

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Cordova, France A

Director, National Science Foundation, National Science Foundation

Date of Termination: 03/30/2020

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Cordova, France [electronically signed on 04/02/2020 by Cordova, France in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Clay, Robin, Certifying Official [electronically signed on 04/02/2020 by Clay, Robin in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 04/23/2020 by Granahan, Megan in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Wells Fargo Generation Skipping Trust		Trust	Trustee	1/1990	Present
2	Wells Fargo Living Trust		Trust	Trustee	1/1988	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SEP IRA:				
1.1	- Fidelity International Index Premium Class	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	- Fidelity Pacific Basin	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.3	- Matthews Asia Dividend Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.4	Fidelity Zero Total Market Index Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
2	Roth IRA:				
2.1	- Fidelity Four in One Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Harbor Hi Yield Bond Inst	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Edison International Stock Options	N/A	None (or less than \$1,001)	ordinary income	\$84,218
4	Edison In'tl deferred Compensation Plan	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	- Cash Account	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
4.2	- Deferred Stock Unit Account	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
5	Edison Internation deferred comp payout distribution:				
5.1	- Cash Acct distribution	N/A		cash account payout	\$150,357
5.2	- Stock Distribution	N/A		stock payout	\$274,856
6	Edison International stock	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
7	Leidos Stock	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
8	Fidelity Rollover IRA:				
8.1	Fidelity Freedom 2040	Yes	\$100,001 - \$250,000		None (or less than \$201)
8.2	Fidelity Total Bond	Yes	\$100,001 - \$250,000		None (or less than \$201)
8.3	Fidelity Puritan	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
8.4	Fidelity Four In One Index	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
8.5	Fidelity Blue Chip Growth	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
8.6	American Bond Fund of America	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.7	American Capital Income Builder	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.8	American Capital World Growth & Income	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.9	Harbor High-Yield Bond Instl	Yes	\$50,001 - \$100,000		None (or less than \$201)
8.10	Nuveen Symphony Flat Rate Income	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
8.11	Pimco Income Fund Institutional	Yes	\$250,001 - \$500,000		None (or less than \$201)
8.12	Fidelity MM Premium Class	Yes	\$50,001 - \$100,000		None (or less than \$201)
9	TIAA	N/A	\$15,001 - \$50,000		None (or less than \$201)
10	Fidelity Retirement Distribution	N/A		Required Minimum Distribution	\$239,389
11	TIAA Retirement Distribution	N/A		Required Minimum Distribution	\$22,914

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Purdue	West Lafayette,, Indiana	Continue to participate in TIAA/CREF 401(a)	6/2007
2	Edison International	Rosemead, California	Continued participation in Edison Deferred Comp plan comprised of cash and deferred stock Units and receive annual payout. Final stock options exercised on March 6, 2019	5/2004

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	Mayo Clinic	Rochester, Minnesota	As a former Trustee, receive lifetime medical coverage at Mayo Clinics for myself and my spouse in accordance with Trustee benefit plan	5/2008
4	CalTech	PASADENA, California	Asked to serve on the Board of Trustees. Board will vote 4/20	1/2020
5	Science Philanthropy Alliance	Palo Alto, California	Will serve as a Science Adviser	1/2020

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	TIAA Traditional	No		Distribution	
2	Fidelity Rollover IRA				
2.1	- Fidelity International Discovery	N/A	\$100,001 - \$250,000		None (or less than \$201)
2.2	- Fidelity Canada Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.3	- Vanguard Life Strategy Mod Growth	Yes	Over \$1,000,000		None (or less than \$201)
2.4	Fidelity Government MM	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Roth IRA - Vanguard Life Strategy Moderate Growth	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	New Mexico State Retirement System, defined benefit plan (value not readily ascertainable)	N/A		Retirement Payments	
5	Fidelity Retirement Distribution	N/A		Required Minimum Distribution	\$1,552

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Purdue Federal Credit Union Cash Account	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
2	Jackson Life Perspective II: Lifeguard Freedom 6 Annuity	No			
2.1	Lazard Emerging Markets Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	T. Rowe Price Mid-Cap Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	Mellon Dow Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	JNL Harris Oakmark Global Equity Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	Mellon Info Technology Sector Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	Distribution	N/A		Distribution	\$1,476
3	Capital Guardian	No			
3.1	American Mutual Fund	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2	Capital Group Core Municipal Fund	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
3.3	Capital Income Builder	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
3.4	Capital World Growth & Income Fund	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
3.5	Fundamental Investors Fund	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
3.6	International Growth & Income Fund	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
3.7	New Economy Fund	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
3.8	New Perspective Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
3.9	Washington Mutual Investors	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
4	Wells Fargo - Living Trust - est. 1988	No			
4.1	Fidelity New Markets Income Fund	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4.2	ASG Global Alternatives Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000
4.3	SPDR DJ Wilshire International Real Estate ETF	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
4.4	T. Rowe Price Real Estate Fund	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
4.5	Blackrock Institutional Funds Trust for Federal Securities	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.6	JP Morgan High Yield Fund Select	Yes	None (or less than \$1,001)		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.7	Vanguard Intermediate Term B	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.8	Vanguard Short Term Bond ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
4.9	AMEX Consumer Discr SPDR	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4.10	Consumer Staples Sector SPDR Tr	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.11	AMEX Energy Select SPDR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.12	Financial Select Sector SPDR Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.13	Healthcare Select Sector SPDR	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.14	AMEX Industrial	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4.15	AMEX Technology Select SPDR	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
4.16	AMEX Materials SPDR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.17	iShares S&P Mid-Cap 400 Growth	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.18	iShares S&P Mid-Cap 400 Value	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.19	Vanguard FTSE Developed Markets ETF	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.20	Vanguard FTSE Emerging Markets ETF	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.21	TCW Emerging Markets Income Fund	Yes	None (or less than \$1,001)		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.22	ishares Russell 2000 ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.23	Invesco Optimum Yield Divers	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
4.24	iShares Core Total US Aggregate Bond ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
4.25	Virtus SEIX US Government Securities Ultra Sh Bd Fd	Yes	\$50,001 - \$100,000		\$201 - \$1,000
4.26	Communications Services Select Sector SPDR Fd	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.27	iShares TRS & P 1500 Index Fd	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
4.28	iShares Core MSCI Emerging Mkts ETF	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.29	Templeton Emerging Markets Sm Cap Fd	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5	Generation Skipping Trust (1990) - Wells Fargo	No			
5.1	Blackrock Institutional Funds Trust for Federal Securities T-Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.2	Fidelity New Markets Income Fund	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
5.3	AQR Managed Futures Strategy Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000
5.4	Eaton Vance Global Macro Absolute Return Advantage Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000
5.5	SPDR DJ Wilshire Intl Real Estate ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.6	T. Rowe Price Real Estate Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.7	AMEX Consumer Discr SPDR	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.8	Consumer Staples Sector SPDR TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	AMEX Energy Select SPDR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.10	Financial Select Sector SPDR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.11	Health Care Select Sector SPDR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.12	AMEX Industrial SPDR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.13	AMEX Technology Select SPDR	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.14	AMEX Materials SPDR	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.15	iShares S&P Mid-Cap 400 Growth	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.16	iShares S&P Mid Cap 400 Value	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.17	Templeton Emerging Markets Small Cap	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.18	Vanguard FTSE Developed Markets ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.19	Vanguard FTSE Emerging Markets ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.20	Communications Services Select Sector SPDR	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.21	ishares Core MSCI Emerging Markets ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.22	ishares Russell 2000 ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.23	iShares TRS & P 1500 Index Fd	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.24	virtus SEIX US Government Securities Ultra Sh Bd Fd	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.25	Wells Fargo Intermediate Tax/Amt-Free Fund Class R6	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6	AMS Group, LLC (mini-storage in Covina CA) See Endnote	No	\$50,001 - \$100,000	Dividends Interest	None (or less than \$201)
7	New Mexico 529 Account				
7.1	Index Age Based Portfolio 100	Yes	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	AQR Managed Futures STR-1	Purchase	02/26/2019	\$1,001 - \$15,000
2	Eaton Vance Global Macro ADV	Purchase	02/26/2019	\$1,001 - \$15,000
3	iShares Core MSCI Emerging ETF	Purchase	08/08/2019	\$1,001 - \$15,000
4	iShares TRS & P 1500 Index Fd	Purchase	08/08/2019	\$15,001 - \$50,000
5	Vanguard FTSE Developed ETF	Purchase	02/26/2019	\$1,001 - \$15,000
6	Virtus SEIX US Government Sec UL-I	Purchase	09/20/2019	\$1,001 - \$15,000
7	WF Intermediate Tax/Amt-Fr R6	Purchase	02/26/2019	\$1,001 - \$15,000
8	WF Intermediate Tax/Amt-Fr R6	Purchase	08/08/2019	\$15,001 - \$50,000
9	Amex Consumer Disc SPDR	Sale	04/05/2019	\$1,001 - \$15,000
10	AMEX Industrial SPDR	Sale	04/05/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
11	AMEX Technology Select SPDR	Sale	04/05/2019	\$1,001 - \$15,000
12	AQR Managed Futures STR-I	Sale	08/07/2019	\$1,001 - \$15,000
13	ASG Managed Futures Strat-Y	Sale	02/25/2019	\$1,001 - \$15,000
14	Blackrock GL L/S Credit-K	Sale	08/07/2019	\$1,001 - \$15,000
15	Boston P Long/Short Res-Ins	Sale	08/07/2019	\$1,001 - \$15,000
16	Eaton Vance Global Macro Adv-I	Sale	08/07/2019	\$1,001 - \$15,000
17	Eaton Vance Global Macro 1	Sale	02/25/2019	\$1,001 - \$15,000
18	Financial Select Sector SPDR	Sale	04/05/2019	\$1,001 - \$15,000
19	Health Care Select Sector	Sale	04/05/2019	\$1,001 - \$15,000
20	Invesco Optimum Yield Divers (reported as powershowers previously)	Sale	08/08/2019	\$1,001 - \$15,000
21	iShares Russell 2000 ETF	Sale	02/26/2019	\$1,001 - \$15,000
22	iShares Russell 2000 ETF	Sale	08/08/2019	\$1,001 - \$15,000
23	iShares S&P Mid-Cap 400 Growth	Sale	08/08/2019	\$1,001 - \$15,000
24	iShares S&P Mid-Cap 400 Value	Sale	08/08/2019	\$1,001 - \$15,000
25	John Hancock II-Abslt Rtrn	Sale	08/07/2019	\$1,001 - \$15,000
26	Vanguard FTSE Developed ETF	Sale	08/08/2019	\$1,001 - \$15,000
27	Vanguard FTSE Emerging Markets ETF	Sale	12/06/2019	\$1,001 - \$15,000
28	AMEX Consumer Disc SPDR	Purchase	09/23/2019	\$1,001 - \$15,000
29	AMEX Energy Select SPDR (multiple)	Purchase	01/03/2019	\$1,001 - \$15,000
30	AMEX Industrial SPDR (multiple)	Purchase	01/03/2019	\$15,001 - \$50,000
31	AMEX Materials SPDR	Purchase	01/03/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
32	AMEX Technology Select SPDR (multiple)	Purchase	02/26/2019	\$1,001 - \$15,000
33	AQR Managed Futures STR-1	Purchase	02/26/2019	\$15,001 - \$50,000
34	ASG Global Alternatives - Y	Purchase	02/26/2019	\$1,001 - \$15,000
35	Blackrock GL L/S Credit - K (multiple)	Purchase	01/02/2019	\$15,001 - \$50,000
36	Comm Serv Select Sector SPDR (multiple)	Purchase	01/03/2019	\$15,001 - \$50,000
37	Consumer Staples Sector SPDR TR (multiple)	Purchase	01/03/2019	\$1,001 - \$15,000
38	Eaton Vance Global Macro ADV-I (multiple)	Purchase	02/26/2019	\$15,001 - \$50,000
39	Fidelity New Markets Inc (multiple)	Purchase	01/02/2019	\$15,001 - \$50,000
40	Financial Select Sector SPDR (multiple)	Purchase	01/03/2019	\$15,001 - \$50,000
41	Health Care Select Sector (multiple)	Purchase	01/03/2019	\$15,001 - \$50,000
42	Invesco Optimum Yield Divers	Purchase	01/03/2019	\$15,001 - \$50,000
43	iShares Core MSCI Emerging ETF (multiple)	Purchase	01/03/2019	\$50,001 - \$100,000
44	iShares Core US Aggregate (multiple)	Purchase	05/10/2019	\$100,001 - \$250,000
45	iShares Russell 2000 ETF (multiple)	Purchase	01/03/2019	\$50,001 - \$100,000
46	iShares S&P Mid-Cap 400 Growth (multiple)	Purchase	01/03/2019	\$15,001 - \$50,000
47	iShares S&P Mid-Cap 400 Value (multiple)	Purchase	01/03/2019	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
48	iShares TRS & P 1500 Index Fd (multiple)	Purchase	08/08/2019	\$100,001 - \$250,000
49	John Hancock II - Abslt Rtrn	Purchase	05/10/2019	\$1,001 - \$15,000
50	JP Morgan High Yield - I (multiple)	Purchase	01/02/2019	\$15,001 - \$50,000
51	Neuberger Merman Long Sh-Ins	Purchase	02/26/2019	\$1,001 - \$15,000
52	TCW Emerging Markets Income-I	Purchase	01/02/2019	\$1,001 - \$15,000
53	Templeton Emerging Market Sm Cap - R6	Purchase	09/20/2019	\$15,001 - \$50,000
54	Vanguard FTSE Developed ETF (multiple)	Purchase	02/26/2019	\$15,001 - \$50,000
55	Vanguard FTSE Emerging Markets ETF (multiple)	Purchase	01/03/2019	\$15,001 - \$50,000
56	Vanguard Intermediate Term B (multiple)	Purchase	02/26/2019	\$15,001 - \$50,000
57	Vanguard Short Term Bond ETF	Purchase	09/20/2019	\$15,001 - \$50,000
58	Virtus SEIX US Govt Sec UL-I	Purchase	09/20/2019	\$50,001 - \$100,000
59	AMEX Consumer Disc SPDR (multiple)	Sale	04/05/2019	\$1,001 - \$15,000
60	AMEX Energy Select SPDR	Sale	04/05/2019	\$1,001 - \$15,000
61	AMEX Industrial SPDR (multiple)	Sale	04/05/2019	\$1,001 - \$15,000
62	AMEX Materials SPDR	Sale	04/05/2019	\$1,001 - \$15,000
63	AMEX Technology Select SPDR (multiple)	Sale	01/03/2019	\$15,001 - \$50,000
64	AQR Managed Futures STR-I (multiple)	Sale	01/02/2019	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
65	ASG Global Alternatives-Y	Sale	08/07/2019	\$15,001 - \$50,000
66	Blackrock GL L/S Credit-K (multiple)	Sale	02/25/2019	\$50,001 - \$100,000
67	Comm Serv Select Sector SPDR (multiple)	Sale	04/05/2019	\$1,001 - \$15,000
68	Consumer Staples Sector SPDR (multiple)	Sale	04/05/2019	\$1,001 - \$15,000
69	Eaton Vance Global Macro Adv-I (multiple)	Sale	01/02/2019	\$50,001 - \$100,000
70	Fidelity New Markets Inc	Sale	05/09/2019	\$50,001 - \$100,000
71	Financial Select Sector SPDR (multiple)	Sale	04/05/2019	\$1,001 - \$15,000
72	Health Care Select Sector	Sale	04/05/2019	\$1,001 - \$15,000
73	Invesco Optimum Yield Divers	Sale	08/08/2019	\$15,001 - \$50,000
74	iShares Core MSCI Emerging	Sale	02/26/2019	\$1,001 - \$15,000
75	iShares Russell 2000 ETF (multiple)	Sale	02/26/2019	\$50,001 - \$100,000
76	iShares S&P Mid-Cap 400 Growth	Sale	08/08/2019	\$1,001 - \$15,000
77	iShares S&P Mid-Cap 400 Value	Sale	08/08/2019	\$1,001 - \$15,000
78	John Hancock II-Abslt Rtrn	Sale	09/20/2019	\$15,001 - \$50,000
79	JP Morgan High Yield-I	Sale	09/20/2019	\$15,001 - \$50,000
80	Neuberger Berman Long Sh-Ins (multiple)	Sale	01/02/2019	\$50,001 - \$100,000
81	Real Estate Select Sect SPDR	Sale	01/03/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
82	SPDR DJ Wilshire International Real	Sale	01/03/2019	\$15,001 - \$50,000
83	T. Rowe Price Real Estate Adv (PAREX)	Sale	01/02/2019	\$15,001 - \$50,000
84	T Rowe Price Inst Float Rate	Sale	04/04/2019	\$1,001 - \$15,000
85	TCW Emerging Markets Income-I (multiple)	Sale	05/09/2019	\$50,001 - \$100,000
86	Vanguard FTSE Developed ETF (multiple)	Sale	01/03/2019	\$1,001 - \$15,000
87	Vanguard FTSE Emerging Markets ETF (multiple)	Sale	02/26/2019	\$1,001 - \$15,000
88	Vanguard Intermediate Term B (multiple)	Sale	01/03/2019	\$100,001 - \$250,000
89	Vanguard Short Term Bond ETF (multiple)	Sale	02/26/2019	\$50,001 - \$100,000
90	FIDELITY PACIFIC BASIN	Sale	09/12/2019	\$1,001 - \$15,000
91	FIDELITY ZERO TOTAL MARKET INDEX+ (multiple)	Purchase	02/25/2019	\$100,001 - \$250,000
92	Fidelity Zero Total Market Index	Sale	09/12/2019	\$1,001 - \$15,000
93	Matthew Asia Dividend Fund	Sale	09/12/2019	\$1,001 - \$15,000
94	Fidelity Blue Chip Growth	Sale	09/12/2019	\$1,001 - \$15,000
95	Fidelity Four in One Index	Sale	02/12/2019	\$50,001 - \$100,000
96	Fidelity Freedom 2040	Sale	09/12/2019	\$1,001 - \$15,000
97	Fidelity Puritan	Sale	09/12/2019	\$15,001 - \$50,000
98	Fidelity Total Bond	Sale	09/12/2019	\$1,001 - \$15,000
99	Harbor HY Bond Inst	Sale	09/12/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
100	Pimco Income Fund Institutional Fund	Sale	09/12/2019	\$1,001 - \$15,000
101	American Capital Income Builder	Sale	09/13/2019	\$1,001 - \$15,000
102	Nuveen Symphony Flt Rate Income	Sale	09/13/2012	\$1,001 - \$15,000
103	Fidelity Canada	Sale	09/10/2019	\$1,001 - \$15,000
104	Fidelity Canada	Sale	09/12/2019	\$1,001 - \$15,000
105	Fidelity International Discovery	Sale	09/12/2019	\$1,001 - \$15,000
106	Vanguard Life Strategy Moderate Growth Inv	Sale	09/12/2019	\$15,001 - \$50,000
107	American Mutual Fund (multiple)	Purchase	03/15/2019	\$15,001 - \$50,000
108	Capital Group Core Municipal Fund (multiple)	Purchase	01/30/2019	\$15,001 - \$50,000
109	Fundamental Investors (multiple)	Purchase	03/15/2019	\$50,001 - \$100,000
110	Capital Income Builder (multiple)	Purchase	09/11/2019	\$15,001 - \$50,000
111	Capital World Growth and Income Fund (multiple)	Purchase	03/13/2019	\$15,001 - \$50,000
112	International Growth and Income Fund (multiple)	Purchase	03/22/2019	\$1,001 - \$15,000
113	New Economy Fund (multiple)	Purchase	12/18/2019	\$15,001 - \$50,000
114	New Perspective Fund (multiple)	Purchase	12/22/2019	\$15,001 - \$50,000
115	Washington Mutual Investors Fund (multiple)	Purchase	03/15/2019	\$15,001 - \$50,000
116	Capital Group Core Municipal Fund (multiple)	Sale	01/24/2019	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
117	New Economy Fund (multiple)	Sale	01/17/2019	\$1,001 - \$15,000
118	Index Age Based Portfolio 100	Purchase	10/08/2019	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	6	Includes personal ownership and ownership through Frederick and Joan Cordova Family Trust.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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