

# Executive Branch Personnel

## Public Financial Disclosure Report:

### Periodic Transaction Report (OGE Form 278-T)

#### Filer's Information

---

Saul, Andrew

Commissioner of Social Security, Social Security Administration

---

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Saul, Andrew [electronically signed on 09/11/2020 by Saul, Andrew in Integrity.gov]

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Lee, John, Certifying Official [electronically signed on 09/22/2020 by Lee, John in Integrity.gov]

---

Other review conducted by

/s/ White, Angela R, Ethics Official [electronically signed on 09/18/2020 by White, Angela R in Integrity.gov]

---

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 10/15/2020 by Granahan, Megan in Integrity.gov]

---

#### Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	AXA (AXAHY)	See Endnote	Sale	08/14/2020	No	\$100,001 - \$250,000
2	Diageo PLC (DEO)	See Endnote	Sale	08/14/2020	No	\$500,001 - \$1,000,000
3	Enterprise Products Partners (EPD)	See Endnote	Sale	08/14/2020	No	\$100,001 - \$250,000
4	Energy Transfer LP (ET)	See Endnote	Sale	08/14/2020	No	\$100,001 - \$250,000
5	Invitation Homes Inc (INVH)	See Endnote	Sale	08/14/2020	No	\$15,001 - \$50,000
6	Invitation Homes, Inc. (INVH)	See Endnote	Sale	08/14/2020	No	\$15,001 - \$50,000
7	Invitation Homes, Inc. (INVH)	See Endnote	Sale	08/14/2020	No	\$15,001 - \$50,000
8	Magellan Midstream Partners LP (MMP)	See Endnote	Sale	08/14/2020	No	\$250,001 - \$500,000
9	MPLX LP (MPLX)	See Endnote	Sale	08/14/2020	No	\$100,001 - \$250,000
10	Starwood Property Trust Inc (STWD)	See Endnote	Sale	08/14/2020	No	\$100,001 - \$250,000
11	Raytheon Technologies (RTX)	See Endnote	Sale	08/17/2020	No	\$250,001 - \$500,000
12	AXA (AXAHY)	See Endnote	Sale	08/17/2020	No	\$250,001 - \$500,000
13	Carrier Global Corp (CARR)	See Endnote	Sale	08/17/2020	No	\$100,001 - \$250,000
14	Otis Worldwide Corp (OTIS)	See Endnote	Sale	08/17/2020	No	\$50,001 - \$100,000
15	Otis Worldwide Corp (OTIS)	See Endnote	Sale	08/17/2020	No	\$15,001 - \$50,000
16	Otis Worldwide Corp (OTIS)	See Endnote	Sale	08/17/2020	No	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
17	Brookfield Asset Mgmt (BAM)	See Endnote	Sale	08/18/2020	No	\$15,001 - \$50,000
18	Brookfield Asset Mgmt (BAM)	See Endnote	Sale	08/18/2020	No	\$15,001 - \$50,000
19	Brookfield Asset Mgmt (BAM)	See Endnote	Sale	08/18/2020	No	\$15,001 - \$50,000
20	Brookfield Asset Mgmt (BAM)	See Endnote	Sale	08/18/2020	No	\$15,001 - \$50,000
21	Brookfield Asset Mgmt (BAM)	See Endnote	Sale	08/19/2020	No	\$15,001 - \$50,000
22	Seven Generations Energy (VII)	See Endnote	Sale	08/17/2020	No	\$100,001 - \$250,000
23	Automatic Data Processing, Inc. (ADP)	See Endnote	Sale	08/03/2020	No	\$100,001 - \$250,000
24	MSCI Inc (MSCI)	See Endnote	Sale	08/03/2020	No	\$50,001 - \$100,000
25	MSCI Inc (MSCI)	See Endnote	Sale	08/03/2020	No	\$15,001 - \$50,000
26	United Health Group Inc (UNH)	See Endnote	Purchase	08/04/2020	No	\$100,001 - \$250,000
27	Servicenow Inc (NOW)	See Endnote	Sale	08/18/2020	No	\$50,001 - \$100,000
28	Servicenow Inc (NOW)	See Endnote	Sale	08/18/2020	No	\$15,001 - \$50,000
29	PayPal Holdings, Inc. (PYPL)	See Endnote	Sale	08/18/2020	No	\$50,001 - \$100,000
30	PayPal Holdings, Inc. (PYPL)	See Endnote	Sale	08/18/2020	No	\$50,001 - \$100,000
31	Align Technology Inc (ALGN)	See Endnote	Sale	08/21/2020	No	\$15,001 - \$50,000
32	Align Technology, Inc. (ALGN)	See Endnote	Sale	08/21/2020	No	\$15,001 - \$50,000
33	Intuitive Surgical, Inc. (ISRG)	See Endnote	Purchase	08/27/2020	No	\$100,001 - \$250,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
34	Credit Acceptance Corp (CACC)	See Endnote	Sale	08/03/2020	No	\$1,001 - \$15,000
35	Credit Acceptance Corp (CACC)	See Endnote	Sale	08/03/2020	No	\$1,001 - \$15,000
36	Liberty Media Corp (FWONK)	See Endnote	Sale	08/03/2020	No	\$1,001 - \$15,000
37	Wayfair, Inc. (W)	See Endnote	Sale	08/17/2020	No	\$1,001 - \$15,000
38	Wayfair, Inc. (W)	See Endnote	Sale	08/17/2020	No	\$1,001 - \$15,000
39	Wayfair, Inc. (W)	See Endnote	Sale	08/17/2020	No	\$1,001 - \$15,000
40	Wayfair, Inc. (W)	See Endnote	Sale	08/17/2020	No	\$1,001 - \$15,000
41	Hiscox Ltd (HCXLF)	See Endnote	Sale	08/19/2020	No	\$1,001 - \$15,000
42	Wayfair, Inc. (W)	See Endnote	Sale	08/20/2020	No	\$1,001 - \$15,000
43	Wayfair, Inc. (W)	See Endnote	Sale	08/20/2020	No	\$1,001 - \$15,000
44	Wayfair, Inc. (W)	See Endnote	Sale	08/20/2020	No	\$1,001 - \$15,000
45	Wayfair, Inc. (W)	See Endnote	Sale	08/20/2020	No	\$1,001 - \$15,000
46	Wayfair, Inc. (W)	See Endnote	Sale	08/20/2020	No	\$1,001 - \$15,000
47	Wayfair, Inc. (W)	See Endnote	Sale	08/20/2020	No	\$1,001 - \$15,000
48	Wayfair, Inc. (W)	See Endnote	Sale	08/21/2020	No	\$1,001 - \$15,000

## Endnotes

PART	#	ENDNOTE
Transactions	1	Transaction held in Saul Partners custody account
Transactions	2	Transaction held in Saul Partners custody account
Transactions	3	Transaction held in Saul Partners custody account

PART	#	ENDNOTE
Transactions	4	Transaction held in Saul Partners custody account
Transactions	5	Transaction held in Saul Partners custody account
Transactions	6	Transaction held in Saul Partners custody account
Transactions	7	Transaction held in Saul Partners custody account
Transactions	8	Transaction held in Saul Partners custody account
Transactions	9	Transaction held in Saul Partners custody account
Transactions	10	Transaction held in Saul Partners custody account
Transactions	11	Transaction held in Saul Partners custody account
Transactions	12	Transaction held in Saul Partners custody account
Transactions	13	Transaction held in Saul Partners custody account
Transactions	14	Transaction held in Saul Partners custody account
Transactions	15	Transaction held in Saul Partners custody account
Transactions	16	Transaction held in Saul Partners custody account
Transactions	17	Transaction held in Saul Partners custody account
Transactions	18	Transaction held in Saul Partners custody account
Transactions	19	Transaction held in Saul Partners custody account
Transactions	20	Transaction held in Saul Partners custody account
Transactions	21	Transaction held in Saul Partners custody account
Transactions	22	Transaction held in Saul Partners custody account
Transactions	23	Transaction held in Saul Partners brokerage account
Transactions	24	Transaction held in Saul Partners brokerage account
Transactions	25	Transaction held in Saul Partners brokerage account

PART	#	ENDNOTE
Transactions	26	Transaction held in Saul Partners brokerage account
Transactions	27	Transaction held in Saul Partners brokerage account
Transactions	28	Transaction held in Saul Partners brokerage account
Transactions	29	Transaction held in Saul Partners brokerage account
Transactions	30	Transaction held in Saul Partners brokerage account
Transactions	31	Transaction held in Saul Partners brokerage account
Transactions	32	Transaction held in Saul Partners brokerage account
Transactions	33	Transaction held in Saul Partners brokerage account
Transactions	34	Transaction held in Saul Partners brokerage account
Transactions	35	Transaction held in Saul Partners brokerage account
Transactions	36	Transaction held in Saul Partners brokerage account
Transactions	37	Transaction held in Saul Partners brokerage account
Transactions	38	Transaction held in Saul Partners brokerage account
Transactions	39	Transaction held in Saul Partners brokerage account
Transactions	40	Transaction held in Saul Partners brokerage account
Transactions	41	Transaction held in Saul Partners brokerage account
Transactions	42	Transaction held in Saul Partners brokerage account
Transactions	43	Transaction held in Saul Partners brokerage account
Transactions	44	Transaction held in Saul Partners brokerage account
Transactions	45	Transaction held in Saul Partners brokerage account
Transactions	46	Transaction held in Saul Partners brokerage account
Transactions	47	Transaction held in Saul Partners brokerage account

PART	#	ENDNOTE
Transactions	48	Transaction held in Saul Partners brokerage account

## Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

### Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.