

CERTIFICATION OF ETHICS AGREEMENT COMPLIANCE Senate Confirmed Presidential Appointee		
1. Appointee's Information	a. Appointee's Name:	to be completed by OGE Mara Karlin
	b. Position Title:	to be completed by OGE Assistant Secretary of Defense
	c. Agency:	to be completed by OGE Department of Defense
	d. Date Ethics Agreement Signed:	to be completed by OGE April 29, 2021
	e. Date Confirmed:	to be completed by OGE August 9, 2021
	f. Due Date for Certification of Ethics Agreement Compliance:	to be completed by OGE November 15, 2021
2. Resignations	<i>I completed all of the resignations indicated in my ethics agreement before I assumed the duties of my current government position.</i>	☐ Yes ☐ No ☒ N/A
3. Divestitures	a. <i>I have completed all of the divestitures indicated in my ethics agreement. I also understand that I may not repurchase these assets during my appointment without OGE's prior approval.</i>	☒ Yes ☐ No ☐ N/A
	b. <i>I have filed a periodic transaction report, or periodic transaction reports, (OGE Form-T) to disclose the completion of these agreed upon divestitures.</i>	☒ Yes ☐ No ☐ N/A
	Filing Date(s) of OGE Form 278-T Report(s): 10/15/21	
4. Managed Accounts	<i>If I have a managed account or use the services of an investment professional, I have notified the manager or professional of the limitations indicated in my ethics agreement. In addition, I am continuing to monitor purchases.</i>	☒ Yes ☐ No ☐ N/A
5. Interim Recusals	<i>I complied with my interim recusal obligations pending the divestitures required by my ethics agreement.</i>	☒ Yes ☐ No ☐ N/A

6. Recusals <i>(Note: These factual statements describe the appointee's current status. They are not intended to modify ethics agreement commitments or create new recusal obligations.)</i>	a. <i>As required by 18 U.S.C. § 208, I will continue to recuse from particular matters in which I know I have a <u>personal or imputed</u> financial interest directly and predictably affected by the matter, unless I receive a waiver or qualify for a regulatory exemption.</i>	☒ Yes ☐ No
	b. <i>I am recusing from particular matters in which any former employer or client I served in the past year is a party or represents a party, unless I have been authorized under 5 C.F.R. § 2635.502(d).</i>	☒ Yes ☐ No ☐ N/A
	c. <i>I am recusing from particular matters in which any former employer or client I served in the two years prior to my appointment is a party or represents a party, unless I have received a waiver under Exec. Order 13989.</i>	☒ Yes ☐ No ☐ N/A
7. Waivers and Authorizations (Consult with your agency's DAEO if uncertain under what authority a waiver or authorization was granted.)	a. <i>I received a waiver pursuant to 18 U.S.C. § 208.</i> If yes, indicate the date of the waiver and indicate the financial interest covered by the waiver.	☐ Yes ☒ No Date: Financial interest:
	b. <i>I received a waiver pursuant to Executive Order 13989.</i> If yes, indicate the date of the waiver and the subject of the waiver (i.e., applicable paragraph of the ethics pledge, parties, particular matters, specific issue areas, as applicable).	☐ Yes ☒ No Date: Subject:
	c. <i>I received an authorization pursuant to 5 C.F.R. § 2635.502(d).</i> If yes, indicate date of authorization and identify the covered person(s) as to whom you have been authorized (e.g., former employer, former client, spouse's employer, spouse's current client, etc.).	☐ Yes ☒ No Date: Covered person(s):
	d. <i>I received a waiver pursuant to 5 C.F.R. § 2635.503(c).</i> If yes, indicate the date of the waiver and identify the former employer or payer.	☐ Yes ☒ No Date: Former employer or payer:

8. Payments, Accelerations, or Divestitures Required to be Completed Prior to Entering Government Service	Mark this box if not applicable: ☒	a. If I committed that I would forfeit a financial interest or payment, unless it was received or accelerated prior to my assumption of the duties of the government position:	☐ I received it (or it was accelerated) <u>prior to</u> my assumption of the duties of the position. ☐ I received it (or it was accelerated) <u>after</u> my assumption of the duties of the position. ☐ I forfeited it.
	b. Financial interest or payment at issue:		
9. Requirements for Regular Appointees	I have completed my initial ethics briefing, pursuant to 5 C.F.R. § 2638.305. If you are a Special Government Employee (SGE) or career Foreign Service Officer (FSO), select N/A.		☒ Yes ☐ No ☐ N/A
	I have signed the ethics pledge pursuant to Executive Order 13989. If you are a SGE or career FSO or previously signed the pledge, select N/A.		☒ Yes ☐ No ☐ N/A
10. Additional Ethics Agreement Requirements	to be completed by OGE:		to be completed by appointee I am complying with these requirements as described in the adjacent box. ☐ Yes ☐ No ☒ N/A
11. Comments of Appointee			
Any intentionally false or misleading statement or response provided in this certification is a violation of law punishable by a fine or imprisonment, or both, under 18 U.S.C. § 1001.			
I certify that the information I have provided is complete and accurate.	Appointee's Signature: 		Date:
			10-15-21