



U.S. Department of Justice

Washington, D.C. 20530

Emory A. Rounds, III
Director
Office of Government Ethics
Suite 500
1201 New York Avenue, NW
Washington, DC 20005-3919

Dear Mr. Rounds:

In accordance with the provisions of Title I of the Ethics in Government Act of 1978 as amended, I am forwarding the financial disclosure report of Mr. Roy W. Minter. President Biden has nominated Mr. Minter to serve as the United States Marshal for the Southern District of Georgia. We have conducted a thorough review of the nominee's report and have counseled him on the government ethics rules. The purpose of this letter is to describe the steps that Mr. Minter will take to avoid any actual or apparent conflict of interest in the event that he is confirmed for the aforementioned position. Mr. Minter understands that it is his responsibility to understand and comply with commitments outlined in this agreement.

SECTION 1 – GENERAL COMMITMENTS

As required by the criminal conflicts of interest law at 18 U.S.C. § 208(a), Mr. Minter will not participate personally and substantially in any particular matter in which he knows that he has a financial interest directly and predictably affected by the matter, or in which he knows that a person whose interests are imputed to him has a financial interest directly and predictably affected by the particular matter, unless he first obtains a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualifies for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). He understands that the interests of the following persons are imputed to him:

- His spouse or any minor child of his;
- Any general partner of a partnership in which he is a limited or general partner;
- Any organization in which he serves as officer, director, trustee, general partner or employee; and
- Any person or organization with which he is negotiating or has an arrangement concerning prospective employment.

In the event that an actual or potential conflict of interest arises during his appointment, Mr. Minter will consult with an agency ethics official and take the measures necessary to resolve the conflict, such as recusal from the particular matter or divestiture of an asset.

If Mr. Minter has a managed account or otherwise uses the services of an investment professional during his appointment, he will ensure that the account manager or investment professional obtains his prior approval on a case-by-case basis for the purchase of any assets other than cash, cash equivalents, investment funds that qualify for the regulatory exemption for diversified mutual funds and unit investment trusts at 5 C.F.R. § 2640.20l(a), obligations of the United States, or municipal bonds.

Mr. Minter will receive a live ethics briefing from United States Marshals Service ethics officials in connection with his appointment to the position of United States Marshal for the Southern District of Georgia after his confirmation but not later than 15 days after his appointment pursuant to the ethics program regulation at 5 C.F.R. § 2638.305. Within 90 days of his confirmation, Mr. Minter will submit his Certification of Ethics Agreement Compliance to United States Marshals Service ethics officials, which documents his compliance with this ethics agreement.

Mr. Minter understands that as an appointee he will be required to sign the Ethics Pledge (Exec. Order No. 13989) and that he will be bound by it. Among other obligations, he will be required to recuse from particular matters involving specific parties involving his former employer or former clients for a period of two years after he is appointed, with the exception of federal, state, and local government.

Mr. Minter will not modify this ethics agreement without my approval and the approval of the U.S. Office of Government Ethics pursuant to the ethics agreement requirements contained in the financial disclosure regulation at 5 C.F.R. § 2634.803(a)(4).

SECTION 2 – EMPLOYMENT RELATED INTERESTS AND AUTHORIZATIONS

Upon confirmation, Mr. Minter will resign from his position with the Savannah Police Department. For a period of one year after his resignation, Mr. Minter will have a “covered relationship” under the impartiality regulation at 5 C.F.R. § 2635.502 with the Savannah Police Department. Pursuant to 5 C.F.R. § 2635.502(d), the agency will grant him a written authorization to participate personally and substantially in particular matters involving specific parties in which Mr. Minter knows the Savannah Police Department is a party or represents a party.

Mr. Minter is the sole owner of Dynamic Leadership Services LLC and XCEL Consulting Group LLC. These entities have not provided services to any client and have not engaged in any income-generating activities. During Mr. Minter’s appointment, Dynamic Leadership Services LLC and XCEL Consulting Group LLC will remain inactive and will not accept new clients, advertise, or maintain a website. Mr. Minter will not perform any services for either LLC, except that he will comply with any requirements involving legal filings, taxes and fees that are necessary to maintain the LLC while it is in an inactive status. As United States Marshal for the Southern District of Georgia, Mr. Minter will not participate personally and substantially in any particular matter that to his knowledge has a direct and predictable effect on the financial interests of Dynamic Leadership Services LLC or XCEL Consulting Group LLC.

SECTION 3 – FAMILY TRUST

Mr. Minter will retain his position as a trustee of the Minter Family Living Trust. Mr. Minter will not receive any fees for the services that he provides as a trustee during his appointment to the position of United States Marshal for the Southern District of Georgia. Mr. Minter will not participate personally and substantially in any particular matter that to his knowledge has a direct and predictable effect on the financial interests of the Minter Family Living Trust, unless he first obtains a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).

SECTION 4 – SPOUSE EMPLOYMENT

Mr. Minter's spouse is a realtor with Engel & Völkers Realty for which she receives commissions. Pursuant to the impartiality regulation at 5 C.F.R. § 2635.502, for as long as Mr. Minter's spouse continues to work for this entity, Mr. Minter will not participate personally and substantially in any particular matter involving specific parties in which he knows his spouse's employer or any client of his spouse is a party or represent a party, unless he is first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

SECTION 5 – PUBLIC POSTING

Mr. Minter has been advised that this ethics agreement and the Certification of Ethics Agreement Compliance will be posted publicly, consistent with the public information law at 5 U.S.C. § 552, on the website of the U.S. Office of Government Ethics with ethics agreements of other Presidential nominees who file public financial disclosure reports.

Based on the above agreements and counseling, I am satisfied that the enclosed report presents no conflicts of interest under applicable laws and regulations and that you may so certify to the Senate Judiciary Committee.

Sincerely,

ARTHUR
GARY

Digitally signed by
ARTHUR GARY
Date: 2022.06.08
18:22:13 -04'00'

Arthur E. Gary
Deputy Assistant Attorney General,
Policy, Management and Planning and
Alternate Designated Agency Ethics Official

Enclosure

NOMINEE STATEMENT

I have read the Ethics Agreement signed by Arthur Gary, Deputy Assistant Attorney General for Policy, Management and Planning and Alternate Designated Agency Ethics Official on June 8, 2022 and I agree to comply with the commitments outlined in this agreement. In addition, in the event that an actual or potential conflict of interest arises during my appointment, I will consult with the U.S. Marshals Service (USMS) ethics officials and take the measures necessary to resolve the conflict, such as recusal from the particular matter or divestiture of an asset. I will not modify this ethics agreement without your approval and the approval of the U.S. Office of Government Ethics pursuant to the ethics agreement requirements contained in the financial disclosure regulation at 5 C.F.R. § 2634.803(a)(4). I (including my spouse and dependent children if applicable) also will not repurchase any asset I was required to divest without my consultation with the USMS ethics officials and the U.S. Office of Government Ethics. Finally, I understand that as an appointee I will be required to sign the Ethics Pledge (Exec. Order No. 13989) and that I will be bound by it. Among other obligations, I will be required to recuse from particular matters involving specific parties involving my former employer or former clients for a period of two years after I am appointed, with the exception of federal, state and local government.



Roy W. Winter

Date: June 8, 2022