



BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM
WASHINGTON, DC 20551

August 7, 2026

Keith Sonderling, Acting Director
U.S. Office of Government Ethics
250 E Street, SW
Suite 750
Washington, DC 20024

Dear Acting Director Sonderling:

The purpose of this letter is to forward the attached amendments to Chairman Kevin Warsh's financial disclosure report dated February 25, 2026, and his Ethics Agreement dated April 10, 2026, and supplemented on April 17, 2026.

As the Alternate Designated Agency Ethics Official of the Board of Governors of the Federal Reserve System, I have reviewed the updated information contained in the amendments to his financial disclosure report and Ethics Agreement. Based upon my review of these and the prior commitments made by Chairman Warsh in his previously transmitted Ethics Agreement, I continue to believe that he is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

**MONICA
ASHAR**

Monica Ashar
Alternate Designated Agency Ethics Official

Digitally signed by MONICA
ASHAR
Date: 2026.08.07 08:02:28
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August 6, 2026

Mr. Sean Croston
Designated Agency Ethics Official
Board of Governors of the Federal Reserve System
Washington, DC 20551

Re: Amendment to the Financial Disclosure Report of Kevin Warsh

Dear Mr. Croston:

The purpose of this letter is to correct an inadvertent error in the financial disclosure report that I signed on February 25, 2026. In Part 3, the information reported about the lump-sum cash payment from UPS resulting from my vested phantom stock units was inadvertently inaccurate with respect to the timing of the valuation and had been oversimplified. In Part 2, I add the word “units” below to make the description of “vested phantom stock” consistent with the updated language in Part 3. The entries below reflect the correct information.

The items identified below are amended, as follows:

Part 2, Line 12.1

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.1	United Parcel Service, Inc., vested phantom stock units	N/A	\$1,000,001 - \$5,000,000	Dividends	\$50,001 - \$100,000

Part 3, Line 2

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	United Parcel Service, Inc., Deferred Compensation Plan for Vested Phantom Stock Units	Atlanta, Georgia	On the first day of the calendar month six months following my separation from UPS, I am due to receive a lump-sum cash payment equal to the value of my vested phantom stock units. The amount of this payment will be calculated by multiplying my phantom stock unit account balance by the New York Stock Exchange closing price of a share of UPS class B common stock on the third trading day prior to the payment date. My phantom stock unit account balance will include the value of any dividends declared on outstanding	7/2012

			shares of UPS class B common stock prior to the cash payment date. The value of such dividends will be credited to my phantom stock unit account balance, as of the applicable dividend payable date, in the form of additional phantom stock units. If I receive the lump-sum cash payment for my phantom stock units after a dividend record date, but prior to the corresponding dividend payable date, then I will receive a separate cash payment within 10 days following the dividend payment date. The amount of such payment shall be equal to the per-share dividend payment multiplied by the number of phantom stock units in my phantom stock unit account balance on the dividend record date. If I hold a position with UPS on the first day of the quarter, I am entitled to receive a director retainer payment for that quarter approximately five weeks later. The payment is calculated based on the New York Stock Exchange closing price of a share of UPS class B common stock on the day of the payment. The number of phantom stock units I receive will be determined by dividing my quarterly director retainer payment by that day's closing stock price.	
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I understand that this amendment will be attached to my financial disclosure report and released upon request with that report.

Sincerely,



Kevin M. Warsh

Report Type: Nominee Report

Year (Annual Report only):

Date of Appointment:

Date of Termination:

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Warsh , Kevin

Chairman and Member, Board of Governors of the Federal Reserve System

Other Federal Government Positions Held During the Preceding 12 Months:

Panel of Economic Advisors - Advisor, Congressional Budget Office (6/2018 - Present)

Names of Congressional Committees Considering Nomination:

- Committee on Banking, Housing, and Urban Affairs
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Warsh , Kevin [electronically signed on 02/25/2026 by Warsh , Kevin in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Croston, Sean, Certifying Official [electronically signed on 04/10/2026 by Croston, Sean in Integrity.gov]

Other review conducted by
/s/ Croston, Sean, Ethics Official [electronically signed on 04/10/2026 by Croston, Sean in Integrity.gov]

U.S. Office of Government Ethics Certification
/s/ Jones, Heather A, Certifying Official [electronically signed on 04/10/2026 by Jones, Heather A in Integrity.gov]

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(04/10/2026, Jones, Heather A): OGE is certifying that the report is in compliance with the Ethics in Government Act (See 5 U.S.C. §§13101 et seq.) and 5 CFR Part 2634 for all lines except Part 2, Line 23, 25-53, 55-85, 86.3, and 87. Once the filer divests these assets, he will be in compliance with the EIGA and Part 2634 for this report. (HAJ - 4/10/26)

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Vicarage LLC	Palm Beach, Florida	Limited Liability Company	Sole Member	4/2011	Present
2	Vicarage Corporation	Palm Beach, Florida	Corporation	President & CEO	10/2018	Present
3	Thaddeus Alistair, LLC	Wilmington, Delaware	Limited Liability Company	Sole Member	7/2023	Present
4	Vicarage Stable, LLC	Wilmington, Delaware	Limited Liability Company	General Partner	3/2023	Present
5	Family Trust #4 (underlying asset not reportable)	Washington, District of Columbia	Trust	Trustee	6/2006	Present
6	233 Barton LLC	Newark, Delaware	Limited Liability Company	Sole Member	7/2015	Present
7	Stanford University: Hoover Institution & Graduate School of Business	Palo Alto, California	University/College	Shepard Family Distinguished Visiting Fellow & Dean's Visiting Scholar	5/2011	Present
8	United Parcel Service, Inc.	Atlanta, Georgia	Corporation	Director	7/2012	Present
9	Bessemer Securities Corporation	New York, New York	Corporation	Director	5/2013	Present
10	Coupang Inc	Seattle, Washington	Corporation	Director	10/2019	Present
11	GoldenTree Asset Management LP	Palm Beach, Florida	Limited Partnership	Consultant	11/2011	Present
12	Heitman LLC	Chicago, Illinois	Limited Liability Company	Consultant	6/2011	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
13	Duquesne Family Office, LLC	New York, New York	Limited Liability Company	Advisor	4/2011	Present
14	Cerberus Capital Management	New York, New York	Limited Partnership	Consultant	4/2015	Present
15	The Center for Global Enterprise	New York, New York	Non-Profit	Director	6/2013	Present
16	Friends of the Washington Statue Foundation	McLean, Virginia	Non-Profit	Director	4/2024	Present
17	Group of 30	Washington, District of Columbia	Non-Profit	Member and Trustee	1/2025	Present
18	Estate of JPW	New York, New York	Estate	Executor	9/2022	9/2024
19	PDQ Automotive BD	Rotterdam, Netherlands, Outside U.S.	Corporation	Board Observer	10/2021	12/2024
20	Seminole Golf Club	Juno Beach, Florida	Non-Profit	Director	3/2021	Present
21	Aven Holdings, Inc.	Campbell, California	Corporation	Member, Advisory Board	1/2024	Present
22	Bessemer Securities LLC	New York, New York	Limited Liability Company	Director	5/2013	Present
23	Bonnie Blink Management, LLC	New York, New York	Limited Liability Company	Director	12/2025	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Vicarage LLC (personal advisory services firm)	No			
1.1	U.S. bank #1 Savings #1 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.2	U.S. bank #1 Checking #2 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.3	JPMorgan 100% U.S. Treasury Securities Money Market Fund	Yes	\$1,000,001 - \$5,000,000		\$2,501 - \$5,000
1.4	U.S. bank #2 Checking #1 (cash)	N/A	\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500
1.5	U.S. bank #2 Checking #2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.6	Tekne Private Ventures III LP	No	\$100,001 - \$250,000		None (or less than \$201)
1.6.1	Ola Consumer (ride hailing company)	N/A			
1.6.2	DiDi (ride hailing company)	N/A			
1.7	Goat Growth Fund II LP	No	\$100,001 - \$250,000		None (or less than \$201)
1.7.1	Xendit (payment platform)	N/A			
1.8	GoldenTree	N/A		Consulting fees	\$1,550,000
1.9	Heitman	N/A		Consulting fees	\$650,000
1.10	Cerberus	N/A		Consulting fees	\$750,000
1.11	Duquesne Family Office	N/A		Consulting fees	\$10,200,000
2	Stanford University				
2.1	Hoover Institution	N/A		Salary	\$150,000
2.2	Graduate School of Business	N/A		Salary	\$20,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Bessemer Securities Corporation	N/A		Director Fees paid in Cash	\$123,456
4	TPG Inc. - 01/28/2025	N/A		Honorarium	\$90,000
5	BTG Pactual - 02/26/2025	N/A		Honorarium	\$67,500
6	Pension Real Estate Association - 03/25/2025	N/A		Honorarium	\$135,000
7	Strategas Research Partners - 04/02/2025	N/A		Honorarium	\$54,000
8	Warburg Pincus - 05/15/2025	N/A		Honorarium	\$90,000
9	State Street Bank and Trust Company - 06/04/2025	N/A		Honorarium	\$135,000
10	Eli Lilly and Company - 06/16/2025	N/A		Honorarium	\$122,500
11	Centerview Partners LLC - 06/24/2025	N/A		Honorarium	\$90,000
12	United Parcel Service, Inc. (UPS)				
12.1	United Parcel Service Inc., vested phantom stock	N/A	\$1,000,001 - \$5,000,000	Dividends	\$50,001 - \$100,000
12.2	United Parcel Service, Inc., vested restricted stock units	N/A	\$1,000,001 - \$5,000,000	Dividends	\$100,001 - \$1,000,000
13	Aven Holdings, Inc. (digital financial services)				
13.1	Aven Holdings, Inc., vested stock options	N/A	\$250,001 - \$500,000		None (or less than \$201)
13.2	Aven Holdings, Inc., stock options (value not readily ascertainable): 18,166 unvested shares, strike \$4.72, vest 1/48 monthly (of original 37,910), exp. 2/2034	N/A			None (or less than \$201)
14	Brevan Howard - 2/18/2025, 4/3/2025, 7/7/2025	N/A		Honoraria	\$750,000
15	Coupang Inc.				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.1	Coupage Inc. (Class A Common Stock (CPNG))	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
15.2	Coupage Inc. - unvested restricted stock units	N/A	\$100,001 - \$250,000		None (or less than \$201)
16	Roll-Over IRA	No			
16.1	Vanguard Federal Money Market Fund	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
17	SEP-IRA	No			
17.1	Vanguard 500 Index Fund Admiral Shares	Yes	\$250,001 - \$500,000		None (or less than \$201)
17.2	Vanguard Federal Money Market Fund	Yes	\$250,001 - \$500,000		None (or less than \$201)
18	Bessemer Securities LLC (private investment company)	N/A		Director fees paid in cash	\$70,140
19	Bonnie Blink Management, LLC (private management company)	N/A		Director Fees paid in cash	\$8,400
20	Bessemer Venture Associates VIII, LP (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	No	\$500,001 - \$1,000,000		None (or less than \$201)
21	Bessemer Venture Associates XI LP (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	No	\$1,000,001 - \$5,000,000	Capital Gains	\$50,001 - \$100,000
22	Bessemer Venture Associates XII LP (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	No	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23	DCM Investments 9 LLC (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$250,001 - \$500,000	Net Capital Distribution	\$363,367
24	DCM Investments 10 LLC		No	\$250,001 - \$500,000		None (or less than \$201)
24.1	Abstract Holdings LLC		No			
24.1.1	AppOnboard (No-code game development platform)		N/A			
24.1.2	AutoHub (Automotive appraisal and lead generation)		N/A			
24.1.3	AvantStay (Group vacation rental platform)		N/A			
24.1.4	Bestow Life Insurance (Digital life insurance infrastructure)		N/A			
24.1.5	Blast (Yield-generating Ethereum layer two)		N/A			
24.1.6	Block Renovation (Home renovation marketplace platform)		N/A			
24.1.7	Brave (Privacy-focused ad-blocking browser)		N/A			
24.1.8	Upbound (Consumer lending and financial app)		N/A			
24.1.9	Cafe X (Robotic coffee bar platform)		N/A			
24.1.10	Cherry (Healthcare financing payment platform)		N/A			
24.1.11	Cionic (Bionic movement-enhancing wearable clothing)		N/A			
24.1.12	Clay (AI go-to-market data platform)		N/A			
24.1.13	Cognition IP (Tech-enabled patent law firm)		N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.14	Compound (Algorithmic crypto money markets)	N/A			
24.1.15	Contraline (Reversible male contraceptive solution)	N/A			
24.1.16	Craft.co (Supplier intelligence and risk platform)	N/A			
24.1.17	Nice Healthcare (Integrated virtual primary care)	N/A			
24.1.18	Drip (SME trade finance platform)	N/A			
24.1.19	dYdX (Decentralized derivatives trading exchange)	N/A			
24.1.20	Eridan (Efficient 5G radio technology)	N/A			
24.1.21	Fly.io (Global edge application hosting)	N/A			
24.1.22	Autograph (Fan loyalty and fitness platform)	N/A			
24.1.23	GrabrFi (USD banking for freelancers)	N/A			
24.1.24	HeatGenie (Self-heating packaging technology)	N/A			
24.1.25	Holloway (Expert-driven digital books platform)	N/A			
24.1.26	Leaf Logistics (Freight planning optimization platform)	N/A			
24.1.27	Locus Biosciences (CRISPR-based antibacterial therapeutics)	N/A			
24.1.28	Material Security (Cloud email security platform)	N/A			
24.1.29	Merit (Digital identity credential platform)	N/A			
24.1.30	People.ai (Revenue intelligence data platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.31	OSARO (AI warehouse robotics software)	N/A			
24.1.32	Parabola (No-code workflow automation platform)	N/A			
24.1.33	Polychain (Crypto investment firm)	N/A			
24.1.34	Tilt (Consumer lending platform)	N/A			
24.1.35	Price.com (AI price comparison platform)	N/A			
24.1.36	Rational Vaccines (Herpes vaccine development)	N/A			
24.1.37	RBC Signals (Satellite ground station network)	N/A			
24.1.38	Red Balloon Security (Embedded device cybersecurity platform)	N/A			
24.1.39	Render (Cloud deployment platform)	N/A			
24.1.40	Scalar Capital (Blockchain investment firm)	N/A			
24.1.41	Sheertex (Ultra-durable polymer tights)	N/A			
24.1.42	Simbi (Skill-trading marketplace platform)	N/A			
24.1.43	Squadstack (Conversational AI sales platform)	N/A			
24.1.44	Bluu Co (AI cardiology and maternity care)	N/A			
24.1.45	Snackpass (Restaurant social commerce platform)	N/A			
24.1.46	SPARK Neuro (EEG-based cognitive diagnostics)	N/A			
24.1.47	The AI Foundation (Personalized AI agents platform)	N/A			
24.1.48	Thryve (Microbiome testing and insights)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.49	Trusted Health (Nurse job marketplace platform)	N/A			
24.1.50	Wonderschool (Childcare business platform)	N/A			
24.1.51	Teambridge (Workforce scheduling optimization platform)	N/A			
24.1.52	AVF I	No			
24.1.52 .1	Aether Biomachines (ML-driven enzyme engineering)	N/A			
24.1.52 .2	AirGarage (Parking operations software platform)	N/A			
24.1.52 .3	Alloy (No-code ecommerce automation platform)	N/A			
24.1.52 .4	Alpaca (Financial assets API infrastructure)	N/A			
24.1.52 .5	Badge (Social commerce influencer platform)	N/A			
24.1.52 .6	Blaze (AI tool for solo teams)	N/A			
24.1.52 .7	Blooma (Bank loan underwriting software)	N/A			
24.1.52 .8	ChannelMeter (Creator media analytics platform)	N/A			
24.1.52 .9	ChartHop (HR organizational insights platform)	N/A			
24.1.52 .10	CloudTrucks (Trucking logistics management platform)	N/A			
24.1.52 .11	Connie Health (Medicare plan matching platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.52 .12	Crediwatch (AI credit risk analytics tools)	N/A			
24.1.52 .13	Dapper Labs (acq. OK Play) (Consumer digital assets platform)	N/A			
24.1.52 .14	Density (acquired Nashi) (Workplace space analytics platform)	N/A			
24.1.52 .15	Doppler (Developer secrets management platform)	N/A			
24.1.52 .16	Dover (Recruiting automation platform)	N/A			
24.1.52 .17	Ethyca (Data privacy automation software)	N/A			
24.1.52 .18	Garner Health (Employee healthcare matching platform)	N/A			
24.1.52 .19	Granica (AI data management platform)	N/A			
24.1.52 .20	Hebbia (AI knowledge work platform)	N/A			
24.1.52 .21	Index (Dashboard building platform)	N/A			
24.1.52 .22	Kikoff (Consumer credit building platform)	N/A			
24.1.52 .23	Kojo (Construction materials management software)	N/A			
24.1.52 .24	Landing Equity (Membership-based living platform)	N/A			
24.1.52 .25	LaunchDarkly (acquired Highlight) (Feature management software platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.52 .26	Lighter (Decentralized exchange protocol)	N/A			
24.1.52 .27	Lightning Network (Off-chain payment network)	N/A			
24.1.52 .28	Lindy (AI personal assistant)	N/A			
24.1.52 .29	Linq (Digital business card platform)	N/A			
24.1.52 .30	Maximus Health Inc. (Men's health platform)	N/A			
24.1.52 .31	Memo (Memorandum, inc.) (Media analytics platform)	N/A			
24.1.52 .32	Moov (Open banking infrastructure platform)	N/A			
24.1.52 .33	Mozart Data (Data stack automation platform)	N/A			
24.1.52 .34	Nomad Global (Global digital banking platform)	N/A			
24.1.52 .35	Nomad Homes (Real estate listings platform)	N/A			
24.1.52 .36	Origin (AI personal finance platform)	N/A			
24.1.52 .37	Otter (Childcare marketplace platform)	N/A			
24.1.52 .38	Outpace Bio (Protein design biotechnology platform)	N/A			
24.1.52 .39	PS Technology Holdings LLC (Popshop) (Livestream shopping platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.52 .40	Pattern Insurance (Embedded insurance platform)	N/A			
24.1.52 .41	Pietra (Creator commerce platform)	N/A			
24.1.52 .42	Playbook Digital (Visual Asset Management) (Creative asset management platform)	N/A			
24.1.52 .43	Playbook Technologies (Fitness Subscription) (Fitness subscription platform tools)	N/A			
24.1.52 .44	Ravn (Defense edge data platform)	N/A			
24.1.52 .45	Rive (Animation tools and marketplace)	N/A			
24.1.52 .46	SINAI Technologies (Carbon management software platform)	N/A			
24.1.52 .47	Second Front Systems (Government DevSecOps platform)	N/A			
24.1.52 .48	Standard Metrics (Startup financial reporting software)	N/A			
24.1.52 .49	Structure (Zaibatsu Heavy Industries) (Blockchain retail trading platform)	N/A			
24.1.52 .50	Supernova (Data intelligence platform)	N/A			
24.1.52 .51	Teambridge (Workforce scheduling software)	N/A			
24.1.52 .52	The Org (Organizational chart platform)	N/A			
24.1.52 .53	Tilled (Payfac infrastructure platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.52 .54	Tribe XR (VR skills training platform)	N/A			
24.1.52 .55	TrustLayer (Insurance certificate management platform)	N/A			
24.1.52 .56	Truv (Payroll verification API platform)	N/A			
24.1.52 .57	Unnatural Products (Computational drug discovery platform)	N/A			
24.1.52 .58	Voiceflow (Voice app creation platform)	N/A			
24.1.52 .59	Volt (AI physical security software)	N/A			
24.1.52 .60	Wanderlog (Travel planning platform)	N/A			
24.1.52 .61	Wesper (At-home sleep testing device)	N/A			
24.1.52 .62	WorkOS (Enterprise SaaS infrastructure platform)	N/A			
24.1.53	AVF II	No			
24.1.53 .1	Agora Brands (DTC Roll-Up Co) (Shopify brand aggregator platform)	N/A			
24.1.53 .2	Anzenna (Workflow-integrated cybersecurity platform)	N/A			
24.1.53 .3	Arc Boats (Electric marine vehicles manufacturer)	N/A			
24.1.53 .4	Arcana (Portfolio risk management platform)	N/A			
24.1.53 .5	Aware Health (Data-driven mental health network)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.53 .6	Bazar (Creator fashion commerce platform)	N/A			
24.1.53 .7	Bevel (Finerpoint Inc.) (Health tracking mobile app)	N/A			
24.1.53 .8	Bezel (Authenticated luxury watch marketplace)	N/A			
24.1.53 .9	BizzyCar (Dealership recall management software)	N/A			
24.1.53 .10	Bloom (Contour Labs) (Teen investing platform)	N/A			
24.1.53 .11	Caliza (Global USD banking platform)	N/A			
24.1.53 .12	Canvas (Canvas.xyz) (Decentralized computing platform)	N/A			
24.1.53 .13	Coworker AI (Web3 commerce infrastructure platform)	N/A			
24.1.53 .14	CreatorDAO (Creator investment platform)	N/A			
24.1.53 .15	Crossmint (Paella, Inc.) (NFT developer tools platform)	N/A			
24.1.53 .16	Cure (On-demand pharmacy delivery service)	N/A			
24.1.53 .17	DeSo (Social crypto network platform)	N/A			
24.1.53 .18	Deeptune, Inc. (AI simulation platform)	N/A			
24.1.53 .19	Deuna (Restaurant commerce operations platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.53 .20	Dupe (Product price comparison tool)	N/A			
24.1.53 .21	EarnBetter (Job search coaching platform)	N/A			
24.1.53 .22	Eulith (Crypto trading platform)	N/A			
24.1.53 .23	Flexbase (acquired Maza) (Business finance management platform)	N/A			
24.1.53 .24	Forte (LessonHome, Inc.) (Online music lessons platform)	N/A			
24.1.53 .25	Friends With Benefits (Web3 community platform)	N/A			
24.1.53 .26	Functional Finance (Insurance checkout financing platform)	N/A			
24.1.53 .27	Fuse Energy (Fusion energy development company)	N/A			
24.1.53 .28	HighFi (Lending financial operating system)	N/A			
24.1.53 .29	Honeycomb (acq Grit / Iuvo AI) (Distributed systems observability platform)	N/A			
24.1.53 .30	Hypermode (Generative AI development platform)	N/A			
24.1.53 .31	It's Good (Influencer travel discovery platform)	N/A			
24.1.53 .32	Krea (AI image generation platform)	N/A			
24.1.53 .33	Levro (Dojima, Inc.) (Multi-currency business banking platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.53 .34	Life Inc. (Insurance agent enablement platform)	N/A			
24.1.53 .35	Lindy (AI personal assistant)	N/A			
24.1.53 .36	MVMNT (Transportation management system platform)	N/A			
24.1.53 .37	Match Day (Play Time, Inc.) (Sports NFT collectibles platform)	N/A			
24.1.53 .38	Melange (Decentralized prediction market platform)	N/A			
24.1.53 .39	Methid (Life sciences observability platform)	N/A			
24.1.53 .40	Method Financial (Forward Lending, Inc.) (Debt data API platform)	N/A			
24.1.53 .41	Mirror (Game development platform)	N/A			
24.1.53 .42	Molten (Media cloud platform)	N/A			
24.1.53 .43	Motion (Marketing performance optimization platform)	N/A			
24.1.53 .44	Nivoda (Jewelry B2B marketplace platform)	N/A			
24.1.53 .45	Odyssey Energy (Clean infrastructure investment platform)	N/A			
24.1.53 .46	OnJuno (Nuo Capital PTE LTD.) (Crypto-enabled neobank platform)	N/A			
24.1.53 .47	OneSafe (DeFi data infrastructure platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.53 .48	Particle Intel (Post-onboarding risk monitoring software)	N/A			
24.1.53 .49	Partiful (Social event planning platform)	N/A			
24.1.53 .50	Passes (Creator monetization platform)	N/A			
24.1.53 .51	Percents (Customer loyalty rewards platform)	N/A			
24.1.53 .52	Polar (Open source sponsorship marketplace)	N/A			
24.1.53 .53	Polyfact (AI developer productivity platform)	N/A			
24.1.53 .54	Proton (PRM Solutions, Inc.) (AI sales platform)	N/A			
24.1.53 .55	Puller AI (Retail data analytics platform)	N/A			
24.1.53 .56	Quadrant Health (AI patient messaging platform)	N/A			
24.1.53 .57	Reflex (Python web app framework)	N/A			
24.1.53 .58	Ridian (Crypto portfolio automation platform)	N/A			
24.1.53 .59	Sandbox VR (Social virtual reality experiences)	N/A			
24.1.53 .60	Savvy Wealth (RIA management platform)	N/A			
24.1.53 .61	Selfbook (SIXAPP Inc.) (Hospitality payments platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.53 .62	SkyLink (DeFi portfolio management platform)	N/A			
24.1.53 .63	Sonera Magnetics, Inc. (Wearable interaction technology platform)	N/A			
24.1.53 .64	Steamship (Managed AI deployment platform)	N/A			
24.1.53 .65	Subtle Computing (Wearable AR input device)	N/A			
24.1.53 .66	Syft Data, Inc. (Data quality monitoring platform)	N/A			
24.1.53 .67	Taut AI (Advanced AI modeling platform)	N/A			
24.1.53 .68	Truffle Security (Credential leak detection platform)	N/A			
24.1.53 .69	Vana (Incentivized data collection platform)	N/A			
24.1.53 .70	Vapi (Voice AI development platform)	N/A			
24.1.53 .71	Verse Medical (Jetlenses Inc.) (Home medical supply platform)	N/A			
24.1.53 .72	Warp (FKA Poaster) (Pseudonymous social platform)	N/A			
24.1.53 .73	Whisper Aero (Quiet propulsion technology company)	N/A			
24.1.54	AVF III	No			
24.1.54 .1	Aaru (Predictive modeling research platform)	N/A			
24.1.54 .2	Adrenaline (AI code comprehension tool)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.54 .3	Anon (Automated internet identity layer)	N/A			
24.1.54 .4	Antioch (Autonomy simulation development platform)	N/A			
24.1.54 .5	Applied Labs (AI customer support agents)	N/A			
24.1.54 .6	Arena (SocialFi creator platform)	N/A			
24.1.54 .7	Arwin (Defense grant automation platform)	N/A			
24.1.54 .8	Astral AI (Browser-based marketing agents platform)	N/A			
24.1.54 .9	Audiogen (Audio generation research lab)	N/A			
24.1.54 .10	Bleu AI (AI animated storytelling platform)	N/A			
24.1.54 .11	BuildForever (Human-centric software products company)	N/A			
24.1.54 .12	Canticle Technologies (Identity and access platform)	N/A			
24.1.54 .13	Cast Insights (Spoken data intelligence platform)	N/A			
24.1.54 .14	Chance (Contact-based social discovery platform)	N/A			
24.1.54 .15	Charlie Labs (Audio search and indexing AI)	N/A			
24.1.54 .16	Cluely (Real-time AI assistant platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.54 .17	Comfy UI (No-code AI media platform)	N/A			
24.1.54 .18	Concrete Labs (VC portfolio intelligence platform)	N/A			
24.1.54 .19	Corner (Social discovery curation platform)	N/A			
24.1.54 .20	EyeTell (AI video creation platform)	N/A			
24.1.54 .21	Flashnet (Lightning Bitcoin trading platform)	N/A			
24.1.54 .22	Formal (Data governance and observability platform)	N/A			
24.1.54 .23	Friday Harbor (Loan underwriting automation platform)	N/A			
24.1.54 .24	Friend (Wearable AI companion device)	N/A			
24.1.54 .25	Glass Imaging (Computational photography platform)	N/A			
24.1.54 .26	Grand Charter (Legal AI solutions platform)	N/A			
24.1.54 .27	Hedra (3D generative video platform)	N/A			
24.1.54 .28	Hero (AI productivity assistant app)	N/A			
24.1.54 .29	Ironsides Technologies (Security incubation platform)	N/A			
24.1.54 .30	Jest (Messaging games marketplace)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.54 .31	Kinetic (Digital asset exchange platform)	N/A			
24.1.54 .32	Lind AI (Clinical trial matching platform)	N/A			
24.1.54 .33	LuzIA (Messaging AI assistant platform)	N/A			
24.1.54 .34	MagicPath (AI model fine-tuning platform)	N/A			
24.1.54 .35	Max AI (Medical coding AI platform)	N/A			
24.1.54 .36	Melrose (Supplier discovery data platform)	N/A			
24.1.54 .37	Mirror Physics (Drug discovery AI platform)	N/A			
24.1.54 .38	Omnistrate (Multi-cloud deployment platform)	N/A			
24.1.54 .39	Parakeet Security (Voice AI security layer)	N/A			
24.1.54 .40	Persona AI (Persistent AI personality platform)	N/A			
24.1.54 .41	Poesis (AI-driven investment platform)	N/A			
24.1.54 .42	Prompt AI (Visual data query platform)	N/A			
24.1.54 .43	Propel Platform (AI code review tool)	N/A			
24.1.54 .44	Reclip (Real-life social sharing app)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.54 .45	Revel (Hardware control software platform)	N/A			
24.1.54 .46	Rue Four (Medical aesthetics platform)	N/A			
24.1.54 .47	Santori Labs (Cognitive interface tools platform)	N/A			
24.1.54 .48	Sauron (AI home security system)	N/A			
24.1.54 .49	Scalar (API development platform)	N/A			
24.1.54 .50	Sesame AI (Voice companion AI platform)	N/A			
24.1.54 .51	Showrunner (AI content creation platform)	N/A			
24.1.54 .52	Sphere Semi (AI chip design platform)	N/A			
24.1.54 .53	Standard Intelligence (AGI research lab)	N/A			
24.1.54 .54	Statics (LLM tooling platform)	N/A			
24.1.54 .55	Status (Social simulation platform)	N/A			
24.1.54 .56	Tako (Knowledge card platform)	N/A			
24.1.54 .57	Tempest (Internal developer platform)	N/A			
24.1.54 .58	Tenzo (AI recruiting platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.54 .59	Texture (Energy grid networking platform)	N/A			
24.1.54 .60	Third Dimension (Spatial AI generation platform)	N/A			
24.1.54 .61	Tradespace (IP marketplace platform)	N/A			
24.1.54 .62	Vigil (Quant trading research platform)	N/A			
24.1.54 .63	Vital Biosciences (Accessible blood testing platform)	N/A			
24.1.54 .64	Volumetrics (Spatial web development platform)	N/A			
24.1.54 .65	Watney Robotics (Autonomous datacenter robotics)	N/A			
24.1.54 .66	Websim (Virtual world browser platform)	N/A			
24.1.54 .67	WiserAI (Sales coaching AI platform)	N/A			
24.1.54 .68	Wondera (AI voice transformation platform)	N/A			
24.1.54 .69	Woven (AI customer engagement platform)	N/A			
24.1.54 .70	Zero Gravity (Layer-2 AI blockchain platform)	N/A			
24.1.54 .71	AVS I, L.P.	See Endnote	No		
24.1.55	AVGF I	No			
24.1.55 .1	Acryl Data (Open-source data catalog platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.55 .2	Brave Software (Privacy-focused web browser)	N/A			
24.1.55 .3	CloudTrucks (Trucking logistics platform)	N/A			
24.1.55 .4	Databricks (acq. Neon) (Unified data and AI platform)	N/A			
24.1.55 .5	Density (acquired Nashi) (Workplace analytics platform)	N/A			
24.1.55 .6	Excision BioTherapeutics Inc. (Gene editing therapeutics company)	N/A			
24.1.55 .7	Frubana (Restaurant supply distribution platform)	N/A			
24.1.55 .8	Garner Health (Employee healthcare matching platform)	N/A			
24.1.55 .9	Halo Industries (Silicon carbide wafer manufacturer)	N/A			
24.1.55 .10	Hebbia (Financial AI analysis platform)	N/A			
24.1.55 .11	Lemon Cash (Crypto financial services platform)	N/A			
24.1.55 .12	Material Security (Stellarite) (Email security platform)	N/A			
24.1.55 .13	Nomad Global (Global digital banking platform)	N/A			
24.1.55 .14	Optimism (Ethereum scaling blockchain layer)	N/A			
24.1.55 .15	Replit (Collaborative coding platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.55 .16	Socket (JavaScript dependency security tool)	N/A			
24.1.55 .17	Solana (High-performance blockchain platform)	N/A			
24.1.55 .18	StashFin (Morus Technologies) (Consumer lending neobank)	N/A			
24.1.55 .19	Tenderly (Ethereum developer platform)	N/A			
24.1.55 .20	Traba (Shift workforce management platform)	N/A			
24.1.55 .21	Truffle Security (Credential leak detection platform)	N/A			
24.1.55 .22	WorkOS (Enterprise SaaS infrastructure platform)	N/A			
24.1.56	AVGF II	No			
24.1.56 .1	Arc Boats (Electric marine vehicles manufacturer)	N/A			
24.1.56 .2	Conversion AI (All-in-one AI marketing platform)	N/A			
24.1.56 .3	Crusoe (Climate-aligned computing infrastructure platform)	N/A			
24.1.56 .4	Delphi AI (Digital persona cloning platform)	N/A			
24.1.56 .5	Factory AI (Autonomous software development platform)	N/A			
24.1.56 .6	Glue (AI-powered team communication platform)	N/A			
24.1.56 .7	Kelasys (Defense technology platform)	N/A			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.1.56 .8	Motion (Marketing performance optimization platform)		N/A			
24.1.56 .9	Mytra, Inc. (Modular warehouse storage systems)		N/A			
24.1.56 .10	Outpace Bio (Protein engineering biotech platform)		N/A			
24.1.56 .11	Partiful (Social event planning platform)		N/A			
24.1.56 .12	Passes (Creator monetization platform)		N/A			
24.1.56 .13	Polymarket (Prediction market platform)		N/A			
24.1.56 .14	Recraft (AI vector art platform)		N/A			
24.1.56 .15	Symbolica (Structured machine learning platform)		N/A			
24.1.56 .16	Teambridge (Workforce scheduling platform)		N/A			
24.1.56 .17	Unify (Outbound sales automation platform)		N/A			
24.1.56 .18	Volt (AI physical security software)		N/A			
24.1.56 .19	11x (Autonomous AI workforce platform)		N/A			
24.1.56 .20	SpaceX (acq. xAI) (Aerospace and AI technology company)		N/A			
25	THSDFS LLC - Series 01 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26	THSDFS LLC - Series 02 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)
27	THSDFS LLC - Series 03 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
28	THSDFS LLC - Series 04 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$100,001 - \$250,000	Net Capital Distribution	\$10,314
29	THSDFS LLC - Series 06 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
30	THSDFS LLC - Series 07 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$500,001 - \$1,000,000	Net Capital Distribution	\$617,668
31	THSDFS LLC - Series 08 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)
32	THSDFS LLC - Series 09 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
33	THSDFS LLC - Series 10 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34	THSDFS LLC - Series 12 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	None (or less than \$1,001)		None (or less than \$201)
35	THSDFS LLC - Series 13 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$500,001 - \$1,000,000	Net Capital Distribution	\$4,995
36	THSDFS LLC - Series 16 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
37	THSDFS LLC - Series 17 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$1,000,001 - \$5,000,000	Net Capital Distribution	\$41,433
38	THSDFS LLC - Series 18 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
39	THSDFS LLC - Series 19 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$500,001 - \$1,000,000	Net Capital Distribution	\$116,388
40	THSDFS LLC - Series 21 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
41	THSDFS LLC - Series 22 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
42	THSDFS LLC - Series 23 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
43	THSDFS LLC - Series 24 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$500,001 - \$1,000,000	Net Capital Distribution	\$13,164
44	THSDFS LLC - Series 26 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
45	THSDFS LLC - Series 27 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)
46	THSDFS LLC - Series 28 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
47	THSDFS LLC - Series 29 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)
48	THSDFS LLC - Series 30 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)
49	THSDFS LLC - Series 31 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
50	THSDFS LLC - Series 32 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$250,001 - \$500,000		None (or less than \$201)
51	THSDFS LLC - Series 33 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$50,001 - \$100,000		None (or less than \$201)
52	THSDFS LLC - Series 34 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)
53	THSDFS LLC - Series 35 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$1,000,001 - \$5,000,000	Net Capital Distribution	\$7,756
54	THSDFS LLC - Series 36		No	\$15,001 - \$50,000		None (or less than \$201)
54.1	TravelXchange Inc. (blockchain travel platform)		N/A			
55	THSDFS LLC - Series 37 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)
56	THSDFS LLC - Series 38 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$250,001 - \$500,000		None (or less than \$201)
57	THSDFS LLC - Series 39 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$1,000,001 - \$5,000,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
58	THSDFS LLC - Series 40 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$250,001 - \$500,000		None (or less than \$201)
59	THSDFS LLC - Series 41 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$100,001 - \$250,000	Net Capital Distribution	\$900
60	THSDFS LLC - Series 42 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
61	THSDFS LLC - Series 43 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$250,001 - \$500,000		None (or less than \$201)
62	THSDFS LLC - Series 44 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$250,001 - \$500,000		None (or less than \$201)
63	THSDFS LLC - Series 45 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$50,001 - \$100,000		None (or less than \$201)
64	THSDFS LLC - Series 46 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
65	THSDFS LLC - Series 47 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$1,000,001 - \$5,000,000	Net Capital Distribution	\$965,722

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
66	THSDFS LLC - Series 48 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$250,001 - \$500,000	Net Capital Distribution	\$10,016
67	THSDFS LLC - Series 49 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$250,001 - \$500,000	Net Capital Distribution and Dividends	\$237,113
68	THSDFS LLC - Series 50 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$250,001 - \$500,000		None (or less than \$201)
69	THSDFS LLC - Series 51 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$1,000,001 - \$5,000,000	Net Capital Distribution and Dividends	\$573,249
70	THSDFS LLC - Series 52 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
71	THSDFS LLC - Series 53 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)
72	THSDFS LLC - Series 54 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)
73	THSDFS LLC - Series 55 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
74	THSDFS LLC - Series 56 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$250,001 - \$500,000		None (or less than \$201)
75	THSDFS LLC - Series 57 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
76	THSDFS LLC - Series 58 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
77	THSDFS LLC - Series 59 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$250,001 - \$500,000		None (or less than \$201)
78	THSDFS LLC - Series 60 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)
79	THSDFS LLC - Series 61 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
80	THSDFS LLC - Series 63 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$50,001 - \$100,000		None (or less than \$201)
81	THSDFS LLC - Series 64 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
82	THSDFS LLC - Series 65 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$250,001 - \$500,000		None (or less than \$201)
83	THSDFS LLC - Series 66 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
84	THSDFS LLC - Series 67 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
85	THSDFS LLC - Series 72 (Underlying assets are not disclosed due to a preexisting confidentiality agreement. I will divest this asset if confirmed.)	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
86	Vicarage Corporation		No			
86.1	U.S. bank #1 (cash)		N/A	\$50,001 - \$100,000		None (or less than \$201)
86.2	U.S. bank #1 (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
86.3	Juggernaut Fund, LP (Underlying assets are not disclosed due to pre-existing confidentiality agreements. I will divest this asset if confirmed.)	See Endnote	No	Over \$50,000,000		Over \$5,000,000
86.4	Vicarage LLC (personal advisory services firm)	See Endnote	No	\$100,001 - \$250,000	LLC Distribution	\$31,000
87	Juggernaut Fund, LP (Underlying assets are not disclosed due to pre-existing confidentiality agreements. I will divest this asset if confirmed.)	See Endnote	No	Over \$50,000,000		\$1,000,001 - \$5,000,000
88	FILER - Aether Biomachines (synthetic biology)		N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
89	FILER - Formagrid Inc. (workflow software)	N/A	\$250,001 - \$500,000		None (or less than \$201)
90	FILER - Arcana Analytics, Inc. (institutional investment software)	N/A	\$100,001 - \$250,000		None (or less than \$201)
91	FILER - Binti, Inc. (child welfare software)	N/A	\$15,001 - \$50,000		None (or less than \$201)
92	FILER - Themis-Tech Inc. (d.b.a. Flare) (legal services and technology)	N/A	\$250,001 - \$500,000		None (or less than \$201)
93	FILER - Hebbia Inc. (AI financial research)	N/A	\$100,001 - \$250,000		None (or less than \$201)
94	FILER - Imvela Corp. (microbial food biopreservatives)	N/A	\$15,001 - \$50,000		None (or less than \$201)
95	FILER - MCC Mining Corporation (mineral exploration and mining)	N/A	\$50,001 - \$100,000		None (or less than \$201)
96	FILER - Greenoaks Capital MS LP - Aparo Expressway Series	No	\$100,001 - \$250,000	Dividends Interest	\$1,001 - \$2,500
96.1	FILER - Kavak (digital automobile marketplace)	N/A			
97	FILER - Greenoaks Capital MS LP - Aparo Expressway II Series	No	\$50,001 - \$100,000	Dividends Interest	\$201 - \$1,000
97.1	FILER - Kavak (digital automobile marketplace)	N/A			

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Vicarage LLC	Palm Beach, Florida	My advisory business will be inactive during my appointment, and all outstanding client fees will be fixed before I enter government service.	4/2011

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	United Parcel Service, Inc., Deferred Compensation Plan for Vested Phantom Stock	Atlanta, Georgia	Six months following my separation from service, I am due to receive a lump-sum cash payment equal to the value of vested phantom stock, calculated as of the date of separation. If separation is after April 1, 2026, I am due to receive the 2026 second quarter direct payment. In this case, the payment (\$31,250) will be added to my deferred compensation account balance in the form of phantom stock.	7/2012
3	United Parcel Service, Inc., Restricted Stock Units	Atlanta, Georgia	Pursuant to the company's director compensation plan, upon separation vested restricted stock units will convert to Class A Shares of common stock.	7/2012
4	Coupang Inc.	Seattle, Washington	I currently hold unvested Restricted Stock Units that will vest on the earlier of (1) the date of the company's 2026 annual stockholders meeting and (2) June 12, 2026, subject to my continued service as a Coupang director through the applicable vesting date. I will not receive pro rata vesting based on duration of service. Any vested RSUs will be paid out in Class A common stock. Any RSUs that remain unvested at the time of my departure will be forfeited.	10/2019
5	Duquesne Family Office	New York, New York	My services will cease if I am confirmed; I will receive compensation for services and value provided to date.	4/2011
6	Aven Holdings, Inc.	Campbell, California	All unvested options will be canceled and returned to the company option pool. Vested options are exercisable within 3 months of cessation of service.	1/2024
7	Vicarage Corporation	Palm Beach, Florida	My advisory business will be inactive during my appointment, and all outstanding client fees will be fixed before I enter government service.	10/2018

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	GoldenTree Asset Management LP	New York, New York	Consulting.

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
2	Heitman LLC	New York, New York	Consulting.
3	Cerberus Capital Management	New York, New York	Consulting.
4	Duquesne Family Office LLC	New York, New York	Advisory services to Duquesne Family Office, which manages investments reported at Part 2, Lines 23-86, 87.3, and 88.
5	United Parcel Service, Inc.	Atlanta, Georgia	Serve as member of Board of Directors.
6	Coupang Inc.	Seattle, Washington	Serve as member of Board of Directors.
7	Bessemer Securities Corporation	New York, New York	Serve as member of Board of Directors.
8	Stanford University: Hoover Institution and Graduate School of Business	Palo Alto, California	Visiting Fellow and Visiting Scholar.
9	CFA Society of Atlanta	Atlanta, Georgia	Honoraria for speaking.
10	Hines Inc.	Celebration, Florida	Honoraria for speaking.
11	Cross Lake Partners	New York, New York	Honoraria for speaking.
12	Honeywell International Inc.	Charlotte, North Carolina	Honoraria for speaking.
13	Piper Sandler	New York, New York	Honoraria for speaking.
14	Foodservice Equipment Distributors Association	Colorado Springs, Colorado	Honoraria for speaking.
15	Pacific Life	Newport Beach, California	Honoraria for speaking.
16	Davidson Kempner Capital Management	New York, New York	Honoraria for speaking.

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
17	TPG Inc.	Brooklyn, New York	Honoraria for speaking.
18	BTG Pactual	Virtual, New York	Honoraria for speaking.
19	Strategas Research Partners	New York, New York	Honoraria for speaking.
20	Warburg Pincus	New York, New York	Honoraria for speaking.
21	State Street Bank and Trust Company	Boston, Massachusetts	Honoraria for speaking.
22	Eli Lilly and Company	Indianapolis, Indiana	Honoraria for speaking.
23	Centerview Partners LLC	Nashville, Tennessee	Honoraria for speaking.
24	Brevan Howard	New York, New York	Honoraria for speaking.
25	Pension Real Estate Association	Dallas, Texas	Honoraria for speaking.
26	Vicarage LLC	Palm Beach, Florida	Consulting.
27	Aven Holdings, Inc.	Campbell, California	Member of Advisory Board.
28	Bessemer Securities LLC	New York, New York	Serve as member of Board of Directors.
29	Bonnie Blink Management, LLC	New York, New York	Serve as member of Board of Directors.
30	Electric Capital	Palo Alto, California	Advisory services.

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	The Estee Lauder Companies				
1.1	Employee Compensation				
1.1.1	U.S. bank #3 (cash)	N/A	\$100,001 - \$250,000	Interest	None (or less than \$201)
1.1.2	Retirement Growth Account - Defined Benefit Plan	N/A	\$250,001 - \$500,000		None (or less than \$201)
1.1.3	Restoration Retirement Benefit Plan (company funded defined benefit plan)	N/A	\$500,001 - \$1,000,000	Retirement Payments	
1.1.4	The Estee Lauder Companies, Class A stock	N/A	Over \$1,000,000	Dividends	\$50,001 - \$100,000
1.2	Non-Employee Director Compensation				
1.2.1	The Estee Lauder Companies, unvested Class A stock options unexercised	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.2.2	The Estee Lauder Companies, Vested Restricted Stock Units	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.2.3	Cash Compensation	N/A		Directors' Fees	
2	Eventbrite, Inc. (EB) Director Compensation				
2.1	Cash Compensation	N/A		Directors' Fees	
2.2	Eventbrite, Inc. (EB) Stock	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3	Family Trust #1	See Endnote			
3.1	TAW LLC	No			
3.1.1	TAW Fund I (d.b.a. TAW Ventures)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.1.1	Marleybones (pet care)	N/A	Over \$1,000,000		None (or less than \$201)
3.1.1.2	Saint Rocco (pet care)	N/A	Over \$1,000,000		None (or less than \$201)
3.1.1.3	U.S. bank #1 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	FILER - U.S. bank #1 brokerage account	No			
1.1	FILER - JPM 100% US Treas FD - Premier Fund 675	Yes	\$1,001 - \$15,000		\$5,001 - \$15,000
1.2	FILER - Coupang Inc. Class A Common Stock (CPNG)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
2	FILER - U.S. bank #1 Checking Account (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
3	JOINT - U.S. bank #1 Joint Checking Account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	FILER - U.S. bank #2 Money Market Account	N/A	None (or less than \$1,001)		None (or less than \$201)
5	FILER - U.S. bank #2 Checking Account	N/A	None (or less than \$1,001)		None (or less than \$201)
6	FILER - Vanguard Balanced Index Fund Admiral Shares	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
7	FILER - Loan to Maidstone Club	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	FILER - Vicarage Stable LLC (horse stable)	N/A	\$100,001 - \$250,000		None (or less than \$201)
9	FILER - Thaddeus Alistair, LLC (one underlying asset not reportable)	No			
9.1	FILER - U.S. bank #1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10	FILER - 233 Barton LLC (one underlying asset not reportable)	No			
10.1	FILER - U.S. bank #1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11	FILER - U.S. Treasury I-Bonds	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12	FILER - Coupang, Inc. (e-commerce and logistics)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
13	FILER - Casa Cruz (restaurant)	N/A	\$100,001 - \$250,000		None (or less than \$201)
14	FILER - Jump Sucker Stable LLC (horse stable)	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$5,001 - \$15,000
15	FILER - Founder Bets Master SPV LLC - Series Metatheory	No	\$1,001 - \$15,000		None (or less than \$201)
15.1	FILER - Metatheory Inc. (Web 3)	N/A			
16	SPOUSE - Family Trust #1	No			
16.1	SPOUSE - AL Federal Aid Highway Fin Auth Bond	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
16.2	SPOUSE - NY Various Purposes Serial Bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
16.3	SPOUSE - TX Unlimited Tax Schoolhouse Bond	N/A	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.4	SPOUSE - MD GO Bond	N/A	Over \$1,000,000	Interest	\$15,001 - \$50,000
16.5	SPOUSE - AL Black Belt Energy Gas Dist Bond	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
16.6	SPOUSE - AL Black Belt Energy Gas Dist Bond	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
16.7	SPOUSE - NY BANs Bond	N/A	Over \$1,000,000		None (or less than \$201)
16.8	SPOUSE - NY Improvement Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.9	SPOUSE - SC Univ HGR Edu Rev Bond	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
16.10	SPOUSE - CO Utilities System Improv Rev Bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$15,001 - \$50,000
16.11	SPOUSE - TX Unlimited Tax School Bdlg Bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
16.12	SPOUSE - CT GO Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.13	SPOUSE - CT Health & Educ. Facilities Authority Bond	N/A	Over \$1,000,000	Interest	\$15,001 - \$50,000
16.14	SPOUSE - CT STO Transport & Infrastructure Bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$5,001 - \$15,000
16.15	SPOUSE - CT STO Transport & Infrastructure Bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$15,001 - \$50,000
16.16	SPOUSE - Dist of Columbia Water & Sewer Bond	N/A	\$250,001 - \$500,000		None (or less than \$201)
16.17	SPOUSE - NY Public Improvement Bond	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.18	SPOUSE - HI GO Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.19	SPOUSE - IL Fin Auth Rev Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.20	SPOUSE - IN Fin Auth State Revolving Fund Bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$5,001 - \$15,000
16.21	SPOUSE - TX Unlimited Tax School Bdlg Bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
16.22	SPOUSE - FL Special Rev Refunding Bond	N/A	Over \$1,000,000	Interest	\$15,001 - \$50,000
16.23	SPOUSE - TX Unlimited Tax School Bdlg Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.24	SPOUSE - TX Unlimited Tax Schoolhouse Bond	N/A	Over \$1,000,000	Interest	\$15,001 - \$50,000
16.25	SPOUSE - TX Unlimited Tax School Bdlg Bond	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
16.26	SPOUSE - NY Dev Corp Rev Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.27	SPOUSE - NY Dev Corp Rev Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.28	SPOUSE - TX Unlimited Tax School Bdlg Bond	N/A	Over \$1,000,000	Interest	\$15,001 - \$50,000
16.29	SPOUSE - TX Unlimited Tax School Bdlg Bond	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
16.30	SPOUSE - NY MTA Bond	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
16.31	SPOUSE - MI Building Auth Bond	N/A	Over \$1,000,000	Interest	\$5,001 - \$15,000
16.32	SPOUSE - MI Housing Dev Auth Bond	N/A	Over \$1,000,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.33	SPOUSE - MN GO St Var Purp Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.34	SPOUSE - NY School District Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.35	SPOUSE - NJ Turnpike Authority Bond	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
16.36	SPOUSE - NY GO Bond	N/A	Over \$1,000,000		None (or less than \$201)
16.37	SPOUSE - NY GO Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.38	SPOUSE - NY GO Bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$5,001 - \$15,000
16.39	SPOUSE - NY GO Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.40	SPOUSE - NY TFA Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.41	SPOUSE - NY TFA Bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$15,001 - \$50,000
16.42	SPOUSE - NY TFA Bond	N/A	Over \$1,000,000	Interest	\$50,001 - \$100,000
16.43	SPOUSE - NY Housing Dev Corp Bond	N/A	Over \$1,000,000	Interest	\$50,001 - \$100,000
16.44	SPOUSE - NY Fin Auth Water & Sewer Bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
16.45	SPOUSE - NY Fin Auth Water & Sewer Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.46	SPOUSE - NY Fin Auth Water & Sewer Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.47	SPOUSE - NY Transitional Fin Authority Bldg Bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$5,001 - \$15,000
16.48	SPOUSE - NY Housing Dev Corp Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.49	SPOUSE - NY GO Bond	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
16.50	SPOUSE - NY Housing Finance Agency Bond	N/A	Over \$1,000,000	Interest	\$15,001 - \$50,000
16.51	SPOUSE - NY HousingFinance Agency Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.52	SPOUSE - NY Dormitory Authority Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.53	SPOUSE - NY Dormitory Authority Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.54	SPOUSE - NY Dormitory Authority Bond	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
16.55	SPOUSE - NY Dormitory Authority Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.56	SPOUSE - NY Dormitory Authority Bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
16.57	SPOUSE - NY Dormitory Authority Bond	N/A	Over \$1,000,000	Interest	\$50,001 - \$100,000
16.58	SPOUSE - NY Dormitory Authority Bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
16.59	SPOUSE - NY Urban Dev Corp Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.60	SPOUSE - NY Urban Dev Corp Bond	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.61	SPOUSE - NY Urban Dev Corp Bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$15,001 - \$50,000
16.62	SPOUSE - NC ST LTD Oblg Ref Bond	N/A	\$100,001 - \$250,000		None (or less than \$201)
16.63	SPOUSE - TX Mun Water Bond	N/A	Over \$1,000,000		None (or less than \$201)
16.64	SPOUSE - OK Water Utilities Bond	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
16.65	SPOUSE - NY GO Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.66	SPOUSE - NY LTD Tax Bond	N/A	Over \$1,000,000		None (or less than \$201)
16.67	SPOUSE - PA Housing Finance Agency Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.68	SPOUSE - TX Unlimited Tax Refunding Bond	N/A	Over \$1,000,000	Interest	\$50,001 - \$100,000
16.69	SPOUSE - TX Gen Improvement & Refunding Bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
16.70	SPOUSE - TX Electric & Gas Systems Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.71	SPOUSE - SC State Housing Fin & Dev Auth Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.72	SPOUSE - AL Southeast Energy Authority Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.73	SPOUSE - TX Unlimited Tax School Bdlg Bond	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
16.74	SPOUSE - TX Unlimited Tax School Bdlg Bond	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.75	SPOUSE - TN Energy Acquisition Corp Bond	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
16.76	SPOUSE - TN Housing Dev Agency Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.77	SPOUSE - TN Housing Dev Agency Bond	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
16.78	SPOUSE - TX DHCA Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.79	SPOUSE - TX DHCA Bond	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
16.80	SPOUSE - TX Water Dev Board Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.81	SPOUSE - TX Unlimited Tax School Bdlg Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.82	SPOUSE - NY MTA Bridges & Tunnels Bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
16.83	SPOUSE - NY MTA Bridges & Tunnels Bond	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
16.84	SPOUSE - NY Utility Debt Securitization Authority Bond	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
16.85	SPOUSE - NY Utility Debt Securitization Authority Bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$50,001 - \$100,000
16.86	SPOUSE - VA Housing Dev Authority Bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$15,001 - \$50,000
16.87	SPOUSE - NY GO Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.88	SPOUSE - US Treasury Bill	N/A	\$500,001 - \$1,000,000	Capital Gains Interest	\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.89	SPOUSE - US brokerage (cash account - US dollar)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.90	SPOUSE - US brokerage (cash account - Canadian dollar)	N/A	\$50,001 - \$100,000		None (or less than \$201)
16.91	SPOUSE - JPM 100% US Treasury Securities Money Market Fund (JTSXX)	Yes	None (or less than \$1,001)		Over \$1,000,000
16.92	SPOUSE - JPM Tax Free Money Market Fund (JTFXX)	Yes	Over \$1,000,000		Over \$1,000,000
16.93	SPOUSE - iShares MSCI EAFE ETF (EFA)	Yes	Over \$1,000,000		Over \$1,000,000
16.94	SPOUSE - SPDR S&P 500 ETF (SPY)	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
16.95	SPOUSE - Vanguard FTSE Emerging Markets ETF (VWO)	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
16.96	SPOUSE - iShares S&P/TSX 60 Index (XIU)	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
16.97	SPOUSE - Lansing Management Onshore LP	See Endnote	No	Dividends Interest	\$2,501 - \$5,000
16.97.1	SPOUSE - Interactive Brokers Group Inc.(IBKR)	N/A	\$250,001 - \$500,000		
16.97.2	SPOUSE - APi Group Corp (APG)	N/A	\$250,001 - \$500,000		
16.97.3	SPOUSE - HEICO Corp (HEI)	N/A	\$100,001 - \$250,000		
16.97.4	SPOUSE - TransUnion (TRU)	N/A	\$100,001 - \$250,000		
16.97.5	SPOUSE - Ashtead Group PLC (AHT LN)	N/A	\$100,001 - \$250,000		
16.97.6	SPOUSE - Moody's Corp (MCO)	N/A	\$100,001 - \$250,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.97.7	SPOUSE - Ferrari N.V. (RACE)	N/A	\$100,001 - \$250,000		
16.97.8	SPOUSE - Boyd Group Services Inc. (BYD CN)	N/A	\$100,001 - \$250,000		
16.97.9	SPOUSE - Hilton Worldwide Holdings Inc. (HLT)	N/A	\$100,001 - \$250,000		
16.97.10	SPOUSE - Compass Inc. (COMP)	N/A	\$100,001 - \$250,000		
16.97.11	SPOUSE - Old Dominion Freight Line (ODFL)	N/A	\$50,001 - \$100,000		
16.97.12	SPOUSE - Waste Connections Inc. (WCN CN)	N/A	\$50,001 - \$100,000		
16.97.13	SPOUSE - Clean Harbors Inc. (CLH)	N/A	\$50,001 - \$100,000		
16.97.14	SPOUSE - Roper Technologies Inc. (ROP)	N/A	\$1,001 - \$15,000		
16.98	SPOUSE - Searchlight Capital LP	No			
16.98.1	SPOUSE - Liberty Latin America (telecommunications)	N/A	\$100,001 - \$250,000		None (or less than \$201)
16.98.2	SPOUSE - Cengage Learning (educational technology)	N/A	\$250,001 - \$500,000		None (or less than \$201)
16.99	SPOUSE - Searchlight Capital AIV I, LP	No			
16.99.1	SPOUSE - Ardent Hire Solutions (equipment rental)	N/A	\$100,001 - \$250,000		None (or less than \$201)
16.100	SPOUSE - Wainscott Colors LLC (one underlying asset not reportable)	No			
16.100.1	SPOUSE - Promissory Note, [Family Member], 1.31% dtd 12/21/2020	N/A	Over \$1,000,000	Interest	\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.101	SPOUSE - Spring Condo LLC (one underlying asset not reportable)	No			
16.101.1	SPOUSE - U.S. brokerage account (cash)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
16.102	SPOUSE - RAJ Family Partners LP (holding art)	N/A	Over \$1,000,000		None (or less than \$201)
16.103	SPOUSE - Dist of Columbia Water & Sewer Bond	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
17	SPOUSE - Family Trust #2	No			
17.1	SPOUSE - JPM 100% US Treasury Securities Money Market Fund (JTSXX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
17.2	SPOUSE - Estee Lauder Companies Inc. Cl A	N/A	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
17.3	SPOUSE - Estee Lauder Companies Inc. vested Cl B Restricted	N/A	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
17.4	SPOUSE - Rockefeller Global Equity ETF	Yes	Over \$1,000,000		\$5,001 - \$15,000
17.5	SPOUSE - Rockefeller US Small-Mid-Cap ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
18	SPOUSE - Family Trust #3	No			
18.1	SPOUSE - U.S. bank #1 (cash)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
18.2	SPOUSE - IN Finance Authority, Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
18.3	SPOUSE - MN, GO Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
18.4	SPOUSE - NJ Turnpike Authority, Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.5	SPOUSE - City of NY, GO Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
18.6	SPOUSE - NY, GO Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
18.7	SPOUSE - Dormitory Authority of NY, Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
18.8	SPOUSE - Dormitory Authority of NY, Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
18.9	SPOUSE - Dormitory Authority of NY, Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
18.10	SPOUSE - Dormitory Authority of NY, Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
18.11	SPOUSE - Dormitory Authority of NY, Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
18.12	SPOUSE - Empire State Dev. NY, Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
18.13	SPOUSE - Empire State Dev. NY, Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
18.14	SPOUSE - Co. of Onondaga, NY GO Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
18.15	SPOUSE - City of San Antonio, TX Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
18.16	SPOUSE - SC Housing Fin & Dev, Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
18.17	SPOUSE - TX Housing & Comm. Affairs, Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
18.18	SPOUSE - US Treasury Securities	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.19	SPOUSE - U.S. bank #1 (cash)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
18.20	SPOUSE - U.S. bank #1 (cash)	N/A	None (or less than \$1,001)	Interest	None (or less than \$201)
18.21	SPOUSE - Estee Lauder Companies (EL) Class B Shares	N/A	Over \$1,000,000	Dividends	Over \$1,000,000
18.22	SPOUSE - JPM 100% US Treasury Securities Money Market Fund (JTSXX)	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
18.23	SPOUSE - JPM Tax Free Money Market Fund (JTFXX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
18.24	SPOUSE - SPDR S&P 500 ETF (SPY)	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
18.25	SPOUSE - Vanguard FTSE Emerging Markets ETF (VWO)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
18.26	SPOUSE - iShares S&P/TSX 60 Index (XIU)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
18.27	SPOUSE - Undeveloped Land, Suffolk County, NY	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
18.28	SPOUSE - Dormitory Authority of NY, Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
18.29	SPOUSE - NY Environmental Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
18.30	SPOUSE - NY Environmental Bond	N/A	None (or less than \$1,001)		None (or less than \$201)
19	SPOUSE - JPM Tax Free MMKT Inst Sweep FD (JTFXX)	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
20	SPOUSE - JPM 100% US Treasury Securities Money Market Fund (JTSXX)	Yes	None (or less than \$1,001)		Over \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21	SPOUSE - Estee Lauder Companies Inc. vested Class B Restricted (EL)	N/A	Over \$1,000,000	Dividends	\$100,001 - \$1,000,000
22	SPOUSE - U.S. bank #1 brokerage account (cash)	N/A	\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500
23	JOINT- U.S. bank #1 joint account (cash)	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
24	SPOUSE - U.S. bank #1 Checking	N/A	\$500,001 - \$1,000,000	Interest	None (or less than \$201)
25	SPOUSE - Coutts & Company Money Market	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
26	SPOUSE - Coutts & Company (cash account - US dollar)	N/A	Over \$1,000,000	Interest	None (or less than \$201)
27	SPOUSE - Loan to Ms. Robles	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
28	SPOUSE - Loan to Ms. Santana	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
29	SPOUSE - Loan to Town Line Holdings II LLC	N/A	Over \$1,000,000	Interest	\$100,001 - \$1,000,000
30	SPOUSE - CT STO Transport & Infrastructure Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
31	SPOUSE - NY GO Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
32	SPOUSE - NY Transitional Fin Authority Bldg Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
33	SPOUSE - NY Housing Finance Agency Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
34	SPOUSE - NY Dormitory Authority Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
35	SPOUSE - NY Dormitory Authority Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
36	SPOUSE - NY Urban Dev Corp Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
37	SPOUSE - NY Urban Dev Corp Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
38	SPOUSE - SPDR S&P 500 ETF (SPY)	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
39	SPOUSE - Vanguard FTSE Emerging Markets ETF (VWO)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
40	SPOUSE - iShares S&P/TSX 60 Index (XIU)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
41	Lansing Management Onshore LP	No	None (or less than \$1,001)	Dividends Interest	\$15,001 - \$50,000
41.1	SPOUSE - Interactive Brokers Group Inc.(IBKR)	N/A			
41.2	SPOUSE - APi Group Corp (APG)	N/A			
41.3	SPOUSE - HEICO Corp (HEI)	N/A			
41.4	SPOUSE - TransUnion (TRU)	N/A			
41.5	SPOUSE - Ashtead Group PLC (AHT LN)	N/A			
41.6	SPOUSE - Moody's Corp (MCO)	N/A			
41.7	SPOUSE - Ferrari N.V. (RACE)	N/A			
41.8	SPOUSE - Boyd Group Services Inc. (BYD CN)	N/A			
41.9	SPOUSE - Hilton Worldwide Holdings Inc. (HLT)	N/A			
41.10	SPOUSE - Compass Inc. (COMP)	N/A			
41.11	SPOUSE - Old Dominion Freight Line (ODFL)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41.12	SPOUSE - Waste Connections Inc. (WCN CN)	N/A			
41.13	SPOUSE - Clean Harbors Inc. (CLH)	N/A			
41.14	SPOUSE - Roper Technologies Inc. (ROP)	N/A			

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	JPMorgan Chase	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2015	2.875%	30 years
2	PNC Bank	Exercised Line of Credit	\$1,000,001 - \$5,000,000	2025	6.088240%	Revolving
3	THSDFS LLC - Series 24	Capital Commitment	\$250,001 - \$500,000	2021	N/A	On demand
4	THSDFS LLC - Series 28	Capital Commitment	\$250,001 - \$500,000	2021	N/A	On demand
5	THSDFS LLC - Series 37	Capital Commitment	\$50,001 - \$100,000	2022	N/A	On demand
6	THSDFS LLC - Series 39	Capital Commitment	\$50,001 - \$100,000	2022	N/A	On demand
7	THSDFS LLC - Series 47	Capital Commitment	\$15,001 - \$50,000	2023	N/A	On demand

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
8	THSDFS LLC - Series 52	Capital Commitment	\$250,001 - \$500,000	2024	N/A	On demand
9	THSDFS LLC - Series 66	Capital Commitment	\$50,001 - \$100,000	2025	N/A	On demand
10	THSDFS LLC - Series 67	Capital Commitment	\$50,001 - \$100,000	2025	N/A	On demand
11	SPOUSE - Searchlight Capital LP	Capital Commitment	\$100,001 - \$250,000	2012	N/A	On demand

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	23	Fund managers provided 2025 income information because K-1s are not yet available. 2026 income was estimated based on the 2025 information that was provided. Pre-existing confidentiality obligations in investor agreements between the entities and their holding(s) prohibit disclosure of underlying assets. In addition, the filer is subject to a pre-existing confidentiality obligation as part of his consulting agreement.
2.	24.1.54.71	Underlying holdings are below the reporting threshold.
2.	25	Pre-existing confidentiality obligations in investor agreements between the entities and their holding(s) prohibit disclosure of underlying assets. In addition, the filer is subject to a pre-existing confidentiality obligation as part of his consulting agreement.
2.	26	Pre-existing confidentiality obligations in investor agreements between the entities and their holding(s) prohibit disclosure of underlying assets. In addition, the filer is subject to a pre-existing confidentiality obligation as part of his consulting agreement.

PART	#	ENDNOTE
2.	27	Pre-existing confidentiality obligations in investor agreements between the entities and their holding(s) prohibit disclosure of underlying assets. In addition, the filer is subject to a pre-existing confidentiality obligation as part of his consulting agreement.
2.	28	Fund managers provided 2025 income information because K-1s are not yet available. 2026 income was estimated based on the 2025 information that was provided. Pre-existing confidentiality obligations in investor agreements between the entities and their holding(s) prohibit disclosure of underlying assets. In addition, the filer is subject to a pre-existing confidentiality obligation as part of his consulting agreement.
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2.	37	Fund managers provided 2025 income information because K-1s are not yet available. 2026 income was estimated based on the 2025 information that was provided. Pre-existing confidentiality obligations in investor agreements between the entities and their holding(s) prohibit disclosure of underlying assets. In addition, the filer is subject to a pre-existing confidentiality obligation as part of his consulting agreement.
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2.	47	Pre-existing confidentiality obligations in investor agreements between the entities and their holding(s) prohibit disclosure of underlying assets. In addition, the filer is subject to a pre-existing confidentiality obligation as part of his consulting agreement.
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2.	59	Fund managers provided 2025 income information because K-1s are not yet available. 2026 income was estimated based on the 2025 information that was provided. Pre-existing confidentiality obligations in investor agreements between the entities and their holding(s) prohibit disclosure of underlying assets. In addition, the filer is subject to a pre-existing confidentiality obligation as part of his consulting agreement.
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2.	69	Fund managers provided 2025 income information because K-1s are not yet available. 2026 income was estimated based on the 2025 information that was provided. Pre-existing confidentiality obligations in investor agreements between the entities and their holding(s) prohibit disclosure of underlying assets. In addition, the filer is subject to a pre-existing confidentiality obligation as part of his consulting agreement.
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2.	80	Pre-existing confidentiality obligations in investor agreements between the entities and their holding(s) prohibit disclosure of underlying assets. In addition, the filer is subject to a pre-existing confidentiality obligation as part of his consulting agreement.
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2.	85	Pre-existing confidentiality obligations in investor agreements between the entities and their holding(s) prohibit disclosure of underlying assets. In addition, the filer is subject to a pre-existing confidentiality obligation as part of his consulting agreement.
2.	86.3	The filer is subject to pre-existing confidentiality obligations as part of his consulting, subscriber, and investor agreements. The most recent 13F filing for this fund is available here [https://www.sec.gov/Archives/edgar/data/1536411/000153641126000002/0001536411-26-000002-index.htm], with the exception of Neptune Insurance Holdings, Inc., which is held by an affiliated fund. Fund managers provided 2025 income estimate because K-1s are not yet available. 2026 income was estimated based on the 2025 information that was provided. Income: Interest, Dividends, Gains, Ordinary Business Income - \$14,232,000
2.	86.4	See Part 2, Line 1 for holdings.

PART	#	ENDNOTE
2.	87	The filer is subject to pre-existing confidentiality obligations as part of his consulting, subscriber, and investor agreements. The most recent 13F filing for this fund is available here [https://www.sec.gov/Archives/edgar/data/1536411/000153641126000002/0001536411-26-000002-index.htm], with the exception of Neptune Insurance Holdings, Inc., which is held by an affiliated fund. Fund managers provided 2025 income estimate because K-1s are not yet available. 2026 income was estimated based on the 2025 information that was provided. Income: Interest, Dividends, Gains, Ordinary Business Income - \$2,765,000
5.	3	The remaining assets held by Family Trust #1 are recorded in Part 6.
6.	16.97	Fund managers provided 2025 income information because K-1s are not yet available. 2026 income was estimated based on the 2025 information that was provided.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
