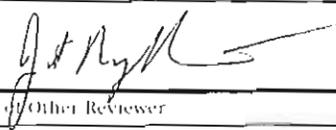
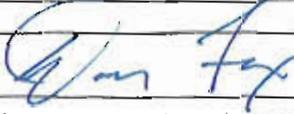
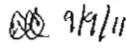


Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

| | | | | | | | |
|---|---|---|---|---|--|---|--|
| Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year) | Reporting Status (Check Appropriate Boxes) | Incumbent <input checked="" type="checkbox"/> | Calendar Year Covered by Report | New Entrant, Nominee, or Candidate <input type="checkbox"/> | Termination Filer <input type="checkbox"/> | Termination Date (If Applicable) (Month, Day, Year) | <p style="text-align: center;">Fee for Late Filing</p> <p>Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a \$200 fee.</p> <p style="text-align: center;">Reporting Periods</p> <p>Incumbents: The reporting period is the preceding calendar year except Part B of Schedule C, and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.</p> <p>Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.</p> <p>Nominees, New Entrants and Candidates for President and Vice President:</p> <p>Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.</p> <p>Schedule B--Not applicable.</p> <p>Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.</p> <p>Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.</p> <p>Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.</p> |
| 01/20/2009 | | | 2010 | | | | |
| Reporting Individual's Name | Last Name | | First Name and Middle Initial | | | | |
| | Napolitano | | Janet A. | | | | |
| Position for Which Filing | Title of Position | | Department or Agency (If Applicable) | | | | |
| | Secretary | | Department of Homeland Security | | | | |
| Location of Present Office (if forwarding address) | Address (Number, Street, City, State, and ZIP Code) | | | | Telephone No. (Include Area Code) | | |
| | 3801 Nebraska Avenue, NW, Washington DC 20016 | | | | 202-282-8495 | | |
| Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above) | Title of Position(s) and Date(s) Held | | | | | | |
| Presidential Nominees Subject to Senate Confirmation | Name of Congressional Committee Considering Nomination | | Do You Intend to Create a Qualified Diversified Trust? | | | | |
| | Not Applicable | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | |
| Certification | Signature of Reporting Individual | | | | Date (Month, Day, Year) | | |
| I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge. |  | | | | 6/11/11 | | |
| Other Review (If desired by agency) | Signature of Other Reviewer | | | | Date (Month, Day, Year) | | |
| | | | | | | | |
| Agency Ethics Official's Opinion | Signature of Designated Agency Ethics Official/Reviewing Official | | | | Date (Month, Day, Year) | | |
| On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations subject to any comments in the box below. |  | | | | 5/19/11 | | |
| Office of Government Ethics Use Only | Signature | | | | Date (Month, Day, Year) | | |
| |  | | | | 10/12/12 | | |
| Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet) | | | | | | | |
| Inserted amended pages 3 and 10 provided by DHS.  9/19/11 | | | | | | | |
| | | | | | (Check box if filing extension granted & indicate number of days _____) <input type="checkbox"/> | | |
| | | | | | (Check box if comments are contained on the reverse side) <input type="checkbox"/> | | |
| Agency Use Only | | | | | | | |
| 5/11/11 | | | | | | | |
| OGE Use Only | | | | | | | |

| | | |
|--|---|------------------------|
| Reporting Individual's Name Napollitano, Janet A. | SCHEDULE A continued (Use only if needed) | Page Number 3 of 10 |
|--|---|------------------------|

| Assets and Income BLOCK A | Valuation of Assets at close of reporting period BLOCK B | | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C. for that item. BLOCK C | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|----------------------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|---|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|--|-------------------------|-------------------|---------------------------|------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | | | | Amount | | | | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | | | | | | | | | | | | | |
| 1 | SPDR S & P 500 ETF Trust | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | Thornburg Value Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | Eagle Mid Cap Stock I | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | Ishares S & P Midcap 400 Index Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | JP Morgan Market Expansion Index Fund Sel | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | JP Morgan US Real Estate Fund Sel | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | Artio International Equity II | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8 | Dodge & Cox International Stock | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 | Ishares MSCI All Country Asia, Except Japan Index Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

| | | |
|---|-------------------|------------------------|
| Reporting Individual's Name Napolitano, Janet A. | SCHEDULE B | Page Number 6 of 10 |
|---|-------------------|------------------------|

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None

| | Identification of Assets | Transaction Type (X) | | | Date (Mo., Day, Yr.) | Amount of Transaction (X) | | | | | | | | | | | | |
|---|--|----------------------|------|----------|----------------------|---------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|-------------------|----------------------------|--|
| | | Purchase | Sale | Exchange | | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Certificate of Divestiture | |
| | Example: Central Airlines Common | X | | | 2/1/99 | | | X | | | | | | | | | | |
| 1 | JP Morgan Intrepid International Fund | | X | | 02/24/2010 | X | | | | | | | | | | | | |
| 2 | JP Morgan High Yield Bond Fund | | X | | 02/24/2010 | X | | | | | | | | | | | | |
| 3 | Hanford Capital Appreciation Fund | | X | | 02/24/2010 | X | | | | | | | | | | | | |
| 4 | Nuveen NWQ Value Opportunities Fund | | X | | 02/24/2010 | X | | | | | | | | | | | | |
| 5 | JP Morgan Highbridge Statistical Market Neutral Fund Sel | | X | | various | X | | | | | | | | | | | | |

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$335 and (2) travel-related cash reimbursements received from one source totaling more than \$335. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$134 or less. See instructions for other exclusions.

None

| | Source (Name and Address) | Brief Description | Value |
|----------|--|--|-------|
| Examples | Nat'l Assn. of Rock Collectors, NY, NY | Airline ticket, hotel room & meals incident to national conference 6-15-99 (personal activity unrelated to duty) | \$500 |
| | Frank Jones, San Francisco, CA | Leather briefcase (personal friend) | \$350 |
| 1 | | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

| | | |
|---|---|------------------------|
| Reporting Individual's Name Napolitano, Janet A. | SCHEDULE B continued (Use only if needed) | Page Number 7 of 10 |
|---|---|------------------------|

Part I: Transactions

| Identification of Assets | Transaction Type (X) | | | Date (Mo., Day, Yr.) | Amount of Transaction (X) | | | | | | | | | | | |
|--|----------------------|------|----------|----------------------------|---------------------------|------------------------|-------------------------|--------------------------|--------------------------|----------------------------|------|------------------------------|-------------------------------|--------------------------------|------|-------------------------------|
| | Purchase | Sale | Exchange | | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over | Certificate of divestiture |
| 1 JP Morgan International Value Fund Sel | | X | | 06/24/2010 | X | | | | | | | | | | | |
| 2 JP Morgan Asia Equity Fund Select Share | | X | | 06/24/2010 | X | | | | | | | | | | | |
| 3 JP Morgan US Real Estate Fund Select | | X | | 06/24/2010 | X | | | | | | | | | | | |
| 4 JP Morgan Short Duration Bond Fund Select Class | | X | | 06/24/2010 | X | | | | | | | | | | | |
| 5 Vanguard Fixed Income Securities Fund | | X | | 06/24/2010 | X | | | | | | | | | | | |
| 6 Dodge & Cox International Stock Fund | | X | | 06/24/2010 | X | | | | | | | | | | | |
| 7 Ishares Barclays Tips Bond Fund | | X | | 06/28/2010 | X | | | | | | | | | | | |
| 8 Ishares MSCI EAFE Index Fund | | X | | 06/28/2010 | X | | | | | | | | | | | |
| 9 JP Morgan US Large Cap Value Plus Select Share Class | | X | | 10/04/2010 | X | | | | | | | | | | | |
| 10 Nuveen NWQ Value Opportunities Fund | | X | | 10/04/2010 | X | | | | | | | | | | | |
| 11 Aston FDS TAMRO Small Cap I | | X | | 10/04/2010 | X | | | | | | | | | | | |
| 12 Vanguard Fixed Income Securities Fund | | X | | Various | X | | | | | | | | | | | |
| 13 Ishares Russell 2000 Index Fund | | X | | 10/06/2010 | X | | | | | | | | | | | |
| 14 Ishares Russell 1000 Growth Index | | X | | 10/08/2010 | X | | | | | | | | | | | |
| 15 Dodge & Cox International Stock Fund | X | | | 02/24/2010 | X | | | | | | | | | | | |
| 16 T Rowe Price New Asia Fund | X | | | 02/24/2010 | X | | | | | | | | | | | |

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

| | | |
|---|---|------------------------|
| Reporting Individual's Name Napolitano, Janet A. | SCHEDULE B continued (Use only if needed) | Page Number 8 of 10 |
|---|---|------------------------|

Part I: Transactions

| | | | Identification of Assets | Transaction Type (x) | | | Date (Mo., Day, Yr.) | Amount of Transaction (x) | | | | | | | | | | | | |
|----|--|--|--|----------------------|------|----------|----------------------|---------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|-------------------|----------------------------|--|
| | | | | Purchase | Sale | Exchange | | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Certificate of divestiture | |
| 1 | | | JP Morgan Research Market Neutral Select | X | | | 03/19/2010 | X | | | | | | | | | | | | |
| 2 | | | Eaton Vance Global Macro Absolu | X | | | Various | X | | | | | | | | | | | | |
| 3 | | | Threadneedle Asia Pacific Fund | X | | | 06/24/2010 | X | | | | | | | | | | | | |
| 4 | | | Eaton Vance Floating Rate Fund | X | | | 06/24/2010 | X | | | | | | | | | | | | |
| 5 | | | SPDR S & P 500 ETF Trust | X | | | 06/26/2010 | X | | | | | | | | | | | | |
| 6 | | | JP Morgan US Real Estate Fund | X | | | Various | X | | | | | | | | | | | | |
| 7 | | | Manning & Napier Fund | X | | | 10/04/2010 | | X | | | | | | | | | | | |
| 8 | | | Goldmen Sachs High Yield Fund Institutional Shares | X | | | 10/04/2010 | X | | | | | | | | | | | | |
| 9 | | | Arbitrage Funds Class I | X | | | 10/04/2010 | X | | | | | | | | | | | | |
| 10 | | | Vanguard Emerging Market ETF | X | | | 10/06/2010 | X | | | | | | | | | | | | |
| 11 | | | JP Morgan Intrepid Long Short Fund | X | | | 11/30/2010 | X | | | | | | | | | | | | |
| 12 | | | Ishares S & P Midcap 400 Index Fund | X | | | 11/29/2010 | X | | | | | | | | | | | | |
| 13 | | | | | | | | | | | | | | | | | | | | |
| 14 | | | | | | | | | | | | | | | | | | | | |
| 15 | | | | | | | | | | | | | | | | | | | | |
| 16 | | | | | | | | | | | | | | | | | | | | |

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

| | | |
|---|-------------------|------------------------|
| Reporting Individual's Name Napolitano, Janet A. | SCHEDULE C | Page Number 9 of 10 |
|---|-------------------|------------------------|

Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude

a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None

Category of Amount or Value (x)

| Examples | Creditors (Name and Address) | Type of Liability | Date Incurred | Interest Rate | Term if applicable | Category of Amount or Value (x) | | | | | | | | | | | | | |
|----------|-------------------------------------|---------------------------------------|---------------|---------------|--------------------|---------------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|-------------------|--|--|--|
| | | | | | | \$10,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | | | |
| | First District Bank, Washington, DC | Mortgage on rental property, Delaware | 1991 | 8% | 25 yrs. | | | x | | | | | | | | | | | |
| | John Jones | Promissory note | 1999 | 10% | on demand | | | | | x | | | | | | | | | |
| 1 | | | | | | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | | | | |

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None

| | Status and Terms of any Agreement or Arrangement | Parties | Date |
|---------|---|------------------------------------|-------|
| Example | Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00. | Doc Jones & Smith, Hometown, State | 7/85 |
| 1 | Standard pension benefits as an employee of the State of Arizona through the Elected Official Retirement Plan (EROP); no further contributions into plan after termination of employment. | EROP Pension Manager, Phoenix, AZ | 01/99 |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |
| 6 | | | |

| | | |
|---|-------------------|-------------------------|
| Reporting Individual's Name Napolitano, Janet A. | SCHEDULE D | Page Number 10 of 10 |
|---|-------------------|-------------------------|

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature. None

| | Organization (Name and Address) | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|----------|--|----------------------------------|----------------------|-----------------|-----------------|
| Examples | Nat'l Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State | Non-profit education Law firm | President Partner | 6/92 7/85 | Present 1/00 |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |

Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source. None

| | Source (Name and Address) | Brief Description of Duties |
|----------|--|---|
| Examples | Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services Legal services in connection with university construction |
| 1 | | |
| 2 | | |
| 3 | | |
| 4 | | |
| 5 | | |
| 6 | | |