Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Jan. 2019)

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Saul, Andrew

Commissioner of Social Security, Social Security Administration

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Saul, Andrew [electronically signed on 11/05/2019 by Saul, Andrew in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Lee, John, Certifying Official [electronically signed on 12/11/2019 by Lee, John in Integrity.gov]

Other review conducted by

/s/ White, Angela R, Ethics Official [electronically signed on 11/15/2019 by White, Angela R in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 02/06/2020 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Abbott Laboratories (ABT)	See Endnote	Purchase	10/23/2019	No	\$100,001 - \$250,000
2	Accenture plc (ACN)	See Endnote	Sale	10/29/2019	No	\$1,001 - \$15,000
3	Adobe Systems Inc (ADBE)	See Endnote	Sale	10/29/2019	No	\$15,001 - \$50,000
4	Automatic Data Processing (ADP)	See Endnote	Sale	10/29/2019	No	\$1,001 - \$15,000
5	Align Technology, Inc Common Stock (ALGN)	See Endnote	Sale	10/29/2019	No	\$1,001 - \$15,000
6	Facebook, Inc Class A Common Stock (FB)	See Endnote	Sale	10/29/2019	No	\$15,001 - \$50,000
7	Mastercard Inc A (MA)	See Endnote	Sale	10/29/2019	No	\$1,001 - \$15,000
8	Nestle S A (NSRGY)	See Endnote	Sale	10/29/2019	No	\$15,001 - \$50,000
9	O'Reilly Automotive Inc (ORLY)	See Endnote	Sale	10/29/2019	No	\$1,001 - \$15,000
10	Starbucks Corp (SBUX)	See Endnote	Sale	10/29/2019	No	\$1,001 - \$15,000
11	Visa Inc (V)	See Endnote	Sale	10/29/2019	No	\$15,001 - \$50,000
12	Zoetis Inc (ZTS)	See Endnote	Sale	10/29/2019	No	\$15,001 - \$50,000
13	Mohawk Industries Inc (MHK)	See Endnote	Sale	10/09/2019	No	\$1,001 - \$15,000
14	Mohawk Industries Inc (MHK)	See Endnote	Sale	10/09/2019	No	\$1,001 - \$15,000
15	Mohawk Industries Inc (MHK)	See Endnote	Sale	10/09/2019	No	\$1,001 - \$15,000
16	Mohawk Industries Inc (MHK)	See Endnote	Sale	10/10/2019	No	\$1,001 - \$15,000
17	Mohawk Industries Inc (MHK)	See Endnote	Sale	10/10/2019	No	\$1,001 - \$15,000
18	Mohawk Industries Inc (MHK)	See Endnote	Sale	10/10/2019	No	\$1,001 - \$15,000
19	Liberty Media Corp Del Ser C (FWONK)	See Endnote	Sale	10/11/2019	No	\$1,001 - \$15,000
20	Liberty Media Corp Del Ser C (FWONK)	See Endnote	Sale	10/11/2019	No	\$1,001 - \$15,000
21	Mohawk Industries Inc (MHK)	See Endnote	Sale	10/11/2019	No	\$1,001 - \$15,000

#	DESCRIPTION		ТҮРЕ	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
22	Mohawk Industries Inc (MHK)	See Endnote	Sale	10/11/2019	No	\$1,001 - \$15,000
23	Mohawk Industries Inc (MHK)	See Endnote	Sale	10/11/2019	No	\$1,001 - \$15,000
24	Mohawk Industries Inc (MHK)	See Endnote	Sale	10/14/2019	No	\$1,001 - \$15,000
25	Liberty Media Corp Del Ser C (FWONK)	See Endnote	Sale	10/15/2019	No	\$1,001 - \$15,000
26	Liberty Media Corp Del Ser C (FWONK)	See Endnote	Sale	10/15/2019	No	\$1,001 - \$15,000
27	Mohawk Industries Inc (MHK)	See Endnote	Sale	10/15/2019	No	\$15,001 - \$50,000
28	Mohawk Industries Inc (MHK)	See Endnote	Sale	10/16/2019	No	\$1,001 - \$15,000
29	Mohawk Industries Inc (MHK)	See Endnote	Sale	10/16/2019	No	\$1,001 - \$15,000
30	Liberty Media Corp Del Ser C (FWONK)	See Endnote	Sale	10/17/2019	No	\$1,001 - \$15,000
31	Prosus NV	See Endnote	Purchase	10/21/2019	No	\$1,001 - \$15,000
32	Liberty Media Corp del Ser C (FWONK)	See Endnote	Sale	10/21/2019	No	\$1,001 - \$15,000
33	Taiwan Semiconductor MFG (TSM)	See Endnote	Purchase	10/21/2019	No	\$1,001 - \$15,000
34	Taiwan Semiconductor Mfg (TSM)	See Endnote	Purchase	10/21/2019	No	\$1,001 - \$15,000
35	Taiwan Semiconductor Mfg (TSM)	See Endnote	Purchase	10/21/2019	No	\$1,001 - \$15,000
36	Naspers Limited Ltd (NAPRF)	See Endnote	Sale	10/21/2019	No	\$1,001 - \$15,000
37	Prosus NV	See Endnote	Purchase	10/22/2019	No	\$15,001 - \$50,000
38	Liberty Media Corp Del Ser C (FWONK)	See Endnote	Sale	10/22/2019	No	\$1,001 - \$15,000
39	Naspers Limited Ltd (NAPRF)	See Endnote	Sale	10/22/2019	No	\$15,001 - \$50,000
40	Prosus NV	See Endnote	Purchase	10/23/2019	No	\$15,001 - \$50,000
41	Taiwan Semiconductor Mfg (TSM)	See Endnote	Purchase	10/23/2019	No	\$1,001 - \$15,000
42	Taiwan Semiconductor Mfg (TSM)	See Endnote	Purchase	10/23/2019	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
43	Naspers Limited (NAPRF)	See Endnote	Sale	10/23/2019	No	\$15,001 - \$50,000
44	Prosus NV	See Endnote	Purchase	10/24/2019	No	\$15,001 - \$50,000
45	Liberty Media Corp Del Ser C (FWONK)	See Endnote	Sale	10/24/2019	No	\$1,001 - \$15,000
46	Naspers Limited (NAPRF)	See Endnote	Sale	10/24/2019	No	\$15,001 - \$50,000
47	Prosus NV	See Endnote	Purchase	10/25/2019	No	\$1,001 - \$15,000
48	Liberty Media Corp Del Ser C (FWONK)	See Endnote	Sale	10/25/2019	No	\$1,001 - \$15,000
49	Liberty Media Corp Del Ser C (FWONK)	See Endnote	Sale	10/25/2019	No	\$1,001 - \$15,000
50	Naspers Limited (NAPRF)	See Endnote	Sale	10/25/2019	No	\$1,001 - \$15,000
51	Prosus NV	See Endnote	Purchase	10/28/2019	No	\$1,001 - \$15,000
52	Naspers Limited (NAPRF)	See Endnote	Sale	10/28/2019	No	\$1,001 - \$15,000
53	Prosus NV	See Endnote	Purchase	10/29/2019	No	\$1,001 - \$15,000
54	Prosus NV	See Endnote	Purchase	10/30/2019	No	\$15,001 - \$50,000
55	Naspers Limited (NAPRF)	See Endnote	Sale	10/30/2019	No	\$15,001 - \$50,000
56	Prosus NV	See Endnote	Purchase	10/31/2019	No	\$15,001 - \$50,000
57	Naspers Limited (NAPRF)	See Endnote	Sale	10/31/2019	No	\$15,001 - \$50,000

Endnotes

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Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records

Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.