

# Rules and Regulations

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Tuesday, September 6, 2016

This section of the FEDERAL REGISTER contains regulatory documents having general applicability and legal effect, most of which are keyed to and codified in the Code of Federal Regulations, which is published under 50 titles pursuant to 44 U.S.C. 1510.

The Code of Federal Regulations is sold by the Superintendent of Documents. Prices of new books are listed in the first FEDERAL REGISTER issue of each week.

## OFFICE OF GOVERNMENT ETHICS

### 5 CFR Part 2640

RIN 3209-AA09

#### Interpretation, Exemptions and Waiver Guidance Concerning the Federal Criminal Conflict of Interest Statute Prohibiting Acts Affecting a Personal Financial Interest; Amendment to Definition of "Employee"

**AGENCY:** Office of Government Ethics (OGE).

**ACTION:** Interim final rule with request for comments.

**SUMMARY:** The U.S. Office of Government Ethics is issuing this interim final rule to make a technical modification to the definition of "employee" in its regulations implementing the federal criminal conflict of interest statute concerning acts affecting a personal financial interest, in order to ensure their continued applicability to all individuals subject to requirements of the statute.

**DATES:** This interim regulation is effective September 6, 2016. Comments are invited and are due in writing by November 7, 2016.

**ADDRESSES:** You may submit comments, in writing, to OGE on this interim final rule, identified by RIN 3209-AA09, by any of the following methods:

*E-Mail:* [usoge@oge.gov](mailto:usoge@oge.gov). Include the reference "Interpretation, Exemptions and Waiver Guidance Concerning 18 U.S.C. 208 (Acts Affecting A Personal Financial Interest); Amendment to Definition of 'Employee'" in the subject line of the message.

*Fax:* (202) 482-9237.

*Mail/Hand Delivery/Courier:* Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917, Attention: "Interpretation, Exemptions and Waiver Guidance Concerning 18 U.S.C. 208

(Acts Affecting A Personal Financial Interest); Amendment to Definition of 'Employee.'"

*Instructions:* All submissions must include OGE's agency name and the Regulation Identifier Number (RIN), 3209-AA09, for this rulemaking. All comments, including attachments and other supporting materials, will become part of the public record and be subject to public disclosure. Comments may be posted on OGE's Web site, [www.oge.gov](http://www.oge.gov). Sensitive personal information, such as account numbers or Social Security numbers, should not be included. Comments generally will not be edited to remove any identifying or contact information.

#### FOR FURTHER INFORMATION CONTACT:

Christopher J. Swartz, Assistant Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917; Telephone: 202-482-9300; TTY: 800-877-8339; Fax: 202-482-9237.

#### SUPPLEMENTARY INFORMATION:

##### I. Background

The U.S. Office of Government Ethics (OGE) is issuing this interim final rule making a technical modification to the definition of "employee" in its regulations implementing 18 U.S.C. 208. Section 208(a) prohibits participation in particular matters affecting a covered individual's personal and imputed financial interests. Section 208(b)(2) authorizes OGE to promulgate regulatory exemptions describing financial interests that are "too remote or too inconsequential" to warrant disqualification pursuant to section 208(a). Pursuant to 5 U.S.C. app. 402(b)(1) and Executive Order 12674 of April 12, 1989 (as modified by Executive Order 12731), OGE is responsible for providing uniform regulations interpreting section 208. In addition, section 208(d)(2) specifically directs OGE to adopt "uniform regulations for . . . exemptions" from the applicability of section 208(a). Consistent with these authorities, in 1996 OGE issued uniform regulations at 5 CFR part 2640 interpreting 18 U.S.C. 208 and establishing exemptions for all individuals subject to section 208(a). 61 FR 66830 (Dec. 18, 1996).

OGE established this uniform coverage by defining "employee" to mean "an officer or employee of the executive branch of the United States, or

of any independent agency of the United States, a Federal Reserve bank director, officer, or employee, or an officer or employee of the District of Columbia," including "a special Government employee as defined in 18 U.S.C. 202." 5 CFR 2640.102(b). The language of this definition in 5 CFR part 2640 carefully covered all individuals then subject to the statute, including certain individuals who were not executive branch employees. *Compare id.* with 18 U.S.C. 208(a) (covering "an officer or employee of the executive branch of the United States Government, or of any independent agency of the United States, a Federal Reserve bank director, officer, or employee, or an officer or employee of the District of Columbia, including a special Government employee"). The applicability of 5 CFR part 2640 was, thus, coextensive with the applicability of section 208.

Recently, however, a cross-reference in the organic statute of a newly created board has expanded the coverage of the requirements of section 208 to include the board's members and staff, who would not otherwise be subject to section 208. Public Law 114-187, section 109(a) (2016). In order to ensure the continued applicability of 5 CFR part 2640 to all individuals subject to section 208, this interim regulation adds the phrase ". . . , or any other individual subject to requirements of 18 U.S.C. 208" at the end of the first sentence of the definition of "employee." This technical amendment will guard against uncertainty as to the applicability of 5 CFR part 2640 to the members and staff of this board, as well as to others who may in the future become subject to section 208. Prior to issuing this regulation, OGE consulted with the Office of Personnel Management and the Department of Justice, and pursuant to section 201(c) of Executive Order 12674, as modified by Executive Order 12731, has obtained the concurrence of the Department of Justice.

##### II. Matters of Regulatory Procedure

###### *Administrative Procedure Act*

Pursuant to sections 553(b) and 553(d)(3) of title 5 of the United States Code, the Director of the Office of Government Ethics has found good cause for dispensing with the usual requirements of notice and comment

and a 30-day delay in the rule's effective date. Because this minor amendment is strictly technical in nature, providing notice and comment and delaying the effective date are unnecessary. Moreover, in clarifying the meaning of "employee," this rule is an interpretative rule and thus exempt from notice and comment and a delay in effective date pursuant to 5 U.S.C. 553(b) and 553(d)(2), respectively. Finally, this rule recognizes exemptions, which exempts the rule from the 30-day delayed effective date pursuant to 5 U.S.C. 553(d)(1). Nonetheless, this interim final rule provides a 60-day comment period for agencies and the public. The Office of Government Ethics will review any comments received during the comment period and consider any modifications to this rule that appear warranted.

*Regulatory Flexibility Act*

As Director of the Office of Government Ethics, I certify under the Regulatory Flexibility Act (5 U.S.C. chapter 6) that this interim final rule would not have a significant economic impact on a substantial number of small entities because it primarily affects covered employees.

*Paperwork Reduction Act*

The Paperwork Reduction Act (44 U.S.C. chapter 35) does not apply because this regulation does not contain information collection requirements that require approval of the Office of Management and Budget.

*Unfunded Mandates Reform Act*

For purposes of the Unfunded Mandates Reform Act of 1995 (2 U.S.C. chapter 25, subchapter II), this interim final rule would not significantly or uniquely affect small governments and will not result in increased expenditures by State, local, and tribal governments, in the aggregate, or by the private sector, of \$100 million or more (as adjusted for inflation) in any one year.

*Congressional Review Act*

The Office of Government Ethics has determined that this rulemaking involves a nonmajor rule under the Congressional Review Act (5 U.S.C. chapter 8) and will, before the interim final rule takes effect, submit a report thereon to the U.S. Senate, House of Representatives and General Accounting Office in accordance with that law.

*Executive Order 12866*

In promulgating this rule amendment, the Office of Government Ethics has adhered to the regulatory philosophy and the applicable principles of

regulation set forth in section 1 of Executive Order 12866, Regulatory Planning and Review. The Office of Management and Budget has determined that this technical rule amendment is not "significant" under Executive Order 12866.

*Executive Order 12988*

As Director of the Office of Government Ethics, I have reviewed this interim final rule in light of section 3 of Executive Order 12988, Civil Justice Reform, and certify that it meets the applicable standards provided therein.

**List of Subjects in 5 CFR Part 2640**

Conflict of interests, Government employees.

Approved: August 30, 2016.

**Walter M. Shaub, Jr.,**  
*Director, Office of Government Ethics.*

Accordingly, for the reasons set forth in the preamble, the Office of Government Ethics amends 5 CFR part 2640 as follows:

**PART 2640—INTERPRETATION, EXEMPTIONS AND WAIVER GUIDANCE CONCERNING 18 U.S.C. 208 (ACTS AFFECTING A PERSONAL FINANCIAL INTEREST)**

■ 1. The authority citation for part 2640 continues to read as follows:

**Authority:** 5 U.S.C. App. (Ethics in Government Act of 1978); 18 U.S.C. 208; E.O. 12674, 54 FR 15159, 3 CFR, 1989 Comp., p. 215, as modified by E.O. 12731, 55 FR 42547, 3 CFR, 1990 Comp., p. 306.

■ 2. Revise the first sentence of § 2640.102(b) to read as follows:

**§ 2640.102 Definitions.**

\* \* \* \* \*

(b) *Employee* means an officer or employee of the executive branch of the United States, or of any independent agency of the United States, a Federal Reserve bank director, officer, or employee, an officer or employee of the District of Columbia, or any other individual subject to requirements of 18 U.S.C. 208. \* \* \*

\* \* \* \* \*

[FR Doc. 2016-21293 Filed 9-2-16; 8:45 am]

**BILLING CODE 6345-03-P**

**NUCLEAR REGULATORY COMMISSION**

**10 CFR Part 171**

[NRC-2015-0223]

RIN 3150-AJ66

**Revision of Fee Schedules; Fee Recovery for Fiscal Year 2016; Correction**

**AGENCY:** Nuclear Regulatory Commission.

**ACTION:** Final rule; correcting amendment.

**SUMMARY:** The U.S. Nuclear Regulatory Commission (NRC) published a final rule amending regulations that became effective August 23, 2016. The fiscal year (FY) 2016 final fee rule, published June 24, 2016, amended the licensing, inspection, special project, and annual fees charged to NRC applicants and licensees. This document corrects the annual fee for materials licensees in the category "Nuclear laundries" from the FY 2016 rate of \$0 to the FY 2015 rate of \$40,100. This correction allows Agreement States to continue to collect fees in this fee category.

**DATES:** *Effective Date:* September 6, 2016.

**ADDRESSES:** Please refer to Docket ID NRC-2015-0223 when contacting the NRC about the availability of information for this action. You may obtain publicly-available information related to this action by any of the following methods:

- *Federal Rulemaking Web site:* Go to <http://www.regulations.gov> and search for Docket ID NRC-2015-0223. Address questions about NRC dockets to Carol Gallagher; telephone: 301-415-3463; email: [Carol.Gallagher@nrc.gov](mailto:Carol.Gallagher@nrc.gov). For technical questions, contact the individual listed in the **FOR FURTHER INFORMATION CONTACT** section of this document.

- *NRC's Agencywide Documents Access and Management System (ADAMS):* You may obtain publicly-available documents online in the ADAMS Public Documents collection at <http://www.nrc.gov/reading-rm/adams.html>. To begin the search, select "ADAMS Public Documents" and then select "Begin Web-based ADAMS Search." For problems with ADAMS, please contact the NRC's Public Document Room (PDR) reference staff at 1-800-397-4209, 301-415-4737, or by email to [pdr.resource@nrc.gov](mailto:pdr.resource@nrc.gov).

- *NRC's PDR:* You may examine and purchase copies of public documents at the NRC's PDR, Room O1-F21, One



This document is scheduled to be published in the Federal Register on 09/06/2016 and available online at <http://federalregister.gov/a/2016-21293>, and on [FDsys.gov](http://FDsys.gov)

**BILLING CODE 6345-03-P**

**OFFICE OF GOVERNMENT ETHICS**

**5 CFR Part 2640**

**RIN 3209-AA09**

**Interpretation, Exemptions and Waiver Guidance Concerning the Federal Criminal Conflict of Interest Statute Prohibiting Acts Affecting a Personal Financial Interest; Amendment to Definition of “Employee”**

**AGENCY:** Office of Government Ethics (OGE).

**ACTION:** Interim final rule with request for comments.

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**SUMMARY:** The U.S. Office of Government Ethics is issuing this interim final rule to make a technical modification to the definition of “employee” in its regulations implementing the federal criminal conflict of interest statute concerning acts affecting a personal financial interest, in order to ensure their continued applicability to all individuals subject to requirements of the statute.

**DATES:** This interim regulation is effective [**insert date of publication in the FEDERAL REGISTER**]. Comments are invited and are due in writing by [**insert date 60 days after date of publication in the FEDERAL REGISTER**].

**ADDRESSES:** You may submit comments, in writing, to OGE on this interim final rule, identified by RIN 3209-AA09, by any of the following methods:

E-Mail: [usoge@oge.gov](mailto:usoge@oge.gov). Include the reference “Interpretation, Exemptions and Waiver Guidance Concerning 18 U.S.C. 208 (Acts Affecting A Personal Financial Interest); Amendment to Definition of ‘Employee.’” in the subject line of the message.

Fax: (202) 482-9237.

Mail/Hand Delivery/Courier: Office of Government Ethics, Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917, Attention: “Interpretation, Exemptions and Waiver Guidance Concerning 18 U.S.C. 208 (Acts Affecting A Personal Financial Interest); Amendment to Definition of ‘Employee.’”

**Instructions:** All submissions must include OGE’s agency name and the Regulation Identifier Number (RIN), 3209-AA09, for this rulemaking. All comments, including attachments and other supporting materials, will become part of the public record and be subject to public disclosure. Comments may be posted on OGE’s website, [www.oge.gov](http://www.oge.gov). Sensitive personal information, such as account numbers or Social Security numbers, should not be included. Comments generally will not be edited to remove any identifying or contact information.

**FOR FURTHER INFORMATION CONTACT:** Christopher J. Swartz, Assistant Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue N.W., Washington, DC 20005-3917; Telephone: 202-482-9300; TTY: 800-877-8339; FAX: 202-482-9237.

**SUPPLEMENTARY INFORMATION:**

**I. Background**

The U.S. Office of Government Ethics (OGE) is issuing this interim final rule making a technical modification to the definition of “employee” in its regulations implementing 18 U.S.C. 208. Section 208(a) prohibits participation in particular matters affecting a covered individual’s personal and imputed financial interests. Section 208(b)(2) authorizes OGE to promulgate regulatory exemptions describing financial interests that are “too remote or too inconsequential” to warrant disqualification pursuant to section 208(a). Pursuant to 5 U.S.C. app. 402(b)(1) and Executive Order 12674 of April 12, 1989 (as modified by Executive Order 12731), OGE is responsible for providing uniform regulations interpreting section 208. In addition, section 208(d)(2) specifically directs OGE to adopt “uniform regulations for... exemptions” from the applicability of section 208(a). Consistent with these authorities, in 1996 OGE issued uniform regulations at 5 CFR part 2640 interpreting 18 U.S.C. 208 and establishing exemptions for all individuals subject to section 208(a). 61 FR 66830 (Dec. 18, 1996).

OGE established this uniform coverage by defining “employee” to mean “an officer or employee of the executive branch of the United States, or of any independent

agency of the United States, a Federal Reserve bank director, officer, or employee, or an officer or employee of the District of Columbia,” including “a special Government employee as defined in 18 U.S.C. 202.” 5 CFR 2640.102(b). The language of this definition in 5 CFR part 2640 carefully covered all individuals then subject to the statute, including certain individuals who were not executive branch employees. Compare id. with 18 U.S.C. 208(a) (covering “an officer or employee of the executive branch of the United States Government, or of any independent agency of the United States, a Federal Reserve bank director, officer, or employee, or an officer or employee of the District of Columbia, including a special Government employee”). The applicability of 5 CFR part 2640 was, thus, coextensive with the applicability of section 208.

Recently, however, a cross-reference in the organic statute of a newly created board has expanded the coverage of the requirements of section 208 to include the board’s members and staff, who would not otherwise be subject to section 208. Public Law 114-187, section 109(a) (2016). In order to ensure the continued applicability of 5 CFR part 2640 to all individuals subject to section 208, this interim regulation adds the phrase “..., or any other individual subject to requirements of 18 U.S.C. 208” at the end of the first sentence of the definition of “employee.” This technical amendment will guard against uncertainty as to the applicability of 5 CFR part 2640 to the members and staff of this board, as well as to others who may in the future become subject to section 208. Prior to issuing this regulation, OGE consulted with the Office of Personnel Management and the Department of Justice, and pursuant to section 201(c) of Executive

Order 12674, as modified by Executive Order 12731, has obtained the concurrence of the Department of Justice.

## **II. Matters of Regulatory Procedure**

### Administrative Procedure Act

Pursuant to sections 553(b) and 553(d)(3) of title 5 of the United States Code, the Director of the Office of Government Ethics has found good cause for dispensing with the usual requirements of notice and comment and a 30-day delay in the rule's effective date. Because this minor amendment is strictly technical in nature, providing notice and comment and delaying the effective date are unnecessary. Moreover, in clarifying the meaning of "employee," this rule is an interpretative rule and thus exempt from notice and comment and a delay in effective date pursuant to 5 U.S.C. 553(b) and 553(d)(2), respectively. Finally, this rule recognizes exemptions, which exempts the rule from the 30-day delayed effective date pursuant to 5 U.S.C. 553(d)(1). Nonetheless, this interim final rule provides a 60-day comment period for agencies and the public. The Office of Government Ethics will review any comments received during the comment period and consider any modifications to this rule that appear warranted.

### Regulatory Flexibility Act

As Director of the Office of Government Ethics, I certify under the Regulatory Flexibility Act (5 U.S.C. chapter 6) that this interim final rule would not have a significant economic impact on a substantial number of small entities because it primarily affects covered employees.

### Paperwork Reduction Act

The Paperwork Reduction Act (44 U.S.C. chapter 35) does not apply because this regulation does not contain information collection requirements that require approval of the Office of Management and Budget.

### Unfunded Mandates Reform Act

For purposes of the Unfunded Mandates Reform Act of 1995 (2 U.S.C. chapter 25, subchapter II), this interim final rule would not significantly or uniquely affect small governments and will not result in increased expenditures by State, local, and tribal governments, in the aggregate, or by the private sector, of \$100 million or more (as adjusted for inflation) in any one year.

### Congressional Review Act

The Office of Government Ethics has determined that this rulemaking involves a nonmajor rule under the Congressional Review Act (5 U.S.C. chapter 8) and will, before the interim final rule takes effect, submit a report thereon to the U.S. Senate, House of Representatives and General Accounting Office in accordance with that law.

### Executive Order 12866

In promulgating this rule amendment, the Office of Government Ethics has adhered to the regulatory philosophy and the applicable principles of regulation set forth

in section 1 of Executive Order 12866, Regulatory Planning and Review. The Office of Management and Budget has determined that this technical rule amendment is not “significant” under Executive Order 12866.

Executive Order 12988

As Director of the Office of Government Ethics, I have reviewed this interim final rule in light of section 3 of Executive Order 12988, Civil Justice Reform, and certify that it meets the applicable standards provided therein.

**List of Subjects in 5 CFR Part 2640**

Conflict of interests, Government employees.

Approved: August 30, 2016.

**Walter M. Shaub, Jr.,**

Director, Office of Government Ethics.

Accordingly, for the reasons set forth in the preamble, the Office of Government Ethics amends 5 CFR part 2640 as follows:

**PART 2640-INTERPRETATION, EXEMPTIONS AND WAIVER GUIDANCE  
CONCERNING 18 U.S.C. 208 (ACTS AFFECTING A PERSONAL FINANCIAL  
INTEREST)**

1. The authority citation for part 2640 continues to read as follows:

**Authority:** 5 U.S.C. App. (Ethics in Government Act of 1978); 18 U.S.C. 208; E.O. 12674, 54 FR 15159, 3 CFR, 1989 Comp., p. 215, as modified by E.O. 12731, 55 FR 42547, 3 CFR, 1990 Comp., p. 306.

2. Revise the first sentence of § 2640.102(b) to read as follows:

**§ 2640.102 Definitions.**

\* \* \* \* \*

(b) Employee means an officer or employee of the executive branch of the United States, or of any independent agency of the United States, a Federal Reserve bank director, officer, or employee, an officer or employee of the District of Columbia, or any other individual subject to requirements of 18 U.S.C. 208. \* \* \*

\* \* \* \* \*

[FR Doc. 2016-21293 Filed: 9/2/2016 8:45 am; Publication Date: 9/6/2016]

UNITED STATES OFFICE OF  
GOVERNMENT ETHICS



August 31, 2016  
LA-16-07

LEGAL ADVISORY

TO: Designated Agency Ethics Officials

FROM: Walter M. Shaub, Jr.  
Director

SUBJECT: Technical Modification of 5 C.F.R. part 2640

The U.S. Office of Government Ethics (OGE) is issuing an interim final rule in order to make a technical modification to its regulations at 5 C.F.R. part 2640, in order to ensure their continued applicability to all individuals subject to requirements of 18 U.S.C. § 208.

Specifically, OGE is issuing an interim final rule making a technical modification to the definition of “employee” in its regulations at 5 C.F.R. part 2640, which implement section 208. OGE originally promulgated 5 C.F.R. part 2640 to cover all employees who were subject to section 208. OGE established this uniform coverage by defining “employee” to mean “an officer or employee of the executive branch of the United States, or of any independent agency of the United States, a Federal Reserve bank director, officer, or employee, or an officer or employee of the District of Columbia,” including “a special Government employee as defined in 18 U.S.C. 202.” 5 C.F.R. § 2640.102(b). The language of this definition in 5 C.F.R. part 2640 carefully covered all individuals then subject to the statute, including certain individuals who were not executive branch employees. The applicability of 5 C.F.R. part 2640 was, thus, coextensive with the applicability of section 208.

Recently, however, a cross-reference in the organic statute of a newly created board has expanded the coverage of the requirements of section 208 to include the board’s members and staff, who would not otherwise be subject to section 208. Pub. L. No. 114-187, § 109(a) (2016). In order to ensure the continued applicability of 5 C.F.R. part 2640 to all individuals subject to section 208, this interim regulation adds the phrase “..., or any other individual subject to requirements of 18 U.S.C. 208” at the end of the first sentence of the definition of “employee.” This technical amendment will guard against uncertainty as to the applicability of 5 C.F.R. part 2640 to the members and staff of this board, as well as to others who may in the future become subject to section 208.

As a result of this technical modification, 5 C.F.R. § 2640.102(b) will now read, as follows:

§ 2640.102 – Definitions.

(b) *Employee* means an officer or employee of the executive branch of the United States, or of any independent agency of the United States, a Federal Reserve bank director, officer, or employee, an officer or employee of the District of Columbia, or any other individual subject to requirements of 18 U.S.C. 208. The term also includes a special Government employee as defined in 18 U.S.C. 202.

This modified language will be effective immediately. Because this minor amendment is strictly technical in nature, providing notice and comment and delaying the effective date are unnecessary. Moreover, in clarifying the meaning of “employee,” this rule is an interpretative rule and thus exempt from notice and comment and a delay in effective date pursuant to 5 U.S.C. 553(b) and 553(d)(2), respectively. Finally, this rule recognizes exemptions, which exempts the rule from the 30-day delayed effective date pursuant to 5 U.S.C. 553(d)(1). Nonetheless, the interim final rule provides a 60-day comment period for agencies and the public. OGE will review any comments received during the comment period and consider any modifications to this rule that appear warranted.

The interim final rule has been sent to the Office of the Federal Register for publication and will appear in the Federal Register.



# AIMS Agency Information Management System

## Resolved Interaction

Interaction Number: 10189	
Initiation Date*	2016-10-04
Title*	Fiscal Oversight Board
Question*	At the Center for Investigative Journalism in Puerto Rico we are trying to identify whether the members of the Fiscal Oversight Board submitted the financial disclosure and conflict of interest, or any other documents, to the Office of Government Ethics, as the recently enacted PROMESA law suggests (attached). Their names are: Carlos García José Ramón González José Carrión Ana Matosantos Andrew Biggs David Skeel Arthur González We tried using your website, but couldn't get any records. We looked for a FOIA contact but couldn't find it. Can you help us make sure we are looking in the right place? We would appreciate a response as soon as possible. -- Carla Minet Directora Ejecutiva Centro de Periodismo Investigativo   Puerto Rico   (b)(6) contact info   (b)(6) contact info Facultad de Derecho   Universidad Interamericana de Puerto Rico Piso 3, San Juan, Puerto Rico 00918 -- Carla Minet Directora Ejecutiva Centro de Periodismo Investigativo   Puerto Rico   (b)(6) contact info Facultad de Derecho   Universidad Interamericana de Puerto Rico Piso 3, Oficina #306 San Juan, Puerto Rico 00918
Update:	
Categories*	201
Origin Of Interaction*	Email
Source*	Non-Agency Public Citizen
First Name:	
Last Name:	
Title:	
Position:	
Email:	
Phone:	
Other Contact Notes:	
Assignment:	Kehli Cage
Watching:	
Temporary Notes:	
created by:	Kehli Cage

Resolution Information	
Resolution Date*	2016-10-04
Resolution Category*	Resolved
Response*	Hello Carla, In order to review Financial Disclosures for any of the people listed below you will need to submit a formal request using the form below. I have include the link to the form necessary. Form OGE 201 Please reach out if you need any thing additional, Thank you Contact OGE (Assigned to: Kehli Cage)
Complexity (level):	(b)(5)
Time Spent (hours):	0-1 hour
Contributor:	
<input type="button" value="reopen"/>	

2016-11-23, Kehli Cage: Resolved Hello Carla,  
In order to review Financial Disclosures for any of the people listed below you will need to submit a formal request using the form below. I have include the link to the form necessary.

Form OGE 201

Plea  
Plea, 2016-11-23, Kehli Cage: Created

Interaction History Log	

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Resolved Interaction

Interaction Number: 9727	
<b>Initiation Date*:</b>	2016-09-21
<b>Title*:</b>	Request for Financial Disclosure Reports
<b>Question*:</b>	I would like to request copies of the public financial disclosure reports of the (recently nominated) 7 members of the Puerto Rico's oversight board.
<b>Update:</b>	
<b>Categories*:</b>	278s
<b>Origin Of Interaction*:</b>	Email
<b>Source*:</b>	Non-Agency Public Citizen
<b>First Name:</b>	
<b>Last Name:</b>	
<b>Title:</b>	
<b>Position:</b>	
<b>Email:</b>	
<b>Phone:</b>	
<b>Other Contact Notes:</b>	
<b>Assignment:</b>	Bernadette Tolson
<b>Watching:</b>	
<b>Temporary Notes:</b>	
<b>created by:</b>	Bernadette Tolson

Resolution Information	
<b>Resolution Date*:</b>	2016-09-21
<b>Resolution Category*:</b>	Resolved
<b>Response*:</b>	This is in response to your email to the United States Office of Government Ethics (OGE). Please complete and submit the request for the public financial disclosure reports via the link provided on the OGE web page at the following link: <a href="https://www.oge.gov/web/oge.nsf/Presidential%20Appointee%20%20Nominee%20Records">https://www.oge.gov/web/oge.nsf/Presidential%20Appointee%20%20Nominee%20Records</a> (Assigned to: Bernadette Tolson)
<b>Complexity (level):</b>	(b)(5) <span style="background-color: black; color: black;">[REDACTED]</span>
<b>Time Spent (hours):</b>	0-1 hour
<b>Contributor:</b>	
<input type="button" value="reopen"/>	

2016-09-21, Bernadette Tolson: Resolved This is in response to your email to the United States Office of Government Ethics (OGE). Please complete and submit the request for the public financial disclosure reports via the link provided on the OGE web page, 2016-09-21, Bernadette Tolson: Created

Interaction History Log	

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Resolved Interaction

Interaction Number: 9814	
<b>Initiation Date*:</b>	2016-10-05
<b>Title*:</b>	Fiscal Oversight Board Ethics Document
<b>Question*:</b>	I can't submit the request through the link you provided below because the filers' names are not listed here. I am requesting copies of the public financial disclosure reports of the 7 members of the fiscal oversight board of Puerto Rico. The names are the following: 1. Carlos García 2. José Carrión 3. José González 4. Ana Matosantos 5. Andrew Biggs 6. David Skeel 7. Arthur González Puerto Rico Oversight, Management, and Economic Stability Act ("PROMESA") states in Section 109 (a) and (b) about Ethics: "Notwithstanding any ethics provision governing employees of the covered territory, all members and staff of the Oversight Board shall be subject to the Federal conflict of interest requirements described in section 208 of title 18, United States Code. Notwithstanding any ethics provision governing employees of the covered territory, all members of the Oversight Board and staff designated by the Oversight Board shall be subject to disclosure of their financial interests, the contents of which shall conform to the same requirements set forth in section 102 of the Ethics in Government Act of 1978 (5 U.S.C. App.)."
<b>Update:</b>	
<b>Categories*:</b>	278s
<b>Origin Of Interaction*:</b>	Email
<b>Source*:</b>	Non-Agency Academia
<b>First Name:</b>	
<b>Last Name:</b>	
<b>Title:</b>	
<b>Position:</b>	
<b>Email:</b>	
<b>Phone:</b>	
<b>Other Contact Notes:</b>	
<b>Assignment:</b>	Kehli Cage
<b>Watching:</b>	
<b>Temporary Notes:</b>	
<b>created by:</b>	Kehli Cage

Resolution Information	
<b>Resolution Date*:</b>	2016-10-05
<b>Resolution Category*:</b>	Resolved
<b>Response*:</b>	Hello Natalia, In order to review Financial Disclosures for any of the people listed below you will need to submit a formal request using the form below. I have include the link to the form necessary. Form OGE 201 Please reach out if you need anyhing additional, Thank you Contact OGE (Assigned to: Kehli Cage)
<b>Complexity (level):</b>	(b)(5)
<b>Time Spent (hours):</b>	0-1 hour
<b>Contributor:</b>	
<input type="button" value="reopen"/>	

2016-10-05, Kehli Cage: Resolved Hello Natalia,  
In order to review Financial Disclosures for any of the people listed below you will need to submit a formal request using the form below. I have include the link to the form necessary.

Form OGE 201

PI  
PI, 2016-10-05, Kehli Cage: Created

Interaction History Log	

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Resolved Interaction

Interaction Number: 10316

<b>Initiation Date*:</b>	2016-12-05
<b>Title*:</b>	Questions concerning financial disclosure reports and other matters pertaining to a Board
<b>Question*:</b>	The editor has a number of questions concerning The Financial Oversight and Management Board for Puerto Rico which was created under the Puerto Rico Oversight, Management and Economic Stability Act of 2016 concerning access to the financial disclosure reports of Board members and certain other administrative matters.
<b>Update:</b>	
<b>Categories*:</b>	278s
<b>Origin Of Interaction*:</b>	Email
<b>Source*:</b>	Non-Agency Press
<b>First Name:</b>	Carlos
<b>Last Name:</b>	Pesquera
<b>Title:</b>	Editor, eljunte.com
<b>Position:</b>	
<b>Email:</b>	(b)(6) contact info
<b>Phone:</b>	(b)(6) contact
<b>Other Contact Notes:</b>	
<b>Assignment:</b>	Vincent J. Salamone
<b>Watching:</b>	
<b>Temporary Notes:</b>	
<i>created by:</i>	Vincent J. Salamone

### Resolution Information

<b>Resolution Date*:</b>	2016-12-06
<b>Resolution Category*:</b>	Resolved
<b>Response*:</b>	I informed the reporter that OGE did not have the reports he was seeking for financial stability board established under the PROMESA law. Based upon my internal discussions in OGE, I informed the reporter that he should contact the board directly with his questions concerning access to these financial disclosure reports. I noted that the reporter indicated that the Board has in fact been convened and has held meetings. (Assigned to: Vincent J. Salamone)
<b>Complexity (level):</b>	(b)(5)
<b>Time Spent (hours):</b>	0-1 hour
<b>Contributor:</b>	
<input type="button" value="reopen"/>	

2016-12-07, Vincent Salamone: Resolved I informed the reporter that OGE did not have the reports he was seeking for financial stability board established under the PROMESA law. Based upon my internal discussions in OGE, I informed the reporter that he , 2016-12-05, Vincent Salamone: Created

### Interaction History Log



Division(s) assigned: GCLPD