

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Lighthizer, Robert E

United States Trade Representative, Office of the U.S. Trade Representative

Report Year: 2018

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Lighthizer, Robert E [electronically signed on 04/23/2018 by Lighthizer, Robert E in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kaye, Janice A, Certifying Official [electronically signed on 05/08/2018 by Kaye, Janice A in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Skalla, Daniel L, Certifying Official [electronically signed on 05/16/2018 by Skalla, Daniel L in Integrity.gov]

Data Revised 05/08/2018

Data Revised 05/03/2018

Data Revised 04/24/2018

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	Law Firm	Partner	6/1985	1/2017
2	Family Irrevocable Trust	Washington, District of Columbia	Trust	Co-trustee	12/2012	1/2017
3	Executor of spouse's estate	Washington, District of Columbia	estate	Executor	6/2014	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Skadden, Arps, Slate, Meagher & Flom LLP (law firm)	N/A		partnership share	\$1,228,672
2	Skadden, Arps, Slate, Meagher & Flom LLP, capital account	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
3	Skadden, Arps, Slate, Meagher & Flom LLP, defined benefit plan	N/A		lump sum payout on May 1, 2017	\$151,274
4	IRA Vanguard Total Stock Market ETF (VTI)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	Skadden, Arps, Slate, Meagher & Flom LLP 401(k) Savings Plan	No			
5.1	Vanguard Federal Money Market Fund (VMFXX)	Yes	\$1,000,001 - \$5,000,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.2	Vanguard Short-Term Federal Fund (VSGDX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
5.3	Vanguard Wellington Fund (VWENX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
5.4	Dodge & Cox Stock Fund (DODGX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
5.5	T Rowe Price Growth Stock Fund (PRGFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.6	Vanguard Institutional Index Fund (VIIIX)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
5.7	American Funds EuroPaciifc Growth Fund (RERGX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.8	Vanguard FTSE All-World ex US Index Fund (VFWAX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
6	Skadden, Arps, Slate, Meagher & Flom LLP, cash benefit plan	N/A		lump sum payout on April 3, 2017	\$97,239
7	Skadden, Arps, Slate, Meagher & Flom LLP Investment Partnership	No			
7.1	SK 98- Series C1	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7.2	SK 98- Series C2	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
7.3	Project Capital 2004	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.4	Project Capital 2006	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.5	Project Capital 2008	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	The London Company Large Cap Value Account	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Cornerstone Concentrated 30 Investment Account	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
10	GW&K Municipal Bond Account	No	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
10.1	California General Obligation bond 10/01/2024	N/A	\$1,001 - \$15,000		
10.2	New York State Urban Development Corporation bond 12/15/2028	N/A	\$1,001 - \$15,000		
10.3	New York Dormitory Authority (NYU) bond 07/01/2024	N/A	\$1,001 - \$15,000		
10.4	Nebraska Public Power bond 01/01/2023	N/A	\$1,001 - \$15,000		
10.5	Lower Colorado River Authority Texas bond 05/15/2023	N/A	\$1,001 - \$15,000		
10.6	New York State Environmental Facilities Corporation bond 08/15/2023	N/A	\$1,001 - \$15,000		
10.7	New York Dormitory Authority (Columbia) bond 10/01/2023	N/A	\$15,001 - \$50,000		
10.8	New York Dormitory Authority (Columbia) bond 10/01/2026	N/A	\$1,001 - \$15,000		
10.9	New York Dormitory Authority (SUNY) bond 07/01/2029	N/A	\$1,001 - \$15,000		
10.10	New York Dormitory Authority Personal Income Tax bond 02/15/2026	N/A	\$1,001 - \$15,000		
10.11	New York City General Obligation bond 12/01/2029	N/A	\$15,001 - \$50,000		
10.12	North Texas Tollway Authority bond 01/01/2024	N/A	\$1,001 - \$15,000		
10.13	New York Dormitory Authority Sales Tax Revenue bond 03/15/2024	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.14	Nassau County NY Sewer bond 10/01/2024	N/A	\$1,001 - \$15,000		
10.15	New York Dormitory Authority bond 05/15/2025	N/A	\$15,001 - \$50,000		
10.16	New York Dormitory Authority (Sloan-Kettering) bond 07/01/2025	N/A	\$1,001 - \$15,000		
10.17	New York Metropolitan Transportation Authority bond 11/15/2028	N/A	\$15,001 - \$50,000		
10.18	Colorado Childrens Hospital bond 12/01/2025	N/A	\$1,001 - \$15,000		
10.19	Port Authority of NY & NJ bond 05/01/2026	N/A	\$1,001 - \$15,000		
10.20	Mississippi Development Bank bond 01/01/2027	N/A	\$1,001 - \$15,000		
10.21	North Carolina State bond 05/01/2027	N/A	\$1,001 - \$15,000		
10.22	New York City New York City Municipal Water Finance Authority bond 06/15/2027	N/A	\$1,001 - \$15,000		
10.23	Monroe County NY Industrial Development Corporation (University of Rochester) bond 07/01/2027	N/A	\$1,001 - \$15,000		
10.24	Harris Texas Tollway Authority bond 08/15/2032	N/A	\$1,001 - \$15,000		
10.25	San Antonio TX Water bond 05/15/2032	N/A	\$1,001 - \$15,000		
10.26	New York Metropolitan Transportation Authority bond 11/15/2030	N/A	\$15,001 - \$50,000		
10.27	New York City Transitional Future Tax bond 11/01/2023	N/A	\$1,001 - \$15,000		
10.28	Massachusetts Water Resources Authority bond 08/01/2024	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.29	New York Dormitory Authority Personal Income Tax bond 02/15/2029	N/A	\$15,001 - \$50,000		
10.30	New York City New York City Municipal Water Finance Authority bond 06/15/2029	N/A	\$1,001 - \$15,000		
10.31	Wisconsin General Obligation bond 11/01/2026	N/A	\$15,001 - \$50,000		
10.32	New Jersey Turnpike Authority bond 01/01/2028	N/A	\$1,001 - \$15,000		
10.33	Monroe County NY Industrial Development Corporation (University of Rochester) bond 07/01/2030	N/A	\$1,001 - \$15,000		
11	AXA Equitable Incentive Life Insurance (fixed account option)	No	\$1,000,001 - \$5,000,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	I will continue to participate in the firm's 401(k) Savings Plan, which is a defined contribution plan. The firm will not make further contributions after my retirement.	6/1985
2	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	I received a lump sum payout of the defined benefit plan on May 1, 2017.	6/1985
3	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	I received a lump sum payout of the cash benefit plan on April 3, 2017.	10/2016
4	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	Pursuant to firm policy, I will retain health insurance coverage for life.	6/1985

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
5	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	Pursuant to the partnership agreement, following my withdrawal from the firm, I will receive two equal lump sum payments of my capital account on the first and second anniversaries of my retirement. This payment will be calculated as of the date of my withdrawal.	6/1985
6	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	I will continue to participate in the firm's investment partnership. Neither I nor the firm will make any further contributions after my retirement.	6/1985

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Todd Large Cap Intrinsic Value Fund	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
2	Granite Small Core Equity Fund	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
3	Vanguard Dividend Growth Fund (VDIGX)	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
4	Vanguard Intermediate Term Tax Exempt Fund (VWIUX)	Yes	\$1,000,001 - \$5,000,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Vanguard Tax Exempt Money Market Fund (VMSXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Vanguard Total International Stock Index Fund (VTIAX)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
7	Vanguard Total Stock Market Index Fund (VTSAX)	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
8	Vanguard Treasury Money Market Fund (VUSXX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
9	Fidelity Total Market Index (FSTVX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
10	Fidelity Trend Fund (FTRNX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
11	DF Dent Small Cap Growth Fund (DFDSX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
12	Artisan International Fund (ARTIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
13	DFA Emerging Markets Value Fund (DFEVX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
14	MetWest Total Return Bond Fund (MWTRX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
15	Sentinel Capital Partners III LP (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
16	US bank account (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$1,001 - \$2,500
17	Lighthouse Diversified Fund (QP) II LP Series A	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
18	ML Bank Deposit Program (cash)	Yes	\$1,000,001 - \$5,000,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19	Ishares Core Russell US Value ETF (IUSV)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
20	iShares iBoxx \$ High Yield Corporate Bond Fund (HYG)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
21	iShares Russell Mid Cap Value Fund (IWS)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
22	iShares Russell 3000 Fund (IWW)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
23	iShares TIPS Bond ETF (TIP)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
24	Vanguard Mid-Cap ETF (VO)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
25	Vanguard Small Cap Value ETF (VBR)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
26	Vanguard Total Stock Market ETF (VTI)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
27	Vanguard Value ETF (VTV)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
28	US Treasury Inflation Bond 1.750% 01/15/2009	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
29	US Treasury Inflation NTE 1.375% 01/15/2020	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
30	US Treasury Inflation NTE 1.125% 01/15/2021	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
31	District of Columbia bond 4.750% 06/01/2031	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
32	New York NY bond 4.70% 04/01/2029	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33	District of Columbia bond 4.50% 10/01/2027	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
34	New York State Local Government Assistance Corp bond 4.0% 04/01/2021	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
35	Frantz Medical Venture Fund I LP	Yes	\$50,001 - \$100,000		None (or less than \$201)
36	Personal loan to family friend (Ms. Sauter)	N/A	\$15,001 - \$50,000		None (or less than \$201)
37	NV 529 College Savings Plan	No			
37.1	Vanguard Aggressive Growth Portfolio	N/A	\$50,001 - \$100,000		None (or less than \$201)
37.2	Vanguard 500 Index Platform	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Bank of America Corp stock (BAC) stock	See Endnote	Purchase	05/16/17	\$1,001 - \$15,000
2	American International Group Inc stock (AIG)	See Endnote	Sale	05/16/17	\$1,001 - \$15,000
3	Kinsale Cap Group Inc stock (KNSL)	See Endnote	Purchase	05/15/17	\$1,001 - \$15,000
4	GRANITE SMALL CAP CORE EQUITY FUND	See Endnote	Sale	07/24/2017	\$250,001 - \$500,000
5	AmerisourceBergen Corp stock (ABC)	See Endnote	Purchase	05/16/17	\$1,001 - \$15,000
6	TODD LARGE CAP INTRINSIC VALUE FUND	See Endnote	Sale	07/24/2017	\$500,001 - \$1,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
7	Cornerstone Concentrated 30 Investment Account	Sale	10/03/2017	\$250,001 - \$500,000
8	Vanguard Total Stock Market Index Fund (VTSAX)	Purchase	09/22/2017	\$100,001 - \$250,000
9	London Company Large Cap Value Account	Sale	10/03/2017	\$1,000,001 - \$5,000,000
10	Vanguard Total International Stock Index Fund (VTIAX)	Purchase	09/22/2017	\$500,001 - \$1,000,000
11	Vanguard Total International Stock Index Fund Admiral Shares (VTIAX)	Purchase	11/24/2017	\$1,000,001 - \$5,000,000
12	Vanguard Total Stock Market Index Fund Admiral Shares (VTSAX)	Purchase	11/24/2017	\$500,001 - \$1,000,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
7.	1	in TODD LARGE CAP INTRINSIC VALUE FUND
7.	2	in TODD LARGE CAP INTRINSIC VALUE FUND

PART	#	ENDNOTE
7.	3	in GRANITE SMALL CAP CORE EQUITY FUND
7.	4	Sold entire interest to comply with ethics agreement.
7.	5	in TODD LARGE CAP INTRINSIC VALUE FUND
7.	6	Sold entire interest to comply with ethics agreement.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
