Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Cabaniss, Virginia Dale

Director, Office of Personnel Management

Date of Termination: 03/17/2020

Other Federal Government Positions Held During the Preceding 12 Months:

Professional Staff, U.S. Senate Committee on Appropriations (7/2008 - 4/2018)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Cabaniss, Virginia Dale [electronically signed on 04/22/2020 by Cabaniss, Virginia Dale in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Robbins, Mark, Certifying Official [electronically signed on 05/26/2020 by Robbins, Mark in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification
/s/ Granahan, Megan, Certifying Official [electronically signed on 06/09/2020 by Granahan, Megan in Integrity.gov]

Data Revised 05/01/2020

Data Revised 04/28/2020

Data Revised 04/24/2020

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Comcast	N/A		salary, bonus	
2	Comcast Stock Options plan (vested and unvested)	N/A	Over $1,000,000		None (or less than $201)
3	Comcast RSU plan (vested and unvested)	N/A	Over $1,000,000		None (or less than $201)
4	Comcast Deferred Compensation Plan (cash receivable earning a fixed rate of interest)	N/A	Over $1,000,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Comcast Retirement Plan 401(k)	No			
5.1	Vanguard Target 2030 Fund	Yes	$100,001 - $250,000		None (or less than $201)
6	U.S. Investment Account	No			
6.1	Cash (within U.S. investment account)	N/A	$15,001 - $50,000	Interest	None (or less than $201)
6.2	Comcast Class A Common Stock	N/A	$500,001 - $1,000,000	Dividends	$5,001 - $15,000
7	IRA	No			
7.1	U.S. Bank (cash)	N/A	$15,001 - $50,000	Interest	None (or less than $201)
7.2	Flexshares STOXX Global Broad Infrastructure ETF (NFRA)	Yes	$15,001 - $50,000		$1,001 - $2,500
7.3	Invesco Optimum Yield Diversified Commodity Strategy (PDBC)	Yes	$15,001 - $50,000		$1,001 - $2,500
7.4	iShares Core MSCI Emerging ETF (IEMG)	Yes	$50,001 - $100,000		$2,501 - $5,000
7.5	iShares Core MSCI EAFE ETF (IEFA)	Yes	$100,001 - $250,000		$5,001 - $15,000
7.6	iShares Core S&P Mid Cap ETF (IJH)	Yes	$50,001 - $100,000		$1,001 - $2,500
7.7	iShares Core S&P Small Cap (IJR)	Yes	$50,001 - $100,000		$1,001 - $2,500
7.8	iShares Short Treasury Bond ETF (SHV)	Yes	$15,001 - $50,000		$201 - $1,000
7.9	JPMorgan BetaBuild MSCI US REIT ETF (BBRE)	Yes	$15,001 - $50,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.10	SPDR S&P 500 ETF (SPY)	Yes	$100,001 - $250,000		$15,001 - $50,000
7.11	Vanguard Total International Bond ETF (BNDX)	Yes	$15,001 - $50,000		$1,001 - $2,500
7.12	Vanguard Total Bond Market ETF (BND)	Yes	$50,001 - $100,000		$2,501 - $5,000
7.13	Flexshares Quality Dividend ETF (QDF)	Yes	$1,001 - $15,000		$1,001 - $2,500
7.14	iShares Edge MSCI USA Quality Factor (QUAL)	Yes	$1,001 - $15,000		None (or less than $201)
7.15	iShares Edge MSCI Min Vol USA ETF (USMV)	Yes	None (or less than $1,001)		$2,501 - $5,000
7.16	iShares Edge MSCI Min Vol EAFE (EFAV)	Yes	None (or less than $1,001)		$201 - $1,000
7.17	Vanguard Short-Term Bond ETF (BSV)	Yes	None (or less than $1,001)		None (or less than $201)
7.18	Flexshares Intl Quality Dividend Index ETF (IQDF)	Yes	$1,001 - $15,000		$201 - $1,000
7.19	iSHares MSCI Intl Developed Quality Factor (IQLT)	Yes	$1,001 - $15,000		None (or less than $201)
7.20	JPMorgan Diversified Alternatives ETF (JPHF)	Yes	None (or less than $1,001)		$1,001 - $2,500
8	Self-Funded Defined Benefit Plan (Charles Schwab)	No			
8.1	U.S. Bank (cash)	N/A	$50,001 - $100,000	Interest	$201 - $1,000
8.2	Flexshares STOXX Global Broad Infrastructure ETF (NFRA)	Yes	$50,001 - $100,000		$1,001 - $2,500
8.3	Flexshares Quality Dividend ETF (QDF)	Yes	$15,001 - $50,000		$15,001 - $50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.4	iShares Core MSCI Emerging ETF (IEMG)	Yes	$15,001 - $50,000		$1,001 - $2,500
8.5	iShares Core MSCI EAFE ETF (IEFA)	Yes	$100,001 - $250,000		$5,001 - $15,000
8.6	iShares Core S&P Mid Cap ETF (IJH)	Yes	$15,001 - $50,000		$2,501 - $5,000
8.7	iShares Core S&P Small Cap (IJR)	Yes	$15,001 - $50,000		$1,001 - $2,500
8.8	iShares Short Treasury Bond ETF (SHV)	Yes	$100,001 - $250,000		$2,501 - $5,000
8.9	JPMorgan BetaBuild MSCI US REIT ETF (BBRE)	Yes	$15,001 - $50,000		$1,001 - $2,500
8.10	SPDR S&P 500 ETF (SPY)	Yes	$250,001 - $500,000		$15,001 - $50,000
8.11	Vanguard Short Inflation Protection ETF (VTIP)	Yes	$250,001 - $500,000		$2,501 - $5,000
8.12	Vanguard Short-Term Bond ETF (BSV)	Yes	None (or less than $1,001)		$1,001 - $2,500
8.13	Vanguard Total International Bond ETF (BNDX)	Yes	$100,001 - $250,000		$1,001 - $2,500
8.14	Vanguard Total Bond Market ETF (BND)	Yes	$500,001 - $1,000,000		$15,001 - $50,000
8.15	iShares Edge MSCI USA Quality Factor (QUAL)	Yes	$15,001 - $50,000		None (or less than $201)
8.16	iShares Edge MSCI Min Vol USA ETF (USMV)	Yes	None (or less than $1,001)		$5,001 - $15,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Allianz High Five, Deferred Variable Annuity	No			
1.1	AZL Small Cap Stock Index C2	Yes	$1,001 - $15,000		None (or less than $201)
1.2	AZL International Index C2	Yes	$1,001 - $15,000		None (or less than $201)
1.3	PIMCO VIT StocksPLUS Global	Yes	$1,001 - $15,000		None (or less than $201)
1.4	Templeton Growth VIP Fund	Yes	$1,001 - $15,000		None (or less than $201)
1.5	Franklin Mutual Shares VIP	Yes	$1,001 - $15,000		None (or less than $201)
1.6	Fixed Period Account	Yes	$100,001 - $250,000		None (or less than $201)
1.7	Franklin Income VIP Fund	Yes	$1,001 - $15,000		None (or less than $201)
1.8	PIMCO VIT All Asset	Yes	$1,001 - $15,000		None (or less than $201)
1.9	AZL MS Global Real Estate C2	Yes	$1,001 - $15,000		None (or less than $201)
1.10	AZL Moderate Index Strategy	Yes	$1,001 - $15,000		None (or less than $201)
1.11	AZL S&P 500 Index Fund C2	Yes	$1,001 - $15,000		None (or less than $201)
2	Allianz High Five, Deferred Variable Annuity	No			
2.1	AZL Small Cap Stock Index C2	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.2	AZL International Index C2	Yes	$1,001 - $15,000		None (or less than $201)
2.3	PIMCO VIT StocksPLUS Global	Yes	$1,001 - $15,000		None (or less than $201)
2.4	Franklin Mutual Shares VIP	Yes	$1,001 - $15,000		None (or less than $201)
2.5	PIMCO VIT Total Return	Yes	$1,001 - $15,000		None (or less than $201)
2.6	Templeton Global Bond VIP Fund	Yes	$1,001 - $15,000		None (or less than $201)
2.7	Fixed Period Account	N/A	$50,001 - $100,000		None (or less than $201)
2.8	BlackRock Global Allocation	Yes	$1,001 - $15,000		None (or less than $201)
2.9	Franklin Income VIP Fund	Yes	$1,001 - $15,000		None (or less than $201)
2.10	PIMCO VIT All Asset	Yes	$1,001 - $15,000		None (or less than $201)
2.11	AZL Moderate Index Strategy	Yes	$1,001 - $15,000		None (or less than $201)
3	UTMA	No			
3.1	Shaler PA ASD 0%20 GO UTX Due 11/15/20 OID National Public Fina, bond	N/A	$15,001 - $50,000		None (or less than $201)
4	UTMA	No			
4.1	Shaler PA ASD 0%20 GO UTX Due 11/15/20 OID National Public Fina, bond	N/A	$15,001 - $50,000		None (or less than $201)
5	Joint Account	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	U.S. Bank (cash)		N/A	$100,001 - $250,000	Interest	$201 - $1,000
5.2	Flexshares STOXX Global Broad Infrastructure ETF (NFRA)		Yes	$100,001 - $250,000		$2,501 - $5,000
5.3	Flexshares Quality Dividend ETF (QDF)		Yes	$50,001 - $100,000		$15,001 - $50,000
5.4	iShares Core MSCI Emerging ETF (IEMG)		Yes	$50,001 - $100,000		$2,501 - $5,000
5.5	iShares Core MSCI EAFE ETF (IEFA)		Yes	$250,001 - $500,000		$15,001 - $50,000
5.6	iShares Core S&P Mid Cap ETF (IJH)		Yes	$100,001 - $250,000		$1,001 - $2,500
5.7	iShares Core S&P Small Cap ETF (IJR)		Yes	$50,001 - $100,000		$1,001 - $2,500
5.8	iShares National Muni Bond ETF (MUB)		Yes	$1,000,001 - $5,000,000		$50,001 - $100,000
5.9	iShares Short Treasury Bond ETF (SHV)		Yes	$250,001 - $500,000		$5,001 - $15,000
5.10	JPMorgan Betabuild MSCI US REIT ETF (BBRE)		Yes	$50,001 - $100,000		$2,501 - $5,000
5.11	SPDR Nuveen Bloomberg Barclays Short Term Muni Bond ETF (SHM)		Yes	$500,001 - $1,000,000		$15,001 - $50,000
5.12	SPDR S&P 500 ETF (SPY)		Yes	$500,001 - $1,000,000		$100,001 - $1,000,000
5.13	Vanguard 500 Index Fund (VFINX)	See Endnote	N/A	None (or less than $1,001)		$1,001 - $2,500
5.14	Vanguard 500 Index Admiral (VFIAX)	See Endnote	Yes	$100,001 - $250,000		$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.15	iShares Edge MSCI Min Vol USA ETF (USMV)	Yes	None (or less than $1,001)		$5,001 - $15,000
5.16	iShares Edge MSCI USA Quality Factor (QUAL)	Yes	$50,001 - $100,000		None (or less than $201)
6	Virginia 529 College Savings Plan	No			
6.1	Stable Value	Yes	$15,001 - $50,000		None (or less than $201)
7	Virginia 529 College Savings Plan	No			
7.1	Conservative Income	Yes	$50,001 - $100,000		None (or less than $201)
8	Virginia 529 College Savings Plan	No			
8.1	2018 Portfolio	Yes	$15,001 - $50,000		None (or less than $201)
9	Virginia 529 College Savings Plan	No			
9.1	2018 Portfolio	Yes	$100,001 - $250,000		None (or less than $201)
10	Virginia 529 College Savings Plan	No			
10.1	2018 Porfolio	Yes	$15,001 - $50,000		None (or less than $201)
11	Virginia 529 College Savings Plan	No			
11.1	2018 Portfolio	Yes	$100,001 - $250,000		None (or less than $201)
12	U.S. Credit Union account (cash)	N/A	$250,001 - $500,000	Interest	$201 - $1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	iShares S&P National AMT-Free Muni Bd (MUB)	Purchase	02/12/2020	$100,001 - $250,000
2	iShares S&P National AMT-Free Muni Bd (MUB)	Purchase	01/17/2020	$250,001 - $500,000
3	iShares S&P National AMT-Free Muni Bd (MUB)	Purchase	10/23/2019	$100,001 - $250,000
4	iShares Edge MSCI USA Quality Factor ETF (QUAL)	Purchase	02/12/2020	$50,001 - $100,000
5	iShares Barclays Short Treasury Bond (SHV)	Purchase	01/17/2020	$15,001 - $50,000
6	SPDR S&P 500 (SPY)	Purchase	02/12/2020	$50,001 - $100,000
7	Flexshares Quality Dividend ETF (QDF)	Sale	02/12/2020	$100,001 - $250,000
8	SPDR Nuveen Barclays Capital S/T Muni Bd (SHM)	Sale	02/12/2020	$100,001 - $250,000
9	SPDR Nuveen Barclays Capital S/T Muni Bd (SHM)	Sale	01/17/2020	$100,001 - $250,000
10	SPDR Nuveen Barclays Capital S/T Muni Bd (SHM)	Sale	10/23/2019	$100,001 - $250,000
11	iShares MSCI USA Min Volatility (USMV)	Sale	01/17/2020	$100,001 - $250,000
12	SPDR S&P 500 (SPY)	Purchase	01/17/2020	$50,001 - $100,000
13	Vanguard Total Bond Market ETF (BND)	Purchase	01/17/2020	$1,001 - $15,000
14	Vanguard Total International Bond ETF (BNDX)	Purchase	01/17/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
15	iShares MSCI EAFE Min Volatility (EFAV)	Purchase	10/23/2019	$15,001 - $50,000
16	iShares Core MSCI EAFE ETF (IEFA)	Purchase	01/17/2020	$15,001 - $50,000
17	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	02/12/2020	$1,001 - $15,000
18	Flexshares International Quality Dividend Index ETF (IQDF)	Purchase	10/23/2019	$15,001 - $50,000
19	iShares Edge MSCI International Quality Factor ETF (IQLT)	Purchase	02/12/2020	$1,001 - $15,000
20	PowerShares DB Optimum Yield Diversified Commodity Strategy Portfolio (PDBC)	Purchase	01/17/2020	$1,001 - $15,000
21	Flexshares Quality Dividend ETF (QDF)	Purchase	10/23/2019	$15,001 - $50,000
22	iShares Edge MSCI USA Quality Factor ETF (QUAL)	Purchase	02/12/2020	$15,001 - $50,000
23	iShares Barclays Short Treasury Bond (SHV)	Purchase	01/17/2020	$1,001 - $15,000
24	SPDR S&P 500 (SPY)	Purchase	01/17/2020	$15,001 - $50,000
25	iShares MSCI EAFE Min Volatility (EFAV)	Sale	01/17/2020	$15,001 - $50,000
26	iShares Core MSCI EAFE ETF (IEFA)	Sale	10/23/2019	$15,001 - $50,000
27	Flexshares International Quality Dividend Index ETF (IQDF)	Sale	02/12/2020	$1,001 - $15,000
28	Flexshares Quality Dividend ETF (QDF)	Sale	02/12/2020	$15,001 - $50,000
29	SPDR S&P 500 (SPY)	Sale	10/23/2019	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
30	iShares MSCI USA Min Volatility (USMV)	Sale	01/17/2020	$15,001 - $50,000
31	iShares MSCI USA Min Volatility (USMV)	Sale	10/23/2019	$1,001 - $15,000
32	Vanguard Total Bond Market ETF (BND)	Purchase	01/17/2020	$15,001 - $50,000
33	Vanguard Total International Bond ETF (BNDX)	Purchase	01/17/2020	$1,001 - $15,000
34	iShares Edge MSCI USA Quality Factor ETF (QUAL)	Purchase	02/12/2020	$15,001 - $50,000
35	iShares Barclays Short Treasury Bond (SHV)	Purchase	01/17/2020	$1,001 - $15,000
36	SPDR S&P 500 (SPY)	Purchase	02/12/2020	$1,001 - $15,000
37	SPDR S&P 500 (SPY)	Purchase	01/17/2020	$15,001 - $50,000
38	Vanguard Short-Term Inflation-Protected Securities Index Fund (VTIP)	Purchase	01/17/2020	$1,001 - $15,000
39	Vanguard Short-Term Inflation-Protected Securities Index Fund (VTIP)	Purchase	10/23/2019	$1,001 - $15,000
40	Flexshares Quality Dividend ETF (QDF)	Sale	02/12/2020	$15,001 - $50,000
41	iShares MSCI USA Min Volatility (USMV)	Sale	01/17/2020	$50,001 - $100,000
42	Fixed Period Account	Sale	12/27/2019	$1,001 - $15,000
43	PIMCO VIT All Asset	Purchase	12/27/2019	$1,001 - $15,000
44	PIMCO VIT All Asset	Sale	02/28/2020	$1,001 - $15,000
45	AZL S&P 500 Index Fund C2	Sale	02/28/2020	$1,001 - $15,000
46	Fixed Period Account	Purchase	02/28/2020	$1,001 - $15,000
47	PIMCO VIT All Asset	Sale	03/12/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
48	AZL S&P 500 Index Fund C2	Sale	03/12/2020	$1,001 - $15,000
49	Fixed Period Account	Purchase	03/12/2020	$1,001 - $15,000
50	AZL International Index C2	Sale	03/13/2020	$1,001 - $15,000
51	Franklin Income VIP Fund	Sale	03/13/2020	$1,001 - $15,000
52	Franklin Mutual Shares VIP	Sale	03/13/2020	$1,001 - $15,000
53	PIMCO VIT All Asset	Sale	03/13/2020	$1,001 - $15,000
54	PIMCO VIT StocksPLUS Global	Sale	03/13/2020	$1,001 - $15,000
55	AZL Small Cap Stock Index C2	Sale	03/13/2020	$1,001 - $15,000
56	AZL S&P 500 Index Fund C2	Sale	03/13/2020	$1,001 - $15,000
57	Templeton Growth VIP Fund	Sale	03/13/2020	$1,001 - $15,000
58	AZL MS Global Real Estate C2	Sale	03/13/2020	$1,001 - $15,000
59	AZL Moderate Index Strategy	Sale	03/13/2020	$1,001 - $15,000
60	Fixed Period Account	Purchase	03/13/2020	$15,001 - $50,000
61	Fixed Period Account	Sale	03/16/2020	$1,001 - $15,000
62	AZL International Index C2	Purchase	03/16/2020	$1,001 - $15,000
63	Franklin Income VIP Fund	Purchase	03/16/2020	$1,001 - $15,000
64	Franklin Mutual Shares VIP	Purchase	03/16/2020	$1,001 - $15,000
65	PIMCO VIT All Asset	Purchase	03/16/2020	$1,001 - $15,000
66	PIMCO VIT StocksPLUS Global	Purchase	03/16/2020	$1,001 - $15,000
67	AZL Small Cap Stock Index C2	Purchase	03/16/2020	$1,001 - $15,000
68	AZL S&P 500 Index Fund C2	Purchase	03/16/2020	$1,001 - $15,000
69	Templeton Growth VIP Fund	Purchase	03/16/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
70	AZL MS Global Real Estate C2	Purchase	03/16/2020	$1,001 - $15,000
71	AZL Moderate Index Strategy	Purchase	03/16/2020	$1,001 - $15,000
72	AZL International Index C2	Sale	03/17/2020	$1,001 - $15,000
73	Franklin Income VIP Fund	Sale	03/17/2020	$1,001 - $15,000
74	Franklin Mutual Shares VIP	Sale	03/17/2020	$1,001 - $15,000
75	PIMCO VIT All Asset	Sale	03/17/2020	$1,001 - $15,000
76	PIMCO VIT StocksPLUS Global	Sale	03/17/2020	$1,001 - $15,000
77	AZL Small Cap Stock Index C2	Sale	03/17/2020	$1,001 - $15,000
78	AZL S&P 500 Index Fund C2	Sale	03/17/2020	$1,001 - $15,000
79	Templeton Growth VIP Fund	Sale	03/17/2020	$1,001 - $15,000
80	AZL MS Global Real Estate C2	Sale	03/17/2020	$1,001 - $15,000
81	AZL Moderate Index Strategy	Sale	03/17/2020	$1,001 - $15,000
82	Fixed Period Account	Purchase	03/17/2020	$15,001 - $50,000
83	Fixed Period Account	Sale	01/17/2020	$1,001 - $15,000
84	PIMCO VIT All Asset	Purchase	01/17/2020	$1,001 - $15,000
85	PIMCO VIT Total Return	Purchase	01/17/2020	$1,001 - $15,000
86	Templeton Global Bond VIP Fund	Purchase	01/17/2020	$1,001 - $15,000
87	Blackrock Global Allocation	Sale	03/10/2020	$1,001 - $15,000
88	Franklin Income VIP Fund	Sale	03/10/2020	$1,001 - $15,000
89	PIMCO VIT All Asset	Sale	03/10/2020	$1,001 - $15,000
90	PIMCO VIT StocksPLUS Global	Sale	03/10/2020	$1,001 - $15,000
91	PIMCO VIT Total Return	Sale	03/10/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
92	Templeton Global Bond VIP Fund	Sale	03/10/2020	$1,001 - $15,000
93	AZL Moderate Index Strategy	Sale	03/10/2020	$1,001 - $15,000
94	Fixed Period Account	Purchase	03/10/2020	$1,001 - $15,000
95	Blackrock Global Allocation	Sale	03/17/2020	$1,001 - $15,000
96	Franklin Income VIP Fund	Sale	03/17/2020	$1,001 - $15,000
97	PIMCO VIT All Asset	Sale	03/17/2020	$1,001 - $15,000
98	PIMCO VIT StocksPLUS Global	Sale	03/17/2020	$1,001 - $15,000
99	PIMCO VIT Total Return	Sale	03/17/2020	$1,001 - $15,000
100	Templeton Global Bond VIP Fund	Sale	03/17/2020	$1,001 - $15,000
101	AZL Moderate Index Strategy	Sale	03/17/2020	$1,001 - $15,000
102	Fixed Period Account	Purchase	03/17/2020	$1,001 - $15,000
103	Virginia 529 2015 Portfolio	Sale	12/31/2019	$15,001 - $50,000
104	Virginia 529 Stable Value	Purchase	12/31/2019	$15,001 - $50,000
105	Virginia 529 2018 Portfolio	Sale	12/30/2019	$15,001 - $50,000
106	Virginia 529 2018 Portfolio	Sale	11/18/2019	$1,001 - $15,000
107	Virginia 529 2018 Portfolio	Sale	12/31/2019	$15,001 - $50,000
108	Virginia 529 2018 Portfolio	Sale	01/17/2020	$1,001 - $15,000
109	Virginia 529 Conservative Income	Sale	11/12/2019	$1,001 - $15,000
110	Virginia 529 Conservative Income	Sale	01/06/2020	$15,001 - $50,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	SunTrust Bank	Mortgage on Personal Residence	$500,001 - $1,000,000	2015	3.475	30 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	5.13	Stock merger on 7/26/2019 - new name of the fund is VFIAX.
6.	5.14	Stock merger - from VFINX.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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