

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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**Mabus, Ray**

Secretary of the Navy, Department of the Navy

Date of Termination: 01/20/2017

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Mabus, Ray [electronically signed on 06/09/2017 by Mabus, Ray in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Condray, John C, Certifying Official [electronically signed on 07/07/2017 by Condray, John C in Integrity.gov]**

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Other review conducted by

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U.S. Office of Government Ethics Certification

**/s/ Rounds, Emory, Certifying Official [electronically signed on 07/11/2017 by Rounds, Emory in Integrity.gov]**

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fusion Telecommunications International, Inc. - Common Stock	No	\$1,001 - \$15,000		None (or less than \$201)
2	MS Public Employees Retirement System	No		Pension payment	\$21,712

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## 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	MS Public Employees Retirement System	Jackson, Mississippi	Defined Benefit Plan from which I currently receive \$21,712 which will increase 2% per year	11/2008

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## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

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## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	St Stephen's & St. Agnes School, Alexandria VA	No		Spouse Employment	

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## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SCHWAB U.S. TREASURY MONEY FUND	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	AKRE FOCUS FUND I	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	DODGE & COX STOCK FUND	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4	FMI LARGE CAP FUND	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
5	HOMESTEAD SMALL CAP FUND	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6	MADISON MID CAP FUND	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
7	OAKMARK SELECT FUND	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
8	PRIMECAP ODYSSEY GROWTH FUND	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
9	SCHWAB U.S. BROAD MARKET ETF	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
10	VULCAN VALUE PARTNERS FUND	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
11	DODGE & COX INTERNATIONAL FUND	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
12	HARDING LOEVNER INTERNATIONAL EQUITY FUND I	Yes	\$50,001 - \$100,000		\$201 - \$1,000
13	TWEEDY, BROWNE GLOBAL VALUE FUND	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
14	American Express Company	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	AutoZone Inc	No	\$250,001 - \$500,000		None (or less than \$201)
16	Mississippi Dev BK Madison Cnty Oct06	No	None (or less than \$1,001)	Interest	\$201 - \$1,000
17	Hancock Holding Company - Common Stock	No	\$50,001 - \$100,000	Dividends Rent or Royalties	\$1,001 - \$2,500
18	Wells Fargo & Co	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19	Guggenheim S&P Equal Weight	Yes	\$1,001 - \$15,000		\$50,001 - \$100,000
20	Ishares RS 2000 Growth	Yes	\$15,001 - \$50,000		\$50,001 - \$100,000
21	Ishares S&P Midcap 400	Yes	\$1,001 - \$15,000		\$50,001 - \$100,000
22	Ishares Core S&P 500 ETF	Yes	\$1,001 - \$15,000		\$15,001 - \$50,000
23	IShares S&P 500 Growth	Yes	\$1,001 - \$15,000		\$50,001 - \$100,000
24	Powershares QQQ Trust	Yes	\$1,001 - \$15,000		\$100,001 - \$1,000,000
25	Vanguard Total Stock Market	Yes	\$1,001 - \$15,000		\$50,001 - \$100,000
26	Ishares TR Russell 2000 Value	Yes	\$1,001 - \$15,000		\$5,001 - \$15,000
27	Powershares QQQ Trust	Yes	\$15,001 - \$50,000		\$201 - \$1,000
28	SPDR Dow Jones Industrial Average	Yes	\$1,001 - \$15,000		None (or less than \$201)
29	Spdr S&P 500 ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
30	Guggenheim S&P pure growth ETF	Yes	None (or less than \$1,001)		\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31	Spdr Consumer Discretionary	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
32	DWA Tech Leaders Portfolio	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
33	First Trust ETF Dow Jones FDN	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
34	Bank of America ML Bank Deposit Program	No	\$50,001 - \$100,000		None (or less than \$201)
35	Timberland in MS	No	\$5,000,001 - \$25,000,000	Capital Gains Rent or Royalties	\$50,001 - \$100,000
35.1	Timberland in MS	N/A	None (or less than \$1,001)	Agricultural program payments	\$11,319
36	Light on Earth Photography of the World	See Endnote	No	None (or less than \$1,001)	None (or less than \$201)
37	Mutual of New York (Whole Life)	No	\$1,001 - \$15,000		None (or less than \$201)
38	MS Affordable College Savings Plan Manage Allocation Option Age 8-11	Yes	\$1,001 - \$15,000		None (or less than \$201)
39	Ishares select div	Yes	\$1,001 - \$15,000		
40	AMG Managers Skyline Special Equities Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
41	FAM Small Cap Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
42	IVA International Fund	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
43	Oakmark International Fund	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Vanguard Total Stk Mkt	Sale	01/15/2016	\$50,001 - \$100,000
2	guggenheim S&P Equal Weight ETF	Sale	01/07/2016	\$15,001 - \$50,000
3	Guggenheim S&P Pure Grow ETF	Sale	01/08/2016	\$15,001 - \$50,000
4	Guggenheim S&P Pure Grow ETF	Sale	01/15/2016	\$15,001 - \$50,000
5	First Tr Exchange Traded FD Dow Jones	Sale	01/07/2016	\$15,001 - \$50,000
6	DWA Tech Leaders Port Powershares ETF	Sale	01/08/2017	\$50,001 - \$100,000
7	DWA Tech Leaders Port Powershares ETF	Sale	01/15/2016	\$15,001 - \$50,000
8	PowerShares QQQ Trust, Series 1	Sale	01/07/16	\$15,001 - \$50,000
9	PowerShares QQQ Trust, Series 1	Sale	01/15/2016	\$50,001 - \$100,000
10	Sector Spider Consumer Stpl	Sale	01/15/2016	\$15,001 - \$50,000
11	Consumer Discretionary Spider	Sale	01/15/2016	\$1,001 - \$15,000
12	Vanguard Total Stock Market	Sale	03/16/2016	\$15,001 - \$50,000
13	Vanguard Total Stock Market	Sale	07/25/2016	\$1,001 - \$15,000
14	Vanguard Total Stock Market	Sale	09/07/16	\$1,001 - \$15,000
15	Vanguard Total Stock Market	Sale	11/03/2016	\$1,001 - \$15,000
16	Guggenheim S&P 500 Equal Weight ETF	Sale	07/25/2016	\$15,001 - \$50,000
17	Guggenheim S&P 500 Equal Weight ETF	Sale	09/07/2016	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
18	Guggenheim S&P 500 Equal Weight ETF	Sale	10/03/2016	\$1,001 - \$15,000
19	Guggenheim S&P 500 Equal Weight ETF	Sale	10/18/2016	\$1,001 - \$15,000
20	PowerShares QQQ Trust, Series 1	Sale	02/08/16	\$1,001 - \$15,000
21	PowerShares QQQ Trust, Series 1	Sale	05/09/16	\$1,001 - \$15,000
22	PowerShares QQQ Trust, Series 1	Sale	06/15/16	\$1,001 - \$15,000
23	PowerShares QQQ Trust, Series 1	Sale	07/05/16	\$1,001 - \$15,000
24	PowerShares QQQ Trust, Series 1	Sale	07/25/2016	\$15,001 - \$50,000
25	PowerShares QQQ Trust, Series 1	Sale	07/28/2016	\$1,001 - \$15,000
26	PowerShares QQQ Trust, Series 1	Sale	10/18/2016	\$1,001 - \$15,000
27	PowerShares QQQ Trust, Series 1	Sale	11/03/16	\$1,001 - \$15,000
28	Ishares RS 2000 Growth	Sale	02/08/2016	\$1,001 - \$15,000
29	Ishares RS 2000 Growth	Sale	04/11/2016	\$15,001 - \$50,000
30	Ishares RS 2000 Growth	Sale	07/25/2016	\$15,001 - \$50,000
31	Ishares RS 2000 Growth	Sale	10/03/2016	\$1,001 - \$15,000
32	Ishares RS 2000 Growth	Sale	10/18/2016	\$1,001 - \$15,000
33	Ishares S&P Growth	Sale	02/08/2016	\$1,001 - \$15,000
34	Ishares S&P Growth	Sale	04/11/2016	\$15,001 - \$50,000
35	Ishares S&P Growth	Sale	07/25/16	\$15,001 - \$50,000
36	Ishares S&P Growth	Sale	10/03/2016	\$1,001 - \$15,000
37	Ishares S&P Growth	Sale	10/18/2016	\$1,001 - \$15,000
38	Ishares S&P MidCap 400	Sale	06/15/16	\$1,001 - \$15,000
39	Ishares S&P MidCap 400	Sale	07/05/2016	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
40	Ishares S&P MidCap 400	Sale	10/18/2016	\$1,001 - \$15,000
41	Ishares S&P MidCap 400	Sale	11/03/2016	\$1,001 - \$15,000
42	Ishares Core S&P 500 ETF	Sale	05/09/2016	\$1,001 - \$15,000
43	Ishares Core S&P 500 ETF	Sale	07/25/16	\$15,001 - \$50,000
44	Ishares Core S&P 500 ETF	Sale	08/02/16	\$1,001 - \$15,000
45	Ishares Core S&P 500 ETF	Sale	10/18/16	\$1,001 - \$15,000
46	Ishares Core S&P 500 ETF	Sale	11/03/16	\$1,001 - \$15,000
47	IShares S&P Growth	Sale	06/15/16	\$1,001 - \$15,000
48	IShares S&P Growth	Sale	07/05/16	\$1,001 - \$15,000
49	IShares S&P Growth	Sale	07/25/16	\$15,001 - \$50,000
50	IShares S&P Growth	Sale	08/02/16	\$1,001 - \$15,000
51	IShares S&P Growth	Sale	09/07/16	\$1,001 - \$15,000
52	IShares S&P Growth	Sale	10/03/16	\$1,001 - \$15,000
53	IShares S&P Growth	Sale	10/18/16	\$1,001 - \$15,000
54	Akre Focus Fund	Purchase	05/02/16	\$15,001 - \$50,000
55	Dodge & Cox International Fund	Purchase	03/23/16	\$50,001 - \$100,000
56	Dodge & Cox International Fund	Purchase	08/03/16	\$1,001 - \$15,000
57	Dodge & Cox Stock Fund	Purchase	03/23/16	\$1,001 - \$15,000
58	Dodge & Cox Stock Fund	Purchase	05/02/16	\$1,001 - \$15,000
59	Dodge & Cox Stock Fund	Purchase	08/03/16	\$1,001 - \$15,000
60	FAM Small Cap Fund	Purchase	03/29/16	\$50,001 - \$100,000
61	FMI Large Cap Fund	Purchase	03/29/16	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
62	FMI Large Cap Fund	Purchase	05/02/16	\$1,001 - \$15,000
63	FMI Large Cap Fund	Purchase	08/03/16	\$1,001 - \$15,000
64	Harding Loevner International Equity Fund	Purchase	03/23/16	\$50,001 - \$100,000
65	Harding Loevner International Equity Fund	Purchase	08/03/16	\$1,001 - \$15,000
66	Homestead Small Company Stock Fund	Purchase	01/06/16	\$1,001 - \$15,000
67	Homestead Small Company Stock Fund	Purchase	03/23/16	\$1,001 - \$15,000
68	IVA International Fund	Purchase	03/23/16	\$50,001 - \$100,000
69	IVA International Fund	Purchase	08/03/16	\$1,001 - \$15,000
70	IVA International Fund	Purchase	12/30/16	\$1,001 - \$15,000
71	Madison Mid Cap Fund	Purchase	03/29/16	\$50,001 - \$100,000
72	Madison Mid Cap Fund	Purchase	05/02/16	\$15,001 - \$50,000
73	AMG Managers Skyline Special Equities Fund	Purchase	03/29/16	\$15,001 - \$50,000
74	AMG Managers Skyline Special Equities Fund	Purchase	08/03/16	\$1,001 - \$15,000
75	Oakmark International Fund	Purchase	03/23/16	\$50,001 - \$100,000
76	Oakmark International Fund	Purchase	08/03/16	\$1,001 - \$15,000
77	Oakmark Select Fund	Purchase	03/23/16	\$1,001 - \$15,000
78	Oakmark Select Fund	Purchase	08/03/16	\$1,001 - \$15,000
79	Oakmark Select Fund	Purchase	12/30/16	\$1,001 - \$15,000
80	Primecap Odyssey Growth Fund	Purchase	03/23/16	\$1,001 - \$15,000
81	Primecap Odyssey Growth Fund	Purchase	08/03/16	\$1,001 - \$15,000
82	Tweedy, Browne Global Value	Purchase	03/23/16	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
83	Vulcan Value Partners Fund	Purchase	01/06/16	\$1,001 - \$15,000
84	Vulcan Value Partners Fund	Purchase	03/23/16	\$1,001 - \$15,000
85	Vulcan Value Partners Fund	Purchase	08/03/16	\$1,001 - \$15,000
86	Vulcan Value Partners Fund	Purchase	12/30/16	\$1,001 - \$15,000
87	Akre Focus Fund	Sale	08/09/16	\$15,001 - \$50,000
88	Dodge & Cox International Fund	Sale	08/09/16	\$1,001 - \$15,000
89	Dodge & Cox Stock Fund	Sale	08/09/16	\$1,001 - \$15,000
90	AMG Managers Skyline Special Equities Fund	Sale	08/09/16	\$1,001 - \$15,000
91	Schwab U.S. Broad Market ETF	Sale	03/23/16	\$250,001 - \$500,000
92	Schwab International Index	Sale	03/23/16	\$250,001 - \$500,000
93	Schwab Total Stock Market Index	Sale	03/23/16	\$50,001 - \$100,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Merrill Lynch LMA Bank of America	Exercised Line of Credit	\$250,001 - \$500,000	2015	2.693250	open

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
6.	36	Value not readily ascertainable

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

## **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

## **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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