

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Remy, Donald

Deputy Secretary, Department of Veterans Affairs

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Veterans' Affairs
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Remy, Donald [electronically signed on 03/23/2021 by Remy, Donald in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hogan, Michael R, Certifying Official [electronically signed on 05/04/2021 by Hogan, Michael R in Integrity.gov]

Other review conducted by

/s/ Borden, Carol, Ethics Official [electronically signed on 05/04/2021 by Borden, Carol in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 05/10/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	National Collegiate Athletic Association	Indianapolis, Indiana	College athletics organization	Chief Operating Officer / Chief Legal Officer	3/2011	Present
2	Garretson Resolution Group	Charlotte, North Carolina	Corporation	Director	9/2016	1/2019
3	The Donald M. Remy Revocable Trust	Indianapolis, Indiana	Revocable Trust	Trustee	3/2014	Present
4	The JAR Trust	Indianapolis, Indiana	Trust	Trustee	6/2013	7/2019

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	NCAA 403(b) Plan	No			
1.1	Vanguard Institutional Target Retirement 2030 Fund (VTTWX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
2	NCAA 457(b) Plan	No			
2.1	Vanguard Institutional Target Retirement 2030 Fund (VTTWX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
3	NCAA Qualified Savings Plan	No			
3.1	Vanguard Institutional Target Retirement 2030 Fund (VTTWX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	NCAA Retirement Savings Plan	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	Vanguard Institutional Target Retirement 2030 Fund (VTTWX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
5	IRA #1	No			
5.1	U.S. BANK CASH ACCOUNT	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.2	U.S. BANK CASH ACCOUNT	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	IRA #2	No			
6.1	U.S. BROKERAGE SWEEP/CASH DEPOSIT ACCOUNT	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.2	COLUMBIA GLOBAL TECHNOLOGY GROWTH FUND INSTITUTIONAL (CGTUX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.3	DELAWARE EMERGING MARKETS FUND (DEMZX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.4	DODGE & COX INCOME FUND (DODIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.5	FEDERATED HERMES INSTITUTIONAL HIGH YIELD BOND FUND (FIHLX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.6	ISHARES IBOXX \$ HIGH YIELD CORPORATE BOND ETF (HYG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.7	JPMORGAN LARGE CAP GROWTH FUND (JLGMX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.8	JPMORGAN TR II MID CAP GROWTH FD CL (JMGMX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.9	JPMORGAN TR I JPMORGAN SHORTDURATION CORE PLUS FUND CL (JSDRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.10	PIMCO TOTAL RETURN ESG FUND INSTITUTIONAL CLASS (PTSAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.11	VANGUARD TOTAL BOND MARKET INDEX FUND ADMIRAL SHARES (VBTLX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.12	VANGUARD INTERMEDIATE-TERM INVESTMENT-GRADE FUND ADMIRAL SHARES (VFIDX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.13	VANGUARD SPECIALIZED FUNDS VANGUARD DIVIDEND APPRECIATIONETF (VIG)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.14	VANGUARD INTERNATIONAL GROWTH FUND ADMIRAL SHARES (VWILX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.15	WELLS FARGO DISCOVERY FUND - CLASS R6 (WFDRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.16	TECHNOLOGY SELECT SECTOR SPDR FUND (XLK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	IRA #3	No			
7.1	US BROKERAGE SWEEP/CASH DEPOSIT ACCOUNT	N/A	\$50,001 - \$100,000		None (or less than \$201)
8	IRA #4	No			
8.1	USAA 500 INDEX FUND MEMBER SHARES	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	National Collegiate Athletic Association	See Endnote		Salary	\$1,848,554
10	NCAA SERP Payment	N/A		Supplemental Executive Payment	\$176,664
11	NCAA SERP, Anticipated payment	N/A	\$100,001 - \$250,000		None (or less than \$201)
12	NCAA, Anticipated severance payment	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	National Collegiate Athletic Association	Indianapolis, Indiana	Pursuant to employment and separation agreements, I will receive a severance payment from the NCAA. The amount of severance will be fixed as of my departure and will be paid to me in equal quarterly installments over a three year period.	9/2019
2	National Collegiate Athletic Association	Indianapolis, Indiana	NCAA 403(b) Plan. I will continue to participate in this plan. The plan sponsor will not make further contributions after my departure.	9/2019
3	National Collegiate Athletic Association	Indianapolis, Indiana	NCAA 457(b) Plan. I will continue to participate in this plan. The plan sponsor will not make further contributions after my departure.	9/2019
4	National Collegiate Athletic Association	Indianapolis, Indiana	NCAA Qualified Savings Plan. I will continue to participate in this plan. The plan sponsor will not make further contributions after my departure.	9/2019
5	National Collegiate Athletic Association	Indianapolis, Indiana	NCAA Retirement Savings Plan. I will continue to participate in this plan. The plan sponsor will not make further contributions after my departure.	9/2019
6	National Collegiate Athletic Association	Indianapolis, Indiana	NCAA Supplemental Executive Retirement Plan. Following my resignation, pursuant to the plan, I will receive a pro rata supplemental executive payment from NCAA for services rendered in 2021 up to the date of my departure, which will be calculated as of the date of my departure.	9/2019

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	National Collegiate Athletic Association	Indianapolis, Indiana	Services as Chief Operating Officer / Chief Legal Officer

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
2	Garretson Resolution Group	Charlotte, North Carolina	Director services

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #5	No			
1.1	Fidelity Freedom 2035 Fund (FFTHX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.2	American Century Capital Preservation Fund Investor Class Shares (CPFXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	Medtronic PLC Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	IRA #6	No			
2.1	U.S. BROKERAGE SWEEP/CASH DEPOSIT ACCOUNT	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2	EDGEWOOD GROWTH FUND CLASS INSTITUTIONAL (EGFIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.3	THE HARTFORD MIDCAP FUND CLASS F (HMDFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.4	ISHARES RUSSELL 2000 (IWN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	T. ROWE PRICE NEW ASIA FUND I CLASS (PNSIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	CONSUMER DISCRETIONARY SELECT SECTOR SPDR FUND (XLY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.7	TECHNOLOGY SELECT SECTOR SPDR FUND (XLK)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.8	VANGUARD INDEX TR (VFIAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.9	VANGUARD WORLD FUND (VWILX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.10	WCM FOCUSED INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS (WCMIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.11	FIDELITY CONSERVATIVE INCOME BOND INSTITUTIONAL CLASS (FCNVX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.12	JPMORGAN CORE BOND FUND CLASS R6 (JCBUX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.13	T. ROWE PRICE TAX FREE HIGH YIELD FUND I CLASS (PTYIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Omega Investment Partners, LLC, a Delaware company	No			
1.1	4930 Lafayette, LLC (commercial real estate) Indianapolis, IN	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties Capital Gains	\$100,001 - \$1,000,000
1.2	Crossroads, LLC (commercial real estate) Kansas City, Missouri	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
1.3	Grey Matter Analytics, LLC (health care data tech start-up) Delaware	N/A	\$250,001 - \$500,000		None (or less than \$201)
1.4	Baron Industries, LLC (casting manufacturing) Delaware	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.5	Miromatrix, LLC (bio-tech start-up) Minnesota	N/A	\$50,001 - \$100,000		None (or less than \$201)
2	US BANK ACCT #1 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	US BANK ACCT #2 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
4	US BANK ACCT #3 (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
5	US BANK ACCT #4 (checking and savings)	N/A	\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000
6	US BANK ACCT #5 (savings)	N/A	\$100,001 - \$250,000		None (or less than \$201)
7	US BANK ACCT #6 (checking)	N/A	\$100,001 - \$250,000		None (or less than \$201)
8	Brokerage Acct #1	No			
8.1	FIDELITY GOVERNMENT CASH RESERVES (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.2	AMG YACKTMAN FOCUSED I (YAFIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
8.3	AQR MANAGED FUTURES STRATEGY FUND CLASS I (AQMIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.4	JOHCM INTERNATIONAL SELECT FUND CLASS I SHARES (JOHIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.5	ARTISAN FOCUS FUND ADVISOR SHARES (APDTX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.6	BNY MELLON GLOBAL REAL RETURN FUND - CLASS I (DRRIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.7	CALAMOS MARKET NEUTRAL INCOME FUND INSTITUTIONAL CLASS (CMNIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.8	AKRE FOCUS FUND INSTITUTIONAL CLASS (AKRIX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
8.9	RIVER CANYON TOTAL RETURN BOND INSTL (RCTIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.10	CHIRON CAPITAL ALLOCATION FUND CLASS I (CCAPX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.11	BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO CLASS K (BSIKX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.12	JOHN HANCOCK INCOME FUND CLASS I (JSTIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.13	BRANDYWINEGLOBAL - GLOBAL UNCONSTRAINED BOND FUND CLASS I (LROIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.14	PIMCO INCOME FUND INSTITUTIONAL FUND (PIMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.15	TCW EMERGING MKTS INCOME CL I (TGEIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.16	WESTERN ASSET MACRO OPPORTUNITIES FUND CLASS I (LAOIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	Brokerage Acct #2	No			
9.1	FIDELITY GOVERNMENT CASH RESERVES (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.2	ISHARES CORE DIVIDEND GROWTH ETF (DGRO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.3	ISHARES MSCI PACIFIC EX JAPAN ETF (EPP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.4	ISHARES MSCI CANADA ETF (EWC)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.5	ISHARES INC MSCI JPN ETF NEW (EWJ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.6	ISHARES TR MSCI UK ETF NEW (EWU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.7	ISHARES MSCI EUROZONE ETF (EZU)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.8	ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.9	ISHARES S&P MIDCAP 400 INDEX FUND (IJH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.10	ISHARES CORE S&P SMALL-CAP E (IJR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.11	ISHARES S&P 500 VALUE ETF (IVE)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.12	ISHARES CORE S&P 500 ETF (IVV)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
9.13	ISHARES S&P 500 GROWTH ETF (IVW)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.14	ISHARES S&P 100 INDEX FUND (OEF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.15	VANGUARD FTSE EUROPE INDEX FUND ETF SHARES (VGK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	Brokerage Acct #3	No			
10.1	FIDELITY 500 INDEX FUND (FXAIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
11	Brokerage Acct #4	No			
11.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	Brokerage Acct #5	No			
12.1	BALL ST UNIV IND UNIV REVS REV 5% 07/01/25 JJ REV BDS R BEO	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12.2	CANYON TEX REGL WTR AUTH REV 4.0000% 08/01/27-CA FA CONTRACT REV AND REF BDS LAKE DUNLAP/MID-CITIES PRO 2016 BEO	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12.3	CHICAGO ILL O HARE INTL ARPT REV 5.0000% 01/01/24-CA JJ CHICAGO O'HARE INTERNATIONAL AIRPORT AMT BEO SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12.4	CLARK CNTY NEV SCH DIST GO 5% 06/15/25 JD GO LTD TAX BLDG REF BDS 2015 C LT BEO	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12.5	DALLAS TEX GO 5% 02/15/31-CA FA GO REF AND IMPT BDS 2020 A LT BEO	N/A	\$15,001 - \$50,000		None (or less than \$201)
12.6	FOREST PRESERVE DISTRICT OF GO 4.0000% 11/01/21 MN DU PAGE COUNTY BEO	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
12.7	GOLDMAN SACHS BANK USA DEPOSIT (BDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.8	HARRIS COUNTY, TEXAS REV 5.0000% 08/15/28-CA FA TOLL RD SER LIEN REV AND REF BONDS, SER 2018A BEO	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12.9	INDIANA ST FIN AUTH REV REV 5% 02/01/28 FA ST REVOLVING FD REF BDS 2017 C GREEN BOND BEO	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.10	INDIANAPOLIS IND LOC PUB IMPT REV 5% 01/01/27 JJ BD BK INDYROADS REV BDS 2019 E BEO	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.11	ITASCA CNTY MINN INDPT SCH GO 5% 02/01/28-CA FA DIST NO 318 GO SCH BLDG BDS 2018 A UT BEO	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
12.12	LEON CNTY FLA SCH DIST SALES REV 5% 09/01/24-CA MS TAX REV SALES TAX REV BDS 2014 BEO SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12.13	MASSACHUSETTS ST GO 5% 09/01/29 MS REF BDS 2018C UT BEO	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12.14	NOH WE IND EM SCH BG CP REV 5% 01/15/30 JJ AD VALOREM PPTY TAX FIRST MTG BDS 2020 BEO	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
12.15	NYC TRANSITIONAL FINC AUTH REV 5.0000% 07/15/22-CA JJ BUILDING AID FISCAL 2012 BEO	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
12.16	ORANGE CNTY FLA CAP IMPT REV REV 5.2500% 10/01/22 AO REV REF BDS 2009 BEO	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12.17	ROBERTSON CNTY TENN GO 5% 05/01/29 MN GO UNLTD BDS 2019 UT BEO	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12.18	SALT RIV PROJ AGRIC IMPT & PWR REV 5% 01/01/31-CA JJ DIST ARIZ ELEC SYS REV BDS 2019 A BEO	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.19	SOUTH GIBSON IND SCH BLDG CORP REV 5% 01/10/28-CA JJ AD VALOREM PPTY TAX FIRST MTG REF BDS 2016 BEO	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.20	STATE OF CONNECTICUT GO 5.0000% 03/15/26-CA MS GENERAL OBLIGATION BONDS BEO	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12.21	TAMPA FLA UTIL TAX REV 5% 10/01/23-CA AO UTIL TAX REV BDS 2012B BEO	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.22	WOODHAVEN BROWNSTOWN MICH SCH GO 5.0000% 05/01/26 MN GO ULTD TAX SCH BLDG AND SITE REF BDS 2016 UT BEO	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12.23	SNOHOMISH WA (COUNTY OF) GO 5.0000% 12/01/21-CA JD RFDG-LTD TAX-SER A LT BEO	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
13	Brokerage Acct #6	No			
13.1	U.S. BROKERAGE SWEEP/CASH DEPOSIT ACCOUNT	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.2	ABBVIE INC CMN (ABBV)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
13.3	ALPHABET INC. CMN CLASS A (GOOGL)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
13.4	AMAZON.COM INC CMN (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
13.5	AMETEK INC (NEW) CMN (AME)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.6	APPLE INC. CMN (AAPL)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
13.7	APPLIED MATERIALS INC CMN (AMAT)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
13.8	ASCENDIS PHARMA AS SPONSORED ADR CMN (ASND)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.9	BIO-RAD LABORATORIES, INC CMN CLASS A (BIO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.10	BOSTON SCIENTIFIC CORP. COMMON STOCK (BSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.11	BRISTOL-MYERS SQUIBB COMPANY CMN (BMY)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.12	BROADCOM INC. CMN (AVGO)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
13.13	CISCO SYSTEMS, INC. CMN (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.14	DARDEN RESTAURANTS, INC. CMN (DRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.15	FACEBOOK, INC. CMN CLASS A (FB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.16	FIDELITY NATL INFO SVCS INC CMN (FIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.17	HUMANA INC. CMN (HUM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.18	INTUIT INC CMN (INTU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.19	MICROSOFT CORPORATION CMN (MSFT)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
13.20	MORGAN STANLEY CMN (MS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.21	NIKE CLASS-B CMN CLASS B (NKE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.22	NVIDIA CORPORATION CMN (NVDA)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
13.23	PAYPAL HOLDINGS, INC. CMN (PYPL)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
13.24	SALESFORCE.COM, INC CMN (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.25	SERVICENOW INC CMN (NOW)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
13.26	T-MOBILE US, INC. CMN (TMUS)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.27	TARGET CORPORATION CMN (TGT)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
13.28	THERMO FISHER SCIENTIFIC INC CMN (TMO)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
13.29	TRANSDIGM GROUP INCORPORATED CMN (TDG)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.30	UNION PACIFIC CORP. CMN (UNP)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
13.31	VISA INC. CMN CLASS A (V)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
13.32	WORKDAY, INC. CMN CLASS A (WDAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.33	ZENDESK, INC. CMN (ZEN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.34	THE HOME DEPOT, INC. CMN (HD)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
13.35	ESSEX PROPERTY TRUST INC CMN (ESS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.36	ATLASSIAN CORPORATION PLC CMN CLASS A (TEAM)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
13.37	ICON PUBLIC LIMITED COMPANY CMN (ICLR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.38	TRANE TECHNOLOGIES PUBLIC LIMI CMN (TT)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
13.39	ALIGN TECHNOLOGY, INC CMN (ALGN)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$2,501 - \$5,000
13.40	MGM RESORTS INTERNATIONAL CMN (MGM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.41	TESLA, INC. CMN (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.42	BLACKSTONE GROUP INC/THE CMN (BX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
13.43	CATERPILLAR INC (DELAWARE) CMN (CAT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
13.44	CHARTER COMMUNICATIONS, INC. CMN (CHTR)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
13.45	INGERSOLL RAND INC CMN (IR)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
13.46	ILLINOIS TOOL WORKS CMN (ITW)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
13.47	MICRON TECHNOLOGY, INC. CMN (MU)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
13.48	QUALCOMM INC CMN (QCOM)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
13.49	STARBUCKS CORP. CMN (SBUX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
13.50	S&P GLOBAL INC. (SPGI)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
13.51	SPLUNK UNC CMN (SPLK)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
13.52	VERTEX PHARMACEUTICALS INCORPORATED CMN (VRTX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
13.53	WILLIS TOWERS WATSON PLC CMN (WLTW)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
13.54	WALMART INC CMN (WMT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
14	Brokerage Acct #7	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.1	U.S. BROKERAGE SWEEP/CASH DEPOSIT ACCOUNT	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.2	APPLE INC. CMN (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.3	BUMBLE INC (BMBL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.4	ROSS STORES INC (ROST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.5	WALT DISNEY COMPANY (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.6	AMAZON.COM INC (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15	Brokerage Acct #8				
15.1	CHASE DEPOSIT SWEEP (QACDS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.2	ARCONIC CORPORATION (ARNC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
15.3	CALLAWAY GOLF CO (ELY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.4	CHEWY INC (CHWY)	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.5	HEICO CORPORATION (HEIA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.6	OLIN CORPORATION (OLN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.7	PFIZER INC (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.8	ROYAL DUTCH SHELL PLC (RDSB)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.9	HEALTH CARE SELECT SECTOR SPDR FUND (XLV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.10	TECHNOLOGY SELECT SECTOR SPDR FUND (XLK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.11	SHOPIFY INC (SHOP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.12	SMITH & WESSON BRANDS INC (SWBI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.13	STURM RUGER & CO INC (RGR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.14	TESLA INC (TSLA)	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$50,001 - \$100,000
15.15	TRULIEVE CANNABIS CORP (TCNNF)	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.16	ULTA BEAUTY INC (ULTA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.17	VISTA OUTDOOR INC (VSTO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.18	GENERAL DYNAMICS CORP UNSOLICITED (GD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
15.19	ENBRIDGE INC UNSOLICITED (ENB)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
15.20	FEDERAL NATIONAL MORTGAGE ASSOCIATION COM UNSOLICITED (FNMA)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
16	TresMonet INC (wellness and health supplement products)	N/A	\$50,001 - \$100,000		None (or less than \$201)
17	IRA #7	No			
17.1	JPMorgan Linked Note linked to S&P 500 Index	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.2	JPMorgan Linked Note linked to Russell 2000 Index	N/A	\$100,001 - \$250,000		None (or less than \$201)
17.3	JPMorgan Linked Note linked to MSCI EAFE ETF Index	N/A	\$100,001 - \$250,000		None (or less than \$201)
18	Brokerage Acct #9	No			
18.1	FIDELITY GOVERNMENT CASH RESERVES (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.2	ISHARES INC MSCI CDA ETF (EWC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
18.3	ISHARES MSCI EUROZONE ETF (EZU)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.4	ISHARES MSCI PACIFIC EX JAPAN ETF (EPP)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.5	ISHARES S&P 100 INDEX FUND (OEF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.6	ISHARES S&P 500 (IVV)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
18.7	ISHARES S&P 500 GROWTH ETF (IVW)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
18.8	ISHARES S&P 500 VALUE ETF (IVE)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
18.9	ISHARES S&P MIDCAP 400 INDEX FUND (IJH)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.10	ISHARES CORE S&P SMALL-CAP E (IJR)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.11	ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.12	ISHARES MSCI JAPAN ETF (EWJ)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.13	ISHARES CORE DIVIDEND GROWTH ETF (DGRO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.14	ISHARES MSCI UNITED KINGDOM ETF (EWU)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.15	VANGUARD FTSE EUROPE INDEX FUND ETF SHARES (VGK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.16	INVESCO OPPENHEIMER INTERNATIONAL GROWTH FUND CLASS Y (OIGYX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
18.17	HARBOR CAPITAL APPRECIATION FUND INSTITUTIONAL CLASS (HACAX)	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
18.18	FIDELITY 500 INDEX FUND (FXAIX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
18.19	FIDELITY LOW-PRICED STOCK FUND (FLPSX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
18.20	FIDELITY CONTRAFUND FUND (FCNTX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.21	FIDELITY REAL ESTATE INVESTMENT PORTFOLIO (FRESX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
18.22	DODGE & COX STOCK FUND (DODGX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
18.23	BARON GROWTH FUND RETAIL SHARES (BGRFX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
19	MetLife Whole Life	N/A	\$1,001 - \$15,000		None (or less than \$201)
20	Pacific Life Universal Life	N/A	\$50,001 - \$100,000		None (or less than \$201)
21	VA 529 Plan	No		Cash payments	\$8,400
21.1	2018 Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22	Personal loan to family member	N/A	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Chase Mortgage	Mortgage on Personal Residence	\$250,001 - \$500,000	2020	2.5	5 year ARM
2	Goldman Sachs	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2020	3.0	10 year ARM
3	Goldman Sachs	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2015	3.0	10 year ARM

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	9	A portion of my compensation was in the form of a payment from a nonqualified supplemental retirement plan

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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