# Executive Branch Personnel
# Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

Mnuchin, Steven

Secretary, Department of the Treasury

Date of Termination: 01/20/2021

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Mnuchin, Steven [electronically signed on 04/27/2021 by Mnuchin, Steven in Integrity.gov] - Filer received a 67 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Sonfield, Brian, Certifying Official [electronically signed on 05/10/2021 by Sonfield, Brian in Integrity.gov]

Other review conducted by
/s/ Furey, Christian, Ethics Official [electronically signed on 05/06/2021 by Furey, Christian in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 06/07/2021 by Granahan, Megan in Integrity.gov]

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Data Revised 06/07/2021

Data Revised 05/06/2021

Data Revised 05/04/2021

## 1. Filer's Positions Held Outside United States Government

None

## 2. Filer's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 1 | (line intentionally left blank) | | | | |
| 1.1 | (line intentionally left blank) | | | | |
| 2 | (line intentionally left blank) | | | | |
| 2.1 | (line intentionally left blank) | | | | |
| 3 | STM Partners LLC | N/A | | Guaranteed Payments | $51,821 |
| 4 | (line intentionally left blank) | | | | |
| 5 | (line intentionally left blank) | | | | |
| 6 | Goldman Sachs 401(k) | No | | | |
| 6.1 | (line intentionally left blank) | | | | |
| 6.2 | U.S. brokerage bank deposit account (institution #2) (cash) | N/A | $50,001 - $100,000 | | None (or less than $201) |
| 6.3 | Large Cap Value Equity Index Fund - Blackrock | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 6.4 | (line intentionally left blank) | | | | |
| 6.5 | S&P 500 Index Fund - SSgA | Yes | $500,001 - $1,000,000 | | None (or less than $201) |
| 6.6 | (line intentionally left blank) | | | | |

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| 6.6.1 | (line intentionally left blank) | | | | |
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| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
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| 6.6.23 | (line intentionally left blank) | | | | |
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| 6.8 | (line intentionally left blank) | | | | |
| 6.9 | (line intentionally left blank) | | | | |
| 6.10 | Treasury Money Market - Vanguard | Yes | $500,001 - $1,000,000 | | None (or less than $201) |
| 6.11 | Concentrated Value Equity - Dmnd Hill | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 6.12 | Mid Cap Value Eq - Sycamore | Yes | $250,001 - $500,000 | | None (or less than $201) |
| 6.13 | (line intentionally left blank) | | | | |
| 7 | TriNet (F/K/A Ambrose Multiple Employer Retirement Savings 401(k) | No | | | |
| 7.1 | (line intentionally left blank) | | | | |
| 7.2 | Vanguard Institutional Target Retirement 2025 Fund | Yes | $250,001 - $500,000 | | None (or less than $201) |
| 8 | (line intentionally left blank) | | | | |

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| 14.6.1 | (line intentionally left blank) | | | | |
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| 14.8.1 | (line intentionally left blank) | | | | |
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| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
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| 15.2 | (line intentionally left blank) | | | | |
| 15.2.1 | (line intentionally left blank) | | | | |
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| 15.6.1 | (line intentionally left blank) | | | | |
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| 15.8.1.1 | (line intentionally left blank) | | | | |
| 15.8.1.1.1 | (line intentionally left blank) | | | | |
| 16 | CIT Group Inc. SIP 401(k) Plan | No | | | |
| 16.1 | Schwab Managed Retirement Trust Fund 2025 | Yes | $15,001 - $50,000 | | None (or less than $201) |
| 16.2 | Schwab Managed Retirement Trust Fund 2030 | Yes | $50,001 - $100,000 | | None (or less than $201) |

## 3. Filer's Employment Agreements and Arrangements

| # | EMPLOYER OR PARTY | CITY, STATE | STATUS AND TERMS | DATE |
|---|---|---|---|---|
| 1 | (line intentionally left blank) | | | / |

| # | EMPLOYER OR PARTY | CITY, STATE | STATUS AND TERMS | DATE |
|---|---|---|---|---|
| 2 | Goldman Sachs | New York, New York | 401(k) Plan - Filer will continue to participate in Goldman Sach's 401(k) plan. No contributions will be made by Filer's former employer. | 9/1985 |
| 3 | Dune Capital Management | New York, New York | 401(k) Plan - Filer will continue to participate in Dune Capital Management's 401(k) plan administered by TriNet (f/k/a Ambrose.) No contributions will be made by Filer's former employer. | 9/2004 |
| 4 | CIT Group | New York, New York | 401(k) Plan - Filer will continue to participate in CIT Group's SIP 401(k) plan. No contributions will be made by Filer's former employer. | 8/2015 |

## 4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

## 5. Spouse's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|---|
| 1 | Stormchaser Partners LLC | See Endnote | No | | | |
| 1.1 | SDA Film LLC | | No | | | |
| 1.1.1 | Serial Daters Anonymous (Film 5) | | N/A | $100,001 - $250,000 | | None (or less than $201) |
| 1.2 | (line intentionally left blank) | | | | | |
| 1.3 | Untitled Film 2 | | N/A | $50,001 - $100,000 | | None (or less than $201) |
| 1.4 | (line intentionally left blank) | | | | | |
| 1.5 | Untitled Film 6 | | N/A | $50,001 - $100,000 | | None (or less than $201) |

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|---|
| 1.6 | Tarbox LLC | | No | | | |
| 1.6.1 | Untitled Film 4 | | N/A | $1,001 - $15,000 | | None (or less than $201) |
| 1.7 | (line intentionally left blank) | | | | | |
| 1.8 | (line intentionally left blank) | | | | | |
| 1.8.1 | (line intentionally left blank) | | | | | |
| 1.8.1.1 | (line intentionally left blank) | | | | | |
| 1.8.1.1.1 | (line intentionally left blank) | | | | | |
| 1.9 | U.S. bank account (institution #1) (checking) | | N/A | $100,001 - $250,000 | | None (or less than $201) |
| 1.10 | LLF, LLC | | No | | | |
| 1.10.1 | U.S. bank account (institution #1) (checking) | See Endnote | N/A | $500,001 - $1,000,000 | | None (or less than $201) |
| 1.10.2 | Me, You, Madness (Film 7) | | N/A | Over $1,000,000 | | None (or less than $201) |
| 1.10.3 | Music License for Me, You, Madness (Film 7) | | N/A | $50,001 - $100,000 | | None (or less than $201) |
| 1.11 | TPD Entertainment, LLC | | No | | | |
| 1.11.1 | TPSE Financing, LLC | | No | | | |
| 1.11.1.1 | Receivable from Six Below, LLC | | N/A | $1,001 - $15,000 | | None (or less than $201) |
| 1.12 | Receivable from Tarbox LLC | | N/A | $1,001 - $15,000 | | None (or less than $201) |

## 6. Other Assets and Income

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|---|
| 1 | U.S. bank account (institution #1) (checking/savings) | See Endnote | N/A | $250,001 - $500,000 | | None (or less than $201) |
| 2 | U.S. bank account (institution #4) (NOW Accounts) | | N/A | $50,001 - $100,000 | | None (or less than $201) |
| 3 | U.S. bank account (institution #5) (checking) | | N/A | $15,001 - $50,000 | | None (or less than $201) |
| 4 | (line intentionally left blank) | | | | | |
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| 7 | (line intentionally left blank) | | | | | |
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| 9 | (line intentionally left blank) | | | | | |
| 10 | GS Financial Square Money Market Fund | | Yes | $25,000,001 - $50,000,000 | | $100,001 - $1,000,000 |
| 11 | (line intentionally left blank) | | | | | |
| 12 | (line intentionally left blank) | | | | | |
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| 15 | (line intentionally left blank) | | | | | |
| 16 | U.S. brokerage money market account (institution #2) (cash) | | N/A | $15,001 - $50,000 | Interest | $201 - $1,000 |
| 17 | (line intentionally left blank) | | | | | |
| 17.1 | (line intentionally left blank) | | | | | |

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|---|---|---|---|---|---|
| 17.1.1 | (line intentionally left blank) | | | | |
| 17.1.2 | (line intentionally left blank) | | | | |
| 17.1.3 | (line intentionally left blank) | | | | |
| 17.1.3.1 | (line intentionally left blank) | | | | |
| 17.1.3.2 | (line intentionally left blank) | | | | |
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| 17.1.3.3.1 | (line intentionally left blank) | | | | |
| 17.1.4 | (line intentionally left blank) | | | | |
| 17.1.4.1 | (line intentionally left blank) | | | | |
| 17.1.5 | (line intentionally left blank) | | | | |
| 18 | (line intentionally left blank) | | | | |
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| 18.1.3 | (line intentionally left blank) | | | | |
| 18.1.3.1 | (line intentionally left blank) | | | | |
| 18.1.4 | (line intentionally left blank) | | | | |
| 18.1.5 | (line intentionally left blank) | | | | |
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| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
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| 18.2 | (line intentionally left blank) | | | | | |
| 19 | ESL Partners, LP | See Endnote | Yes | $250,001 - $500,000 | | None (or less than $201) |
| 20 | Sebonak Bond | See Endnote | N/A | $500,001 - $1,000,000 | | None (or less than $201) |
| 21 | DM UTMA Account (institution #2) | | No | | | |
| 21.1 | (line intentionally left blank) | | | | | |
| 21.2 | (line intentionally left blank) | | | | | |
| 21.3 | (line intentionally left blank) | | | | | |
| 21.4 | (line intentionally left blank) | | | | | |
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| 21.15 | (line intentionally left blank) | | | | | |
| 21.16 | U.S. brokerage money market account (institution #2) (cash) | | N/A | $15,001 - $50,000 | | None (or less than $201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 21.17 | VANGUARD S&P 500 ETF | Yes | $500,001 - $1,000,000 | | $5,001 - $15,000 |
| 22 | JM UTMA Account (institution #2) | No | | | |
| 22.1 | (line intentionally left blank) | | | | |
| 22.2 | (line intentionally left blank) | | | | |
| 22.3 | (line intentionally left blank) | | | | |
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| 22.15 | (line intentionally left blank) | | | | |
| 22.16 | U.S. brokerage money market account (institution #2) (cash) | N/A | $15,001 - $50,000 | | None (or less than $201) |
| 22.17 | VANGUARD S&P 500 ETF | Yes | $500,001 - $1,000,000 | | $5,001 - $15,000 |
| 23 | EM - U.S. bank account (institution #4) (cash) | N/A | $1,001 - $15,000 | | None (or less than $201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 24 | JM - U.S. bank account (institution #4) (cash) | N/A | $1,001 - $15,000 | | None (or less than $201) |
| 25 | DM - U.S. bank account (institution #4) (cash) | N/A | $15,001 - $50,000 | | None (or less than $201) |
| 26 | Trust F/BO EDM U/I/D 6/17/02 | No | | | |
| 26.1 | (line intentionally left blank) | | | | |
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| 26.15 | (line intentionally left blank) | | | | |
| 26.16 | U.S. brokerage money market account (institution #2) (cash) | N/A | $1,001 - $15,000 | | None (or less than $201) |
| 26.17 | VANGUARD S&P 500 ETF | Yes | $100,001 - $250,000 | | $2,501 - $5,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 27 | The Steven T. Mnuchin Revocable Trust | No | | | |
| 27.1 | (line intentionally left blank) | | | | |
| 27.2 | (line intentionally left blank) | | | | |
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| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 27.21 | U.S. brokerage money market account (institution #2) (cash) | N/A | $1,001 - $15,000 | | None (or less than $201) |
| 27.22 | (line intentionally left blank) | | | | |
| 27.23 | Interest in Willem de Kooning Oil Painting "Untitled III", 1978 | N/A | $5,000,001 - $25,000,000 | | None (or less than $201) |
| 27.24 | STM Partners LLC | No | | | |
| 27.24.1 | U.S. bank account (institution #1) (cash) | N/A | $15,001 - $50,000 | | None (or less than $201) |
| 27.24.2 | (line intentionally left blank) | | | | |
| 27.24.3 | U.S. brokerage money market account (institution #2) (cash) | N/A | $15,001 - $50,000 | | None (or less than $201) |
| 27.24.4 | (line intentionally left blank) | | | | |
| 27.24.5 | (line intentionally left blank) | | | | |
| 27.24.5.1 | (line intentionally left blank) | | | | |
| 27.24.5.1.1 | (line intentionally left blank) | | | | |
| 27.24.5.1.2 | (line intentionally left blank) | | | | |
| 27.24.5.1.3 | (line intentionally left blank) | | | | |
| 27.24.5.1.3.1 | (line intentionally left blank) | | | | |
| 27.24.5.1.3.2 | (line intentionally left blank) | | | | |
| 27.24.5.1.3.2.1 | (line intentionally left blank) | | | | |

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| 27.24.5.1.4 | (line intentionally left blank) | | | | |
| 27.24.5.1.4.1 | (line intentionally left blank) | | | | |
| 27.24.5.1.5 | (line intentionally left blank) | | | | |
| 27.24.6 | (line intentionally left blank) | | | | |
| 27.24.6.1 | (line intentionally left blank) | | | | |
| 27.24.6.1.1 | (line intentionally left blank) | | | | |
| 27.24.6.1.2 | (line intentionally left blank) | | | | |
| 27.24.6.1.3 | (line intentionally left blank) | | | | |
| 27.24.6.1.3.1 | (line intentionally left blank) | | | | |
| 27.24.6.1.4 | (line intentionally left blank) | | | | |
| 27.24.6.1.5 | (line intentionally left blank) | | | | |
| 27.24.6.1.6 | (line intentionally left blank) | | | | |
| 27.24.6.2 | (line intentionally left blank) | | | | |
| 27.24.7 | (line intentionally left blank) | | | | |
| 27.24.7.1 | (line intentionally left blank) | | | | |

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| 27.24.7.1.1 | (line intentionally left blank) | | | | |
| 27.24.7.1.2 | (line intentionally left blank) | | | | |
| 27.24.7.1.3 | (line intentionally left blank) | | | | |
| 27.24.7.1.4 | (line intentionally left blank) | | | | |
| 27.24.7.1.5 | (line intentionally left blank) | | | | |
| 27.24.7.1.6 | (line intentionally left blank) | | | | |
| 27.24.7.1.7 | (line intentionally left blank) | | | | |
| 27.24.7.1.8 | (line intentionally left blank) | | | | |
| 27.24.7.1.9 | (line intentionally left blank) | | | | |
| 27.24.7.1.10 | (line intentionally left blank) | | | | |
| 27.24.7.1.11 | (line intentionally left blank) | | | | |
| 27.24.7.1.12 | (line intentionally left blank) | | | | |
| 27.24.7.1.13 | (line intentionally left blank) | | | | |
| 27.24.7.1.14 | (line intentionally left blank) | | | | |

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|---|---|---|---|---|---|
| 27.24.7.1.15 | (line intentionally left blank) | | | | |
| 27.24.7.2 | (line intentionally left blank) | | | | |
| 27.24.7.3 | (line intentionally left blank) | | | | |
| 27.24.7.4 | (line intentionally left blank) | | | | |
| 27.24.7.5 | (line intentionally left blank) | | | | |
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| 27.24.9 | (line intentionally left blank) | | | | |
| 27.24.10 | (line intentionally left blank) | | | | |
| 27.24.10.1 | (line intentionally left blank) | | | | |
| 27.24.10.1.1 | (line intentionally left blank) | | | | |
| 27.24.10.1.1.1 | (line intentionally left blank) | | | | |
| 27.24.10.1.1.1.1 | (line intentionally left blank) | | | | |
| 27.24.11 | (line intentionally left blank) | | | | |

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| 27.24.12 | (line intentionally left blank) | | | | | |
| 27.24.12.1 | (line intentionally left blank) | | | | | |
| 27.24.12.1.1 | (line intentionally left blank) | | | | | |
| 27.24.12.1.1.1 | (line intentionally left blank) | | | | | |
| 27.24.12.1.1.1.1 | (line intentionally left blank) | | | | | |
| 27.24.13 | HMBAP LLC | | No | | | |
| 27.24.13.1 | Residential Real Estate located in California | | N/A | $1,000,001 - $5,000,000 | | None (or less than $201) |
| 27.24.14 | EMT Air LLC | See Endnote | No | | Cash Distribution | $22,238 |
| 27.24.14.1 | (line intentionally left blank) | | | | | |
| 27.24.15 | Receivable from Halo Direct, LLC | | N/A | $100,001 - $250,000 | | None (or less than $201) |
| 27.24.16 | (line intentionally left blank) | | | | | |
| 27.24.17 | (line intentionally left blank) | | | | | |
| 27.24.17.1 | (line intentionally left blank) | | | | | |
| 27.24.17.2 | (line intentionally left blank) | | | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 28 | The Steven T. Mnuchin 2000 Family Trust | No | | | |
| 28.1 | (line intentionally left blank) | | | | |
| 28.2 | (line intentionally left blank) | | | | |
| 28.3 | (line intentionally left blank) | | | | |
| 28.4 | (line intentionally left blank) | | | | |
| 28.5 | (line intentionally left blank) | | | | |
| 28.6 | (line intentionally left blank) | | | | |
| 28.7 | (line intentionally left blank) | | | | |
| 28.8 | (line intentionally left blank) | | | | |
| 28.9 | (line intentionally left blank) | | | | |
| 28.10 | (line intentionally left blank) | | | | |
| 28.11 | (line intentionally left blank) | | | | |
| 28.12 | (line intentionally left blank) | | | | |
| 28.13 | (line intentionally left blank) | | | | |
| 28.14 | (line intentionally left blank) | | | | |
| 28.15 | (line intentionally left blank) | | | | |
| 28.16 | (line intentionally left blank) | | | | |
| 28.16.1 | (line intentionally left blank) | | | | |
| 28.17 | U.S. brokerage money market account (institution #2) (cash) | N/A | $100,001 - $250,000 | | None (or less than $201) |
| 28.18 | GS Financial Square Money Market Fund | Yes | $250,001 - $500,000 | | $1,001 - $2,500 |

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|---|
| 28.19 | GS High Yield Floating Rate Fund Class P | | Yes | Over $1,000,000 | | $100,001 - $1,000,000 |
| 28.20 | VANGUARD S&P 500 ETF | | Yes | Over $1,000,000 | | $100,001 - $1,000,000 |
| 29 | (line intentionally left blank) | | | | | |
| 29.1 | (line intentionally left blank) | | | | | |
| 29.1.1 | (line intentionally left blank) | | | | | |
| 30 | Steven T. Mnuchin 2002 Family Trust | | No | | | |
| 30.1 | ESL Partners, LP | See Endnote | Yes | $250,001 - $500,000 | | None (or less than $201) |
| 31 | (line intentionally left blank) | | | | | |
| 31.1 | (line intentionally left blank) | | | | | |
| 31.2 | (line intentionally left blank) | | | | | |
| 32 | STM Invest LLC F/K/A SHM Investments LLC | | No | | | |
| 32.1 | U.S. bank account (institution #1) (cash) | See Endnote | N/A | $500,001 - $1,000,000 | Interest | $201 - $1,000 |
| 32.2 | (line intentionally left blank) | | | | | |
| 32.2.1 | (line intentionally left blank) | | | | | |
| 32.3 | Dune Capital Management LP | | No | | Cash distribution | $3,639 |
| 32.3.1 | (line intentionally left blank) | | | | | |
| 33 | (line intentionally left blank) | | | | | |
| 33.1 | (line intentionally left blank) | | | | | |
| 33.2 | (line intentionally left blank) | | | | | |
| 33.2.1 | (line intentionally left blank) | | | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 33.2.1.1 | (line intentionally left blank) | | | | |
| 33.2.1.1.1 | (line intentionally left blank) | | | | |
| 33.3 | (line intentionally left blank) | | | | |
| 33.3.1 | (line intentionally left blank) | | | | |
| 33.3.1.1 | (line intentionally left blank) | | | | |
| 33.3.1.2 | (line intentionally left blank) | | | | |
| 33.3.1.3 | (line intentionally left blank) | | | | |
| 33.3.1.3.1 | (line intentionally left blank) | | | | |
| 33.3.1.4 | (line intentionally left blank) | | | | |
| 33.3.1.5 | (line intentionally left blank) | | | | |
| 33.3.1.6 | (line intentionally left blank) | | | | |
| 33.3.2 | (line intentionally left blank) | | | | |
| 33.4 | (line intentionally left blank) | | | | |
| 33.4.1 | (line intentionally left blank) | | | | |
| 33.4.1.1 | (line intentionally left blank) | | | | |
| 33.4.1.2 | (line intentionally left blank) | | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 33.4.1.3 | (line intentionally left blank) | | | | |
| 33.4.1.4 | (line intentionally left blank) | | | | |
| 33.4.1.5 | (line intentionally left blank) | | | | |
| 33.4.1.6 | (line intentionally left blank) | | | | |
| 33.4.1.7 | (line intentionally left blank) | | | | |
| 33.4.1.8 | (line intentionally left blank) | | | | |
| 33.4.1.9 | (line intentionally left blank) | | | | |
| 33.4.1.10 | (line intentionally left blank) | | | | |
| 33.4.1.11 | (line intentionally left blank) | | | | |
| 33.4.1.12 | (line intentionally left blank) | | | | |
| 33.4.1.13 | (line intentionally left blank) | | | | |
| 33.4.1.14 | (line intentionally left blank) | | | | |
| 33.4.1.15 | (line intentionally left blank) | | | | |
| 33.4.2 | (line intentionally left blank) | | | | |
| 33.4.3 | (line intentionally left blank) | | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 33.4.4 | (line intentionally left blank) | | | | |
| 33.4.5 | (line intentionally left blank) | | | | |
| 33.4.6 | (line intentionally left blank) | | | | |
| 33.4.7 | (line intentionally left blank) | | | | |
| 33.5 | (line intentionally left blank) | | | | |
| 33.6 | (line intentionally left blank) | | | | |
| 33.6.1 | (line intentionally left blank) | | | | |
| 33.7 | (line intentionally left blank) | | | | |
| 34 | (line intentionally left blank) | | | | |
| 34.1 | (line intentionally left blank) | | | | |
| 34.2 | (line intentionally left blank) | | | | |
| 34.2.1 | (line intentionally left blank) | | | | |
| 34.3 | (line intentionally left blank) | | | | |
| 34.3.1 | (line intentionally left blank) | | | | |
| 34.3.2 | (line intentionally left blank) | | | | |
| 34.3.3 | (line intentionally left blank) | | | | |
| 34.3.4 | (line intentionally left blank) | | | | |
| 34.4 | (line intentionally left blank) | | | | |
| 34.4.1 | (line intentionally left blank) | | | | |
| 34.4.2 | (line intentionally left blank) | | | | |
| 34.4.3 | (line intentionally left blank) | | | | |
| 34.4.4 | (line intentionally left blank) | | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 34.4.5 | (line intentionally left blank) | | | | |
| 34.5 | (line intentionally left blank) | | | | |
| 34.6 | (line intentionally left blank) | | | | |
| 34.7 | (line intentionally left blank) | | | | |
| 35 | Steven Mnuchin Dynasty Trust I | No | | | |
| 35.1 | GS Financial Square Money Market Fund | Yes | Over $1,000,000 | | $50,001 - $100,000 |
| 35.2 | U.S. brokerage money market account (institution #2) (cash) | N/A | $100,001 - $250,000 | | None (or less than $201) |
| 35.3 | (line intentionally left blank) | | | | |
| 35.4 | (line intentionally left blank) | | | | |
| 35.5 | (line intentionally left blank) | | | | |
| 35.6 | (line intentionally left blank) | | | | |
| 35.6.1 | (line intentionally left blank) | | | | |
| 35.6.2 | (line intentionally left blank) | | | | |
| 35.7 | STM Partners LLC | No | | | |
| 35.7.1 | U.S. bank account (institution #1) (cash) | N/A | $15,001 - $50,000 | | None (or less than $201) |
| 35.7.2 | (line intentionally left blank) | | | | |
| 35.7.3 | U.S. brokerage money market account (institution #2) (cash) | N/A | $15,001 - $50,000 | Interest | $201 - $1,000 |
| 35.7.4 | (line intentionally left blank) | | | | |
| 35.7.5 | (line intentionally left blank) | | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| --- | --- | --- | --- | --- | --- |
| 35.7.5.1 | (line intentionally left blank) | | | | |
| 35.7.5.1.1 | (line intentionally left blank) | | | | |
| 35.7.5.1.2 | (line intentionally left blank) | | | | |
| 35.7.5.1.3 | (line intentionally left blank) | | | | |
| 35.7.5.1.3.1 | (line intentionally left blank) | | | | |
| 35.7.5.1.3.2 | (line intentionally left blank) | | | | |
| 35.7.5.1.3.3 | (line intentionally left blank) | | | | |
| 35.7.5.1.3.3.1 | (line intentionally left blank) | | | | |
| 35.7.5.1.4 | (line intentionally left blank) | | | | |
| 35.7.5.1.4.1 | (line intentionally left blank) | | | | |
| 35.7.5.1.5 | (line intentionally left blank) | | | | |
| 35.7.6 | (line intentionally left blank) | | | | |
| 35.7.6.1 | (line intentionally left blank) | | | | |
| 35.7.6.1.1 | (line intentionally left blank) | | | | |
| 35.7.6.1.2 | (line intentionally left blank) | | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 35.7.6.1.3 | (line intentionally left blank) | | | | |
| 35.7.6.1.3.1 | (line intentionally left blank) | | | | |
| 35.7.6.1.4 | (line intentionally left blank) | | | | |
| 35.7.6.1.5 | (line intentionally left blank) | | | | |
| 35.7.6.1.6 | (line intentionally left blank) | | | | |
| 35.7.6.2 | (line intentionally left blank) | | | | |
| 35.7.7 | (line intentionally left blank) | | | | |
| 35.7.7.1 | (line intentionally left blank) | | | | |
| 35.7.7.1.1 | (line intentionally left blank) | | | | |
| 35.7.7.1.2 | (line intentionally left blank) | | | | |
| 35.7.7.1.3 | (line intentionally left blank) | | | | |
| 35.7.7.1.4 | (line intentionally left blank) | | | | |
| 35.7.7.1.5 | (line intentionally left blank) | | | | |
| 35.7.7.1.6 | (line intentionally left blank) | | | | |
| 35.7.7.1.7 | (line intentionally left blank) | | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 35.7.7.1.8 | (line intentionally left blank) | | | | |
| 35.7.7.1.9 | (line intentionally left blank) | | | | |
| 35.7.7.1.10 | (line intentionally left blank)) | | | | |
| 35.7.7.1.11 | (line intentionally left blank) | | | | |
| 35.7.7.1.12 | (line intentionally left blank) | | | | |
| 35.7.7.1.13 | (line intentionally left blank) | | | | |
| 35.7.7.1.14 | (line intentionally left blank) | | | | |
| 35.7.7.1.15 | (line intentionally left blank) | | | | |
| 35.7.7.2 | (line intentionally left blank) | | | | |
| 35.7.7.3 | (line intentionally left blank) | | | | |
| 35.7.7.4 | (line intentionally left blank) | | | | |
| 35.7.7.5 | (line intentionally left blank) | | | | |
| 35.7.7.6 | (line intentionally left blank) | | | | |
| 35.7.7.7 | (line intentionally left blank) | | | | |
| 35.7.8 | (line intentionally left blank) | | | | |

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|---|
| 35.7.9 | (line intentionally left blank) | | | | | |
| 35.7.10 | (line intentionally left blank) | | | | | |
| 35.7.10 .1 | (line intentionally left blank) | | | | | |
| 35.7.10 .1.1 | (line intentionally left blank) | | | | | |
| 35.7.10 .1.1.1 | (line intentionally left blank) | | | | | |
| 35.7.10 .1.1.1.1 | (line intentionally left blank) | | | | | |
| 35.7.11 | (line intentionally left blank) | | | | | |
| 35.7.12 | (line intentionally left blank) | | | | | |
| 35.7.12 .1 | (line intentionally left blank) | | | | | |
| 35.7.12 .1.1 | (line intentionally left blank) | | | | | |
| 35.7.12 .1.1.1 | (line intentionally left blank) | | | | | |
| 35.7.12 .1.1.1.1 | (line intentionally left blank) | | | | | |
| 35.7.13 | HMBAP LLC | | No | | | |
| 35.7.13 .1 | Residential Real Estate located in California | | N/A | Over $1,000,000 | | None (or less than $201) |
| 35.7.14 | (line intentionally left blank) | | | | | |
| 35.7.14 .1 | (line intentionally left blank) | | | | | |
| 35.7.15 | EMT Air LLC | See Endnote | No | | Cash distribution | $20,732 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 35.7.15.1 | (line intentionally left blank) | | | | |
| 35.7.16 | Receivable from Halo Direct, LLC | N/A | $50,001 - $100,000 | | None (or less than $201) |
| 35.7.17 | (line intentionally left blank) | | | | |
| 35.7.18 | (line intentionally left blank) | | | | |
| 35.7.18.1 | (line intentionally left blank) | | | | |
| 35.7.18.2 | (line intentionally left blank) | | | | |
| 35.8 | Interest in Willem de Kooning Oil Painting "Untitled III", 1978 | N/A | Over $1,000,000 | | None (or less than $201) |
| 35.9 | (line intentionally left blank) | | | | |
| 35.10 | U.S. brokerage money market account (institution #2) | N/A | $500,001 - $1,000,000 | | None (or less than $201) |
| 35.11 | VANGUARD SHORT TERM CMN SERIES CLASS CORP. BOND ETF | Yes | Over $1,000,000 | | $50,001 - $100,000 |
| 35.12 | GS HIGH YIELD FUND CLASS P (f/k/a GS HIGH YIELD FUND INSTITUTIONAL SHARES) | Yes | Over $1,000,000 | | $100,001 - $1,000,000 |
| 35.13 | GS HIGH YIELD FLOATING RATE FUND CLASS P (f/k/a GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES) | Yes | Over $1,000,000 | | $100,001 - $1,000,000 |
| 35.14 | VANGUARD S&P 500 ETF | Yes | Over $1,000,000 | | $100,001 - $1,000,000 |
| 35.15 | (line intentionally left blank) | | | | |
| 35.15.1 | (line intentionally left blank) | | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| --- | --- | --- | --- | --- | --- |
| 35.15.1.1 | (line intentionally left blank) | | | | |
| 35.15.1.2 | (line intentionally left blank) | | | | |
| 35.15.1.3 | (line intentionally left blank) | | | | |
| 35.15.1.3.1 | (line intentionally left blank) | | | | |
| 35.15.1.4 | (line intentionally left blank) | | | | |
| 35.15.1.5 | (line intentionally left blank) | | | | |
| 35.15.1.6 | (line intentionally left blank) | | | | |
| 35.15.2 | (line intentionally left blank) | | | | |
| 35.16 | (line intentionally left blank) | | | | |
| 35.16.1 | (line intentionally left blank) | | | | |
| 35.16.1.1 | (line intentionally left blank) | | | | |
| 35.16.1.2 | (line intentionally left blank) | | | | |
| 35.16.1.3 | (line intentionally left blank) | | | | |
| 35.16.1.4 | (line intentionally left blank) | | | | |
| 35.16.1.5 | (line intentionally left blank) | | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 35.16.1.6 | (line intentionally left blank) | | | | |
| 35.16.1.7 | (line intentionally left blank) | | | | |
| 35.16.1.8 | (line intentionally left blank) | | | | |
| 35.16.1.9 | (line intentionally left blank) | | | | |
| 35.16.1.10 | (line intentionally left blank) | | | | |
| 35.16.1.11 | (line intentionally left blank) | | | | |
| 35.16.1.12 | (line intentionally left blank) | | | | |
| 35.16.1.13 | (line intentionally left blank) | | | | |
| 35.16.1.14 | (line intentionally left blank) | | | | |
| 35.16.1.15 | (line intentionally left blank) | | | | |
| 35.16.2 | (line intentionally left blank) | | | | |
| 35.16.3 | (line intentionally left blank) | | | | |
| 35.16.4 | (line intentionally left blank) | | | | |
| 35.16.5 | (line intentionally left blank) | | | | |
| 35.16.6 | (line intentionally left blank) | | | | |
| 35.16.7 | (line intentionally left blank) | | | | |
| 35.17 | (line intentionally left blank) | | | | |

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|---|
| 35.17.1 | (line intentionally left blank) | | | | | |
| 35.17.1.1 | (line intentionally left blank) | | | | | |
| 35.17.1.1.1 | (line intentionally left blank) | | | | | |
| 35.17.1.1.1.1 | (line intentionally left blank) | | | | | |
| 35.18 | Ishares Short Term Corporate Bond (f/k/a Ishares 1-3 Year Credit Bond) | See Endnote | Yes | Over $1,000,000 | | $15,001 - $50,000 |
| 35.19 | Ishares Core S&P 500 ETF | | Yes | Over $1,000,000 | | $100,001 - $1,000,000 |
| 35.20 | JPM High Yield Fund -R6 Fund | | Yes | $500,001 - $1,000,000 | | $15,001 - $50,000 |
| 35.21 | T Rowe Price Institutional Float RT | | Yes | $500,001 - $1,000,000 | | $15,001 - $50,000 |
| 35.22 | U.S. brokerage money market account (institution #7) | | N/A | $100,001 - $250,000 | Interest | $201 - $1,000 |
| 35.23 | (line intentionally left blank) | | | | | |
| 36 | The Steven Mnuchin 2007 Family Trust | | No | | | |
| 36.1 | STM Partners LLC | | No | | | |
| 36.1.1 | (line intentionally left blank) | | | | | |
| 36.1.1.1 | (line intentionally left blank) | | | | | |
| 36.1.1.1.1 | (line intentionally left blank) | | | | | |
| 36.1.1.1.2 | (line intentionally left blank) | | | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 36.1.1.1.3 | (line intentionally left blank) | | | | |
| 36.1.1.1.3.1 | (line intentionally left blank) | | | | |
| 36.1.1.1.4 | (line intentionally left blank) | | | | |
| 36.1.1.1.5 | (line intentionally left blank) | | | | |
| 36.1.1.1.6 | (line intentionally left blank) | | | | |
| 36.1.1.2 | (line intentionally left blank) | | | | |
| 36.1.2 | (line intentionally left blank) | | | | |
| 36.1.2.1 | (line intentionally left blank) | | | | |
| 36.1.2.1.1 | (line intentionally left blank) | | | | |
| 36.1.2.1.1.1 | (line intentionally left blank) | | | | |
| 36.1.2.1.1.1.1 | (line intentionally left blank) | | | | |
| 36.1.3 | U.S. bank account (institution #1)(cash) | N/A | $1,001 - $15,000 | | None (or less than $201) |
| 36.1.4 | U.S. brokerage money market account (institution #2) (cash) | N/A | $1,001 - $15,000 | | None (or less than $201) |
| 36.1.5 | HMBAP LLC | No | | | |
| 36.1.5.1 | Residential Real Estate located in California | N/A | $500,001 - $1,000,000 | | None (or less than $201) |

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|---|
| 36.1.6 | EMT Air LLC | See Endnote | No | | Cash distribution | $6,310 |
| 36.1.6.1 | (line intentionally left blank) | | | | | |
| 36.1.7 | Receivable from Halo Direct, LLC | | N/A | $15,001 - $50,000 | | None (or less than $201) |
| 37 | Steven Mnuchin GST Trust | | No | | | |
| 37.1 | (line intentionally left blank) | | | | | |
| 37.1.1 | (line intentionally left blank) | | | | | |
| 37.2 | (line intentionally left blank) | | | | | |
| 37.3 | (line intentionally left blank) | | | | | |
| 38 | Steven T. Mnuchin 1996 Trust | | No | | | |
| 38.1 | New York Life general account whole life insurance policy | | N/A | $100,001 - $250,000 | | None (or less than $201) |
| 39 | (line intentionally left blank) | | | | | |
| 39.1 | (line intentionally left blank) | | | | | |
| 39.1.1 | (line intentionally left blank) | | | | | |
| 40 | Beverly Drive Revocable Trust | | No | | | |
| 40.1 | Beverly Drive LLC | | No | | | |
| 40.1.1 | (line intentionally left blank) | | | | | |
| 40.1.2 | Residential Real Estate located in Los Angeles, CA | | N/A | $1,000,001 - $5,000,000 | | None (or less than $201) |
| 41 | (line intentionally left blank) | | | | | |
| 41.1 | (line intentionally left blank) | | | | | |
| 42 | (line intentionally left blank) | | | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 43 | (line intentionally left blank) | | | | |
| 43.1 | (line intentionally left blank) | | | | |
| 44 | (line intentionally left blank) | | | | |
| 44.1 | (line intentionally left blank) | | | | |
| 45 | (line intentionally left blank) | | | | |
| 45.1 | (line intentionally left blank) | | | | |
| 46 | (line intentionally left blank) | | | | |
| 46.1 | (line intentionally left blank) | | | | |
| 46.2 | (line intentionally left blank) | | | | |
| 46.3 | (line intentionally left blank) | | | | |
| 47 | (line intentionally left blank) | | | | |
| 48 | (line intentionally left blank) | | | | |
| 49 | (line intentionally left blank) | | | | |
| 50 | (line intentionally left blank) | | | | |
| 51 | (line intentionally left blank) | | | | |
| 52 | VANGUARD SHORT TERM CMN SERIES CLASS CORP. BOND ETF | Yes | $5,000,001 - $25,000,000 | | $100,001 - $1,000,000 |
| 53 | GS HIGH YIELD FUND CLASS P (f/k/a GS HIGH YIELD FUND INSTITUTIONAL SHARES) | Yes | $5,000,001 - $25,000,000 | | $100,001 - $1,000,000 |
| 54 | GS HIGH YIELD FLOATING RATE FUND CLASS P (f/k/a GS HIGH YIELD FLOATING INSTITUTIONAL SHARES) | Yes | $5,000,001 - $25,000,000 | | $100,001 - $1,000,000 |
| 55 | VANGUARD S&P 500 ETF | Yes | Over $50,000,000 | | $1,000,001 - $5,000,000 |

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| --- | --- | --- | --- | --- | --- | --- |
| 56 | U.S. brokerage account (institution #2) (cash) | | N/A | $1,000,001 - $5,000,000 | Interest | $201 - $1,000 |
| 57 | iShares Trust iShares 1-5 year (f/k/a Ishares Short Term Corporate Bond) | See Endnote | Yes | $5,000,001 - $25,000,000 | | $15,001 - $50,000 |
| 58 | Ishares Core S&P 500 ETF | | Yes | $5,000,001 - $25,000,000 | | $100,001 - $1,000,000 |
| 59 | T Rowe Price Institutional Float RT | | Yes | $1,000,001 - $5,000,000 | | $15,001 - $50,000 |
| 60 | JPM High Yield Fund -R6 Fund | | Yes | $1,000,001 - $5,000,000 | | $15,001 - $50,000 |
| 61 | U.S. bank account (institution #6) (cash) | | N/A | $15,001 - $50,000 | Interest | $201 - $1,000 |
| 62 | (line intentionally left blank) | | | | | |
| 62.1 | (line intentionally left blank) | | | | | |
| 62.1.1 | (line intentionally left blank) | | | | | |
| 62.1.2 | (line intentionally left blank) | | | | | |
| 62.1.3 | (line intentionally left blank) | | | | | |
| 62.1.4 | (line intentionally left blank) | | | | | |
| 62.1.5 | (line intentionally left blank) | | | | | |
| 62.1.6 | (line intentionally left blank) | | | | | |
| 62.1.7 | (line intentionally left blank) | | | | | |
| 62.1.8 | (line intentionally left blank) | | | | | |
| 62.1.9 | (line intentionally left blank) | | | | | |
| 62.1.10 | (line intentionally left blank) | | | | | |
| 62.1.11 | (line intentionally left blank) | | | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 62.1.12 | (line intentionally left blank) | | | | |
| 62.1.13 | (line intentionally left blank) | | | | |
| 62.1.14 | (line intentionally left blank) | | | | |
| 62.1.15 | (line intentionally left blank) | | | | |
| 62.2 | (line intentionally left blank) | | | | |
| 62.3 | (line intentionally left blank) | | | | |
| 62.4 | (line intentionally left blank) | | | | |
| 62.5 | (line intentionally left blank) | | | | |
| 62.6 | (line intentionally left blank) | | | | |
| 62.7 | (line intentionally left blank) | | | | |
| 63 | (line intentionally left blank) | | | | |
| 64 | (line intentionally left blank) | | | | |
| 64.1 | (line intentionally left blank) | | | | |
| 64.1.1 | (line intentionally left blank) | | | | |
| 64.1.1.1 | (line intentionally left blank) | | | | |
| 64.1.1.1.1 | (line intentionally left blank) | | | | |
| 65 | (line intentionally left blank) | | | | |
| 66 | EM UTMA Account (institution #2) | No | | | |
| 66.1 | U.S. brokerage money market account (institution #2) (cash) | N/A | $15,001 - $50,000 | | None (or less than $201) |
| 66.2 | VANGUARD S&P 500 ETF | Yes | Over $1,000,000 | | $15,001 - $50,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 67 | Bank of Scotland Cash Account 1 | N/A | $250,001 - $500,000 | | None (or less than $201) |
| 68 | Bank of Scotland  Cash Account 2 | N/A | $15,001 - $50,000 | | None (or less than $201) |
| 69 | WG Hay 1978 Trust | No | | | |
| 69.1 | Commercial real estate, Edinburgh,  Scotland | N/A | $1,000,001 - $5,000,000 | Rent or Royalties | $15,001 - $50,000 |
| 69.2 | Commercial real estate, Edinburgh,  Scotland | N/A | $250,001 - $500,000 | | None (or less than $201) |
| 69.3 | Commercial real estate, Edinburgh,  Scotland | N/A | $15,001 - $50,000 | | None (or less than $201) |
| 69.4 | Bank of Scotland Cash Account 3 | N/A | $100,001 - $250,000 | | None (or less than $201) |
| 70 | Intruder LLC | No | | | |
| 70.1 | U.S. bank account (institution #8) (cash) | N/A | None (or less than $1,001) | | None (or less than $201) |
| 70.2 | Intruder film | N/A | $1,001 - $15,000 | | None (or less than $201) |
| 71 | American Funds Growth Fund of America Class A (AGTHX) | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 72 | American Funds SMALLCAP World Fund Class A (SMCWX) | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 73 | American Funds Washington Mutual Investors Fund Class A (AWSHX) | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 74 | The Rockshiel Trust | No | | | |
| 74.1 | Residential real estate, Edinburgh, Scotland | N/A | $500,001 - $1,000,000 | Rent or Royalties | $2,501 - $5,000 |

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|---|
| 74.2 | Bank of Scotland Cash Account 4 | | N/A | $1,001 - $15,000 | | None (or less than $201) |
| 75 | Residential real estate, Edinburgh, Scotland | | N/A | Over $1,000,000 | | None (or less than $201) |
| 76 | The Steven T. Mnuchin 2017 Family Trust | See Endnote | N/A | None (or less than $1,001) | | None (or less than $201) |
| 77 | (line intentionally left blank) | | | | | |
| 78 | (line intentionally left blank) | | | | | |
| 79 | North Park I LLC | See Endnote | No | $1,000,001 - $5,000,000 | | None (or less than $201) |
| 80 | Receivable from Stormchaser Partners LLC | See Endnote | N/A | $1,000,001 - $5,000,000 | | None (or less than $201) |
| 81 | Linton Hay Properties Limited | | N/A | $15,001 - $50,000 | Dividends | $15,001 - $50,000 |

## 7. Transactions

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|---|---|---|---|---|
| 1 | GS HIGH YIELD FUND CLASS P (Multiple Dates) | Sale | | $100,001 - $250,000 |
| 2 | GS HIGH YIELD FLOATING RATE FUND CLASS P (Multiple Dates) | Purchase | | $1,000,001 - $5,000,000 |

## 8. Liabilities

| # | CREDITOR NAME | TYPE | AMOUNT | YEAR INCURRED | RATE | TERM |
|---|---|---|---|---|---|---|
| 1 | (line intentionally left blank) | | | | | |
| 2 | (line intentionally left blank) | | | | | |
| 3 | (line intentionally left blank) | | | | | |
| 4 | AMEX | Credit Card | $100,001 - $250,000 | 2020 | 10.65% | Revolving |
| 5 | (line intentionally left blank) | | | | | |

## 9. Gifts and Travel Reimbursements

None

## Endnotes

| PART | # | ENDNOTE |
|---|---|---|
| 5. | 1 | Approved by Treasury as reflected in the updated ethics agreement. |
| 5. | 1.10.1 | Filer had set up a sweep account to keep this cash account below the FDIC limit, but there was an error with a missed sweep during the reporting period.  No conflict occurred with respect to this account. |
| 6. | 1 | Filer had set up a sweep account to keep this cash account below the FDIC limit, but there was an error with a missed sweep during the reporting period.  No conflict occurred with respect to this account. |
| 6. | 19 | This asset is a holdback amount on liquidation of the partnership interest. |
| 6. | 20 | This bond represents a debt obligation of a golf club on Long Island, NY. |
| 6. | 27.24.14 | Los Angeles, CA - held and operated a small plane. The plane was sold in 2018 and residual cash distributed in 2020. |
| 6. | 30.1 | This asset is a holdback amount on liquidation of the partnership interest. |

| PART | # | ENDNOTE |
| --- | --- | --- |
| 6. | 32.1 | Filer had set up a sweep account to keep this cash account below the FDIC limit, but there was an error with a missed sweep during the reporting period.  No conflict occurred with respect to this account. |
| 6. | 35.7.15 | Los Angeles, CA - held and operated a small plane. The plane was sold in 2018 and residual cash distributed in 2020. |
| 6. | 35.18 | iShares 1-3 Year Credit Bond ETF (CSJ US), was renamed the iShares Short-Term Corporate Bond ETF |
| 6. | 36.1.6 | Los Angeles, CA - held and operated a small plane. The plane was sold in 2018 and residual cash distributed in 2020. |
| 6. | 57 | ISHARES SHORT-TERM CORPORATE SHARES CHANGED NAME TO ISHARES TRUST ISHARES 1-5 YEAR |
| 6. | 76 | The Steven T. Mnuchin 2017 Family Trust was created on April 27, 2017 and intended to hold the assets of the Steven T. Mnuchin 2000 Family Trust and the Steven T. Mnuchin 2002 Family Trust. As of December 31, 2020, the assets had not been transferred to The Steven T. Mnuchin 2017 Family Trust, but are expected to be transferred in 2021. |
| 6. | 79 | The entity holds a fractional share of an airplane. |
| 6. | 80 | The receivable is due in full on 5/9/2026 and interest is due annually at 2.04% of the unpaid receivable per year. |

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

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