OGE Form 278e (Updated 08/2024) (Expires 08/31/2027)
U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001)

Report Type: Nominee Report Year (Annual Report only): Date of Appointment: Date of Termination:

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

#### Filer's Information

Pulte, Bill

Director, Federal Housing Finance Agency

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

Committee on Banking, Housing, and Urban Affairs

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Pulte, Bill [electronically signed on 01/30/2025 by Pulte, Bill in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Dent, Sean C., Certifying Official [electronically signed on 02/21/2025 by Dent, Sean C. in Integrity.gov]

Other review conducted by

/s/ Christopher, Rachel, Ethics Official [electronically signed on 02/21/2025 by Christopher, Rachel in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 02/21/2025 by Apol, David in Integrity.gov]

### 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	ТО
1	Pulte Capital Partners LLC	Boca Raton, Florida	Investment Firm	CEO	4/2011	Present
2	Pulte Properties LLC	Boca Raton, Florida	Real Estate	Advisor	8/2023	1/2025
3	Team Pulte Inc	Boca Raton, Florida	Non-Profit	President	1/2020	Present
4	The Blight Authority	PONTIAC, Michigan	Non-Profit	Chairman	1/2013	Present
5	Bill Pulte Foundation	Boca Raton, Florida	Non-Profit	President	12/2021	Present
6	PC Sera Holdings LLC	Boca Raton, Florida	Software Company	Managing Member	1/2022	Present
7	Mullett Holdings II LLC	Boca Raton, Florida	Software Company	Managing Member	1/2020	12/2023
8	WJP Beast LLC	Boca Raton, Florida	Holding Company	Managing Member	2/2023	Present
9	Pulte Family Office	Boca Raton, Florida	Investment Firm	Managing Member	2/2016	Present
10	PC Essential LLC	Boca Raton, Florida	HVAC Company	Managing Member	7/2024	Present
11	Pulte RE II LLC	Chicago, Illinois	Holding Company	Managing Member	6/2019	Present
12	Pulte RE III LLC	Boca Raton, Florida	Office Building	Managing Member	7/2019	Present
13	Pulte Health LLC	BLOOMFIELD HILLS, Michigan	Investment Firm	Managing Member	6/2019	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	ТО
14	5612 Birchwood LLC	PETOSKEY, Michigan	Holding Company	Managing Member	8/2019	Present
15	PC Absolute California LLC	WESTMINSTER, California	HVAC Company	Managing Member	9/2021	Present
16	Florida Income LLC	Boca Raton, Florida	Residential Rentals	Managing Member	8/2023	Present
17	Decentralized Land Income LLC	Boca Raton, Florida	Residential Rentals	Managing Member	9/2023	Present
18	WJP PA LLC	Boca Raton, Florida	Air Conditioning Company	Managing Member	11/2023	Present
19	Pulte LLC	Chicago, Illinois	Event and Marketing Company	Managing Member	10/2019	Present
20	ML ORGANIZATION LLC	Boca Raton, Florida	Investment Firm	Managing Member	4/2018	Present
21	SUMMIT AIR CONDITIONING AND HEATING, LLC	Las Vegas, Nevada	HVAC Company	Manager	3/2024	Present
22	INTEGRITY HEATING & AIR LLC	Dagsboro, Delaware	HVAC Company	Managing Member	9/2023	Present
23	One Stop Heating and Air Conditioning	Salt Lake City, Utah	Corporation	Managing Member	10/2021	Present
24	Ideal Temp LLC	Grain Valley, Missouri	HVAC Company	Managing Member	7/2021	7/2024
25	Mullett Holdings III LLC	Boca Raton, Florida	Software Company	Managing Member	12/2020	Present

# 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Pulte Capital Partners LLC, Management company	No	Over \$50,000,000	Business Income	\$286,150
1.1	WJP PA LLC, Air conditioning and marketing company	N/A	\$5,000,001 - \$25,000,000	Dividends	\$1,000,001 - \$5,000,000
1.2	PC Absolute California LLC, Heating and air conditioning repair and replacement	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
1.3	PC Sera Holdings LLC, Software company focused on air conditioning	N/A	\$250,001 - \$500,000		None (or less than \$201)
1.4	Mullett Holdings II LLC, Software company focused on making videos	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
1.5	PC Essential LLC, Heating and air conditioning repair and replacement	N/A	\$1,000,001 - \$5,000,000	Dividends	\$100,001 - \$1,000,000
1.6	INTEGRITY HEATING & AIR LLC, Heating and air conditioning repair and replacement	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.7	One Stop Heating and Air Conditioning , Air Conditioning Company	N/A	\$1,000,001 - \$5,000,000	Dividends	\$100,001 - \$1,000,000
1.8	Ideal Temp LLC, Heating and air conditioning repair and replacement	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
1.9	Mullett Holdings III LLC, Heating and air conditioning repair and replacement	N/A	Over \$50,000,000	Dividends	Over \$5,000,000
1.10	SUMMIT AIR CONDITIONING AND HEATING, LLC, Heating and air conditioning repair and replacement	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
1.11	Gee's Heating & Air Inc, Heating and air conditioning repair and replacement	N/A	\$1,000,001 - \$5,000,000	Dividends	\$5,001 - \$15,000
1.12	Air Doc's of The Treasure Coast, Inc.,Heating and air conditioning repair and replacement	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
1.13	ATTICMAN HEATING & AIR CONDITIONING INSULATION INC, Heating and air conditioning repair and replacement	N/A	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.14	ELEVATED COMFORT, INC., Heating and air conditioning repair and replacement	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
1.15	GARDNER GROUP HOME SERVICES COMPANY, Plumbing Company pipe repair and replacement	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
2	Pulte Properties LLC	No	\$5,000,001 - \$25,000,000	Salary	\$3,437
2.1	Pulte Capital Rentals, Residental properties in southeast Florida and one property in North Carolina	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
3	Decentralized Land Income LLC, Mixed residential properties in various states across southeast and Midwest	N/A	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000
4	Florida Income LLC, Mixed residential properties in Florida	N/A	\$5,000,001 - \$25,000,000	Dividends	\$15,001 - \$50,000
5	ML ORGANIZATION LLC, Business entity that helps evaluate opportunities (Assets reported on Part 6, lines 1-21, 31. Value and income reported at this line is total value and is duplicative of values and income reported in part 6).	No	\$25,000,001 - \$50,000,000	Capital Gains Dividends	\$1,000,001 - \$5,000,000
6	Pulte RE II LLC (underlying asset not reportable)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
7	Pulte RE III, (Office building, Boca Raton, FL)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
8	Pulte Health LLC, (Serenity Kids Baby Food)	N/A	\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000
9	WJP Beast LLC, Investment in Mr. Beast's company, MrBeast Industries, a company that includes the production company MrBeast LLC, MrBeast Burger, and Feastables	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	5612 Birchwood LLC (underlying asset not reportable)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
11	Pulte LLC, Event and Marketing Company	N/A	\$500,001 - \$1,000,000	Business Income	\$97,010
12	Pulte Family Office LLC. Entity that helps provides guidance to Pulte Capital Partners LLC	N/A	\$500,001 - \$1,000,000	Business Income	\$53,626
13	MARK T. PULTE INVESTMENTS, LLC, Commercial Real Estate Property, Boca Raton, FL	N/A	None (or less than \$1,001)	Capital Gains Rent or Royalties	\$1,000,001 - \$5,000,000
14	FPG Free Bird Fund, LLC, Investment in X	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
15	Penske Management, L.L.C. Defined Contribution Plan	No			
15.1	Invesco Equity & Income Fund (ACEIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

# 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Penske Management, L.L.C. Defined Contribution Plan	Boca Raton, Florida	I continue to participate in this defined contribution plan. The plan sponsor ceased making contributions at my separation.	7/2010

# 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Decentralized Land Income LLC	Boca Raton, Florida	Services as Managing Member

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
2	Florida Income LLC	Boca Raton, Florida	Services as Managing Member
3	ML ORGANIZATION LLC	Boca Raton, Florida	Services as Managing Member
4	WJP PA LLC	Boca Raton, Florida	Services as Managing Member
5	PC Essential LLC	Boca Raton, Florida	Services as Managing Member
6	Pulte Family Office	Boca Raton, Florida	Services as Managing Member
7	One Stop Heating and Air Conditioning	Salt Lake City, Utah	Services as Managing Member
8	Pulte LLC	Chicago, Illinois	Services as Managing Member
9	Ideal Temp LLC	Grain Valley, Missouri	Services as Managing Member
10	Mullett Holdings III LLC	Boca Raton, Florida	Services as Managing Member
11	Pulte Capital Partners LLC	Boca Raton, Florida	Services as CEO

# 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Point B Inc- defined contribution plan	No		-	
1.1	Vang Target Ret 2055	Yes	\$15,001 - \$50,000		None (or less than \$201)

### 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	PULTEGROUP INC	N/A	None (or less than \$1,001)	Capital Gains Dividends	Over \$5,000,000
2	MARA HOLDINGS INC	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
3	ADOBE INC	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
4	INVESCO QQQ TRUST SERIES 1	Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000
5	US bank (cash) #1	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
6	US bank (Cash) #2	N/A	\$1,000,001 - \$5,000,000	Interest	\$1,001 - \$2,500
7	NVIDIA CORP NVIDIA CORP	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000
8	SPDR S&P 500 ETF TRUST SPDR S&P 500 ETF TRUST	Yes	\$250,001 - \$500,000		\$100,001 - \$1,000,000
9	ENERGY SELECT SECTOR SPDR SELECT SECTOR SPDR TRUST	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
10	U.S. Government Bills, Notes & Bonds	N/A	None (or less than \$1,001)	Capital Gains Interest	\$50,001 - \$100,000
11	GAMESTOP CORP-CLASS A	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
12	ISHARES U.S. HOME CONSTRUCTI CALL 07/19/24 @ 75.0	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
13	MARA HOLDINGS INC CALL 12/13/24 @ 23.5	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	MARA HOLDINGS INC CALL 12/13/24 @ 26.0	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
15	MARA HOLDINGS INC CALL 12/20/24 @ 23.5	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
16	MARA HOLDINGS INC CALL 12/20/24 @ 26.0	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
17	PALANTIR TECHNOLOGIES INC-A	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
18	PINTEREST INC- CLASS A	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
19	INVESCO QQQ TRUST SERIES 1 CALL 12/20/24 @ 475.0	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
20	SPDR S&P OIL & GAS EXP & PR	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
21	SPDR S&P 500 ETF TRUST CALL 10/31/24 @ 560.0	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
22	TESLA INC	N/A	None (or less than \$1,001)	Capital Gains	\$1,000,001 - \$5,000,000
23	AMAZON.COM INC CMN	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
24	BERKSHIRE HATHAWAY INC. CLASS B	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
25	ELI LILLY & CO CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26	JPMORGAN CHASE & CO CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
27	META PLATFORMS INC-CLASS A CMN CLASS A	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28	MICROSOFT CORPORATION CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
29	NETFLIX, INC. CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
30	VISA INC. CMN CLASS A	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
31	MARA HOLDINGS INC CALL 01/24/25 @ 20.5	N/A	None (or less than \$1,001)	Capital Gains	\$1,000,001 - \$5,000,000
32	ISHARES RUSSELL 2000 ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
33	ISHARES MSCI EAFE ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
34	Virtu Financial Inc	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
35	US Bank (cash) #3	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
36	GameStop Corp. (GME)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
37	Virgin Galactic Holdings Inc. Common Stock (SPCE)	N/A	None (or less than \$1,001)	Dividends	\$15,001 - \$50,000
38	SPDR S&P 500 ETF Trust (SPY)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
39	SPDR S&P 500 ETF Trust (SPY)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
40	SPDR S&P 500 ETF Trust (SPY)	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
41	Coinbase wallet	No			
41.1	Bitcoin	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41.2	Solana	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
42	Florida JPMorgan 529 Equity Index Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
43	Florida JPMorgan 529 Equity Index Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
44	Florida JPMorgan 529 Equity Index Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)

### 7. Transactions

(N/A) - Not required for this type of report

### 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Margin Loan JPM	Exercised Line of Credit	\$5,000,001 - \$25,000,000	2024	5.32	Revolving

### 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

# Endnotes

### **Summary of Contents**

#### 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

#### 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

#### 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

#### 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

#### Privacy Act Statement

5 U.S.C.§ 13101 et seq., and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made; (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation: (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination: (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government. or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

#### **Public Burden Information**

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