

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Raskin, Sarah B

Deputy Secretary, Department of the Treasury

Report Year: 2016

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Raskin, Sarah B [electronically signed on 05/25/2016 by Raskin, Sarah B in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Horton, Elizabeth A, Certifying Official [electronically signed on 08/26/2016 by Horton, Elizabeth A in Integrity.gov]

Other review conducted by

/s/ Canter, Virginia, Ethics Official [electronically signed on 08/23/2016 by Canter, Virginia in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Rounds, Emory, Certifying Official [electronically signed on 09/23/2016 by Rounds, Emory in Integrity.gov]

Data Revised 08/23/2016

Data Revised 08/03/2016

Data Revised 07/22/2016

Data Revised 07/21/2016

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(08/23/2016, Canter, Virginia): Per phone call with filer's special assistant on 8/23/2016, assets reported on part 2 (line 28), part 3 (line 3), and part 5 (lines 33-37) were inadvertently omitted from prior year reports, and assets inherited by spouse reported on part 6 (lines 55-95), were inadvertently omitted from prior year reports.

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	PBLB, LLC	Greenwich, Connecticut	Corporation	Manager	12/2012	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	TIAA-CREF TRUST CO CASH DEPOSIT ACCT	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
2	FIDELITY ADV EMERGING MKTS INC FD-I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3	DFA INFLATION PROTECTED SEC FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	FEDERATED TOTAL RETURN BOND FUND-IN	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5	VANGUARD BD INDEX FD INC	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6	VANGUARD S/T BOND INDEX-ADM	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	TIAA-CREF HIGH YIELD FD INST CL	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8	COHEN & STEERS REAL ESTATE SEC I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9	DIAMOND HILL LONG/SHORT-I	Yes	\$15,001 - \$50,000		None (or less than \$201)
10	TIAA-CREF GROWTH & INC FD INST CL	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11	JOHN HANCOCK III INT GRW I	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	DFA EMERGING MARKETS PORT	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13	DFA INTL SMALL CAP VALUE PT	Yes	\$15,001 - \$50,000		\$201 - \$1,000
14	DFA LARGE CAP INTL PORTFOLIO	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15	ISHARES RUSSELL 1000 GROWTH ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000
16	ISHARES RUSSELL 1000 VALUE ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
17	VANGUARD IND FD MID-CAP	Yes	\$50,001 - \$100,000		\$201 - \$1,000
18	VANGUARD SMALL-CAP ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
19	Tuchstone Intl Small Cap - Y	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
20	Janus Global Research Fund T	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
21	Invesco Charter Fund A (previously named AIM Charter Fund)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
22	Fidelity Contrafund	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
23	JP Morgan Small Cap Growth Fd	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
24	Vanguard Mid-Cap Growth Fund	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
25	FID Growth Co Pool	Yes	\$15,001 - \$50,000		None (or less than \$201)
26	CPG Stock Fund	No	\$1,001 - \$15,000		None (or less than \$201)
27	Fimm Mmkt	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28	Columbia Pipeline Group - Defined Benefit Plan	N/A	\$100,001 - \$250,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Agentrics, LLC		Continued participation in defined contribution plan - 401K plan. No further contributions by employer or filer.	2/2001
2	Nisource		Continued participation in defined contribution plan - 401K plan. No further contributions by employer or filer.	5/1998
3	Columbia Pipeline Group - Defined Benefit Plan	Houston, Texas	Beginning 04/01/2038 will be eligible to receive annual distributions of \$6,456.	5/1998

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	TIAA-CREF TRUST CO CASH DEPOSIT ACCT	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	FIDELITY ADV EMERGING MKTS INC FD-I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	DFA INFLATION PROTECTED SEC FUND	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	FEDERATED TOTAL RETURN BOND FUND-IN	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	VANGUARD BD INDEX FD INC	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	VANGUARD S/T BOND INDEX-ADM	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	TIAA-CREF HIGH YIELD FD INST CL	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8	COHEN & STEERS REAL ESTATE SEC I	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
9	DIAMOND HILL LONG/SHORT-I	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	TIAA-CREF GROWTH & INC FD INST CL	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
11	JOHN HANCOCK III INT GRW I	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	DFA EMERGING MARKETS PORT	Yes	\$1,001 - \$15,000		\$201 - \$1,000
13	DFA INTL SMALL CAP VALUE PT	Yes	\$1,001 - \$15,000		\$201 - \$1,000
14	DFA LARGE CAP INTL PORTFOLIO	Yes	\$1,001 - \$15,000		None (or less than \$201)
15	ISHARES RUSSELL 1000 GROWTH ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16	ISHARES RUSSELL 1000 VALUE ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
17	VANGUARD IND FD MID-CAP	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18	VANGUARD SMALL-CAP ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
19	TIAA Traditional	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
20	CREF Stock R2	Yes	\$50,001 - \$100,000		None (or less than \$201)
21	CREF Global Equities R2	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22	TIAA-CREF International Equity Fund - Institutional Class	Yes	\$15,001 - \$50,000		\$201 - \$1,000
23	TIAA-CREF Large-Cap Value Fund - Institutional Class	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
24	TIAA-CREF Mid-Cap Value Fund - Institutional Class	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
25	TIAA-CREF Small-Cap Equity Fund - Institutional Class	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
26	TIAA-CREF International Equity Index Fund - Institutional Class	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
27	TIAA-CREF Large-Cap Value Index Fund - Institutional Class	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
28	TIAA-CREF Real Estate Securities Fund - Institutional Class	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
29	TIAA Real Estate	Yes	\$50,001 - \$100,000		None (or less than \$201)
30	TIAA-CREF Bond Fund - Institutional Class	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
31	CREF Social Choice R2	Yes	\$1,001 - \$15,000		None (or less than \$201)
32	TIAA-CREF Bond Fund - Retirement Class	Yes	None (or less than \$1,001)		\$201 - \$1,000
33	FID Growth Co K	Yes	\$1,001 - \$15,000		\$15,001 - \$50,000
34	FID Magellan K	Yes	\$1,001 - \$15,000		None (or less than \$201)
35	FID Low Priced Stk K	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
36	FID Europe	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
37	FID Pacific Basin	Yes	\$1,001 - \$15,000		\$5,001 - \$15,000
38	State of MD, defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39	American University	N/A		salary	
40	State of Maryland	N/A		salary	
41	We the Students (book) ; SAGE Publications, Inc./CQ Press (Publisher)	N/A		Royalties	
42	People for the American Way	N/A		consulting fees	
43	JANUS GROWTH & INCOME FUND T	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
44	JANUS BALANCED FUND-T	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
45	Janus Research Fund-T	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
46	JANUS ORION FUND-T (previously named Janus Global Select Fund)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
47	Janus Fund Class T	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
48	Janus Enterprise Fund T	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
49	Janus Twenty Fund T	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
50	Janus Overseas Fund T	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
51	Clearbridge Aggressive Growth CL A	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
52	Janus Global Research Fund T	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
53	Invesco American Franchise A (previously named Constellation)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
54	Wells Fargo Growth FD-INV (listed last year as "SEP IRA, Wells Fargo Advantage Growth Fund")	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
55	Invesco VK Value Opp Fund - A (previously named AIM Basic Value)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
56	Bank of America SEP IRA		None (or less than \$1,001)		\$5,001 - \$15,000
57	Citi Retirement Account (listed last year as "Traditional IRA, Citibank CD")		None (or less than \$1,001)	Interest	\$5,001 - \$15,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	TIAA-CREF TRUST CO CASH DEPOSIT ACCT	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	FIDELITY ADV EMERGING MKTS INC FD-I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	VANGUARD HI YIELD T/E FD-ADMIRAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	VANGUARD LONG-TERM TAX-EXEMPT FD-ADM	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5	WELLS FARGO ULTRA S/T MUN-IS	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	VANGUARD GROWTH INDEX FD ADM	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
7	VANGUARD LARGE CAP IDX-ADM	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8	VANGUARD VALUE INDEX FD ADM	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9	JOHN HANCOCK III INT GRW I	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	DFA INTL SMALL CAP VALUE PT	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11	DFA LARGE CAP INTL PORTFOLIO	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	THE WEITZ FUNDS - VALUE FUND	Yes	None (or less than \$1,001)		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13	VANGUARD TOTAL BD MKT IDX ADM	Yes	None (or less than \$1,001)		\$201 - \$1,000
14	TIAA-CREF GROWTH & INC FD INST CL	Yes	None (or less than \$1,001)		\$201 - \$1,000
15	VANGUARD TOTL STK MKT IND-AD	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
16	TIAA-CREF Lge Cap Growth Fund - Inst Class	Yes	None (or less than \$1,001)		\$201 - \$1,000
17	COLUMBIA ACORN FUND-Z	Yes	\$100,001 - \$250,000		\$50,001 - \$100,000
18	COLUMBIA SMALL CAP GROWTH FUND-A	Yes	\$50,001 - \$100,000		\$50,001 - \$100,000
19	DFA LARGE CAP INTL PORTFOLIO	Yes	\$1,001 - \$15,000		None (or less than \$201)
20	Rental Property, Takoma Park, MD	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
21	Bank of America (CDs)	N/A	\$50,001 - \$100,000		None (or less than \$201)
22	Harvard University Employees Credit Union	N/A	\$1,001 - \$15,000		None (or less than \$201)
23	Synchrony Bank (CDs, money market) (formerly GE Retail Capital Bank)	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
24	Bank of America (Checking)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25	AT&T Corporation (Common Stock)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26	Source Capital, Inc.	Yes	\$1,001 - \$15,000		None (or less than \$201)
27	Bank of America (savings)	N/A	\$15,001 - \$50,000		None (or less than \$201)
28	Aberdeen International Equity Fund (Mutual Fund), UTMA	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	Janus Global Research Fund D (Mutual Fund), UTMA	Yes	\$100,001 - \$250,000		\$201 - \$1,000
30	Beneficiary Distribution from Inherited Retirement Account	N/A	None (or less than \$1,001)		\$5,001 - \$15,000
31	CitiBank - 5-Year Retirement CD	N/A	\$1,001 - \$15,000		None (or less than \$201)
32	Touchstone Focused Fund Class Y	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
33	CIT Bank (formerly OneWest Bank, FSB) (CDs)	No	\$1,001 - \$15,000		None (or less than \$201)
34	Bank of America, NA (Savings), UTMA	N/A	\$1,001 - \$15,000		None (or less than \$201)
35	Wells Fargo Advantage Money Market (Mutual Fund)	Yes	\$1,001 - \$15,000		None (or less than \$201)
36	Baron Asset Fund (Mutual Fund), UTMA	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
37	Vanguard Variable Annuity (DVA) Equity Index	Yes	\$50,001 - \$100,000		None (or less than \$201)
38	Vanguard Variable Annuity (DVA) Mid-Cap Index	Yes	\$100,001 - \$250,000		None (or less than \$201)
39	Agentrics (now NeoGrid), LLC 401k Plan, Royce Opportunity Inv	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
40	Agentrics (now NeoGrid), LLC 401k Plan, Royce Value Plus (became Wasatch Core Growth)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
41	MD SRP, American Euro Pacific Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
42	MD SRP, American Growth Fund of America	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
43	MD SRP, T. Rowe Price Small Cap Stock Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
44	MD SRP, T. Rowe Price Mid Cap Value Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
45	MD SRP, Vanguard Institutional Index Fund	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
46	NiSource Stock Fund	No	\$1,001 - \$15,000	Dividends	\$5,001 - \$15,000
47	Fidelity Magellan	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
48	Fidelity Money Market Fund	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
49	Wells Fargo Sweep Account (cash)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
50	IBM Common	N/A	\$1,001 - \$15,000		None (or less than \$201)
51	Maryland 529 (portfolio for college)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
52	Maryland 529 (portfolio for college)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
53	HGTT LLC (house, Westport, CT)	N/A	\$250,001 - \$500,000		None (or less than \$201)
54	Connecticut Higher Education Trust	N/A	\$15,001 - \$50,000		None (or less than \$201)
55	Federated Capital Reserves (Money Market)	N/A	\$15,001 - \$50,000		None (or less than \$201)
56	AT&T INC COM (common stock)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
57	Abbott Labs Com (common stock)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
58	Abbvie Inc Com (common stock)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
59	Alphabet Inc Cap Stk CL C	No	\$1,001 - \$15,000		None (or less than \$201)
60	Anthem Inc (common stock)	No	\$1,001 - \$15,000		None (or less than \$201)
61	Bank Amer Corp (common stock)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
62	Baxalta Inc (common stock)	No	\$1,001 - \$15,000		None (or less than \$201)
63	Baxter Intl Inc (common stock)	No	\$1,001 - \$15,000		None (or less than \$201)
64	Bemis Co Inc (common stock)	No	\$1,001 - \$15,000		None (or less than \$201)
65	Biogen IDEC Inc (common stock)	No	\$15,001 - \$50,000		None (or less than \$201)
66	Bristol Myers Squibb Co (common stock)	No	\$1,001 - \$15,000		None (or less than \$201)
67	Chevron Corp New (common stock)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
68	Citigroup Inc (common stock)	No	\$1,001 - \$15,000		None (or less than \$201)
69	Colgate Palmolive Co (common stock)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
70	ConocoPhillips (common stock)	No	\$1,001 - \$15,000		None (or less than \$201)
71	Exxon Mobil Corp (common stock)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
72	FireEye, Inc. - Common Stock	No	\$1,001 - \$15,000		None (or less than \$201)
73	General Electric Co (common stock)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
74	Genworth Finl Inc Com CL A	No	\$1,001 - \$15,000		None (or less than \$201)
75	GlaxoSmithKline PLC Spons ADR	No	\$1,001 - \$15,000		None (or less than \$201)
76	Johnson & Johnson (common stock)	No	\$1,001 - \$15,000		None (or less than \$201)
77	MPLX LP COM UNIT REPSTG LTD PARTNER	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
78	Martin Midstream Partners LP UNIT (common stock)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
79	PepsiCo (common stock)	No	\$1,001 - \$15,000		None (or less than \$201)
80	Procter & Gamble (common stock)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
81	Radian Group (common stock)	No	\$1,001 - \$15,000		None (or less than \$201)
82	Siemens A G Sponsored ADR	No	\$1,001 - \$15,000		None (or less than \$201)
83	3M (common stock)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
84	Verizon Communications (common stock)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
85	Vodafone Group PLC New Sponsored ADR	No	\$1,001 - \$15,000		None (or less than \$201)
86	Wells Fargo & Co New COM	No	\$1,001 - \$15,000		None (or less than \$201)
87	Deutsche BK Contingent CAP TR (preferred stock)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
88	IShares INC MSCI Singapore ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
89	iSHARES INC MSCI Hong Kong ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
90	iSHARES TR Select DIVID ETF FD	Yes	\$1,001 - \$15,000		None (or less than \$201)
91	Vanguard Specialized Portfolios Dividend (ETF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
92	Vanguard World FDS Vanguard Health Care	Yes	\$1,001 - \$15,000		\$201 - \$1,000
93	Vanguard World FDS Vanguard Information (ETF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
94	Vanguard Index FDS Vanguard REIT ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
95	Vanguard Index FDS Vanguard Growth ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	VANGUARD TOTL STK MKT IND-AD	Sale	9/14/2015	\$1,001 - \$15,000
2	VANGUARD LONG-TERM TAX-EXEMPT FD-ADM	Sale	9/14/2015	\$1,001 - \$15,000
3	TIAA-CREF LGE CAP GROWTH FD INST CL	Sale	9/14/2015	\$1,001 - \$15,000
4	WELLS FARGO ULTRA S/T MUN-IS	Purchase	8/14/2015	\$1,001 - \$15,000
5	VANGUARD HI YIELD T/E FD-ADMIRAL	Purchase	8/14/2015	\$1,001 - \$15,000
6	JOHN HANCOCK III INT GRW I	Purchase	8/14/2015	\$1,001 - \$15,000
7	FIDELITY ADV EMERGING MKTS INC FD-I	Purchase	8/14/2015	\$1,001 - \$15,000
8	DFA LARGE CAP INTL PORTFOLIO	Purchase	8/14/2015	\$1,001 - \$15,000
9	DFA INTL SMALL CAP VALUE PT	Purchase	8/14/2015	\$1,001 - \$15,000
10	VANGUARD TOTAL BD MKT IDX ADM	Sale	8/14/2015	\$1,001 - \$15,000
11	VANGUARD INTM TERM CORP-INV FD #71	Sale	8/14/2015	\$1,001 - \$15,000
12	THE WEITZ FUNDS - VALUE FUND	Sale	8/14/2015	\$15,001 - \$50,000
13	VANGUARD IND FD MID-CAP	Purchase	11/6/2015	\$1,001 - \$15,000
14	DFA EMERGING MARKETS PORT	Purchase	11/5/2015	\$1,001 - \$15,000
15	VANGUARD SMALL-CAP ETF	Purchase	6/16/2015	\$15,001 - \$50,000
16	VANGUARD IND FD MID-CAP	Purchase	6/16/2015	\$15,001 - \$50,000
17	ISHARES RUSSELL 1000 VALUE ETF	Purchase	6/16/2015	\$15,001 - \$50,000
18	ISHARES RUSSELL 1000 GROWTH ETF	Purchase	6/16/2015	\$15,001 - \$50,000
19	VANGUARD S/T BOND INDEX-ADM	Purchase	6/15/2015	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
20	VANGUARD BD INDEX FD INC	Purchase	6/15/2015	\$1,001 - \$15,000
21	DIAMOND HILL LONG/SHORT-I	Purchase	6/15/2015	\$1,001 - \$15,000
22	TIAA-CREF HIGH YIELD FD INST CL	Purchase	6/12/2015	\$1,001 - \$15,000
23	TIAA-CREF GROWTH & INC FD INST CL	Purchase	6/12/2015	\$1,001 - \$15,000
24	JOHN HANCOCK III INT GRW I	Purchase	6/12/2015	\$1,001 - \$15,000
25	FIDELITY ADV EMERGING MKTS INC FD-I	Purchase	6/12/2015	\$1,001 - \$15,000
26	FEDERATED TOTAL RETURN BOND FUND-IN	Purchase	6/12/2015	\$1,001 - \$15,000
27	DFA LARGE CAP INTL PORTFOLIO	Purchase	6/12/2015	\$1,001 - \$15,000
28	DFA INTL SMALL CAP VALUE PT	Purchase	6/12/2015	\$1,001 - \$15,000
29	DFA INFLATION PROTECTED SEC FUND	Purchase	6/12/2015	\$1,001 - \$15,000
30	DFA EMERGING MARKETS PORT	Purchase	6/12/2015	\$1,001 - \$15,000
31	COHEN & STEERS REAL ESTATE SEC I	Purchase	6/12/2015	\$1,001 - \$15,000
32	WELLS FARGO GROWTH FD-INV (listed last year as "SEP IRA, Wells Fargo Advantage Growth Fund")	Sale	6/12/2015	\$1,001 - \$15,000
33	JANUS TWENTY FUND T	Sale	6/12/2015	\$15,001 - \$50,000
34	JANUS RESEARCH FUND-T	Sale	6/12/2015	\$15,001 - \$50,000
35	JANUS OVERSEAS FUND T	Sale	6/12/2015	\$1,001 - \$15,000
36	JANUS ORION FUND-T (previously named Janus Global Select Fund)	Sale	6/12/2015	\$1,001 - \$15,000
37	JANUS GROWTH & INCOME FUND T	Sale	6/12/2015	\$1,001 - \$15,000
38	JANUS GLOBAL RESERCH FUND T	Sale	6/12/2015	\$15,001 - \$50,000
39	JANUS FUND CLASS T	Sale	6/12/2015	\$15,001 - \$50,000
40	JANUS ENTERPRISE FUND T	Sale	6/12/2015	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
41	JANUS BALANCED FUND-T	Sale	6/12/2015	\$1,001 - \$15,000
42	INVESCO VK VALUE OPP FUND-A (previously named AIM Basic Value)	Sale	6/12/2015	\$1,001 - \$15,000
43	INVESCO AMERICAN FRANCHISE-A (previously named Constellation)	Sale	6/12/2015	\$1,001 - \$15,000
44	CLEARBRIDGE AGGRESSIVE GROWTH CL A	Sale	6/12/2015	\$1,001 - \$15,000
45	VANGUARD SMALL-CAP ETF	Purchase	12/9/2015	\$15,001 - \$50,000
46	VANGUARD IND FD MID-CAP	Purchase	12/9/2015	\$15,001 - \$50,000
47	FIDELITY ADV EMERGING MKTS INC FD-I	Purchase	12/7/2015	\$1,001 - \$15,000
48	DFA INFLATION PROTECTED SEC FUND	Purchase	12/7/2015	\$1,001 - \$15,000
49	DFA EMERGING MARKETS PORT	Purchase	12/7/2015	\$1,001 - \$15,000
50	COHEN & STEERS REAL ESTATE SEC I	Purchase	12/7/2015	\$1,001 - \$15,000
51	ISHARES RUSSELL 1000 VALUE ETF	Purchase	11/6/2015	\$1,001 - \$15,000
52	ISHARES RUSSELL 1000 GROWTH ETF	Purchase	11/6/2015	\$1,001 - \$15,000
53	VANGUARD S/T BOND INDEX-ADM	Purchase	11/5/2015	\$1,001 - \$15,000
54	VANGUARD BD INDEX FD INC	Purchase	11/5/2015	\$1,001 - \$15,000
55	TIAA-CREF HIGH YIELD FD INST CL	Purchase	11/5/2015	\$1,001 - \$15,000
56	TIAA-CREF GROWTH & INC FD INST CL	Purchase	11/5/2015	\$1,001 - \$15,000
57	JOHN HANCOCK III INT GRW I	Purchase	11/5/2015	\$1,001 - \$15,000
58	FIDELITY ADV EMERGING MKTS INC FD-I	Purchase	11/5/2015	\$1,001 - \$15,000
59	DFA LARGE CAP INTL PORTFOLIO	Purchase	11/5/2015	\$1,001 - \$15,000
60	DFA INTL SMALL CAP VALUE PT	Purchase	11/5/2015	\$1,001 - \$15,000
61	DFA INFLATION PROTECTED SEC FUND	Purchase	11/5/2015	\$1,001 - \$15,000
62	DFA EMERGING MARKETS PORT	Purchase	11/5/2015	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
63	VANGUARD SMALL-CAP ETF	Purchase	10/5/2015	\$15,001 - \$50,000
64	VANGUARD IND FD MID-CAP	Purchase	10/5/2015	\$15,001 - \$50,000
65	ISHARES RUSSELL 1000 VALUE ETF	Purchase	10/5/2015	\$1,001 - \$15,000
66	ISHARES RUSSELL 1000 GROWTH ETF	Purchase	10/5/2015	\$1,001 - \$15,000
67	TIAA-CREF HIGH YIELD FD INST CL	Purchase	10/2/2015	\$1,001 - \$15,000
68	TIAA-CREF GROWTH & INC FD INST CL	Purchase	10/2/2015	\$1,001 - \$15,000
69	JOHN HANCOCK III INT GRW I	Purchase	10/2/2015	\$1,001 - \$15,000
70	FIDELITY ADV EMERGING MKTS INC FD-I	Purchase	10/2/2015	\$1,001 - \$15,000
71	FEDERATED TOTAL RETURN BOND FUND-IN	Purchase	10/2/2015	\$1,001 - \$15,000
72	DIAMOND HILL LONG/SHORT-I	Purchase	10/2/2015	\$1,001 - \$15,000
73	DFA LARGE CAP INTL PORTFOLIO	Purchase	10/2/2015	\$1,001 - \$15,000
74	DFA INTL SMALL CAP VALUE PT	Purchase	10/2/2015	\$1,001 - \$15,000
75	DFA EMERGING MARKETS PORT	Purchase	10/2/2015	\$1,001 - \$15,000
76	ISHARES RUSSELL 1000 VALUE ETF	Purchase	8/26/2015	\$1,001 - \$15,000
77	ISHARES RUSSELL 1000 GROWTH ETF	Purchase	8/26/2015	\$1,001 - \$15,000
78	VANGUARD S/T BOND INDEX-ADM	Purchase	8/24/2015	\$1,001 - \$15,000
79	VANGUARD BD INDEX FD INC	Purchase	8/24/2015	\$1,001 - \$15,000
80	TIAA-CREF HIGH YIELD FD INST CL	Purchase	8/24/2015	\$1,001 - \$15,000
81	TIAA-CREF GROWTH & INC FD INST CL	Purchase	8/24/2015	\$1,001 - \$15,000
82	JOHN HANCOCK III INT GRW I	Purchase	8/24/2015	\$1,001 - \$15,000
83	FIDELITY ADV EMERGING MKTS INC FD-I	Purchase	8/24/2015	\$1,001 - \$15,000
84	DIAMOND HILL LONG/SHORT-I	Purchase	8/24/2015	\$1,001 - \$15,000
85	DFA LARGE CAP INTL PORTFOLIO	Purchase	8/24/2015	\$1,001 - \$15,000
86	DFA INTL SMALL CAP VALUE PT	Purchase	8/24/2015	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
87	DFA INFLATION PROTECTED SEC FUND	Purchase	8/24/2015	\$1,001 - \$15,000
88	DFA EMERGING MARKETS PORT	Purchase	8/24/2015	\$1,001 - \$15,000
89	COHEN & STEERS REAL ESTATE SEC I	Purchase	8/24/2015	\$1,001 - \$15,000
90	VANGUARD SMALL-CAP ETF	Purchase	8/18/2015	\$1,001 - \$15,000
91	VANGUARD IND FD MID-CAP	Purchase	8/18/2015	\$1,001 - \$15,000
92	ISHARES RUSSELL 1000 VALUE ETF	Purchase	8/18/2015	\$15,001 - \$50,000
93	ISHARES RUSSELL 1000 GROWTH ETF	Purchase	8/18/2015	\$15,001 - \$50,000
94	VANGUARD S/T BOND INDEX-ADM	Purchase	8/14/2015	\$1,001 - \$15,000
95	VANGUARD BD INDEX FD INC	Purchase	8/14/2015	\$1,001 - \$15,000
96	TIAA-CREF HIGH YIELD FD INST CL	Purchase	8/14/2015	\$1,001 - \$15,000
97	TIAA-CREF GROWTH & INC FD INST CL	Purchase	8/14/2015	\$1,001 - \$15,000
98	JOHN HANCOCK III INT GRW I	Purchase	8/14/2015	\$1,001 - \$15,000
99	FIDELITY ADV EMERGING MKTS INC FD-I	Purchase	8/14/2015	\$1,001 - \$15,000
100	FEDERATED TOTAL RETURN BOND FUND-IN	Purchase	8/14/2015	\$1,001 - \$15,000
101	DIAMOND HILL LONG/SHORT-I	Purchase	8/14/2015	\$1,001 - \$15,000
102	DFA LARGE CAP INTL PORTFOLIO	Purchase	8/14/2015	\$1,001 - \$15,000
103	DFA INTL SMALL CAP VALUE PT	Purchase	8/14/2015	\$1,001 - \$15,000
104	DFA INFLATION PROTECTED SEC FUND	Purchase	8/14/2015	\$1,001 - \$15,000
105	DFA EMERGING MARKETS PORT	Purchase	8/14/2015	\$1,001 - \$15,000
106	COHEN & STEERS REAL ESTATE SEC I	Purchase	8/14/2015	\$1,001 - \$15,000
107	VANGUARD SMALL-CAP ETF	Purchase	6/16/2015	\$1,001 - \$15,000
108	VANGUARD IND FD MID-CAP	Purchase	6/16/2015	\$1,001 - \$15,000
109	ISHARES RUSSELL 1000 VALUE ETF	Purchase	06/16/2015	\$1,001 - \$15,000
110	ISHARES RUSSELL 1000 GROWTH ETF	Purchase	6/16/2015	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
111	VANGUARD S/T BOND INDEX-ADM	Purchase	6/15/2015	\$1,001 - \$15,000
112	VANGUARD BD INDEX FD INC	Purchase	6/15/2015	\$1,001 - \$15,000
113	TIAA-CREF HIGH YIELD FD INST CL	Purchase	6/15/2015	\$1,001 - \$15,000
114	TIAA-CREF GROWTH & INC FD INST CL	Purchase	6/15/2015	\$1,001 - \$15,000
115	JOHN HANCOCK III INT GRW I	Purchase	6/15/2015	\$1,001 - \$15,000
116	FIDELITY ADV EMERGING MKTS INC FD-I	Purchase	6/15/2015	\$1,001 - \$15,000
117	FEDERATED TOTAL RETURN BOND FUND-IN	Purchase	6/15/2015	\$1,001 - \$15,000
118	DIAMOND HILL LONG/SHORT-I	Purchase	6/15/2015	\$1,001 - \$15,000
119	DFA LARGE CAP INTL PORTFOLIO	Purchase	6/15/2015	\$1,001 - \$15,000
120	DFA INTL SMALL CAP VALUE PT	Purchase	6/15/2015	\$1,001 - \$15,000
121	DFA INFLATION PROTECTED SEC FUND	Purchase	06/15/2015	\$1,001 - \$15,000
122	DFA EMERGING MARKETS PORT	Purchase	6/15/2015	\$1,001 - \$15,000
123	COHEN & STEERS REAL ESTATE SEC I	Purchase	6/15/2015	\$1,001 - \$15,000
124	TOUCHSTONE INTL SMALL CAP-Y	Sale	6/15/2015	\$15,001 - \$50,000
125	JANUS GLOBAL RESERCH FUND T	Sale	6/15/2015	\$1,001 - \$15,000
126	INVESCO CHARTER FUND A (previously named AIM Charter Fund)	Sale	6/15/2015	\$1,001 - \$15,000
127	TIAA-CREF LGE-CAP GRWTH FD RETAIL CL	Sale	06/01/2015	\$1,001 - \$15,000
128	VANGUARD LONG TERM TAX-EXEMPT FUND	Sale	06/01/2015	\$1,001 - \$15,000
129	VANGUARD TOTAL STOCK MRKET INDEX #85	Sale	06/01/2015	\$1,001 - \$15,000
130	VANGUARD TOTAL BOND MARKET #84	Sale	06/01/2015	\$1,001 - \$15,000
131	Fidelity Contrafund	Sale	06/08/2015	\$250,001 - \$500,000
132	FID PACIFIC BASIN	Sale	3/26/2015	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
133	FID MAGELLAN K	Sale	3/26/2015	\$1,001 - \$15,000
134	FID LOW PRICED STK K	Sale	3/26/2015	\$1,001 - \$15,000
135	FID GROWTH CO K	Sale	3/26/2015	\$15,001 - \$50,000
136	FID EUROPE	Sale	3/26/2015	\$15,001 - \$50,000
137	FID PACIFIC BASIN	Sale	3/20/2015	\$15,001 - \$50,000
138	FID LOW PRICED STK K	Sale	3/20/2015	\$1,001 - \$15,000
139	FID GROWTH CO K	Sale	3/20/2015	\$15,001 - \$50,000
140	FID EUROPE	Sale	3/20/2015	\$1,001 - \$15,000
141	TIAA Traditional	Purchase	03/30/2015	\$1,001 - \$15,000
142	CREF Stock	Purchase	03/30/2015	\$1,001 - \$15,000
143	CREF Global Equities	Purchase	03/30/2015	\$1,001 - \$15,000
144	TIAA Real Estate	Purchase	03/30/2015	\$1,001 - \$15,000
145	T-C Gr & Inc-Rtmt	Purchase	03/30/2015	\$1,001 - \$15,000
146	T-C Intl Eq-Rtmt	Purchase	03/30/2015	\$1,001 - \$15,000
147	T-C Intl Eq Idx-Rtmt	Purchase	03/30/2015	\$1,001 - \$15,000
148	T-C Lg-Cap Val-Rtmt	Purchase	03/30/2015	\$1,001 - \$15,000
149	T-C Lg-Cap Val Idx-Rtmt	Purchase	03/30/2015	\$1,001 - \$15,000
150	T-C Mid-Cap Val-Rtmt	Purchase	03/30/2015	\$1,001 - \$15,000
151	T-C Real Est Secs-Rtmt	Purchase	03/30/2015	\$1,001 - \$15,000
152	T-C Sm-Cap Eq-Rtmt	Purchase	03/30/2015	\$1,001 - \$15,000
153	T-C Bond-Rtmt	Purchase	03/30/2015	\$1,001 - \$15,000
154	T-C Intl Eq Idx-Rtmt	Purchase	03/02/2015	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
155	CREF Global Equities	Purchase	03/02/2015	\$15,001 - \$50,000
156	T-C Sm-Cap Eq-Rtmt	Purchase	03/02/2015	\$50,001 - \$100,000
157	T-C Real Est Secs-Rtmt	Purchase	03/02/2015	\$15,001 - \$50,000
158	T-C Mid-Cap Val-Rtmt	Purchase	03/02/2015	\$50,001 - \$100,000
159	T-C Lg-Cap Val Idx-Rtmt	Purchase	03/02/2015	\$50,001 - \$100,000
160	TIAA Real Estate	Purchase	03/02/2015	\$15,001 - \$50,000
161	T-C Bond-Rtmt	Purchase	03/02/2015	\$15,001 - \$50,000
162	T-C Lg-Cap Val-Rtmt	Purchase	03/02/2015	\$100,001 - \$250,000
163	T-C Gr & Inc-Rtmt	Purchase	03/02/2015	\$15,001 - \$50,000
164	T-C Intl Eq-Rtmt	Purchase	03/02/2015	\$15,001 - \$50,000
165	CREF Global Equities	Sale	03/02/2015	\$1,001 - \$15,000
166	CREF Social Choice	Sale	03/02/2015	\$250,001 - \$500,000
167	CREF Stock	Sale	03/02/2015	\$100,001 - \$250,000
168	CREF Equity Index	Sale	03/02/2015	\$50,001 - \$100,000
169	CREF Bond Market	Sale	03/02/2015	\$100,001 - \$250,000
170	CREF Stock	Purchase	03/02/2015	\$1,001 - \$15,000
171	TIAA Traditional	Purchase	03/02/2015	\$1,001 - \$15,000
172	TIAA Real Estate	Sale	03/02/2015	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
173	CREF Stock R1	Sale	04/24/2015	\$50,001 - \$100,000
174	CREF Global Equities R1	Sale	04/24/2015	\$100,001 - \$250,000
175	T-C Gr & Inc-Rtmt	Sale	06/18/2015	\$15,001 - \$50,000
176	T-C Intl Eq-Rtmt	Sale	06/18/2015	\$15,001 - \$50,000
177	T-C Intl Eq Idx-Rtmt	Sale	06/18/2015	\$100,001 - \$250,000
178	T-C Lg-Cap Val-Rtmt	Sale	06/18/2015	\$100,001 - \$250,000
179	T-C Lg-Cap Val Idx-Rtmt	Sale	06/18/2015	\$100,001 - \$250,000
180	T-C Mid-Cap Val-Rtmt	Sale	06/18/2015	\$100,001 - \$250,000
181	T-C Real Est Secs-Rtmt	Sale	06/18/2015	\$15,001 - \$50,000
182	T-C Sm-Cap Eq-Rtmt	Sale	06/18/2015	\$100,001 - \$250,000
183	T-C Bond-Rtmt	Sale	06/18/2015	\$50,001 - \$100,000
184	CREF Social Choice R1	Sale	04/24/2015	\$1,001 - \$15,000
185	TIAA Traditional	Purchase	04/06/2015	\$1,001 - \$15,000
186	CREF Stock R1	Purchase	04/06/2015	\$1,001 - \$15,000
187	CREF Social Choice R1	Purchase	04/06/2015	\$1,001 - \$15,000
188	CREF Global Equities R1	Purchase	04/06/2015	\$1,001 - \$15,000
189	TIAA Real Estate	Purchase	04/06/2015	\$1,001 - \$15,000
190	T-C Intl Eq-Rtmt	Purchase	04/06/2015	\$1,001 - \$15,000
191	T-C Intl Eq Idx-Rtmt	Purchase	04/06/2015	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
192	T-C Lg-Cap Val-Rtmt	Purchase	04/06/2015	\$1,001 - \$15,000
193	T-C Lg-Cap Val Idx-Rtmt	Purchase	04/06/2015	\$1,001 - \$15,000
194	T-C Mid-Cap Val-Rtmt	Purchase	04/06/2015	\$1,001 - \$15,000
195	T-C Real Est Secs-Rtmt	Purchase	04/06/2015	\$1,001 - \$15,000
196	T-C Sm-Cap Eq-Rtmt	Purchase	04/06/2015	\$1,001 - \$15,000
197	T-C Bond-Rtmt	Purchase	04/06/2015	\$1,001 - \$15,000
198	Vanguard Mid-Cap Growth Fund	Sale	06/09/2015	\$15,001 - \$50,000
199	JP Morgan Small Cap Growth Fd	Sale	06/09/2015	\$15,001 - \$50,000
200	Bank of America SEP IRA	Sale	04/01/2015	\$1,001 - \$15,000
201	Citi Retirement Account (listed last year as "Traditional IRA, Citibank CD")	Sale	03/15/2015	\$1,001 - \$15,000
202	TIAA-CREF LGE-CAP GRWTH FD INST CL	Purchase	06/01/2015	\$1,001 - \$15,000
203	VANGUARD LONG TERM TAX-EXEMPT FD-ADM	Purchase	06/01/2015	\$1,001 - \$15,000
204	VANGUARD TOTAL STOCK MRKET IND-AD	Purchase	06/01/2015	\$1,001 - \$15,000
205	VANGUARD TOTAL BD MKT IDX ADM	Purchase	06/01/2015	\$1,001 - \$15,000
206	CREF Stock R2	Purchase	04/24/2015	\$50,001 - \$100,000
207	CREF Global Equities R2	Purchase	04/24/2015	\$100,001 - \$250,000
208	T-C Gr & Inc-Inst	Purchase	06/18/2015	\$15,001 - \$50,000
209	T-C Intl Eq-Inst	Purchase	06/18/2015	\$15,001 - \$50,000
210	T-C Intl Eq Idx-Inst	Purchase	06/18/2015	\$100,001 - \$250,000
211	T-C Lg-Cap Val-Inst	Purchase	06/18/2015	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT	
212	T-C Lg-Cap Val Idx-Inst	Purchase	06/18/2015	\$100,001 - \$250,000	
213	T-C Mid-Cap Val-Inst	Purchase	06/18/2015	\$100,001 - \$250,000	
214	T-C Real Est Secs-Inst	Purchase	06/18/2015	\$15,001 - \$50,000	
215	T-C Sm-Cap Eq-Inst	Purchase	06/18/2015	\$100,001 - \$250,000	
216	T-C Bond-Inst	Purchase	06/18/2015	\$50,001 - \$100,000	
217	CREF Social Choice R2	Purchase	04/24/2015	\$1,001 - \$15,000	
218	CPG Stock Fund	See Endnote	Purchase	07/01/2015	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
7.	218	The result of a stock split, dividends from NiSource Stock Fund were automatically reinvested in CPG Stock Fund.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
