Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Remy, Donald M

Deputy Secretary, Department of Veterans Affairs

Date of Termination: 04/01/2023

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Remy, Donald [electronically signed on 04/29/2023 by Remy, Donald in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Hogan, Michael R, Certifying Official [electronically signed on 05/16/2023 by Hogan, Michael R in Integrity.gov]

Other review conducted by
/s/ Britt, Christopher, Ethics Official [electronically signed on 05/16/2023 by Britt, Christopher in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 05/19/2023 by Granahan, Megan in Integrity.gov]

Data Revised 05/16/2023

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Donald M. Remy Revocable Trust	Indianapolis, Indiana	Revocable Trust	Trustee	3/2014	3/2022

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	NCAA 403(b) Plan	No			
1.1	Vanguard Institutional Target Retirement 2030 Fund (VTTWX)	Yes	$250,001 - $500,000		None (or less than $201)
2	NCAA 457(b) Plan	No			
2.1	Vanguard Institutional Target Retirement 2030 Fund (VTTWX)	Yes	None (or less than $1,001)		$100,001 - $1,000,000
3	NCAA Qualified Savings Plan	No			
3.1	Vanguard Institutional Target Retirement 2030 Fund (VTTWX)	Yes	$100,001 - $250,000		None (or less than $201)
4	NCAA Retirement Savings Plan	No			
4.1	Vanguard Institutional Target Retirement 2030 Fund (VTTWX)	Yes	$250,001 - $500,000		None (or less than $201)
5	IRA #1	No			
5.1	U.S. BANK CASH ACCOUNT	N/A	$1,001 - $15,000		None (or less than $201)
5.2	U.S. BANK CASH ACCOUNT	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	IRA #2	No			
6.1	CHASE IRA DEPOSIT SWEEP MANAGED (QVERQ)	N/A	$15,001 - $50,000		None (or less than $201)
6.2	COLUMBIA FUNDS GLOBAL TECH (CGTUX)	Yes	$15,001 - $50,000		None (or less than $201)
6.3	DELAWARE EMERGING MARKETS FUND (DEMZX)	Yes	None (or less than $1,001)		None (or less than $201)
6.4	DODGE AND COX INCOME FUND (DODIX)	Yes	$50,001 - $100,000		None (or less than $201)
6.5	FEDERATED HERMES INSTITUTIONAL HIGH YIELD (FIHLX)	Yes	$15,001 - $50,000		None (or less than $201)
6.6	ISHARES IBOXX HIGH YIELD (HYG)	Yes	$15,001 - $50,000		None (or less than $201)
6.7	JPMORGAN LARGE CAP GROWTH FUND (JLGMX)	Yes	None (or less than $1,001)		None (or less than $201)
6.8	JPMORGAN TR II MID CAP GROWTH FD CL (JMGMX)	Yes	None (or less than $1,001)		None (or less than $201)
6.9	JP MORGAN SHORT DURATION FUND (JSDRX)	Yes	$15,001 - $50,000		None (or less than $201)
6.10	PIMCO TOTAL RETURN ESG (PTSAX)	Yes	$50,001 - $100,000		None (or less than $201)
6.11	VANGUARD BOND INDEX FUND (VBTLX)	Yes	$50,001 - $100,000		None (or less than $201)
6.12	VANGUARD FIXED INCOME SECS (VFIDX)	Yes	$50,001 - $100,000		None (or less than $201)
6.13	VANGUARD SPECIALIZED FUNDS (VIG)	Yes	$15,001 - $50,000		None (or less than $201)
6.14	VANGUARD WORLD FUND (VWILX)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.15	Wells Fargo Discovery Fund Class R6 Shares (WFDRX)	Yes	None (or less than $1,001)		None (or less than $201)
6.16	TECHNOLOGY SELECT SECTOR SPDR FUND (XLK)	Yes	None (or less than $1,001)		None (or less than $201)
6.17	ARTISAN PARTNERS FUNDS INC (APHKX)	Yes	$15,001 - $50,000		None (or less than $201)
6.18	JP MORGAN LARGE CAP VALUE (JLVMX)	Yes	$15,001 - $50,000		None (or less than $201)
6.19	ISHARES CORE S&P MID CAP ETF (IJH)	Yes	$15,001 - $50,000		None (or less than $201)
6.20	ISHARES RUSSELL 2000 ETF (IWM)	Yes	$15,001 - $50,000		None (or less than $201)
6.21	ISHARES TRUST DIVIDEND FUND (IDV)	Yes	$1,001 - $15,000		None (or less than $201)
6.22	JP MORGAN EXCHANGE TRADED EQUITY PREMIUM INCOME FUND (JEPI)	Yes	$15,001 - $50,000		None (or less than $201)
6.23	SELECT SECTOR SPIDER TRUST TECH (XLK)	Yes	$15,001 - $50,000		None (or less than $201)
7	IRA #3	No			
7.1	US BROKERAGE SWEEP/CASH DEPOSIT ACCOUNT	N/A	$50,001 - $100,000		None (or less than $201)
8	IRA #4	No			
8.1	USAA 500 INDEX FUND MEMBER SHARES	Yes	$1,001 - $15,000		None (or less than $201)
9	Line Intentionally Left Blank				
10	Line Intentionally Left Blank				
11	Line Intentionally Left Blank				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	Line Intentionally Left Blank				

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	National Collegiate Athletic Association	Indianapolis, Indiana	NCAA 403(b) Plan. I continue to participate in this plan. The plan sponsor will not make further contributions after my departure.	9/2019
2	National Collegiate Athletic Association	Indianapolis, Indiana	NCAA Qualified Savings Plan. I continue to participate in this plan. The plan sponsor will not make further contributions after my departure.	9/2019
3	National Collegiate Athletic Association	Indianapolis, Indiana	NCAA Retirement Savings Plan. I continue to participate in this plan. The plan sponsor will not make further contributions after my departure.	9/2019
4	National Collegiate Athletic Association	Indianapolis, Indiana	NCAA Supplemental Executive Retirement Plan. Following my resignation, pursuant to the plan, I received a supplemental payment from NCAA for services rendered in 2021 up to the date of my departure.	9/2019
5	National Collegiate Athletic Association	Indianapolis, Indiana	Pursuant to employment and separation agreements, I received severance payments from the NCAA. The amount of severance was fixed as of my departure and was ultimately paid in lump-sum. The employment and separation agreements also included standard provisions that allowed for: payout of accrued but unused vacation; the election of employer subsidized COBRA premiums; payout of vested retirement payments; the election to utilize EAP services; the election to port mobile telephone number; payment of attorney’s fees associated with negotiation of the Agreement; and invitation to access event tickets at full cost to me.	9/2019

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #5	No			
1.1	Fidelity Freedom 2035 Fund (FFTHX)	Yes	$100,001 - $250,000		None (or less than $201)
1.2	American Century Capital Preservation Fund Investor Class Shares (CPFXX)	Yes	$1,001 - $15,000		None (or less than $201)
1.3	Medtronic PLC Stock	N/A	$15,001 - $50,000		None (or less than $201)
2	IRA #6	No			
2.1	U.S. BROKERAGE SWEEP/CASH DEPOSIT ACCOUNT	N/A	$1,001 - $15,000		None (or less than $201)
2.2	EDGEWOOD GROWTH FUND CLASS INSTITUTIONAL (EGFIX)	Yes	$15,001 - $50,000		None (or less than $201)
2.3	THE HARTFORD MIDCAP FUND CLASS F (HMDFX)	Yes	$15,001 - $50,000		None (or less than $201)
2.4	ISHARES RUSSELL 2000 (IWN)	Yes	$1,001 - $15,000		None (or less than $201)
2.5	T. ROWE PRICE NEW ASIA FUND I CLASS (PNSIX)	Yes	$1,001 - $15,000		None (or less than $201)
2.6	CONSUMDER DISCRETIONARY SELECT SECTOR SPDR FUND (XLY)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.7	TECHNOLOGY SELECT SECTOR SPDR FUND (XLK)	Yes	$1,001 - $15,000		None (or less than $201)
2.8	VANGUARD INDEX TR (VFIAX)	Yes	$15,001 - $50,000		None (or less than $201)
2.9	VANGUARD WORLD FUND (VWILX)	Yes	$15,001 - $50,000		None (or less than $201)
2.10	WCM FOCUSED INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS (WCMIX)	Yes	$15,001 - $50,000		None (or less than $201)
2.11	FIDELITY CONSERVATIVE INCOME BOND INSTITUTIONAL CLASS (FCNVX)	Yes	$15,001 - $50,000		None (or less than $201)
2.12	JPMORGAN CORE BOND FUND CLASS R6 (JCBUX)	Yes	$15,001 - $50,000		None (or less than $201)
2.13	T. ROWE PRICE TAX FREE HIGH YIELD FUND I CLASS (PTYIX)	Yes	$1,001 - $15,000		None (or less than $201)
3	Amazon	N/A		Salary	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Line Intentionally Left Blank				
1.1	Line Intentionally Left Blank				
1.2	Line Intentionally Left Blank				
1.3	Line Intentionally Left Blank				
1.4	Line Intentionally Left Blank				
1.5	Line Intentionally Left Blank				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	US BANK ACCT #1 (cash)	N/A	$1,001 - $15,000		None (or less than $201)
3	US BANK ACCT #2 (cash)	N/A	$100,001 - $250,000		None (or less than $201)
4	US BANK ACCT #3 (cash)	N/A	$250,001 - $500,000		None (or less than $201)
5	US BANK ACCT #4 (checking and savings)	N/A	$500,001 - $1,000,000	Interest	$1,001 - $2,500
6	US BANK ACCT #5 (savings)	N/A	$100,001 - $250,000	Interest	$201 - $1,000
7	US BANK ACCT #6 (checking)	N/A	$15,001 - $50,000		None (or less than $201)
8	Brokerage Acct #1	No			
8.1	FIDELITY GOVERNMENT CASH RESERVES (FDRXX)	Yes	$1,001 - $15,000		None (or less than $201)
8.2	AMG YACKTMAN FOCUSED I (YAFIX)	Yes	$15,001 - $50,000		$1,001 - $2,500
8.3	Line Intentionally Left Blank				
8.4	JOHCM INTERNATIONAL SELECT FUND CLASS I SHARES (JOHIX)	Yes	$1,001 - $15,000		None (or less than $201)
8.5	Line Intentionally Left Blank				
8.6	BNY MELLON GLOBAL REAL RETURN FUND - CLASS I (DRRIX)	Yes	$1,001 - $15,000		$201 - $1,000
8.7	CALAMOS MARKET NEUTRAL INCOME FUND INSTITUTIONAL CLASS (CMNIX)	Yes	$1,001 - $15,000		$201 - $1,000
8.8	AKRE FOCUS FUND INSTITUTIONAL CLASS (AKRIX)	Yes	$1,001 - $15,000		$1,001 - $2,500
8.9	Line Intentionally Left Blank				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.10	CHIRON CAPITAL ALLOCATION FUND CLASS I (CCAPX)	Yes	$1,001 - $15,000		None (or less than $201)
8.11	BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO CLASS K (BSIKX)	Yes	$1,001 - $15,000		$201 - $1,000
8.12	Line Intentionally Left Blank				
8.13	BRANDYWINEGLOBAL - GLOBAL UNCONSTRAINED BOND FUND CLASS I (LROIX)	Yes	$1,001 - $15,000		None (or less than $201)
8.14	PIMCO INCOME FUND INSTITUTIONAL FUND (PIMIX)	Yes	$1,001 - $15,000		$201 - $1,000
8.15	TCW EMERGING MKTS INCOME CL I (TGEIX)	Yes	$1,001 - $15,000		$201 - $1,000
8.16	Line Intentionally Left Blank				
8.17	AMERICAN BEACON AHL MNGD FUTURES STRAT Y (AHLYX)	Yes	$15,001 - $50,000		$5,001 - $15,000
8.18	ARTISAN FOCUS FUND INSTL (APHTX)	Yes	$1,001 - $15,000		None (or less than $201)
9	Brokerage Acct #2	No			
9.1	FIDELITY GOVERNMENT CASH RESERVES (FDRXX)	Yes	$1,001 - $15,000		None (or less than $201)
9.2	ISHARES CORE DIVIDEND GROWTH ETF (DGRO)	Yes	$15,001 - $50,000		$201 - $1,000
9.3	ISHARES MSCI PACIFIC EX JAPAN ETF (EPP)	Yes	$15,001 - $50,000		$1,001 - $2,500
9.4	Line Intentionally Left Blank				
9.5	ISHARES INC MSCI JPN ETF NEW (EWJ)	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.6	ISHARES TR MSCI UK ETF NEW (EWU)	Yes	$15,001 - $50,000		$201 - $1,000
9.7	ISHARES MSCI EUROZONE ETF (EZU)	Yes	$50,001 - $100,000		$1,001 - $2,500
9.8	ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	Yes	$15,001 - $50,000		$201 - $1,000
9.9	ISHARES S&P MIDCAP 400 INDEX FUND (IJH)	Yes	$15,001 - $50,000		$201 - $1,000
9.10	ISHARES CORE S&P SMALL-CAP E (IJR)	Yes	$15,001 - $50,000		$201 - $1,000
9.11	ISHARES S&P 500 VALUE ETF (IVE)	Yes	$50,001 - $100,000		$1,001 - $2,500
9.12	ISHARES CORE S&P 500 ETF (IVV)	Yes	$100,001 - $250,000		$2,501 - $5,000
9.13	ISHARES S&P 500 GROWTH ETF (IVW)	Yes	$50,001 - $100,000		$201 - $1,000
9.14	ISHARES S&P 100 INDEX FUND (OEF)	Yes	$1,001 - $15,000		$201 - $1,000
9.15	VANGUARD FTSE EUROPE INDEX FUND ETF SHARES (VGK)	Yes	$1,001 - $15,000		$201 - $1,000
10	Brokerage Acct #3	No			
10.1	FIDELITY 500 INDEX FUND (FXAIX)	Yes	$50,001 - $100,000		$1,001 - $2,500
11	Brokerage Acct #4	No			
11.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA)	N/A	$250,001 - $500,000		$1,001 - $2,500
12	Brokerage Acct #5	No			
12.1	BALL ST UNIV IND UNIV REVS REV 5% 07/01/25 JJ REV BDS R BEO	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2	CANYON TEX REGL WTR AUTH REV 4.0000% 08/01/27-CA FA CONTRACT REV AND REF BDS LAKE DUNLAP/MID-CITIES PRO 2016 BEO	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
12.3	CHICAGO ILL O HARE INTL ARPT REV 5.0000% 01/01/24-CA JJ CHICAGO O'HARE INTERNATIONAL AIRPORT AMT BEO SR LIEN	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
12.4	CLARK CNTY NEV SCH DIST GO 5% 06/15/25 JD GO LTD TAX BLDG REF BDS 2015 C LT BEO	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
12.5	DALLAS TEX GO 5% 02/15/31-CA FA GO REF AND IMPT BDS 2020 A LT BEO	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
12.6	Line Intentionally Left Blank				
12.7	GOLDMAN SACHS BANK USA DEPOSIT (BDA)	N/A	$1,001 - $15,000	Interest	$201 - $1,000
12.8	HARRIS COUNTY, TEXAS REV 5.0000% 08/15/28-CA FA TOLL RD SER LIEN REV AND REF BONDS, SER 2018A BEO	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
12.9	INDIANA ST FIN AUTH REV REV 5% 02/01/28 FA ST REVOLVING FD REF BDS 2017 C GREEN BOND BEO	N/A	$1,001 - $15,000	Interest	$201 - $1,000
12.10	INDIANAPOLIS IND LOC PUB IMPT REV 5% 01/01/27 JJ BD BK INDYROADS REV BDS 2019 E BEO	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
12.11	ITASCA CNTY MINN INDPT SCH GO 5% 02/01/28-CA FA DIST NO 318 GO SCH BLDG BDS 2018 A UT BEO	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
12.12	LEON CNTY FLA SCH DIST SALES REV 5% 09/01/24-CA MS TAX REV SALES TAX REV BDS 2014 BEO SR LIEN	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.13	MASSACHUSETTS ST GO 5% 09/01/29 MS REF BDS 2018C UT BEO	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
12.14	NOH WE IND EM SCH BG CP REV 5% 01/15/30 JJ AD VALOREM PPTY TAX FIRST MTG BDS 2020 BEO	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
12.15	Line Intentionally Left Blank				
12.16	ORANGE CNTY FLA CAP IMPT REV REV 5.2500% 10/01/22 AO REV REF BDS 2009 BEO	N/A	None (or less than $1,001)	Interest	$201 - $1,000
12.17	ROBERTSON CNTY TENN GO 5% 05/01/29 MN GO UNLTD BDS 2019 UT BEO	N/A	$15,001 - $50,000	Interest	$201 - $1,000
12.18	SALT RIV PROJ AGRIC IMPT & PWR REV 5% 01/01/31-CA JJ DIST ARIZ ELEC SYS REV BDS 2019 A BEO	N/A	$1,001 - $15,000	Interest	$201 - $1,000
12.19	SOUTH GIBSON IND SCH BLDG CORP REV 5% 01/10/28-CA JJ AD VALOREM PPTY TAX FIRST MTG REF BDS 2016 BEO	N/A	$1,001 - $15,000	Interest	$201 - $1,000
12.20	STATE OF CONNECTICUT GO 5.0000% 03/15/26-CA MS GENERAL OBLIGATION BONDS BEO	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
12.21	TAMPA FLA UTIL TAX REV 5% 10/01/23-CA AO UTIL TAX REV BDS 2012B BEO	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
12.22	WOODHAVEN BROWNSTOWN MICH SCH GO 5.0000% 05/01/26 MN GO ULTD TAX SCH BLDG AND SITE REF BDS 2016 UT BEO	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
12.23	Line Intentionally Left Blank				
12.24	AVON IND CMNTY SCH BLDG CORP REV 5% 07/15/31 JJ AD VALOREM PPTY TAX FIRST MTG BDS 2021 BEO	N/A	$1,001 - $15,000	Interest	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.25	HAMILTON SOUTHEASTN IND CONS S REV 3% 01/15/24 JJ AS VALOREM PPTY TAX FIRST MTG REF BDS 2017 BEO	N/A	$15,001 - $50,000	Interest	$201 - $1,000
12.26	PURDUE UNIV IND CTFS PARTN COPS 5% 07/01/24 JJ COPS 2016 A CERTS PARTN BEO	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
12.27	CROWN POINT IND MULTI-SCH BLDG REV 5% 07/15/33-CA JJ AD VALOREM PPTY TAX FIRST MTG BDS 2021 BEO MSF 01/15/33	N/A	$1,001 - $15,000	Interest	$201 - $1,000
12.28	HAMILTON CNTY IND GO 4% 01/15/31 JJ GO REF AND PROJ BDS 2021 LT BEO	N/A	$1,001 - $15,000		None (or less than $201)
12.29	INDIANA ST FIN AUTH REV REV 5% 02/01/32 FA FD PROGRAM BDS 2022B GREEN BOND BEO	N/A	$15,001 - $50,000	Interest	$201 - $1,000
12.30	MARICOPA CNTY ARIZ UNI SCH GO 5% 07/01/28 JJ DIST NO 80 CHANDLER IMPT BDS PROJ 2019 2020 A UT BEO	N/A	$1,001 - $15,000		None (or less than $201)
12.31	VERMONT ST GO 5% 08/15/31 FA GO BDS 2021A UT BEO	N/A	$1,001 - $15,000	Interest	$201 - $1,000
13	Line Intentionally Left Blank				
13.1	Line Intentionally Left Blank				
13.2	Line Intentionally Left Blank				
13.3	Line Intentionally Left Blank				
13.4	Line Intentionally Left Blank				
13.5	Line Intentionally Left Blank				
13.6	Line Intentionally Left Blank				
13.7	Line Intentionally Left Blank				
13.8	Line Intentionally Left Blank				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.9	Line Intentionally Left Blank				
13.10	Line Intentionally Left Blank				
13.11	Line Intentionally Left Blank				
13.12	Line Intentionally Left Blank				
13.13	Line Intentionally Left Blank				
13.14	Line Intentionally Left Blank				
13.15	Line Intentionally Left Blank				
13.16	Line Intentionally Left Blank				
13.17	Line Intentionally Left Blank				
13.18	Line Intentionally Left Blank				
13.19	Line Intentionally Left Blank				
13.20	Line Intentionally Left Blank				
13.21	Line Intentionally Left Blank				
13.22	Line Intentionally Left Blank				
13.23	Line Intentionally Left Blank				
13.24	Line Intentionally Left Blank				
13.25	Line Intentionally Left Blank				
13.26	Line Intentionally Left Blank				
13.27	Line Intentionally Left Blank				
13.28	Line Intentionally Left Blank				
13.29	Line Intentionally Left Blank				
13.30	Line Intentionally Left Blank				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.31	Line Intentionally Left Blank				
13.32	Line Intentionally Left Blank				
13.33	Line Intentionally Left Blank				
13.34	Line Intentionally Left Blank				
13.35	Line Intentionally Left Blank				
13.36	Line Intentionally Left Blank				
13.37	Line Intentionally Left Blank				
13.38	Line Intentionally Left Blank				
13.39	Line Intentionally Left Blank				
13.40	Line Intentionally Left Blank				
13.41	Line Intentionally Left Blank				
13.42	Line Intentionally Left Blank				
13.43	Line Intentionally Left Blank				
13.44	Line Intentionally Left Blank				
13.45	Line Intentionally Left Blank				
13.46	Line Intentionally Left Blank				
13.47	Line Intentionally Left Blank				
13.48	Line Intentionally Left Blank				
13.49	Line Intentionally Left Blank				
13.50	Line Intentionally Left Blank				
13.51	Line Intentionally Left Blank				
13.52	Line Intentionally Left Blank				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.53	Line Intentionally Left Blank				
13.54	Line Intentionally Left Blank				
13.55	Line Intentionally Left Blank				
13.56	Line Intentionally Left Blank				
13.57	Line Intentionally Left Blank				
13.58	Line Intentionally Left Blank				
14	Brokerage Acct #7	No			
14.1	U.S. BROKERAGE SWEEP/CASH DEPOSIT ACCOUNT	N/A	$1,001 - $15,000		None (or less than $201)
14.2	Line Intentionally Left Blank				
14.3	Line Intentionally Left Blank				
14.4	ROSS STORES INC (ROST)	N/A	$1,001 - $15,000		None (or less than $201)
14.5	WALT DISNEY COMPANY (DIS)	N/A	$1,001 - $15,000		None (or less than $201)
14.6	Line Intentionally Left Blank				
14.7	TECHNOLOGY SELECT SECTOR SPDR FUND (XLK)	Yes	$15,001 - $50,000		None (or less than $201)
15	Brokerage Acct #8	No			
15.1	CHASE DEPOSIT SWEEP (QACDS)	N/A	$50,001 - $100,000		None (or less than $201)
15.2	ARCONIC CORPORATION (ARNC)	N/A	$1,001 - $15,000		None (or less than $201)
15.3	Line Intentionally Left Blank				
15.4	Line Intentionally Left Blank				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.5	HEICO CORPORATION (HEIA)	N/A	$1,001 - $15,000		None (or less than $201)
15.6	Line Intentionally Left Blank				
15.7	PFIZER INC (PFE)	N/A	None (or less than $1,001)	Dividends Capital Gains	$1,001 - $2,500
15.8	Line Intentionally Left Blank				
15.9	HEALTH CARE SELECT SECTOR SPDR FUND (XLV)	N/A	$15,001 - $50,000		$201 - $1,000
15.10	TECHNOLOGY SELECT SECTOR SPDR FUND (XLK)	N/A	$15,001 - $50,000		$201 - $1,000
15.11	Line Intentionally Left Blank				
15.12	Line Intentionally Left Blank				
15.13	Line Intentionally Left Blank				
15.14	TESLA, INC. CMN (TSLA)	N/A	$50,001 - $100,000		None (or less than $201)
15.15	Line Intentionally Left Blank				
15.16	ULTA BEAUTY INC (ULTA)	N/A	$1,001 - $15,000		None (or less than $201)
15.17	VISTA OUTDOOR INC (VSTO)	N/A	$1,001 - $15,000		None (or less than $201)
15.18	Line Intentionally Left Blank				
15.19	Line Intentionally Left Blank				
15.20	Line Intentionally Left Blank				
16	Line Intentionally Left Blank				
17	IRA #7	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.1	JPMorgan Linked Note linked to S&P 500 Index	N/A	$100,001 - $250,000		None (or less than $201)
17.2	JPMorgan Linked Note linked to Russell 2000 Index	N/A	$100,001 - $250,000		None (or less than $201)
17.3	JPMorgan Linked Note linked to MSCI EAFE ETF Index	N/A	$100,001 - $250,000		None (or less than $201)
18	Brokerage Acct #9	No			
18.1	FIDELITY GOVERNMENT CASH RESERVES (FDRXX)	Yes	$1,001 - $15,000		None (or less than $201)
18.2	Line Intentionally Left Blank				
18.3	ISHARES MSCI EUROZONE ETF (EZU)	Yes	$50,001 - $100,000		$1,001 - $2,500
18.4	ISHARES MSCI PACIFIC EX JAPAN ETF (EPP)	Yes	$15,001 - $50,000		$1,001 - $2,500
18.5	ISHARES S&P 100 INDEX FUND (OEF)	Yes	$1,001 - $15,000		$201 - $1,000
18.6	ISHARES S&P 500 (IVV)	Yes	$100,001 - $250,000		$5,001 - $15,000
18.7	ISHARES S&P 500 GROWTH ETF (IVW)	Yes	$50,001 - $100,000		$1,001 - $2,500
18.8	ISHARES S&P 500 VALUE ETF (IVE)	Yes	$50,001 - $100,000		$2,501 - $5,000
18.9	ISHARES S&P MIDCAP 400 INDEX FUND (IJH)	Yes	$15,001 - $50,000		$201 - $1,000
18.10	ISHARES CORE S&P SMALL-CAP E (IJR)	Yes	$15,001 - $50,000		$201 - $1,000
18.11	ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.12	ISHARES MSCI JAPAN ETF (EWJ)	Yes	$15,001 - $50,000		None (or less than $201)
18.13	ISHARES CORE DIVIDEND GROWTH ETF (DGRO)	Yes	$15,001 - $50,000		$201 - $1,000
18.14	ISHARES MSCI UNITED KINGDOM ETF (EWU)	Yes	$15,001 - $50,000		$201 - $1,000
18.15	VANGUARD FTSE EUROPE INDEX FUND ETF SHARES (VGK)	Yes	$1,001 - $15,000		None (or less than $201)
18.16	Line Intentionally Left Blank				
18.17	Line Intentionally Left Blank				
18.18	Line Intentionally Left Blank				
18.19	Line Intentionally Left Blank				
18.20	Line Intentionally Left Blank				
18.21	Line Intentionally Left Blank				
18.22	Line Intentionally Left Blank				
18.23	Line Intentionally Left Blank				
19	MetLife Whole Life	N/A	None (or less than $1,001)		None (or less than $201)
20	Pacific Life Universal Life	N/A	$50,001 - $100,000		None (or less than $201)
21	VA 529 Plan	No		Cash payments	$95,223
21.1	2018 Portfolio	Yes	$1,001 - $15,000		None (or less than $201)
22	Personal loan to family member	N/A	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23	US BANK ACCT #7 (checking & savings)	N/A	$100,001 - $250,000		None (or less than $201)
24	US BANK ACCT #8 (checking & savings)	N/A	$100,001 - $250,000		None (or less than $201)
25	US BANK ACCT #9 (checking and savings)	N/A	$500,001 - $1,000,000	Interest	$2,501 - $5,000
26	US BANK ACCT #10 (savings)	N/A	$500,001 - $1,000,000	Interest	$2,501 - $5,000
27	US BANK ACCT #11 (checking and savings)	N/A	$500,001 - $1,000,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	ORANGE CNTY FLA CAP IMPT REV REV 5.2500% 10/01/22 AO REV REF BDS 2009 BEO	Sale	10/01/2022	$1,001 - $15,000
2	ORANGE CNTY FLA CAP IMPT REV REV 5.2500% 10/01/22 AO REV REF BDS 2009 BEO	Sale	08/31/2022	$15,001 - $50,000
3	HAMILTON CNTY IND GO 4% 01/15/31 JJ	Purchase	11/17/2022	$1,001 - $15,000
4	VERMONT ST GO 5% 08/15/31 FA	Purchase	07/06/2022	$1,001 - $15,000
5	ISHARES MSCI EUROZONE ETF (EZU)	Purchase	06/16/2022	$1,001 - $15,000
6	ISHARES S&P 500 GROWTH ETF (IVW)	Purchase	06/16/2022	$1,001 - $15,000
7	AMERICAN BEACON AHL MNGD FUTURES STRAT Y (Dividend reinvestment)	Purchase	12/22/2022	$1,001 - $15,000
8	AMERICAN BEACON AHL MNGD FUTURES STRAT Y (Dividend reinvestment)	Purchase	12/22/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
9	AMERICAN BEACON AHL MNGD FUTURES STRAT Y (Dividend reinvestment)	Purchase	12/22/2022	$1,001 - $15,000
10	AKRE FOCUS FUND INSTL	Purchase	06/14/2022	$1,001 - $15,000
11	AMERICAN BEACON AHL MNGD FUTURES STRAT Y	Purchase	11/15/2022	$1,001 - $15,000
12	AMERICAN BEACON AHL MNGD FUTURES STRAT Y	Purchase	03/31/2022	$1,001 - $15,000
13	AMERICAN BEACON AHL MNGD FUTURES STRAT Y	Purchase	03/22/2022	$1,001 - $15,000
14	AMERICAN BEACON AHL MNGD FUTURES STRAT Y	Purchase	02/17/2022	$15,001 - $50,000
15	ARTISAN FOCUS FUND INSTL	Purchase	06/14/2022	$1,001 - $15,000
16	JOHCM INTERNATIONAL SELECT INSTL	Purchase	06/14/2022	$1,001 - $15,000
17	AMERICAN BEACON AHL MNGD FUTURES STRAT Y	Sale	06/14/2022	$1,001 - $15,000
18	AQR MANAGED FUTURES FUND CL I	Sale	02/17/2022	$1,001 - $15,000
19	ARTISAN FOCUS FUND INSTL	Sale	11/15/2022	$1,001 - $15,000
20	BNY MELLON GLOBAL REAL RETURN I	Sale	11/15/2022	$1,001 - $15,000
21	CHIRON CAPITAL ALLOCATION FUND CL I	Sale	11/15/2022	$1,001 - $15,000
22	LEGG MASON WESTERN ASSET MACRO OPP I	Sale	02/17/2022	$1,001 - $15,000
23	JOHN HANCOCK INCOME FUND CLASS I	Sale	03/22/2022	$1,001 - $15,000
24	CALAMOS MARKET NEUTRAL INCOME CL I	Sale	11/15/2022	$1,001 - $15,000
25	AKRE FOCUS FUND INSTL	Sale	11/15/2022	$1,001 - $15,000
26	RIVER CANYON TOTAL RETURN BOND INSTL	Sale	03/31/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
27	JOHCM INTERNATIONAL SELECT INSTL	Sale	11/15/2022	$1,001 - $15,000
28	ISHARES INC MSCI PAC JP ETF	Purchase	11/08/2022	$1,001 - $15,000
29	ISHARES INC MSCI JPN ETF NEW	Purchase	11/08/2022	$1,001 - $15,000
30	ISHARES MSCI EUROZONE ETF	Purchase	11/08/2022	$1,001 - $15,000
31	ISHARES MSCI EUROZONE ETF	Purchase	06/16/2022	$1,001 - $15,000
32	ISHARES INC CORE MSCI EMERGING MKTS ETF	Purchase	11/08/2022	$1,001 - $15,000
33	VANGUARD INTL EQUITY INDEX FDS FTSE EUROPE ETF	Purchase	11/08/2022	$1,001 - $15,000
34	ISHARES TR MSCI UK ETF NEW	Sale	11/08/2022	$1,001 - $15,000
35	ISHARES S&P 500 VALUE ETF	Sale	11/08/2022	$1,001 - $15,000
36	ISHARES CORE S&P 500 ETF	Sale	11/08/2022	$1,001 - $15,000
37	ISHARES S&P 500 GROWTH ETF	Sale	11/08/2022	$1,001 - $15,000
38	VANGUARD WORLD FUND INTL GROWTH (VWILX) (Dividend Reinvestment)	Purchase	12/15/2022	$1,001 - $15,000
39	JPMORGAN TR I LARGE CAP VALUE FD CL (JLVMX) (Dividend Reinvestment)	Purchase	12/14/2022	$1,001 - $15,000
40	ARTISAN PARTNERS FDS INC INTL VALUE FD (APHKX)	Sale	11/18/2022	$1,001 - $15,000
41	COLUMBIA FDS SER TR I GLBL TECHNOLOGY GROWTH FS INSTIL (CGUTX)	Purchase	11/18/2022	$1,001 - $15,000
42	ISHARES CORE S&P MID CAP ETF (IJH)	Sale	11/18/2022	$1,001 - $15,000
43	JP MORGAN SHORT DURATION FUND (JSDRX)	Sale	11/18/2022	$1,001 - $15,000
44	ARTISAN PARTNERS FDS INC INTL VALUE FD (APHKX)	Sale	09/01/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
45	DELAWARE GROUP GLOBAL & ILFDS (DEMZX)	Sale	09/01/2022	$15,001 - $50,000
46	ISHARES RUSSELL 2000 ETF (IWM)	Purchase	09/01/2022	$15,001 - $50,000
47	ISHARES CORE S&P MID CAP ETF (IJH)	Purchase	09/01/2022	$15,001 - $50,000
48	ISHARES TRUST ISHARES INTERNATIONAL SELECT DIVIDEND ETF	Purchase	09/01/2022	$15,001 - $50,000
49	JPMORGAN TR II MID CAP GROWTH FD CL (JMGMX)	Sale	09/01/2022	$15,001 - $50,000
50	JPMORGAN TR I LARGE CAP GRUWTH FD CL (JLGMX)	Sale	09/01/2022	$15,001 - $50,000
51	JPMORGAN TR I LARGE CAP VALUE FD CL (JLVMX)	Purchase	09/01/2022	$15,001 - $50,000
52	JP MORGAN EXHANGE TRADED FUND (JEPI)	Purchase	09/01/2022	$15,001 - $50,000
53	VANGUARD WORLD FUND INTL GROWTH (VWILX)	Sale	09/01/2022	$15,001 - $50,000
54	ALLSPRING DISCOVERY SMID CAPGROWTH FUND (WFDRX)	Sale	09/01/2022	$15,001 - $50,000
55	DELAWARE GROUP GLOBAL & INTLFDS EMERGING MARKETS (DEMZX)	Purchase	08/19/2022	$1,001 - $15,000
56	DODGE & COX INCOME FUND INVESTOR (DODIX)	Purchase	08/19/2022	$1,001 - $15,000
57	JPMORGAN TR II MID CAP GROWTH FD CL (JMGMX)	Sale	08/19/2022	$1,001 - $15,000
58	JPMORGAN TR I LARGE CAP GROWTH (JLGMX)	Sale	08/19/2022	$1,001 - $15,000
59	JPMORGAN TR I JPMORGAN SHORT DURATION CORE (JSDRX)	Purchase	08/19/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
60	PIMCO TOTAL RETURN ESG FUND CLASS (PTSAX)	Purchase	08/19/2022	$1,001 - $15,000
61	SELECT SECTOR SPDR TRUST (XLK)	Sale	08/19/2022	$1,001 - $15,000
62	VANGUARD FIXED INCOME SECS INTER TERM (VFIDX)	Purchase	08/19/2022	$1,001 - $15,000
63	VANGUARD BOND INDEX FD INC TOTAL BD (VBTLX)	Purchase	08/19/2022	$1,001 - $15,000
64	VANGUARD SPECIALIZED FUNDS (VIG)	Sale	08/19/2022	$1,001 - $15,000
65	ALLSPRING DISCOVERY SMID CAPGROWTH FUND (WFDRX)	Sale	08/19/2022	$1,001 - $15,000
66	DELAWARE GROUP GLOBAL (DEMZX)	Purchase	05/20/2022	$1,001 - $15,000
67	DODGE & COX INCOME FUND INVESTOR (DODIX)	Sale	05/20/2022	$1,001 - $15,000
68	FEDERATED HRMS INSTIL (FIHLX)	Sale	05/20/2022	$1,001 - $15,000
69	ISHARES IBOXX $ HIGH YIELD CORPORATE BOND ETF (HYG)	Sale	05/20/2022	$1,001 - $15,000
70	JPMORGAN TR II MID CAP GROWTH FD CL (JMGMX)	Purchase	05/20/2022	$1,001 - $15,000
71	JP MORGAN SHORT DURATION FUND (JSDRX)	Sale	05/20/2022	$1,001 - $15,000
72	PIMCO TOTAL RETURN ESG FUND CLASS (PTSAX)	Sale	05/20/2022	$1,001 - $15,000
73	VANGUARD FIXED INCOME SECS INTER TERM (VFIDX)	Sale	05/20/2022	$1,001 - $15,000
74	VANGUARD WORLD FUND INTL GROWTH (VWILX)	Purchase	05/20/2022	$1,001 - $15,000
75	VANGUARD BOND INDEX FD INC TOTAL BD (VBTLX)	Sale	05/20/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
76	VANGUARD SPECIALIZED FUNDS (VIG)	Sale	05/20/2022	$1,001 - $15,000
77	ALLSPRING DISCOVERY SMID CAPGROWTH FUND (WFDRX)	Purchase	05/20/2022	$1,001 - $15,000
78	DELAWARE GROUP GLBL & INTLFDS (DEMZX)	Sale	02/18/2022	$1,001 - $15,000
79	DODGE & COX INCOME FUND INVESTOR (DODIX)	Sale	02/18/2022	$1,001 - $15,000
80	FEDERATED HRMS INSTIL (FIHLX)	Sale	02/18/2022	$1,001 - $15,000
81	ISHARES IBOXX $ HIGH YIELD CORPORATE BOND ETF (HYG)	Sale	02/18/2022	$1,001 - $15,000
82	JPMORGAN TR II MID CAP GROWTH FD CL (JMGMX)	Purchase	02/18/2022	$1,001 - $15,000
83	JPMORGAN TR I LARGE CAP GROWTH (JLGMX)	Purchase	02/18/2022	$1,001 - $15,000
84	JPMORGAN TR I JPMORGAN SHORT DURATION CORE (JSDRX)	Sale	02/18/2022	$1,001 - $15,000
85	PIMCO TOTAL RETURN ESG FUND CLASS (PTSAX)	Sale	02/18/2022	$1,001 - $15,000
86	VANGAURD FIXED INCOME SECS INTER TERM INVT GRADE (VFIDX)	Sale	02/18/2022	$1,001 - $15,000
87	VANGUARD WORLD FUND INTL GROWTH (VWILX)	Purchase	02/18/2022	$1,001 - $15,000
88	VANGUARD BOND INDEX FD INC TOTAL BD (VBTLX)	Sale	02/18/2022	$1,001 - $15,000
89	VANGUARD SPECIALIZED FUNDS (VIG)	Sale	02/18/2022	$1,001 - $15,000
90	ALLSPRING DISCOVERY SMID CAPGROWTH FUND (WFDRX)	Purchase	02/18/2022	$1,001 - $15,000
91	ADVISORS EDGEWOOD GROWTH (EGFIX)	Purchase	01/24/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
92	ADVISORS EDGEWOOD GROWTH (EGFIX)	Purchase	03/04/2022	$1,001 - $15,000
93	ADVISORS EDGEWOOD GROWTH (EGFIX)	Purchase	05/20/2022	$1,001 - $15,000
94	HARTFORD MUT FDS INC (HMDFX)	Purchase	05/20/2022	$1,001 - $15,000
95	VANGUARD WORLD FUND (VWILX)	Purchase	01/24/2022	$1,001 - $15,000
96	VANGUARD WORLD FUND (VWILX)	Purchase	03/04/2022	$1,001 - $15,000
97	WCM FOCUSED INTERNATIONAL (WCMIX)	Purchase	01/24/2022	$1,001 - $15,000
98	WCM FOCUSED INTERNATIONAL (WCMIX)	Purchase	03/04/2022	$1,001 - $15,000
99	JP MORGAN TR II (JCBUX)	Purchase	08/19/2022	$1,001 - $15,000
100	CONSUMER DISCRETIONARY SELECT SECTOR SPDR FUND (XLY)	Purchase	05/20/2022	$1,001 - $15,000
101	Callaway (MODG)	Sale	12/30/2022	$1,001 - $15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Chase Mortgage	Mortgage on Personal Residence	$250,001 - $500,000	2020	2.5	5 year ARM
2	Goldman Sachs	Mortgage on Personal Residence	$500,001 - $1,000,000	2020	3.0	10 year ARM

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
