

## CERTIFICATION OF ETHICS AGREEMENT COMPLIANCE

Senate Confirmed Presidential Appointee

1. Appointee's Information	a. Appointee's Name:	to be completed by OGE: <b>Pamela Hughes Patenaude</b>
	b. Position Title:	to be completed by OGE: <b>Deputy Secretary</b>
	c. Agency:	to be completed by OGE: <b>U.S. Department of Housing and Urban Development</b>
	d. Date Ethics Agreement Signed:	to be completed by OGE: <b>May 2, 2017</b>
	e. Date Confirmed:	to be completed by OGE: <b>September 14, 2017</b>
	f. Due Date for Certification of Ethics Agreement Compliance:	to be completed by OGE: <b>December 20, 2017</b>
2. Resignations	<i>I completed all of the resignations indicated in my ethics agreement before I assumed the duties of my current government position.</i>	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A
3. Divestitures	a. <i>I have completed all of the divestitures indicated in my ethics agreement. I also understand that I may not repurchase these assets during my appointment without OGE's prior approval.</i>	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A
	b. <i>I have filed a period transaction report, or periodic transaction reports, (OGE Form 278-T) to disclose the completion of these agreed upon divestitures.</i>	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A Filing Date(s) of OGE Form 278-T Report(s):
4. Managed Accounts	<i>If I have a managed account or use the services of an investment professional, I have notified the manager or professional of the limitations indicated in my ethics agreement. In addition, I am continuing to monitor purchases.</i>	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A
5. Interim Recusals	<i>I complied with my interim recusal obligations pending the divestitures required by my ethics agreement.</i>	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A

77

<p>8. Payments, Accelerations, or Divestitures Required to be Completed Prior to Entering Government Service</p>	<p>Mark this box if not applicable: <input checked="" type="checkbox"/></p>	<p>a. <i>If I committed that I would forfeit a financial interest or payment, unless it was received or accelerated prior to my assumption of the duties of the government position:</i></p>	<p><input type="radio"/> <i>I received it (or it was accelerated) prior to my assumption of the duties of the position.</i>  <input type="radio"/> <i>I received it (or it was accelerated) after my assumption of the duties of the position.</i>  <input type="radio"/> <i>I forfeited it.</i></p>
<p>9. Requirements for Regular Appointees</p>	<p><i>I have completed my initial ethics briefing, pursuant to 5 C.F.R. § 2638.305.</i>                   If you are a Special Government Employee (SGE) or career Foreign Service Officer (FSO), select N/A.</p>	<p><input checked="" type="radio"/> Yes    <input type="radio"/> No    <input type="radio"/> N/A</p>	
<p>Requirements for Regular Appointees</p>	<p><i>I have signed the ethics pledge pursuant to Executive Order 13770.</i>                   If you are a SGE or career FSO or previously signed the pledge, select N/A.</p>	<p><input checked="" type="radio"/> Yes    <input type="radio"/> No    <input type="radio"/> N/A</p>	
<p>10. Additional Ethics Agreement Requirements</p>	<p>to be completed by OGE:</p>	<p>to be completed by appointee <i>I am complying with these requirements as described in the adjacent box.</i>   <input type="radio"/> Yes    <input type="radio"/> No    <input type="radio"/> N/A</p>	
<p>11. Comments of Appointee</p>	<p></p>		
<p>Any intentionally false or misleading statement or response provided in this certification is a violation of law punishable by a fine or imprisonment, or both, under 18 U.S.C. § 1001.</p>			
<p><i>I certify that the information I have provided is complete and accurate.</i></p>	<p>Appointee's Signature: <i>Pamela Patenaude</i></p>	<p>Date: <i>9/26/17</i></p>	