

ETHICS AGREEMENT COMPLIANCE CERTIFICATION

Senate Confirmed Presidential Appointee

1. Appointee's Information	
a. Appointee's Name:	Andrew Puzer
b. Position Title:	U.S. Ambassador to the European Union
c. Agency:	Department of State
d. Date Ethics Agreement Signed:	05/01/2025
e. Date Confirmed:	08/02/2025
f. Due Date for Submission of Certification of Ethics Agreement Compliance to OGE:	11/06/2025
2. Resignations	
I completed all of the resignations indicated in my ethics agreement before I assumed the duties of my current government position.	☒ Yes ☐ No ☐ N/A
3. Divestitures	
a. I completed all of the divestitures indicated in my ethics agreement within the time period specified. I understand that I may not reacquire these assets during my appointment without OGE's prior approval.	☒ Yes ☐ No ☐ N/A
b. I have filed a periodic transaction report, or periodic transaction reports (OGE Form 278-T) to disclose the completion of these agreed upon divestitures.	☒ Yes ☐ No ☐ N/A Filing Date(s) of OGE Form 278-T Report (s): Reports will be filed in accordance with regulatory requirements
c. I completed the divestiture through a means not requiring a periodic transaction report (OGE Form 278-T).	☒ Yes ☐ No ☐ N/A

4. Managed Accounts	
If I have a managed account or use the services of an investment professional, I have notified the manager or professional of the limitations indicated in my ethics agreement. In addition, I am continuing to monitor purchases to ensure compliance with these limitations.	☒ Yes ☐ No ☐ N/A
5. Interim Recusals	
I have complied with my interim recusal obligations pending the divestitures required by my ethics agreement.	☒ Yes ☐ No ☐ N/A
6. Recusals (Note: These factual statements describe the appointee' current status. They are not intended to modify ethics agreement commitments or create new recusal obligations.)	
a. As required by 18 U.S.C. § 208, I will continue to recuse from particular matters in which I know I have a <u>personal</u> or <u>imputed</u> financial interest directly and predictably affected by the matter, unless I receive a waiver or qualify for a regulatory exemption.	☒ Yes ☐ No
b. I am recusing from particular matters in which any former employer or client of mine for whom I provided services to in the past year, or in which my spouse's employer, or a current client is a party or represents a party, unless I have been authorized under 5 C.F.R. § 2635.502(d).	☒ Yes ☐ No ☐ N/A

7. Waivers and Authorizations		
(Consult with your agency's DAEO if uncertain under what authority a waiver or authorization was granted.)		
a. I received a waiver pursuant to 18 U.S.C. § 208. If yes, indicate the date of the waiver and indicate the financial interest covered by the waiver.	☐ Yes ☒ No Date: Financial Interest:	
b. I received an authorization pursuant to 5 C.F.R. § 2635.502(d). If yes, indicate date of authorization and identify the covered person(s) as to whom you have been authorized (e.g., former employer, former client, spouse's employer, spouse's current client, etc.).	☐ Yes ☒ No Date: Covered person(s):	
c. I received a waiver pursuant to 5 C.F.R. § 2635.503(c). If yes, indicate the date of the waiver and identify the former employer or payer.	☐ Yes ☒ No Date: Former employer or payer:	
8. Payments, Accelerations, or Divestitures Required to be Completed Prior to Entering Government Service		
☒ Mark this box if not applicable	a. If I committed that I would forfeit a financial interest or payment, unless it was received or accelerated prior to my assumption of the duties of the government position:	☐ I received it (or it was accelerated) <u>prior to</u> my assumption of the duties of the position. ☐ I received it (or it was accelerated) <u>after</u> my assumption of the duties of the position. ☐ I forfeited it.
	b. Financial interest or payment at issue:	

9. Requirements for Regular Appointees	
I have completed my initial ethics briefing, pursuant to 5 C.F.R. § 2638.305. If you are a Special Government Employee (SGE) or career Foreign Service Officer (FSO), select N/A.	☒ Yes ☐ No ☐ N/A
10. Additional Ethics Agreement Requirements	
My ethics agreement requires me to divest my interests in certain private funds within 120 days of confirmation, and I am actively involved in extricating from these funds.	I am complying with these requirements as described in the adjacent box. ☒ Yes ☐ No ☐ N/A
11. Comments of Appointee	
I submitted my ethics agreement compliance certification to the Office of Government ethics on November 6, 2025, in accordance with OGE's due date. I am submitting this second certification following a technical correction to this certification made at the request of OGE.	
12. Certification-	
Any intentionally false or misleading statement or response provided in this certification is a violation of law punishable by a fine or imprisonment, or both, under 18 U.S.C. § 1001.	
I certify that the information I have provided is complete and accurate.	
Appointee's Signature:	Date:
	11/18/25