

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Beaudreau, Tommy

Deputy Secretary, Department of the Interior

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Beaudreau, Tommy [electronically signed on 08/04/2023 by Beaudreau, Tommy in Integrity.gov] - Filer received a 90 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Gottry, Heather, Certifying Official [electronically signed on 10/03/2023 by Gottry, Heather in Integrity.gov]

Other review conducted by

/s/ Garcia, Monica L, Ethics Official [electronically signed on 10/02/2023 by Garcia, Monica L in Integrity.gov]

U.S. Office of Government Ethics Certification

Certification Declined on 1/16/25 by Granahan, Megan.

Data Revised 01/16/2025

Data Revised 05/17/2024

Data Revised 10/03/2023

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	<p>(10/03/2023, Gottry, Heather): As noted in the public endnotes on this report, the filer informed DOI ethics officials that his investment advisor made certain purchases reflected in this Annual OGE Form 278e as well as the OGE Form 278-T submitted August 4, 2023, contrary to his written instructions and without his knowledge or prior approval. After he discovered the unauthorized purchases, the filer disclosed the unauthorized purchases and sought ethics guidance.</p> <p>Additionally, the filer has reflected both the unauthorized purchases and the subsequent sales on his Annual OGE Form 278e and in a separately filed OGE Form 278-T. Filer has also confirmed for ethics officials that he has fully divested the unauthorized purchases.</p> <p>Finally, the filer referred the purchases for further review as advised by ethics officials.</p> <p>Based on the information available to the Department's ethics officials, I have determined that this report meets the standard for review found at 5 C.F.R. § 2634.605.</p>
N/A	N/A	General	<p>(01/16/2025, Granahan, Megan): Unable to certify within a reasonable timeframe.</p>

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Latham & Watkins LLP	No			
1.1	DFA Emerging Markets I	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	Vanguard Developed Markets index Instl	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.3	Vanguard Selected Value Inv	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.4	Vanguard Total Stock Market Instl Pls	Yes	\$100,001 - \$250,000		None (or less than \$201)
2	Rollover IRA	No			
2.1	iShares Edge MSCI Intl Momentum Factor ETF (IMTM)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	Ishares Core S&P Small Cap ETF (IJR)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.3	Vanguard S&P 500 ETF (VOO)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.4	Vanguard Total Bond Market ETF (BND)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.5	DFA International Value Portfolio Institutional Class Shares (DFIVX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.6	DFA Intermediate Govt Fixed Income (DFIGX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.7	AQR Large Cap Momentum Style (AMOMX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.8	AQR Small Cap Momentum Style (ASMOX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.9	DFA US Large Cap Value (DFLVX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.10	DFA US Small Cap Value (DFSVX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.11	DFA Intermediate Term Extnd Qlty (DFTEX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.12	Vanguard Mortgage-Backed Securities Index Fund ETF Class Shares (VMBS)	Yes	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Latham & Watkins LLP	Washington, District of Columbia	Latham & Watkins, LLP NY, NY 401(k) retirement plan. I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	1/2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	CB IRA	No			
1.1	U.S. brokerage account (cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account #1 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	U.S. bank account #2 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	Investment Account	See Endnote			
3.1	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	Vanguard S&P 500 ETF (VOO)	Yes	\$250,001 - \$500,000		None (or less than \$201)
3.3	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.4	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.5	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.6	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.7	Vanguard Large-Cap Index Fund ETF Shares (VV)		Yes	\$100,001 - \$250,000		None (or less than \$201)
3.8	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)		Yes	\$15,001 - \$50,000		None (or less than \$201)
3.9	Vanguard Developed Markets Index Fund ETF Shares (VEA)		Yes	\$50,001 - \$100,000		None (or less than \$201)
3.10	iShares 1-3 Year Treasury Bond ETF (SHY)		Yes	\$100,001 - \$250,000		None (or less than \$201)
3.11	Schwab Treasury Obligations Money Fund Investor Class Shares (SNOXX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
3.12	Vanguard Mortgage-Backed Securities Index Fund ETF Class Shares (VMBS)		Yes	\$15,001 - \$50,000		None (or less than \$201)
3.13	Walmart, Inc. (WMT)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.14	Verizon Communications, Inc. (VZ)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.15	UnitedHealth Group, Inc. (UNH)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.16	United Parcel Service, Inc. (UPS)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.17	The Travelers Cos., Inc. (TRV)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.18	3M Co. (MMM)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.19	Target Corp. (TGT)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.20	Snap-On, Inc. (SNA)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.21	Quest Diagnostics, Inc. (DGX)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.22	QUALCOMM, Inc. (QCOM)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.23	Principal Financial Group, Inc. (PFG)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.24	PepsiCo, Inc. (PEP)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.25	Omnicom Group, Inc. (OMC)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.26	O'Reilly Automotive, Inc. (ORLY)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.27	Morgan Stanley (MS)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.28	Microsoft Corp. (MSFT)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.29	Merck & Co., Inc. (MRK)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.30	Masco Corp. (MAS)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.31	LyondellBasell Industries NV (LYB)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.32	Lowe's Cos., Inc. (LOW)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.33	Estee Lauder Cos. (EL)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.34	The Kroger Co. (KR)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.35	Kimberly-Clark Corp. (KMB)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.36	Johnson & Johnson (JNJ)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.37	JPMorgan Chase & Co. (JPM)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.38	International Paper Co. (IP)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.39	iShares Core S&P Mid-Cap ETF (IJH)	See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.40	HCA Healthcare, Inc. (HCA)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.41	Meta Platforms Inc. Class A Common Stock (META)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.42	Exxon Mobil Corp. (XOM)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.43	eBay, Inc. (EBAY)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.44	Dollar General Corp. (DG)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.45	The Walt Disney Co. (DIS)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.46	Cummins, Inc. (CMI)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.47	Comcast Corp. (CMCSA)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.48	Chevron Corp. (CVX)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.49	CDW Corp. (CDW)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.50	Apple, Inc. (AAPL)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.51	Allstate Corp (ALL)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.52	Accenture Plc (ACN)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Cancelled Trade Errors	See Endnote			
2	Walmart, Inc. (WMT)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
3	Verizon Communications, Inc. (VZ)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
4	UnitedHealth Group, Inc. (UNH)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
5	United Parcel Service, Inc. (UPS)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
6	The Travelers Cos., Inc. (TRV)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
7	3M Co. (MMM)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
8	Target Corp. (TGT)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
9	Snap-On, Inc. (SNA)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
10	Quest Diagnostics, Inc. (DGX)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
11	QUALCOMM, Inc. (QCOM)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
12	Principal Financial Group, Inc. (PFG)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
13	PepsiCo, Inc. (PEP)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
14	Omnicom Group, Inc. (OMC)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
15	O'Reilly Automotive, Inc. (ORLY)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
16	Morgan Stanley (MS)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
17	Microsoft Corp. (MSFT)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
18	Merck & Co., Inc. (MRK)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
19	Masco Corp. (MAS)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
20	LyondellBasell Industries NV (LYB)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
21	Lowes Companies Inc (LOW)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
22	Estee Lauder Cos. (EL)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
23	The Kroger Co. (KR)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
24	Kimberly-Clark Corp. (KMB)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
25	Johnson & Johnson (JNJ)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
26	JPMorgan Chase & Co. (JPM)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
27	International Paper Co. (IP)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
28	iShares Core S&P Mid-Cap ETF (IJH)	See Endnote	Purchase	06/22/2022	\$15,001 - \$50,000
29	HCA Healthcare, Inc. (HCA)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
30	Meta Platforms Inc. Class A Common Stock (META)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
31	Exxon Mobil Corp. (XOM)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
32	eBay, Inc. (EBAY)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
33	Dollar General Corp. (DG)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
34	The Walt Disney Co. (DIS)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
35	Cummins, Inc. (CMI)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
36	Comcast Corp. (CMCSA)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
37	Chevron Corp. (CVX)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
38	CDW Corp. (CDW)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
39	Apple, Inc. (AAPL)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
40	Allstate Corp (ALL)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
41	Accenture Plc (ACN)	See Endnote	Purchase	06/22/2022	\$1,001 - \$15,000
42	Vanguard 500 Index Fund ETF Shares (VOO)		Purchase	06/22/2022	\$50,001 - \$100,000
43	Walmart, Inc. (WMT)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
44	Verizon Communications, Inc. (VZ)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
45	UnitedHealth Group, Inc. (UNH)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
46	United Parcel Service, Inc. (UPS)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
47	The Travelers Cos., Inc. (TRV)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
48	3M Co. (MMM)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
49	Target Corp. (TGT)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
50	Snap-On, Inc. (SNA)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
51	Quest Diagnostics, Inc. (DGX)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
52	QUALCOMM, Inc. (QCOM)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
53	Principal Financial Group, Inc. (PFG)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
54	PepsiCo, Inc. (PEP)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
55	Omnicom Group, Inc. (OMC)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
56	O'Reilly Automotive, Inc. (ORLY)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
57	Morgan Stanley (MS)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
58	Microsoft Corp. (MSFT)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
59	Merck & Co., Inc. (MRK)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
60	Masco Corp. (MAS)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
61	LyondellBasell Industries NV (LYB)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
62	Lowe's Cos., Inc. (LOW)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
63	Estee Lauder Cos. (EL)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
64	The Kroger Co. (KR)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
65	Kimberly-Clark Corp. (KMB)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
66	Johnson & Johnson (JNJ)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
67	JPMorgan Chase & Co. (JPM)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
68	International Paper Co. (IP)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
69	iShares Core S&P Mid-Cap ETF (IJH)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
70	HCA Healthcare, Inc. (HCA)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
71	Meta Platforms Inc. Class A Common Stock (META)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
72	Exxon Mobil Corp. (XOM)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
73	eBay, Inc. (EBAY)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
74	Dollar General Corp. (DG)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
75	The Walt Disney Co. (DIS)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
76	Cummins, Inc. (CMI)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
77	Comcast Corp. (CMCSA)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
78	Chevron Corp. (CVX)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
79	CDW Corp. (CDW)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
80	Apple, Inc. (AAPL)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
81	Allstate Corp (ALL)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
82	Accenture Plc (ACN)	See Endnote	Sale	06/19/2023	\$1,001 - \$15,000
83	Vanguard 500 Index Fund ETF Shares (VOO)		Sale	06/22/2023	\$50,001 - \$100,000
84	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)		Purchase	06/24/2022	\$15,001 - \$50,000
85	Vanguard Developed Markets Index Fund ETF Shares (VEA)		Purchase	06/24/2022	\$50,001 - \$100,000
86	iShares 1-3 Year Treasury Bond ETF (SHY)		Purchase	06/24/2022	\$100,001 - \$250,000
87	Schwab Treasury Obligations Money Fund Investor Class Shares (SNOXX)		Purchase	05/20/2022	\$500,001 - \$1,000,000
88	iShares Core S&P Small-Cap ETF (IJR)		Sale	06/22/2022	\$15,001 - \$50,000
89	Vanguard Total Bond Market Index Fund ETF Shares (BND)		Sale	06/22/2022	\$100,001 - \$250,000
90	DFA Intermediate Government Fixed Income Portfolio Institutional CI Shs (DFIGX)		Sale	06/22/2022	\$50,001 - \$100,000
91	iShares Core MSCI EAFE ETF (IEFA)		Purchase	06/24/2022	\$100,001 - \$250,000
92	iShares Core MSCI Emerging Markets ETF (IEMG)		Purchase	06/24/2022	\$15,001 - \$50,000
93	Vanguard Small-Cap Index Fund ETF Shares (VB)		Purchase	06/24/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
94	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	06/24/2022	\$50,001 - \$100,000
95	Vanguard Large-Cap Index Fund ETF Shares (VV)	Purchase	06/24/2022	\$100,001 - \$250,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Citi	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2020	2.875%	30 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	3	The assets reflected in entries 3.13-3.52 are no longer held as of the date of filing, but are reported in this section because they were held during the reporting period. As reflected in the end notes in Part 7, these assets were purchased as a result of a trade error on the part of the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. Consistent with the technical reporting requirements, the assets are reported in a value range but the filer confirmed that the assets were owned at value of appropriately \$5,000.00 per each individual stock. After the sales were made as reported in Part 7 and a Periodic Transaction Report (OGE Form 278-T), the filer and his spouse declined any gains associated with these trade errors and no longer own any of the assets purchased as a result of the trade errors.

PART	#	ENDNOTE
6.	3.13	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.14	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.15	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.16	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.17	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.18	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.19	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.20	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.21	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.

PART	#	ENDNOTE
6.	3.22	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.23	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.24	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.25	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.26	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.27	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.28	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.29	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.30	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.

PART	#	ENDNOTE
6.	3.31	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.32	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.33	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.34	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.35	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.36	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.37	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.38	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.39	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.

PART	#	ENDNOTE
6.	3.40	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.41	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.42	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.43	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.44	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.45	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.46	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.47	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.48	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.

PART	#	ENDNOTE
6.	3.49	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.50	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.51	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
6.	3.52	Asset is no longer held but is reported because it was held during the reporting period. The asset was owned as result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset. At all times while the filer held this asset, its market value was held at approximately \$5,000.
7.	1	<p>Transactions 2-41 and 43-82 listed below were trade errors made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As reported, the trade errors were all made on June 22, 2022. The filer discovered the trade errors on June 11, 2023 and immediately directed the investment advisor to remedy the unauthorized transactions and trade errors via a cancellation and immediate sale, which is also reflected in this report. In light of these errors, each erroneous purchase from June 22, 2022 was canceled effective June 19, 2023. As advised by the Department's ethics officials, the trade errors are reported as purchases and the cancelations are reported as sales in a Periodic Transaction Report (OGE Form 278-T) and are also reflected in this Annual OGE Form 278e report in the interest of consistent reporting and full transparency.</p> <p>Consistent with the reporting requirements, the transactions are reported in a value range but the filer confirmed to ethics officials that the purchases of individual stocks were made at approximately \$5,000.00 per each individual stock purchase. After the sales were made as directed, the filer and his spouse declined any gains associated with these trade errors and no longer own any of the assets purchased as a result of the trade errors.</p>
7.	2	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	3	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.

PART	#	ENDNOTE
7.	4	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	5	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	6	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	7	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	8	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	9	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	10	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	11	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	12	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	13	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	14	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.

PART	#	ENDNOTE
7.	15	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	16	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	17	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	18	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	19	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	20	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	21	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	22	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	23	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	24	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	25	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.

PART	#	ENDNOTE
7.	26	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	27	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	28	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	29	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	30	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	31	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	32	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	33	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	34	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	35	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	36	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.

PART	#	ENDNOTE
7.	37	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	38	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	39	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	40	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	41	As noted in the endnote to Entry #1 in Part 7, this purchase was the result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. As of the date of filing, the filer no longer owns this asset.
7.	43	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	44	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	45	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	46	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	47	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.

PART	#	ENDNOTE
7.	48	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	49	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	50	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	51	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	52	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	53	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	54	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	55	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	56	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.

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7.	57	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	58	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	59	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	60	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	61	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	62	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	63	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	64	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	65	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.

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7.	66	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	67	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	68	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	69	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	70	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
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7.	72	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	73	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	74	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.

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7.	75	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
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7.	79	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
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7.	81	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.
7.	82	As noted in the endnote to Entry #1 in Part 7, this sale was made to remedy an erroneous purchase as a result of a trade error made by the filer's investment advisor contrary to the filer's instructions and without the filer's knowledge. This 2023 sale is reported in the 2022 Annual OGE Form 278e to confirm that as of the date of filing, the filer no longer owns this asset.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
