

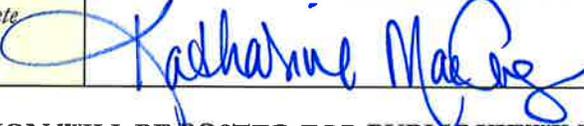
## CERTIFICATION OF ETHICS AGREEMENT COMPLIANCE

Senate Confirmed Presidential Appointee

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| 1.<br>Appointee's<br>Information | a. Appointee's Name:  | to be completed by OGE<br><b>Katharine MacGregor</b>   |
|                                  | b. Position Title:  | to be completed by OGE<br><b>Deputy Secretary</b>  |
|                                  | c. Agency:  | to be completed by OGE<br><b>Department of the Interior</b>  |
|                                  | d. Date Ethics Agreement Signed:  | to be completed by OGE<br><b>October 1, 2019</b>   |
|                                  | e. Date Confirmed:  | to be completed by OGE<br><b>February 25, 2020</b>   |
|                                  | f. Due Date for Certification of Ethics Agreement Compliance:   | to be completed by OGE<br><b>June 4, 2020</b>  |
| 2.<br>Resignations               | <i>I completed all of the resignations indicated in my ethics agreement before I assumed the duties of my current government position.</i>  | <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A  |
| 3.<br>Divestitures               | a. <i>I have completed all of the divestitures indicated in my ethics agreement. I also understand that I may not repurchase these assets during my appointment without OGE's prior approval.</i>   | <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A  |
|                                  | b. <i>I have filed a periodic transaction report, or periodic transaction reports, (OGE Form-T) to disclose the completion of these agreed upon divestitures.</i>   | <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A<br>Filing Date(s) of OGE Form 278-T Report(s): |
| 4.<br>Managed<br>Accounts        | <i>If I have a managed account or use the services of an investment professional, I have notified the manager or professional of the limitations indicated in my ethics agreement. In addition, I am continuing to monitor purchases.</i> | <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A  |
| 5.<br>Interim<br>Recusals        | <i>I complied with my interim recusal obligations pending the divestitures required by my ethics agreement.</i>   | <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A  |

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| <p>6.<br/>Recusals</p> <p><i>(Note: These factual statements describe the appointee's current status. They are not intended to modify ethics agreement commitments or create new recusal obligations.)</i></p> | <p>a. I am recusing from particular matters in which I know I have a <u>personal</u> or <u>imputed</u> financial interest directly and predictably affected by the matter, unless I have received a waiver or qualify for a regulatory exemption.</p> <p><input checked="" type="radio"/> Yes      <input type="radio"/> No</p>   |
|  | <p>b. I am recusing from particular matters in which any former employer or client I served in the past year is a party or represents a party, unless I have been authorized under 5 C.F.R. § 2635.502(d).</p> <p><input type="radio"/> Yes      <input type="radio"/> No      <input checked="" type="radio"/> N/A</p>   |
|  | <p>c. I am recusing from particular matters in which any former employer or client I served in the two years prior to my appointment is a party or represents a party, unless I have received a waiver under Exec. Order 13770.</p> <p><input type="radio"/> Yes      <input type="radio"/> No      <input checked="" type="radio"/> N/A</p>  |
| <p>7.<br/>Waivers and Authorizations</p>   | <p>a. I received a waiver pursuant to 18 U.S.C. § 208.</p> <p><input type="radio"/> Yes      <input checked="" type="radio"/> No</p> <p>Date:</p> <p>Financial interest:</p> <p>If yes, indicate the date of the waiver and indicate the financial interest covered by the waiver.</p>  |
|  | <p>b. I received a waiver pursuant to Executive Order 13770.</p> <p><input type="radio"/> Yes      <input checked="" type="radio"/> No</p> <p>Date:</p> <p>Subject:</p> <p>If yes, indicate the date of the waiver and the subject of the waiver (i.e., applicable paragraph of the ethics pledge, parties, particular matters, specific issue areas, as applicable).</p>                                 |
|  | <p>c. I received an authorization pursuant to 5 C.F.R. § 2635.502(d).</p> <p><input type="radio"/> Yes      <input checked="" type="radio"/> No</p> <p>Date:</p> <p>Covered person(s):</p> <p>If yes, indicate date of authorization and identify the covered person(s) as to whom you have been authorized (e.g., former employer, former client, spouse's employer, spouse's current client, etc.).</p> |
|  | <p>d. I received a waiver pursuant to 5 C.F.R. § 2635.503(c).</p> <p><input type="radio"/> Yes      <input checked="" type="radio"/> No</p> <p>Date:</p> <p>Former employer or payer:</p> <p>If yes, indicate the date of the waiver and identify the former employer or payer.</p>   |

Katharine MacGregor

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| <p>8.<br/>Payments, Accelerations, or Divestitures Required to be Completed Prior to Entering Government Service</p>   | <p>Mark this box if not applicable:<br/><input checked="" type="checkbox"/></p>  | <p>a. <i>If I committed that I would forfeit a financial interest or payment, unless it was received or accelerated prior to my assumption of the duties of the government position:</i></p>                                    | <p><input type="radio"/> I received it (or it was accelerated) <u>prior to my assumption of the duties of the position.</u></p> <p><input type="radio"/> I received it (or it was accelerated) <u>after my assumption of the duties of the position.</u></p> <p><input type="radio"/> I forfeited it.</p> |
|  |  | <p>b. Financial interest or payment at issue:</p>   |   |
| <p>9.<br/>Requirements for Regular Appointees</p>  | <p><i>I have completed my initial ethics briefing, pursuant to 5 C.F.R. § 2638.305.</i></p> <p>If you are a Special Government Employee (SGE) or career Foreign Service Officer (FSO), select N/A.</p> | <p><input checked="" type="radio"/> Yes    <input type="radio"/> No    <input type="radio"/> N/A</p>  |   |
|  | <p><i>I have signed the ethics pledge pursuant to Executive Order 13770.</i></p> <p>If you are a SGE or career FSO or previously signed the pledge, select N/A.</p>                                    | <p><input checked="" type="radio"/> Yes    <input type="radio"/> No    <input type="radio"/> N/A</p>  |   |
| <p>10.<br/>Additional Ethics Agreement Requirements</p>  | <p>to be completed by OGE</p>  | <p>to be completed by appointee</p> <p><i>I am complying with these requirements as described in the adjacent box.</i></p> <p><input type="radio"/> Yes    <input type="radio"/> No    <input checked="" type="radio"/> N/A</p> |   |
| <p>11.<br/>Comments of Appointee</p>   |  |   |   |
| <p>Any intentionally false or misleading statement or response provided in this certification is a violation of law punishable by a fine or imprisonment, or both, under 18 U.S.C. § 1001.</p> |  |   |   |
| <p><i>I certify that the information I have provided is complete and accurate.</i></p>   | <p>Appointee's Signature:<br/></p>   |   | <p>Date:<br/>05/20/2020</p>   |