58:09 PM by Cheryl L. Kane-Piasecki
Now Assigned: Cheryl L. Kane-Piasecki	02/07/2017 04:58:09 PM by Cheryl L. Kane-Piasecki

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11205

Initiation Date*:	2017-02-06
Title*:	(b)(5) del berative
Question*:	(b)(5) del berative
Update:	
Categories*:	(b)(5) del berative
Origin Of Interaction*:	Email
Source*:	Agency Office Of Management And Budget (EOP)
First Name:	Yasaman "Yasi"
Last Name:	Sutton
Title:	Assistant General Counsel
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Cheryl L. Kane-Piasecki
Watching:	
Temporary Notes:	
created by:	Cheryl L. Kane-Piasecki

Resolution Information

Resolution Date*:	2017-02-10
Resolution Category*:	Resolved
Response*:	(b)(5) del berative (Assigned to: Cheryl L. Kane-Piasecki)
Complexity (level):	(b)(5) deliberative
Time Spent (hours):	1-4 hours
Contributor:	
reopen	

Interaction History Log

Closed	02/14/2017 01:23:01 PM by Cheryl L. Kane-Piasecki
Create	02/07/2017 10:33:21 AM by Cheryl L. Kane-Piasecki
Now Assigned: Cheryl L. Kane-Piasecki	02/07/2017 10:33:21 AM by Cheryl L. Kane-Piasecki

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Division(s) assigned: PCD