Report Type: Nominee Report
Year (Annual Report only):
Date of Appointment:
Date of Termination:

Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Vaden, Stephen Alexander

Deputy Secretary, Department of Agriculture

Other Federal Government Positions Held During the Preceding 12 Months:

Federal Judge on the United States Court of International Trade (12/2020 - Present)

Names of Congressional Committees Considering Nomination:

- Committee on Agriculture, Nutrition, and Forestry

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Vaden, Stephen Alexander [electronically signed on 02/28/2025 by Vaden, Stephen Alexander in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bender, Stuart, Certifying Official [electronically signed on 03/21/2025 by Bender, Stuart in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Jaffe, Seth, Certifying Official [electronically signed on 03/21/2025 by Jaffe, Seth in Integrity.gov]

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(03/19/2025, Bender, Stuart): U.S. Office of Government Ethics (OGE) regulations require a $200 late filing fee because the filer filed two days after the end of OGE’s grace period. However, in accordance with OGE’s regulations at 5 C.F.R. § 2634.704(b)(1), USDA’s Designated Agency Ethics Official is waiving the late filing fee because the delay was due to circumstances outside of the filer’s control.

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Roth IRA	See Endnote	No			
1.1	(MRFIX) MFS RESEARCH FUND CLASS A M/F		Yes	$15,001 - $50,000		None (or less than $201)
1.2	(AEPFX) EUROPACIFIC GROWTH FUND CLASS F2 - AMERICAN FUNDS N/L		Yes	$1,001 - $15,000		None (or less than $201)
1.3	Vanguard 500 Index Fund Admiral Shares (VFIAX)		Yes	$15,001 - $50,000		None (or less than $201)
1.4	Wasatch Small Cap Growth Fund Institutional Class Shares (WICVX)		Yes	$1,001 - $15,000		None (or less than $201)
1.5	EATON VANCE ATLANTA CAPITAL SMID CAP FUND CL I N/L		Yes	$1,001 - $15,000		None (or less than $201)
1.6	Cash (U.S. Investment Account)		N/A	$1,001 - $15,000		None (or less than $201)
2	IRA					
2.1	Cash (U.S. Investment Account)		N/A	None (or less than $1,001)		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

None

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Raymond James Ambassador Account #1	See Endnote	No			
1.1	ALLSTATE CORPORATION		N/A	$15,001 - $50,000		None (or less than $201)
1.2	ALPHABET INCORPORATED CAP STK CLASS A		N/A	$15,001 - $50,000		None (or less than $201)
1.3	AMAZON COM INCORPORATED		N/A	$15,001 - $50,000		None (or less than $201)
1.4	HOME DEPOT INCORPORATED		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
1.5	JPMORGAN CHASE & COMPANY		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
1.6	LAUDER ESTEE COMPANIES INCORPORATED CLASS A		N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.7	MICROSOFT CORPORATION	N/A	$15,001 - $50,000	Dividends Capital Gains	$201 - $1,000
1.8	NXP SEMICONDUCTORS N V	N/A	$1,001 - $15,000		None (or less than $201)
1.9	VISA INCORPORATED COM CLASS A	N/A	$15,001 - $50,000		None (or less than $201)
1.10	Cash (U.S. Investment Account)	N/A	$1,001 - $15,000		None (or less than $201)
1.11	VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW	Yes	$100,001 - $250,000		$1,001 - $2,500
1.12	IQVIA Holdings (IQV)	N/A	$1,001 - $15,000		None (or less than $201)
1.13	UnitedHealth Group, Inc. (UNH)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
1.14	L3Harris Technologies, Inc. (LHX)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
1.15	Trane Technologies (TT)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
1.16	The TJX Cos., Inc. (TJX)	N/A	$15,001 - $50,000		None (or less than $201)
1.17	Edwards Lifesciences Corp. (EW)	N/A	$1,001 - $15,000		None (or less than $201)
1.18	ADVANCED MICRO DEVICES INCORPORATED	N/A	$1,001 - $15,000		None (or less than $201)
1.19	CADENCE DESIGN SYSTEM INCORPORATED	N/A	$1,001 - $15,000		None (or less than $201)
1.20	CHIPOTLE MEXICAN GRILL INCORPORATED	N/A	$15,001 - $50,000		None (or less than $201)
1.21	DEXCOM INCORPORATED	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.22	DIAMONDBACK ENERGY INCORPORATED		N/A	$1,001 - $15,000	Dividends	$201 - $1,000
1.23	FISERV INCORPORATED		N/A	$15,001 - $50,000		None (or less than $201)
1.24	META PLATFORMS INCORPORATED CLASS A		N/A	$15,001 - $50,000		None (or less than $201)
1.25	S&P GLOBAL INCORPORATED		N/A	$15,001 - $50,000		None (or less than $201)
1.26	SALESFORCE INCORPORATED		N/A	$15,001 - $50,000		None (or less than $201)
1.27	STERIS PLC SHS USD (IRELAND)		N/A	$1,001 - $15,000		None (or less than $201)
1.28	SYNOPSYS INCORPORATED		N/A	$1,001 - $15,000		None (or less than $201)
1.29	Amphenol Corporation (APH)		N/A	$1,001 - $15,000		None (or less than $201)
2	Raymond James Ambassador Account #2		No			
2.1	Cash (U.S. Investment Account)		N/A	$1,001 - $15,000		None (or less than $201)
2.2	Vanguard 500 Index Fund (VFIAX)		Yes	$250,001 - $500,000		$2,501 - $5,000
2.3	BLACKROCK MULTI ASSET INCOME PORTFOLIO FUND INST CLASS (BIICX)		Yes	$100,001 - $250,000		$5,001 - $15,000
2.4	FEDERATED HERMES STRATEGIC VALUE DIV FD INSTL SHRS IS N/L		Yes	$15,001 - $50,000		$201 - $1,000
2.5	VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW		Yes	$100,001 - $250,000		$1,001 - $2,500
3	Bank3. (Community bank)	See Endnote	N/A	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Residential Real Estate 1, Obion County, TN	See Endnote	N/A	$15,001 - $50,000		None (or less than $201)
5	Residential Real Estate 2, Obion County, TN	See Endnote	N/A	$15,001 - $50,000		None (or less than $201)
6	Residential Real Estate 3, Obion County, TN	See Endnote	N/A	$15,001 - $50,000		None (or less than $201)
7	Residential Real Estate 4, Obion County, TN	See Endnote	N/A	$15,001 - $50,000		None (or less than $201)
8	Residential Real Estate 5, Obion County, TN	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	None (or less than $201)
9	Residential Real Estate 6, Obion County, TN	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	$2,501 - $5,000
10	Residential Real Estate 7, Obion County, TN	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	None (or less than $201)
11	Residential Real Estate 8, Obion County, TN	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	None (or less than $201)
12	Residential Real Estate 9, Obion County, TN	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	None (or less than $201)
13	Residential Real Estate 10, Obion County, TN	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	None (or less than $201)
14	Residential Real Estate 11, Obion County, TN	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	$5,001 - $15,000
15	Residential Real Estate 12, Obion County, TN	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	$2,501 - $5,000
16	Residential Real Estate 13, Obion County, TN	See Endnote	N/A	$15,001 - $50,000		None (or less than $201)
17	Residential Real Estate 14, Obion County, TN	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	$2,501 - $5,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	Residential Real Estate 15, Obion County, TN	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	$2,501 - $5,000
19	Residential Real Estate 16, Obion County, TN	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	$5,001 - $15,000
20	Residential Real Estate 17, Obion County, TN	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	$5,001 - $15,000
21	Residential Real Estate 18, Obion County, TN	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	None (or less than $201)
22	Residential Real Estate 19, Obion County, TN	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	$2,501 - $5,000
23	Residential Lot 1, Obion County, TN	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
24	Residential Lot 2, Obion County, TN	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
25	Residential Lot 3, Obion County, TN	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
26	Residential Lot 4, Obion County, TN	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
27	Residential Real Estate 20, Obion County, TN	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	None (or less than $201)
28	Commercial Real Estate 1, Obion County, TN	See Endnote	N/A	$15,001 - $50,000		None (or less than $201)
29	Farm Land 1, Obion County, TN	See Endnote	N/A	$500,001 - $1,000,000	Rent or Royalties	$5,001 - $15,000
30	Farm Land 2, Obion County, TN	See Endnote	N/A	$500,001 - $1,000,000	Rent or Royalties	$15,001 - $50,000
31	Farm Land 3, Fulton County, KY	See Endnote	N/A	$1,000,001 - $5,000,000	Rent or Royalties	$15,001 - $50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	U.S. bank #1 Account (cash) #1		N/A	$50,001 - $100,000	Interest	$201 - $1,000
33	U.S. bank #1 Account (cash) #2		N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
34	U.S. bank #1 Account (cash) #3		N/A	$15,001 - $50,000	Interest	$201 - $1,000
35	U.S. bank #2 Account (cash)		N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
36	U.S. bank #2 Account (CD#1)		N/A	$100,001 - $250,000	Interest	$5,001 - $15,000
37	Raymond James Holding Account #1					
37.1	NORTH TX TWY AUTH REV, FIRST TIER REF REV BDS, INT AT MATY SER 2008D ASSURED GUARANTY CORP FORMERLY ACE GTY		N/A	$1,001 - $15,000	Interest	None (or less than $201)
37.2	METROPOLITAN WA D C ARPTS AUTH DULLES TOLL RD REV, REV BDS, DULES METRORAIL AND CAPITAL, INT AT MATY SER 2009B ASSURED GUARANTY CORP FORMERLY ACE GTY	See Endnote	N/A	$15,001 - $50,000		None (or less than $201)
37.3	INTERCONTINENTAL EXCHANGE INCORPORATED		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
37.4	VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW		Yes	$50,001 - $100,000		$1,001 - $2,500
38	Residential Lot 5, Obion County, TN		N/A	$1,001 - $15,000		None (or less than $201)
39	US bank #3 Account (Cash)		N/A	$1,001 - $15,000	Interest	$201 - $1,000
40	Raymond James Holding #2		No			
40.1	JOHNSON & JOHNSON		N/A	$15,001 - $50,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40.2	Procter & Gamble	N/A	$50,001 - $100,000	Dividends	$1,001 - $2,500
40.3	INTERCONTINENTAL EXCHANGE INCORPORATED	N/A	$1,001 - $15,000		None (or less than $201)
40.4	EPHRATA NB FDIC # 7493 CERTIFICATE OF DEPOSIT MONTHLY CALLABLE BEGINNING 02/28/25 5.1% DUE 07/30/2029	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
40.5	HARRIS CNTY TX CULTURAL ED FACS FIN CORP REV, REV BDS, THE METHODIST HOSP D/B/A HOUSTON METHODIST HOSP, SER 2015 4% DUE 12/01/2045 CALLABLE 06/01/2025 @ 100	N/A	$1,001 - $15,000	Interest	$201 - $1,000
40.6	VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW	Yes	$15,001 - $50,000		$201 - $1,000
40.7	VANGUARD S&P 500 INDEX FUND ADMIRAL SHARES	Yes	$1,001 - $15,000		None (or less than $201)
40.8	Cash (U.S. Investment Account)	N/A	None (or less than $1,001)		None (or less than $201)
41	US bank #3 Account (CD)	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
42	Raymond James Ambassador Account #3				
42.1	ADVANCED MICRO DEVICES INCORPORATED	N/A	$1,001 - $15,000		None (or less than $201)
42.2	ALLSTATE CORPORATION	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
42.3	ALPHABET INCORPORATED CAP STK CLASS A	N/A	$15,001 - $50,000		None (or less than $201)
42.4	CADENCE DESIGN SYSTEM INCORPORATED	N/A	$1,001 - $15,000		None (or less than $201)
42.5	CHIPOTLE MEXICAN GRILL INCORPORATED	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
42.6	DEXCOM INCORPORATED	N/A	$1,001 - $15,000		None (or less than $201)
42.7	EDWARDS LIFESCIENCES CORPORATION	N/A	$1,001 - $15,000		None (or less than $201)
42.8	FISERV INCORPORATED	N/A	$15,001 - $50,000		None (or less than $201)
42.9	HOME DEPOT INCORPORATED	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
42.10	QVIA HLDGS INCORPORATED	N/A	$1,001 - $15,000		None (or less than $201)
42.11	JPMORGAN CHASE & COMPANY.	N/A	$15,001 - $50,000		None (or less than $201)
42.12	L3HARRIS TECHNOLOGIES INCORPORATED	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
42.13	LAUDER ESTEE COMPANIES INCORPORATED CLASS A	N/A	$1,001 - $15,000		None (or less than $201)
42.14	META PLATFORMS INCORPORATED CLASS A	N/A	$15,001 - $50,000		None (or less than $201)
42.15	MICROSOFT CORPORATION	N/A	$1,001 - $15,000		None (or less than $201)
42.16	NXP SEMICONDUCTORS N V (NETHERLANDS)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
42.17	S&P GLOBAL INCORPORATED	N/A	$1,001 - $15,000		None (or less than $201)
42.18	SALESFORCE INCORPORATED	N/A	$1,001 - $15,000		None (or less than $201)
42.19	TJX COMPANIES INCORPORATED NEW	N/A	$1,001 - $15,000		None (or less than $201)
42.20	TRANE TECHNOLOGIES PLC SHS (IRELAND)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
42.21	UNITEDHEALTH GROUP INCORPORATED		N/A	$1,001 - $15,000		None (or less than $201)
42.22	VISA INCORPORATED COM CLASS A		N/A	$15,001 - $50,000		None (or less than $201)
42.23	Cash (U.S. Investment Account)		N/A	None (or less than $1,001)		None (or less than $201)
42.24	Amphenol Corporation (APH)		N/A	$1,001 - $15,000		None (or less than $201)
43	Loan to a Personal Friend (Mr. Yates)		N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
44	13-week Treasury Bills		N/A	$250,001 - $500,000	Interest	$2,501 - $5,000
45	13-week Treasury Bills		N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
46	Series I Savings Bonds		N/A	$1,001 - $15,000	Interest	$201 - $1,000
47	U.S. bank #4 Account (CD #1)		N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
48	U.S. bank #4 Account (CD#2)		N/A	$100,001 - $250,000	Interest	$5,001 - $15,000
49	U.S. bank #2 Account (CD#2)		N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
50	Commercial Property 2, Obion County, TN	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	1	Individual IRA.
6.	1	Guided Model Core Growth Equity Portfolio.
6.	3	Bank3 is a community bank headquartered in Memphis, TN.
6.	4	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	5	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	6	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	7	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	8	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	9	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	10	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	11	This represents 50% ownership in the property, with sibling owning other 50% of the property.

PART	#	ENDNOTE
6.	12	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	13	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	14	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	15	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	16	This represents 50% ownership in the property, with sibling owning other 50% of the property.
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6.	20	This represents 50% ownership in the property, with sibling owning other 50% of the property.
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6.	22	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	23	This represents 50% ownership in the property, with sibling owning other 50% of the property.
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6.	26	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	27	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	28	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	29	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	30	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	31	This represents 50% ownership in the property, with sibling owning other 50% of the property.
6.	37.2	Zero Coupon Municipal Bond.
6.	50	This represents 50% ownership in the property, with sibling owning other 50% of the property.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C.§ 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).