

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Cordova, France A**

Director, National Science Foundation

Report Year: 2016

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Cordova, France A [electronically signed on 06/01/2016 by Cordova, France A in Integrity.gov] - Filer received a 47 day filing extension.**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Clay, Robin, Certifying Official [electronically signed on 06/01/2016 by Clay, Robin in Integrity.gov]**

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Other review conducted by

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U.S. Office of Government Ethics Certification

**/s/ Rounds, Emory, Certifying Official [electronically signed on 07/14/2016 by Rounds, Emory in Integrity.gov]**

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Wells Fargo Generation Skipping Trust	null	Trust	Trustee	1/1990	Present
2	Wells Fargo Living Trust	null	Trust	Trustee	1/1988	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fidelity Rollover IRA	No			
1.1	- Fidelity Four In One Index	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
1.2	- Fidelity Pacific Basin	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
1.3	- Fidelity Puritan	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
1.4	- Fidelity Blue Chip Growth	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
1.5	- American Capital Income Builder	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.6	- American Capital World Growth	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.7	- Fidelity Total Bond	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
1.8	- American Bond Fund of America	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.9	- Harbor High Yield Bond Inst	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.10	- Nuveen Symphony Flat Rate Income	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	- Pimco Income fund Inst Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
1.12	- Fidelity Freedom 2040	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
2	SEP IRA:				
2.1	- Spartan Intl Index Fid Adv class	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.2	- Fidelity Pacific Basin	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.3	- Matthews Asia Dividend Fund	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
3	Roth IRA:				
3.1	- Fidelity Four in One Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	TIAA Traditional	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
5	University of California, defined benefit plan (value not readily ascertainable)	N/A		Retirement Payments (annual)	\$25,278
6	Edison International Stock Options	N/A	\$50,001 - \$100,000	ordinary income	\$65,748
6.1	- 2,500 sh, 4/27/06; strike price \$40.05; exp 4/16	N/A			None (or less than \$201)
6.2	- 2,500 sh, 4/26/07; strike price \$53.57; exp 4/17	N/A			None (or less than \$201)
6.3	- 2,500 sh, 4/24/08; strike price \$52.78; exp 4/18	N/A			None (or less than \$201)
6.4	- 2,500 sh, 4/23/09; strike price \$28.00; exp 4/19	N/A			None (or less than \$201)
7	Edison In'tl deferred Compensation Plan	No			
7.1	- Cash Account	N/A	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.2	- Deferred Stock Unit Account	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
8	Edison Internation deferred comp payout distribution:				
8.1	- Cash Acct distribution	N/A		cash account payout	\$153,444
8.2	- Stock Distribution	N/A		stock payout	\$170,944
9	SAIC Key Executive Stock Deferred Plan - Restricted Stock Units	N/A	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
9.1	- Plan payout			payout	\$178,886
10	Belo Corp options (orig AH Belo)- under water	N/A	None (or less than \$1,001)		None (or less than \$201)
10.1	- 1,323 sh; 5/09/2006; strike price 18.0040; exp 5/9/16				
11	AH Belo Options - under water	N/A	None (or less than \$1,001)		None (or less than \$201)
11.1	- 6,615 sh; 5/9/06; st price \$14.3812; exp 5/9/16				
12	Edison International stock	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
13	Leidos Stock	See Endnote	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Purdue	West Lafayette,, Indiana	Continue to participate in TIAA/CREF 401(a)	6/2007
2	University of California	Riverside, California	Receive monthly pension payment.	7/2007

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	Edison International	Rosemead, California	Continued part in Edison Deferred Comp plan comprised of cash and deferred stock Units and receive annual payout. Continue to hold stock options.	5/2004
4	SAIC	McLean, Virginia	Continued participation in plan and receive annual payout.	12/2008
5	Belo and A.H. Belo		all options expired as of May, 2016.	/2003
6	Mayo Clinic	Rochester, Minnesota	As a former Trustee, receive lifetime medical coverage at Mayo Clinics for myself and my spouse in accordance with Trustee benefit plan	5/2008

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	TIAA Traditional	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
2	Fidelity Rollover IRA				
2.1	- Fidelity International Discovery	N/A	\$100,001 - \$250,000		\$5,001 - \$15,000
2.2	- Fidelity Canada Fund	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
2.3	- Vanguard Life Strategy Mod Growth	Yes	Over \$1,000,000		\$15,001 - \$50,000
3	Roth IRA - Vanguard Life Strategy Moderate Growth	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4	New Mexico State Retirement System, defined benefit plan (value not readily ascertainable)	N/A		Retirement Payments	

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Purdue Federal Credit Union Cash Account	N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
2	Jackson Life Perspective II: Lifeguard Freedom 6 Annuity	No			
2.1	Lazard Emerging Markets Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	T. Rowe Price Mid-Cap Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	MC Dow Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	Red Rocks Listed Private Equity Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	MC Technology Sector Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	WMC Money Market	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Capital Guardian	No			
3.1	American Mutual Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
3.2	Capital Group Core Municipal Fund	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
3.3	Capital Income Builder	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
3.4	Capital World Growth & Income Fund	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
3.5	Fundamental Investors Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.6	International Growth & Income Fund	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
3.7	New Economy Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
3.8	New Perspective Fund	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
3.9	Washington Mutual Investors	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
4	Wells Fargo - Living Trust - est. 1988	No			
4.1	American Century Tax-Free Bond Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.2	Nuveen High Yield Municipal Bond Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.3	Nuveen Intermediate Duration Bond Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.4	Nuveen Limited Term Municipal Bond Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.5	T. Rowe Price Institutional Float Rate Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.6	Fidelity Advisors Emerging Markets Income Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.7	American Century Small Cap Growth Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.8	Artisan Mid Cap Fund	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
4.9	Harbor Capital Appreciation Instl	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.10	ishares Russell Mid-Cap ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.11	JP Morgan Mid Cap Value Fund	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.12	MFS Value Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.13	Sterling Capital Stratton Small Cap Value Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.14	Artisan International Fund Inst	Yes	\$50,001 - \$100,000		\$201 - \$1,000
4.15	Dodge & Cox International Stock Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.16	ishares MSCI Emerging Markets Value	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.17	AQR Managed Futures Strategy Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.18	ASG Global Alternatives Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.19	Blackrock Global Long/Short Credit Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.20	Boston Partners Long/Short Research Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.21	Eaton Vance Global Macro Absolute Return Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.22	Neuberger Berman Long Short Fund Inst	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.23	The Merger Fund Class Inst	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.24	Credit Suisse Commodity Return Strategy Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.25	SPDR DJ Wilshire International Real Estate ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.26	T. Rowe Price Real Estate Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.27	Vanguard REIT Viper	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.28	ishares S&P 500 Index	Yes	None (or less than \$1,001)		\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.29	Driehaus Active Income Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000
4.30	Kalmar Gr w/ Value Sm Cap Inst	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
4.31	Oppenheimer Developing Markets N	Yes	None (or less than \$1,001)		\$201 - \$1,000
4.32	Robeco BP Long/Short Res	Yes	None (or less than \$1,001)		\$201 - \$1,000
5	Generation Skipping Trust (1990) - Wells Fargo	No			
5.1	Blackrock Institutional Funds Trust for Federal Securities T-Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.2	American Century Tax-Free Bond Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.3	Nuveen High Yield Municipal Bond	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.4	Nuveen Intermediate Duration Bond Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.5	Nuveen Limited Term Municipal Bond Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.6	T. Rowe Price Institutional Float Rate Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.7	Fidelity Advisors Emerging Markets Income Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.8	American Century Small Cap Growth Fund Inst	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	Artisan Mid Cap Fund Inst	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.10	Harbor Capital Appreciation Fund Inst	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.11	JP Morgan Mid Cap Value Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.12	MFS Value Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.13	Sterling Capital Stratton Small Cap Value Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.14	Artisan International Fund Inst	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.15	Dodge & Cox International Stock Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.16	Oppenheimer Developing Markets Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.17	AQR Managed Futures Strategy Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.18	ASG Global Alternatives Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.19	Blackrock Global Long/Short Credit Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.20	Boston Partners Long/Short Research Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.21	Eaton Vance Global Macro Absolute Return Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.22	Neuberger Berman Long Short Fund Inst	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.23	The Merger Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.24	Credit Suisse Commodity Return Strategy Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.25	SPDR DJ Wilshire Intl Real Estate ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.26	T. Rowe Price Real Estate Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.27	Vanguard REIT Viper	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	American Tax Credit Properties III, Real Estate LP, Greenwich CT	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7	AMS Group, LLC (mini-storage in Covina CA) See Endnote	No	\$250,001 - \$500,000	Interest Capital Gains	\$50,001 - \$100,000
7.1	AMS Distribution See Endnote	No		Distribution	\$145,724

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	Cash Distribution (Frederick and Joan Cordova Family Trust)		None (or less than \$1,001)	Distribution	\$29,068

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Capital Group Core Municipal Fund	Purchase	monthly	\$15,001 - \$50,000
2	Capital Group Core Municipal Fund	Sale	various	\$1,001 - \$15,000
3	American Mutual Fund	Purchase	various	\$15,001 - \$50,000
4	Capital Income Builder	Purchase	varous	\$1,001 - \$15,000
5	Capital World Growth & Income Fund	Purchase	various	\$1,001 - \$15,000
6	American Funds Fundamental Investors	Purchase	various	\$50,001 - \$100,000
7	International Growth & Income Fund	Purchase	various	\$1,001 - \$15,000
8	Washington Mutual Investors Fund	Purchase	various	\$15,001 - \$50,000
9	New Economy Fund	Purchase	various	\$50,001 - \$100,000
10	New Perspective Fund	Purchase	various	\$50,001 - \$100,000
11	New World Fund	Sale	12/16/15	\$100,001 - \$250,000
12	Fidelity Four-in-One Index	Purchase	4/13/15	\$1,000,001 - \$5,000,000
13	Fidelity Pacific Basin	Purchase	4/13/15	\$100,001 - \$250,000
14	Fidelity Puritan	Purchase	4/13/15	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
15	Fidelity Blue Chip Growth	Purchase	4/13/15	\$100,001 - \$250,000
16	Pimco Income Fund	Purchase	4/13/15	\$100,001 - \$250,000
17	Nuveen Symphony Flat Rate Income	Purchase	4/15/15	\$100,001 - \$250,000
18	Vanguard Target 2020	Sale	4/24/15	\$500,001 - \$1,000,000
19	Vanguard Target 2040	Sale	4/24/15	\$100,001 - \$250,000
20	Pimco Total Return Institutional	Sale	4/24/15	\$15,001 - \$50,000
21	Vanguard Target Retirement 2040	Sale	4/24/15	\$15,001 - \$50,000
22	Pimco Total Return Inst	Sale	4/24/15	\$1,001 - \$15,000
23	Vanguard Target Retirement 2020	Sale	4/24/15	\$50,001 - \$100,000
24	Vanguard Target Retirement 2040	Sale	4/24/15	\$50,001 - \$100,000
25	Pimco Total Return Inst	Sale	4/24/15	\$1,001 - \$15,000
26	UC Equity Fund	Sale	4/24/15	\$500,001 - \$1,000,000
27	UC Bond Fund	Sale	4/24/15	\$50,001 - \$100,000
28	UC Equity fund	Sale	4/24/15	\$100,001 - \$250,000
29	Fidelity Total Bond	Purchase	4/29/15	\$100,001 - \$250,000
30	Fidelity Four-in-One Index	Purchase	4/29/15	\$1,000,001 - \$5,000,000
31	Fidelity Pacific Basin	Purchase	4/29/15	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
32	Fidelity Puritan	Purchase	4/29/15	\$250,001 - \$500,000
33	Fidelity Blue Chip Growth	Purchase	4/29/15	\$100,001 - \$250,000
34	Pimco Income Fund	Purchase	4/29/15	\$100,001 - \$250,000
35	Fidelity Four-in-One Index	Purchase	4/29/15	\$1,001 - \$15,000
36	Fidelity Latin America	Sale	4/10/15	\$1,001 - \$15,000
37	Spartan International Index	Purchase	4/10/15	\$1,001 - \$15,000
38	Spartan International Index	Purchase	4/13/15	\$1,001 - \$15,000
39	Fidelity Pacific Basin	Purchase	4/13/15	\$15,001 - \$50,000
40	Matthews Asia Dividend Fund	Purchase	4/13/15	\$15,001 - \$50,000
41	American Century Small Cap Growth	Purchase	various	\$15,001 - \$50,000
42	American Century Tax-Free Bond	Purchase	various	\$50,001 - \$100,000
43	AQR Managed Futures	Purchase	various	\$15,001 - \$50,000
44	Artisan International Fund	Purchase	various	\$50,001 - \$100,000
45	Artisan Mid Cap Fund Inst	Purchase	various	\$50,001 - \$100,000
46	ASG Global Alternatives	Purchase	various	\$15,001 - \$50,000
47	Blackrock Global Long/Short Credit	Purchase	10/28/15	\$15,001 - \$50,000
48	Boston P Lng/Shrt Res	Purchase	various	\$1,001 - \$15,000
49	Credit Suisse Comm Ret	Purchase	various	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
50	Dodge & Cox International Stock Fund	Purchase	various	\$50,001 - \$100,000
51	Dreyfus Emerging Markets Debt Loc	Purchase	various	\$15,001 - \$50,000
52	Dreyfus International Bond Fund	Purchase	various	\$1,001 - \$15,000
53	Driehous Active Income Fund	Purchase	various	\$1,001 - \$15,000
54	Eaton Vance Global Macro	Purchase	10/28/15	\$1,001 - \$15,000
55	Fidelity Adv Emerging Mkts	Purchase	various	\$15,001 - \$50,000
56	Harbor Capital Appreciation Inst	Purchase	various	\$100,001 - \$250,000
57	ishares Core S&P 500 ETF	Purchase	various	\$15,001 - \$50,000
58	ishares Russell Mid-Cap ETF	Purchase	12/14/15	\$15,001 - \$50,000
59	JP Morgan Mid Cap Value	Purchase	various	\$50,001 - \$100,000
60	Kalmar Gr w/ Value Small Cap	Purchase	various	\$15,001 - \$50,000
61	Merger Fund Inst	Purchase	various	\$1,001 - \$15,000
62	MFS Value Fund	Purchase	various	\$100,001 - \$250,000
63	Neuberger Berman Long Short	Purchase	various	\$15,001 - \$50,000
64	Nuveen High Yield Muni Bond	Purchase	various	\$15,001 - \$50,000
65	Nuveen Int Duration Bd Fd	Purchase	various	\$50,001 - \$100,000
66	Nuveen Limited Term Muni Bd	Purchase	various	\$15,001 - \$50,000
67	Oppenheimer Developing Markets	Purchase	various	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
68	Pimco Foreign Bd Fd	Purchase	various	\$1,001 - \$15,000
69	Principal GI Mult Strat - Inst	Purchase	various	\$1,001 - \$15,000
70	Robeco BP Long/Short	Purchase	1/8/15	\$1,001 - \$15,000
71	SPDR DJ Wilshire International RE	Purchase	various	\$50,001 - \$100,000
72	Stratton Small Cap Value Fund	Purchase	various	\$15,001 - \$50,000
73	T Rowe Price Inst Float Rate	Purchase	various	\$15,001 - \$50,000
74	T Rowe Price Real Estate Fund	Purchase	12/28/15	\$15,001 - \$50,000
75	Vanguard REIT Viper	Purchase	various	\$50,001 - \$100,000
76	American Century Tax-Free Bond	Sale	7/23/15	\$15,001 - \$50,000
77	AQR Managed Futures Str	Sale	10/28/15	\$1,001 - \$15,000
78	Artisan International Fund	Sale	7/23/15	\$1,001 - \$15,000
79	Artisan Mid Cap Fund	Sale	12/10/15	\$15,001 - \$50,000
80	ASG Global Alternatives	Sale	10/28/15	\$1,001 - \$15,000
81	Credit Suisse Comm Ret	Sale	various	\$15,001 - \$50,000
82	Dodge & Cox Int'l Stock Fund	Sale	various	\$1,001 - \$15,000
83	Dreyfus Emerging Mkt Debt	Sale	various	\$15,001 - \$50,000
84	Dreyfus Int'l Bond Fund	Sale	6/11/15	\$1,001 - \$15,000
85	Driehaus Active Income Fund	Sale	10/28/15	\$1,001 - \$15,000
86	Habor Capital Appreciation	Sale	11/12/15	\$1,001 - \$15,000
87	Kalmar Gr W/ Val Sm Cap	Sale	7/23/15	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
88	MFS Value Fund	Sale	11/12/15	\$1,001 - \$15,000
89	Nuveen High Yield Muni Bond	Sale	8/31/15	\$1,001 - \$15,000
90	Nuveen Int Duration Bd Fd	Sale	5/11/15	\$15,001 - \$50,000
91	Oppenheimer Developing Mkt	Sale	various	\$100,001 - \$250,000
92	Pimco Foreign Bd Fd	Sale	6/11/15	\$1,001 - \$15,000
93	Principal GL Mult Strat	Sale	10/28/15	\$1,001 - \$15,000
94	T Rowe Price Inst Float Rate	Sale	8/31/15	\$1,001 - \$15,000
95	Vanguard REIT Viper	Sale	11/16/15	\$1,001 - \$15,000
96	American Century Small Cap Growth Inst	Purchase	various	\$1,001 - \$15,000
97	American Century Tax-Free Bond	Purchase	various	\$15,001 - \$50,000
98	AQR Managed Futures Str	Purchase	various	\$1,001 - \$15,000
99	Artisan International Fund	Purchase	2/17/15	\$15,001 - \$50,000
100	Artisan Mid Cap Fund	Purchase	various	\$15,001 - \$50,000
101	ASG Global Alternatives	Purchase	2/17/15	\$1,001 - \$15,000
102	Blackrock Gl L/S Credit	Purchase	10/28/15	\$1,001 - \$15,000
103	Boston P Lng/Shrt Res	Purchase	2/17/15	\$1,001 - \$15,000
104	Credit Suisse Comm Ret	Purchase	various	\$1,001 - \$15,000
105	Dodge & Cox Int'l Stock Fund	Purchase	various	\$1,001 - \$15,000
106	Dreyfus Emerging Mkt Debt Loc	Purchase	2/17/15	\$1,001 - \$15,000
107	Dreyfus International Bond Fd	Purchase	2/17/15	\$1,001 - \$15,000
108	Driehaus Active Income Fund	Purchase	2/17/15	\$1,001 - \$15,000
109	Eaton Vance Global Macro	Purchase	10/28/15	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
110	Fidelity Adv Emerging Markets	Purchase	various	\$1,001 - \$15,000
111	Harbor Capital Appreciation Instl	Purchase	various	\$15,001 - \$50,000
112	JP Morgan Mid Cap Value	Purchase	various	\$1,001 - \$15,000
113	Kalmar Gr W/ Val Sm Cap	Purchase	2/17/15	\$1,001 - \$15,000
114	Merger Fund	Purchase	2/17/15	\$1,001 - \$15,000
115	MFS Value Fund	Purchase	various	\$15,001 - \$50,000
116	Neuberger Berman Long Sh	Purchase	2/17/15	\$1,001 - \$15,000
117	Nuveen High Yield Muni	Purchase	2/17/15	\$1,001 - \$15,000
118	Nuveen Int Duration Bd Fd	Purchase	various	\$1,001 - \$15,000
119	Nuveen Limited Term Muni Bd	Purchase	various	\$1,001 - \$15,000
120	Oppenheimer Developing Mkt	Purchase	2/17/15	\$15,001 - \$50,000
121	Pimco Foreign Bd Fd	Purchase	2/17/15	\$1,001 - \$15,000
122	Principal GL Mult Strat	Purchase	2/17/15	\$1,001 - \$15,000
123	SPDR DJ Wishire Int'l Real Estate	Purchase	various	\$1,001 - \$15,000
124	Strattorn Sm-Cap Value Fd	Purchase	various	\$1,001 - \$15,000
125	T Rowe Price Inst Float Rate	Purchase	2/17/15	\$1,001 - \$15,000
126	T Rowe Price Real Estate Fd	Purchase	12/28/15	\$1,001 - \$15,000
127	Vanguard REIT Viper	Purchase	2/19/15	\$1,001 - \$15,000
128	American Cent Tax-Free Bond	Sale	7/23/15	\$1,001 - \$15,000
129	AQR Managed Futures Strat Fund	Sale	various	\$1,001 - \$15,000
130	Artisan Int'l Fund	Sale	7/23/15	\$1,001 - \$15,000
131	Credit Suisse Comm Ret	Sale	7/23/15	\$1,001 - \$15,000
132	Dodge & Cox Int'l Stock Fd	Sale	various	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
133	Dreyfus Emerging Market Debt	Sale	various	\$1,001 - \$15,000
134	Dreyfus International Bond I	Sale	6/11/15	\$1,001 - \$15,000
135	Driehaus Active Income Fund	Sale	10/28/15	\$1,001 - \$15,000
136	Harbor Capital Appreciation Instl	Sale	11/12/15	\$1,001 - \$15,000
137	ishares Core S&P 500 ETF	Sale	7/27/15	\$1,001 - \$15,000
138	Kalmar Gr W/ Val Sm Cap-Ins	Sale	7/23/15	\$1,001 - \$15,000
139	MFS Value Fund	Sale	11/12/15	\$1,001 - \$15,000
140	Nuveen High Yield Muni Bd	Sale	8/31/15	\$1,001 - \$15,000
141	Nuveen Int Duration Bd Fd	Sale	4/22/15	\$1,001 - \$15,000
142	Oppenheimer Developing Markets	Sale	various	\$1,001 - \$15,000
143	Pimco Foreign Bd Fd	Sale	6/11/15	\$1,001 - \$15,000
144	Principal GI Mult Strat	Sale	10/28/15	\$1,001 - \$15,000
145	T Rowe Price Inst Float Rate	Sale	8/31/15	\$1,001 - \$15,000
146	Vanguard Target Retirement 2010	Sale	4/24/15	\$15,001 - \$50,000
147	Matthews Asia Dividend Fund	Purchase	4/27/15	\$15,001 - \$50,000
148	ishares MSCI Emerging Markets Value	Purchase	12/14/15	\$50,001 - \$100,000
149	ishares Core S&P ETF	Sale	7/27/15	\$15,001 - \$50,000
150	ishares Core S&P 500 ETF	Purchase	2/19/15	\$1,001 - \$15,000

## 8. Liabilities

None

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## 9. Gifts and Travel Reimbursements

None

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### Endnotes

<b>PART</b>	<b>#</b>	<b>ENDNOTE</b>
2.	1	Consolidation Rollover IRA that includes former Purdue 403b, 401a, and 457 accounts as well as UC 403b/457 and American Funds accounts.
2.	13	Leidos stock options were exercised within 90 days of confirmation. Dr. Cordova was under the impression that stock was subsequently sold. However, the last options exercised were not sold.
6.	7	Includes personal ownership and ownership through Frederick and Joan Cordova Family Trust.
6.	7.1	Includes AMS direct distribution and AMS distribution from the Frederick and Joan Cordova Family Trust.

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# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

## **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

## **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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