

Report Type: Annual/Termination Report

Year (Annual Report only):

Date of Appointment: 09/2025

Date of Termination: 05/2026

Appointment Type: PAS

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Miran, Stephen I

Governor, Board of Governors of the Federal Reserve System

Date of Termination: 05/22/2026

Other Federal Government Positions Held During the Preceding 12 Months:

Chairman, Executive Office of the President / Council of Economic Advisers (3/2025 - 2/2026) See endnote

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Miran, Stephen I [electronically signed on 06/22/2026 by Miran, Stephen I in Integrity.gov] - Filer received a 38 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Croston, Sean, Certifying Official [electronically signed on 06/29/2026 by Croston, Sean in Integrity.gov]

Other review conducted by

/s/ Syme, Lorna, Ethics Official [electronically signed on 06/29/2026 by Syme, Lorna in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 08/21/2026 by Granahan, Megan in Integrity.gov]

Data Revised 06/29/2026

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Hudson Bay Capital Management LP		Stamford, Connecticut	Corporation	Senior strategist	2/2024	2/2025
2	Manhattan Institute for Policy Research		New York, New York	Non-Profit	Senior Fellow	4/2023	2/2025
3	SM Consulting 1 LLC (inactive)	See Endnote	New York, New York	Corporation	sole member	12/2023	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Hudson Bay Capital Management LP (Asset management)	N/A		Salary/Bonus	\$1,036,720
2	Roth IRA	No			
2.1	U.S. Brokerage account (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.2	iShares 20+ Year Treasury Bond ETF (TLT)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	Hudson Bay Capital Management LP 401(K)	No			
3.1	PIMCO Low Duration Income Fund Class A Shares (PFIAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Hudson Bay Capital Management LP	Stamford, Connecticut	I continue to participate in the defined contribution 401(k) plan. The plan sponsor ceased making contributions after my separation.	2/2024
2	SM Consulting 1 LLC	New York, New York	My single-member passthrough entity was inactive during my federal appointments to CEA and FRB.	2/2025

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	ECPI University and Affiliated Entities	N/A	Over \$1,000,000	Ordinary Income	\$745,236
2	Advanced Tech Institute LLC	N/A	\$100,001 - \$250,000	Ordinary Income	\$21,930
3	IRA No. 1	No			
3.1	Vanguard Target Retirement 2040 Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fidelity Government Money Market Fund (SPAXX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	fannie mae stock FNMA		N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
3	Financial Select Sector SPDR Fund (XLF)		Yes	None (or less than \$1,001)		\$5,001 - \$15,000
4	U.S. bank No. 1 (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Gold eagle coins (US mint)		N/A	\$1,001 - \$15,000		None (or less than \$201)
6	U.S. bank No. 2 (cash)		N/A	None (or less than \$1,001)		None (or less than \$201)
7	U.S. brokerage account No. 1 (cash)		N/A	\$50,001 - \$100,000		None (or less than \$201)
8	Residential Real Estate - New York, NY	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
9	iShares 20+ Year Treasury Bond ETF (TLT)		Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
10	VanEck Vectors Short High-Yield Municipal Index ETF (SHYD)		Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
11	U.S. brokerage account No. 2 (cash)		N/A	Over \$1,000,000		None (or less than \$201)
12	BBH LIMITED DURATION FUND CL I		Yes	Over \$1,000,000		\$100,001 - \$1,000,000
13	ARLINGTON TEX INDPT SCH DIST UNLTD TAX BLDG REF BDS 2025 N/C 5% 02/15/2028		N/A	\$1,001 - \$15,000		None (or less than \$201)
14	ARLINGTON TEX INDPT SCH DIST UNLTD TAX BLDG REF BDS 2025 N/C 5% 02/15/2029		N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	ARLINGTON TEX INDPT SCH DIST UNLTD TAX BLDG REF BDS 2025 N/C 5% 02/15/2030	N/A	\$15,001 - \$50,000		None (or less than \$201)
16	ARLINGTON TEX INDPT SCH DIST UNLTD TAX BLDG REF BDS 2025 N/C 5% 02/15/2035	N/A	\$50,001 - \$100,000		None (or less than \$201)
17	AUSTIN TX CTFS OF OBLIG 2023 N/C 5% 09/01/2030	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
18	AUSTIN TX PUB IMPT REF BDS 2024 N/C 5% 09/01/2033	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	AUSTIN TX REF N/C 5% 09/01/2032	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
20	BALTIMORE CNTY MD CTFS PARTN COPS 2022 N/C 5% 03/01/2030	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
21	CALIFORNIA ST REF-BID GROUP B N/C 5% 08/01/2026	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
22	CLEVELAND CNTY OKLA INDPT SCH DI GO COMBINED PURP BDS 2024 N/C 4% 03/01/2028	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
23	CONNECTICUT ST SPL TAX OBLIG REV TRANSPORTATION INFRASTRUCTURE PURPOSE-SER A N/C 5% 01/01/2026	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
24	CYPRESS-FAIRBANKS TEX INDPT SCH UNLTD TAX REF BDS 2025 N/C 5% 02/15/2033	N/A	\$50,001 - \$100,000		None (or less than \$201)
25	CYPRESS-FAIRBANKS TEX INDPT SCH UNLTD TAX REF BDS 2025 N/C 5% 02/15/2034	N/A	\$50,001 - \$100,000		None (or less than \$201)
26	DALLAS TX INDEP SCH DIST UNLTD TAX BLDG REF BDS 2025B 5% 02/15/2035-2034 CALLABLE 02/15/2034 @ 100	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27	DALLAS TX INDEP SCH DIST UNLTD TAX BLDG REF BDS 2025B N/C 5% 02/15/2028	N/A	\$1,001 - \$15,000		None (or less than \$201)
28	DALLAS TX INDEP SCH DIST UNLTD TAX BLDG REF BDS 2025B N/C 5% 02/15/2030	N/A	\$1,001 - \$15,000		None (or less than \$201)
29	DALLAS TX INDEP SCH DIST UNLTD TAX REF BDS 2025C N/C 5% 02/15/2027	N/A	\$1,001 - \$15,000		None (or less than \$201)
30	DALLAS TX INDEP SCH DIST UNLTD TAX REF BDS 2025C N/C 5% 02/15/2029	N/A	\$1,001 - \$15,000		None (or less than \$201)
31	DENTON CNTY TEX PERM IMPT BDS 2024 5% 07/15/2034-2033 CALLABLE 07/15/2033 @ 100	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
32	DENTON CNTY TEX PERM IMPT BDS 2024 N/C 5% 07/15/2026	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
33	DISTRICT COLUMBIA GO REF BDS 2021 E N/C 5% 02/01/2029	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
34	FLORIDA ST BRD OF EDU PUBLIC EDU CAP OUTLAY REF BDS 2025A N/C 5% 06/01/2032	N/A	\$1,001 - \$15,000		None (or less than \$201)
35	FLORIDA ST BRD OF EDU PUBLIC EDU CAP OUTLAY REF BDS 2025A N/C 5% 06/01/2034	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
36	FLORIDA ST BRD OF EDU PUBLIC EDU CAP OUTLAY REF BDS 2025A N/C 5% 06/01/2035	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
37	FLORIDA ST BRD OF EDU PUBLIC EDU FULL FAITH AND CREDIT CAP OUTLAY REF BDS 2022A N/C 5% 06/01/2029	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
38	FLORIDA ST TURNPIKE AUTH DEPT TRANSN REF BDS 2022 A N/C 5% 07/01/2028	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39	GRAND PARKWAY TRANSN CORP TEX SY TOLL REVENUE VARIABLE-REF - SUBORDINATE 5% 10/01/2052-2028 CALLABLE 01/01/2028 @ 100	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40	HARRIS CNTY TX CULTURAL EDU FACS HOSP BDS 2021 B 5% 10/01/2051	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41	HIGHLAND PARK, TX INDPT SCH DIST UNLTD TAX BLDG BDS 2025 N/C 5% 02/15/2031	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
42	HIGHLAND PARK, TX INDPT SCH DIST UNLTD TAX BLDG BDS 2025 N/C 5% 02/15/2032	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
43	HOUSTON TX UTILITY SYS REV COMBINED FIRST LIEN REF BDS 2024 A 5% 11/15/2034-2034 CALLABLE 05/15/2034 @ 100	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
44	HOUSTON TX UTILITY SYS REV COMBINED FIRST LIEN REF BDS 2024 A N/C 5% 11/15/2026	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
45	HOUSTON TX UTILITY SYS REV COMBINED FIRST LIEN REF BDS 2024 A N/C 5% 11/15/2031	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
46	HOUSTON TX UTILITY SYS REV COMBINED FIRST LIEN REF BDS 2024 A N/C 5% 11/15/2032	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
47	HOUSTON TX UTILITY SYS REV COMBINED FIRST LIEN REF BDS 2024 A N/C 5% 11/15/2033	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
48	JACKSONVILLE FLA SPL REV REF- SPECIAL REV-SER A-R N/C 5% 10/01/2033	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
49	Katy Texas Independent School District Refunding BDS 2024 N/C 5% 02/15/2031	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000

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50	Katy Texas Independent School District Refunding BDS 2024 N/C 5% 02/15/2032	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
51	KING CNTY WA SWR REV REF BDS 2024A N/C 5% 01/01/2034	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
52	LOS ANGELES CALIF UNI SCH DIST GO DEDICATED UNLTD AD VALOREM PPTY TAX REF BDS 2024A N/C 5%	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
53	MARYLAND ST ST & LOCAL FACS LOAN-2ND SER R-BID GROUP 1 N/C 5% 08/01/2027	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
54	MET GOVT NASHVILLE & DAVIDSON GO IMPT BDS 2021C N/C 5% 01/01/2030	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
55	METROPOLITAN COUNCIL MN GO GRANT ANTIC NTS 2021 C N/C 5% 12/01/2029	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
56	MISSOURI ST HLTH & EDUCTNL FACS BDS 2021 B 4% 05/01/2051	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
57	N.Y CITY NY TRANSITIONAL FIN FUTURE TAX SECD SUB BDS FISCAL 2021B SUB B-1 N/C 5% 08/01/2029	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
58	N.Y CITY NY TRANSITIONAL FIN FUTURE TAX SECD SUB BDS FISCAL 2022F SUB F-1 N/C 5% 02/01/2026	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
59	NEW YORK CITY NY MUNI WTR SECOND GEN RESOLUTION BDS FISCAL 2021 DD N/C 5% 06/15/2029	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
60	NEW YORK CITY NY MUNI WTR SECOND GEN RESOLUTION BDS FISCAL 2023 DD N/C 5% 06/15/2033	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
61	NEW YORK CITY NY MUNI WTR SECOND GEN RESOLUTION BDS FISCAL2024CC SUB CC2 5%	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
62	NEW YORK CITY NY TRANS FIN AUTH BLDG AID REV REF-SER S-3- SUBSERIES S-3A N/C 5% 07/15/2027	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
63	NEW YORK CITY NY TRANS FIN AUTH BLDG AID REV REF-SER S-3- SUBSERIES S-3A N/C 5% 07/15/2028	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
64	NEW YORK N Y CITY TRANSITIONAL F FUTURE TAX SECD SUB BDS FISCAL 2024 B N/C 5% 05/01/2031	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
65	NEW YORK N Y CITY TRANSITIONAL F FUTURE TAX SECD SUBORDINATE BDS FISCAL 2025 E N/C 5% 11/01/2028	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
66	NEW YORK N Y CITY TRANSITIONAL F FUTURE TAX SECD SUBORDINATE BDS FISCAL 2025ASUB A1 5% 11/01/2032	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
67	NEW YORK N Y CITY TRANSITIONAL F FUTURE TAX SECD SUBORDINATE BDS FISCAL 2025ASUB A1 5% 11/01/2033	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
68	NEW YORK N Y CITY TRANSITIONAL F FUTURE TAX SECD SUBORDINATE BDS FISCAL 2025F SUB F-1 5%	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
69	NEW YORK N Y CITY TRANSITIONAL F FUTURE TAX SECD SUBORDINATE BDS FISCAL 2025F SUB F-1 5% CALLABLE 05/01/2035 @ 100	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
70	NEW YORK N Y GO BDS FISCAL 2024 E 5% 08/01/2034	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
71	NEW YORK N Y REF-SER-1-REMK 6/13/23 N/C 5% 08/01/2032	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
72	NEW YORK N Y REF-SUB-SER F-1 N/C 5% 08/01/2033	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
73	NEW YORK ST DORM AUTH BDS 2018 E GROUP 1 N/C 5% 03/15/2028	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
74	NEW YORK ST DORM AUTH BDS 2024 B 5% 03/15/2027	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
75	NEW YORK ST DORM AUTH ST GEN PURP BDS 2022 A N/C 5% 03/15/2032	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
76	NEW YORK ST ENVRNMNTL FACS CORP REVOLVING FDS SUB SRF BDS 2022A N/C 5% 06/15/2026	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
77	NEW YORK ST HSG FIN AGY REV 320 WEST 38TH STR BDS 2014 A 3.57% 05/01/2042-2030 CALLABLE 11/01/2030 @ 100	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
78	PLANO TX INDPT UNLTD TAX BLDG BDS 2025 N/C 5% 02/15/2032	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
79	PLANO TX INDPT UNLTD TAX BLDG BDS 2025 N/C 5% 02/15/2033	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
80	SNOHOMISH CNTY WA SD #15 EDMON UNLTD TAX GO REF BDS 2024 N/C 5% 12/01/2031	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
81	SOUTH CAROLINA ST HSG FIN DEV AU BDS 2022 B 5% 01/01/2052-2031 CALLABLE 07/01/2031 @ 101.31	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
82	SOUTH CAROLINA ST HSG FIN DEV AU MTGE REVENUE REF -SER A 5.75% 01/01/2054-2032 CALLABLE 07/01/2032 @ 102.67	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
83	SOUTH DAKOTA HSG DEV AUTH REF-SER D 6% 11/01/2054-2032 CALLABLE 05/01/2032 @ 100	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
84	TEXARKANA TEX INDPT SCH DIST ADJUSTABLE RATE UNLTD TAX BLDG BDS 2024 4% 02/15/2053	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
85	TEXAS A M UNIV REV SYS FING BDS 2024A N/C 5% 05/15/2030	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
86	TEXAS MUN GAS ACQUISITION SUPPLY BDS 2024 5% 01/01/2055-2033 CALLABLE 07/01/2033 @ 100	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
87	TEXAS ST DEPT HSG & CMNTY BDS 2022 A 3.5% 07/01/2052-2031 CALLABLE 01/01/2031 @ 101.56	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
88	TEXAS ST DEPT HSG & CMNTY BDS 2024 A 5.75% 07/01/2054-2033 CALLABLE 01/01/2033 @ 102.79	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
89	TEXAS ST DEPT OF HSG & CMNTY AFFAIRS SER A 5.75% 03/01/2054-2032 CALLABLE 09/01/2032 @ 103.08	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
90	TEXAS ST MUNI GAS ACQ & SPLY SR LIEN-SER D 6.25% 12/15/2026	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
91	TEXAS ST TRANSN COMMN GO MOBILITY FD REF BDS 2024 N/C 5% 10/01/2033	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
92	TEXAS WTR DEV BRD REV WTR IMPLEMENTATION REVENUE FUND SER A N/C 5% 10/15/2033	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
93	TEXAS WTR DEV BRD ST REVOLVING FD REV BDS 2021 N/C 5% 08/01/2027	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
94	TRIBOROUGH BRDG TUNL AUTH N Y PA BD ANTIC NTS 2024B N/C 5% 03/15/2027	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
95	TRIBOROUGH BRDG TUNL AUTH N Y PA MOBILITY TAX GREEN BONDS-SENIOR - REF-SER C N/C 5% 11/15/2033	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
96	TRIBOROUGH BRDG TUNL AUTH N Y PA SR LIEN REF BDS 2022B N/C 5% 05/15/2030	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
97	TRIBOROUGH BRDG TUNL AUTH N Y PA SR LIEN REF BDS 2022B N/C 5% 05/15/2031	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
98	UNIV OF TEXAS TX UNIV REVENUES FING SYS BDS 2020 C 5% 08/15/2031	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
99	UNIV OF TEXAS TX UNIV REVENUES FING SYS BDS 2024A N/C 5% 08/15/2027	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
100	UNIVERSITY TEX PERM UNIV FD REF-SER- A N/C 5% 07/01/2033	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
101	UNIVERSITY TX PERM UNIV FD SYS BDS 2022A N/C 5% 07/01/2032	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
102	UNIVERSITY WA UNIV REVS GEN REF BDS 2025 A N/C 5% 04/01/2027	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
103	UNIVERSITY WA UNIV REVS GEN REF BDS 2025 A N/C 5% 04/01/2028	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
104	VIRGINIA ST CLG BLDG AUTH BDS 2021 A N/C 5% 02/01/2027	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
105	WASHINGTON ST VAR PURP GO REF BDS R-2022C N/C 4% 07/01/2028	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
106	WASHINGTON ST VAR PURP GO REF BDS R-2022C N/C 4% 07/01/2031	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
107	WASHINGTON ST VAR PURP GO REF BDS R-2022C N/C 4% 07/01/2032	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
108	AKRE FOCUS FUND-SUPRA INST - AKRSX	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
109	BBH Wealth Strategies, LLC - ALTAROCK PARTNERS SERIES	Yes	Over \$1,000,000		\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
110	ISHARES S&P 500 INDEX FUND - IVV	Yes	Over \$1,000,000		\$15,001 - \$50,000
111	BBH Wealth Strategies, LLC - Valley Forge Large Cap Equity Series	Yes	Over \$1,000,000		\$50,001 - \$100,000
112	BBH Wealth Strategies, LLC - CLARKSTON CAPITAL PARTNERS SMALL/MID CAP SERIES	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
113	BBH PARTNER FUND - INTERNATIONAL EQUITY - BBHLX	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
114	BBH Wealth Strategies, LLC - SELECT EQUITY SERIES	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
115	BBH Wealth Strategies, LLC - TRINITY STREET INTERNATIONAL PARTNERS SERIES	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
116	BBH Wealth Strategies, LLC - GQG PARTNERS EMERGING MARKETS EQUITY SERIES	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
117	BBH Wealth Strategies, LLC - BOWIE GLOBAL EQUITY SERIES	Yes	\$500,001 - \$1,000,000		\$1,001 - \$2,500
118	BBH Wealth Strategies, LLC - AH SERIES 1	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
119	BBH CAP PARTNERS QP IV, LP	Yes	\$50,001 - \$100,000		\$15,001 - \$50,000
120	BBH Wealth Strategies, LLC - BBH CAPITAL PARTNERS V SERIES	Yes	\$50,001 - \$100,000		\$15,001 - \$50,000
121	BBH CAPITAL PARTNERS VI, L.P.	Yes	\$250,001 - \$500,000		\$201 - \$1,000
122	BBH Wealth Strategies, LLC - SILVER POINT DISTRESSED OPPORTUNITY II SERIES	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
123	BBH Wealth Strategies, LLC - CAT ROCK CAPITAL PARTNERS SERIES		Yes	\$1,001 - \$15,000		None (or less than \$201)
124	BBH Wealth Strategies, LLC - MAKAIRA PARTNERS SERIES		Yes	None (or less than \$1,001)		\$15,001 - \$50,000
125	BBH WEALTH STRATEGIES, LLC - AROHI EMERGING ASIA SERIES		Yes	None (or less than \$1,001)		\$15,001 - \$50,000
126	Charlotte Center Investments LLC		No			
126.1	Commercial Real Estate - Charlotte, NC	See Endnote	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
126.2	Fidelity Government Money Market, SPAXX		Yes	\$1,001 - \$15,000		\$201 - \$1,000
127	Blue Oyster LLC		No			
127.1	Commercial Real Estate - Newport News, VA	See Endnote	N/A	\$15,001 - \$50,000	Rent or Royalties	\$15,001 - \$50,000
127.2	Fidelity Government Cash Reserve, FDRXX		Yes	\$1,001 - \$15,000		None (or less than \$201)
127.3	Fidelity Government Money Market, SPAXX		Yes	\$1,001 - \$15,000		\$201 - \$1,000
128	3 Shady Path LLC		No			
128.1	Residential Real Estate - Bridgehampton, NY	See Endnote	N/A	Over \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
128.2	BBH U.S. Government Money Market Fund		Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
129	Family Irrevocable Trust #1		No			
129.1	BBH U.S. GOVERNMENT MONEY MARKET FUND INSTITUTIONAL		Yes	\$1,001 - \$15,000		None (or less than \$201)
129.2	AKRE FOCUS FUND-SUPRA INST - AKRSX		Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
129.3	BBH SEL SER-LRG CAP-I - BBLIX	Yes	\$15,001 - \$50,000		None (or less than \$201)
129.4	BBH SELECT SERIES - MID CAP FUND CLASS I - BBMIX	Yes	\$1,001 - \$15,000		None (or less than \$201)
129.5	CLARKSTON SERIES TRUST CLARKSTON PARTNERS FUND FOUNDERS CL - CFSMX	Yes	\$1,001 - \$15,000		None (or less than \$201)
129.6	BBH PARTNER FUND - INTERNATIONAL EQUITY - BBHLX	Yes	\$1,001 - \$15,000		None (or less than \$201)
129.7	GQG PART EMERG MKTS EQ-INST - GQGIX	Yes	\$1,001 - \$15,000		None (or less than \$201)
129.8	GQG PART QLTY EQTY-INST - GQRIX	Yes	\$1,001 - \$15,000		None (or less than \$201)
129.9	AKRE FOCUS ETF -AKRE	Yes	\$15,001 - \$50,000		None (or less than \$201)
129.10	ISHARES RUSSELL 2000 FUND - IWM	Yes	\$15,001 - \$50,000		None (or less than \$201)
129.11	ISHARES S&P 500 INDEX FUND - IVV	Yes	\$15,001 - \$50,000		None (or less than \$201)
129.12	ISHARES CORE MSCI EAFE ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
130	Family Irrevocable Trust #2	No			
130.1	BBH U.S. GOVERNMENT MONEY MARKET FUND INSTITUTIONAL - BBSXX	Yes	\$1,001 - \$15,000		None (or less than \$201)
130.2	AKRE FOCUS FUND-SUPRA INST - AKRSX	Yes	\$1,001 - \$15,000		None (or less than \$201)
130.3	BBH SEL SER-LRG CAP-I - BBLIX	Yes	\$1,001 - \$15,000		None (or less than \$201)
130.4	BBH SELECT SERIES - MID CAP FUND CLASS I - BBMIX	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
130.5	Clarkston Founders Fund Founders Class - CFMDX	Yes	\$1,001 - \$15,000		None (or less than \$201)
130.6	BBH PARTNER FUND - INTERNATIONAL EQUITY - BBHLX	Yes	\$1,001 - \$15,000		None (or less than \$201)
130.7	GQG PART EMERG MKTS EQ-INST - GQGIX	Yes	\$1,001 - \$15,000		None (or less than \$201)
130.8	GQG PART QLTQ EQTY-INST - GQRIX	Yes	\$1,001 - \$15,000		None (or less than \$201)
130.9	ISHARES S&P 500 INDEX FUND - IVV	Yes	\$15,001 - \$50,000		None (or less than \$201)
131	WISCONSIN ST TRANSN REV REF-SER 2 N/C 5% 07/01/2025 PAYABLE 01/01/2024 EFFECTIVE 01/01/2024	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
132	LOS ANGELES CALIF UNI SCH DIST REF-SER B N/C 5% 07/01/2025 PAYABLE 01/01/2024 EFFECTIVE	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
133	NEW YORK ST DORM AUTH ST GEN PURP BDS 2019A N/C 5% 03/15/2025 PAYABLE 03/15/2024	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
134	Family Irrevocable Trust #3	No			
134.1	BBH U.S. GOVERNMENT MONEY MARKET FUND INSTITUTIONAL - BBSXX	Yes	\$15,001 - \$50,000		None (or less than \$201)
134.2	ISHARES S&P 500 INDEX FUND - IVV	Yes	\$15,001 - \$50,000		None (or less than \$201)
135	KLA CORPORATION	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
136	S&P GLOBAL INC COM STK	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
137	BOOKING HOLDINGS INC COM STK	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
138	AUTOMATIC DATA PROCESSING INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
139	PROGRESSIVE CORP OHIO	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
140	MASTERCARD INCORPORATED	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
141	TEXAS INSTRUMENTS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
142	ABBOTT LABORATORIES	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
143	LINDE PLC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
144	WASTE MANAGEMENT INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
145	ZOETIS INC COM STK	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
146	COSTCO WHOLESALE CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
147	ORACLE CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
148	ALPHABET INC CL C	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000
149	ALCON INC COM STK	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
150	ADOBE INC	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
151	AMAZON.COM INC	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
152	ARTHUR J GALLAGHER & CO	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
153	BERKSHIRE HATHAWAY INC-CL B	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000
154	BRIGHT HORIZONS FAMILY SOLUT COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
155	CADENCE DESIGN SYS INC	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
156	ELI LILLY & CO	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
157	GFL ENVIRONMENTAL COM STK	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
158	GUIDEWIRE SOFTWARE INC COM STK	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
159	HEICO CORP CL A	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
160	KEYSIGHT TECHNOLOGIES INC COM	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
161	MICROSOFT CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
162	S&P 500 Options (Closed position)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
163	BBH Wealth Strategies, LLC - BBH Capital Partners VII, L.P.	Yes	\$50,001 - \$100,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	BBH Wealth Strategies, LLC - CLARKSTON CAPITAL PARTNERS SMALL/MID CAP SERIES	See Endnote	Sale	03/20/2026	\$100,001 - \$250,000
2	BBH Wealth Strategies, LLC - CLARKSTON CAPITAL PARTNERS SMALL/MID CAP SERIES	See Endnote	Sale	03/31/2026	\$15,001 - \$50,000
3	BBH Wealth Strategies, LLC - SELECT EQUITY SERIES	See Endnote	Sale	03/31/2026	\$500,001 - \$1,000,000
4	BBH Wealth Strategies, LLC - SELECT EQUITY SERIES	See Endnote	Sale	04/02/2026	\$500,001 - \$1,000,000
5	BBH Wealth Strategies, LLC - SELECT EQUITY SERIES	See Endnote	Sale	04/15/2026	\$50,001 - \$100,000
6	BBH Wealth Strategies, LLC - CLARKSTON CAPITAL PARTNERS SMALL/MID CAP SERIES	See Endnote	Sale	04/15/2026	\$15,001 - \$50,000
7	BBH Wealth Strategies, LLC - BBH Capital Partners VII, L.P.	See Endnote	Purchase	04/23/2026	\$15,001 - \$50,000
8	ISHARES RUSSELL 2000 FUND - IWM		Purchase	02/03/2026	\$15,001 - \$50,000
9	ISHARES S&P 500 INDEX FUND - IVV		Purchase	03/02/2026	\$15,001 - \$50,000
10	ISHARES S&P 500 INDEX FUND - IVV		Purchase	02/02/2026	\$15,001 - \$50,000
11	ISHARES CORE MSCI EAFE ETF		Purchase	02/03/2026	\$15,001 - \$50,000
12	ISHARES S&P 500 INDEX FUND - IVV		Purchase	02/03/2026	\$15,001 - \$50,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	Mortgage (investment/rental property)	\$500,001 - \$1,000,000	2022	2.375%	30 years
2	BBH CAP PARTNERS QP IV, LP	Capital Commitment	\$50,001 - \$100,000	2011	N/A	on demand
3	BBH CAPITAL PARTNERS V	Capital Commitment	\$10,001 - \$15,000	2016	N/A	on demand
4	BBH CAPITAL PARTNERS VI, L.P.	Capital Commitment	\$50,001 - \$100,000	2020	N/A	on demand
5	AH SERIES 1	Capital Commitment	\$15,001 - \$50,000	2022	N/A	on demand
6	SILVER POINT DISTRESSED OPPORTUNITY II SERIES	Capital Commitment	\$250,001 - \$500,000	2023	N/A	on demand
7	BBH Capital Partners VII, L.P.	Capital Commitment	\$250,001 - \$500,000	2025	N/A	on demand
8	Wells Fargo	Credit Card	\$15,001 - \$50,000	2023	0	Revolving

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
Filer's Information	1	I was on unpaid leave from September 2025 to February 2026.

PART	#	ENDNOTE
1.	3	this is a sole-member passthrough LLC I used for any writing or consulting income I received (reported below). Per my ethics agreement with OGE, it was inactive during the time of my federal appointments with CEA and FRB.
6.	8	This was reported as a primary residence during a previous nominee report but we began renting it out to a tenant during the reporting period.
6.	126.1	Asset owned by a multi-member, single purpose LLC; gross rents used in determining the income range. These real estate assets support ECPI University, which is reported in Part 5.
6.	127.1	Asset owned by a multi-member, single purpose LLC; gross rents used in determining the income range. These real estate assets support ECPI University, which is reported in Part 5
6.	128.1	Asset owned by a multi-member, single purpose LLC; gross rents used in determining the income range.
7.	1	Manager-initiated redemption as the manager is winding down the strategy in 1H. This is not a transaction initiated by filer.
7.	2	Manager-initiated redemption as the manager is winding down the strategy in 1H. This is not a transaction initiated by filer.
7.	3	Manager-initiated redemption as the manager is winding down the strategy in 1H. This is not a transaction initiated by filer.
7.	4	Manager-initiated redemption as the manager is winding down the strategy in 1H. This is not a transaction initiated by filer.
7.	5	Manager-initiated redemption as the manager is winding down the strategy in 1H. This is not a transaction initiated by filer.
7.	6	Manager-initiated redemption as the manager is winding down the strategy in 1H. This is not a transaction initiated by filer.
7.	7	Capital Call.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

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