Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Sherman, Wendy R

Deputy Secretary of State, Department of State

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Sherman, Wendy R [electronically signed on 05/16/2022 by Sherman, Wendy R in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Merron, Eric, Certifying Official [electronically signed on 06/10/2022 by Merron, Eric in Integrity.gov]

Other review conducted by
/s/ Merron, Eric, Ethics Official [electronically signed on 06/10/2022 by Merron, Eric in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 06/21/2022 by Granahan, Megan in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Harvard Kennedy School		Cambridge, Massachusetts	University/College	Director, Center for Public Leadership and Professor of the Practice of Public Leadership	1/2019	3/2021
2	Albright Stonebridge Group		Washington DC, District of Columbia	Corporation	Senior Counselor (not-employee, annual retainer)	1/2016	4/2021
3	NBC/MSNBC	See Endnote	New York, New York	Corporation	Contributor on air (not employee, annual retainer)	10/2019	4/2021
4	WRS Global, LLC	See Endnote	Bethesda, Maryland	LLC, Single Member	Sole Member	1/2016	Present
5	Bruce E. Stokes Irrevocable Trust		Bethesda, Maryland	Trust	Trustee	9/2006	Present
6	The Atlantic Council		Washington, District of Columbia	Non-Profit	Board Member	11/2017	4/2021
7	International Crisis Group		Washington, District of Columbia	Non-Profit	Board of Trustees	6/2018	4/2021
8	23-25 Blakeslee Street Condominium	See Endnote	Cambridge, Massachusetts	Corporation	Member Condominium Association	10/2018	5/2021
9	PT Fund, Inc. (Presidential Transition Team)		Washington, District of Columbia	Transition Team	Team Member	12/2020	1/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA # 1	No			
1.1	U.S. brokerage firm, cash account	N/A	$100,001 - $250,000		None (or less than $201)
1.2	EEMV - ISHARES EDGE MSCI MIN VOL EMER	Yes	$15,001 - $50,000		$201 - $1,000
1.3	EFAV - ISHARES EDGE MSCI MIN VOL EAFE	Yes	$50,001 - $100,000		$1,001 - $2,500
1.4	MTUM - ISHARES EDGE MSCI US MOMENTUM	Yes	$50,001 - $100,000		$201 - $1,000
1.5	IUSB - ISHARES CORE TOTAL BOND ETF	Yes	$100,001 - $250,000		$1,001 - $2,500
1.6	GLD - SPDR GOLD TR GOLD SHS - Sold 05-17-21	Yes	None (or less than $1,001)		None (or less than $201)
1.7	XLP - CONS STAPLES SEL SECT SPDR FD	Yes	$50,001 - $100,000		$1,001 - $2,500
1.8	VIG - VANGUARD DIVIDEND APPRECIATION	Yes	$100,001 - $250,000		$1,001 - $2,500
1.9	IPPXX - INVESCO PREMIER INST	Yes	$100,001 - $250,000		None (or less than $201)
1.10	AQMIX - AQR MNGD FUTURES STRAT I	Yes	$15,001 - $50,000		$1,001 - $2,500
1.11	MIPIX - MATTHEWS ASIA DIVIDEND INV	Yes	$15,001 - $50,000		$201 - $1,000
1.12	PSPLX - PIMCO STOCKSPLUS SH I2	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.13	UBVSX - JPMORGAN UNDSC MNGR BEHV VL I	Yes	$15,001 - $50,000		$201 - $1,000
1.14	VGHCX - VANGUARD HEALTH CARE INV - Sold 05-17-21	Yes	None (or less than $1,001)		None (or less than $201)
1.15	VWINX - VANGUARD WELLESLEY INC INV	Yes	$100,001 - $250,000		$5,001 - $15,000
1.16	Cash Payment Required Minimum Distribution		$50,001 - $100,000	Cash Payment Required Minumum Distribution	$51,530
2	IRA #1	N/A		cash payments	$29,643
3	IRA #2	N/A		cash payments	$7,522
3.1	Cash Payment Required Minimum Distribution		$15,001 - $50,000	Cash Payment Required Minimum Distribution Inherited IRA	$15,934
4	IRA # 2	No			
4.1	U.S. brokerage firm, cash account	N/A	$1,001 - $15,000		None (or less than $201)
4.2	GLD - SPDR GOLD TR GOLD SHS - Sold 05-17-21	Yes	None (or less than $1,001)		None (or less than $201)
4.3	VIG - VANGUARD DIVIDEND APPRECIATION	Yes	$15,001 - $50,000		$201 - $1,000
4.4	BSV - VANGUARD SHORT TERM BND	Yes	$50,001 - $100,000		$201 - $1,000
4.5	IPPXX - INVESCO PREMIER INST	Yes	$15,001 - $50,000		None (or less than $201)
4.6	AQMIX - AQR MNGD FUTURES STRAT I -	Yes	$1,001 - $15,000		$201 - $1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.7	SGOIX - FIRST EAGLE OVERSEAS I		Yes	$1,001 - $15,000		$201 - $1,000
4.8	WCMIX - WCM FOCUSED INTL GWTH INST		Yes	$1,001 - $15,000		None (or less than $201)
4.9	TRBCX - T ROWE PRICE BLUE CHIP GR		Yes	$1,001 - $15,000		None (or less than $201)
4.10	VWINX - VANGUARD WELLESLEY INC INV		Yes	$50,001 - $100,000		$1,001 - $2,500
4.11	WMCVX - WASATCH SM CAP VAL FD		Yes	$1,001 - $15,000		None (or less than $201)
5	WRS Global 401(k) # 1		No			
5.1	U.S. brokerage firm, cash account		N/A	$1,001 - $15,000		None (or less than $201)
5.2	EEMV - ISHARES EDGE MSCI MIN VOL EMER - Sold 08-17-21		Yes	None (or less than $1,001)		None (or less than $201)
5.3	IUSB - ISHARES CORE TOTAL BOND ETF		Yes	$50,001 - $100,000		$1,001 - $2,500
5.4	SLY - SPDR S&P 600 SMALL CAP ETF		Yes	$1,001 - $15,000		None (or less than $201)
5.5	SCHV - SCWAB U.S. LARGE-CAP VALUE		Yes	$1,001 - $15,000		$201 - $1,000
5.6	BSV - VANGUARD SHORT TERM BND		Yes	$50,001 - $100,000		$201 - $1,000
5.7	IPPXX - INVESCO PREMIER INST - Rolled Over to Other IRA	See Endnote	Yes	None (or less than $1,001)		None (or less than $201)
5.8	CIPIX - CHAMPLAIN MID CAP INST		Yes	$15,001 - $50,000		None (or less than $201)
5.9	WCMIX - WCM FOCUSED INTL GWTH INST		Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.10	TRBCX - T ROWE PRICE BLUE CHIP GR		Yes	$15,001 - $50,000		None (or less than $201)
5.11	WRS Global 401(k) # 1	See Endnote	N/A	$1,001 - $15,000	cash payments required minimum distribution	$9,613
6	Prudential		No			None (or less than $201)
6.1	Variable Annuity # 1		No			
6.1.1	AST INVESTMENT GRADE BOND PORTFOLIO		Yes	$50,001 - $100,000		None (or less than $201)
6.1.2	AST PRESERVATION ASSET ALLOCATION FUND		Yes	$100,001 - $250,000		None (or less than $201)
6.2	Variable Annuity # 2		No			
6.2.1	AST PRESERVATION ASSET ALLOCATION Fund		Yes	$100,001 - $250,000		None (or less than $201)
7	WRS Global, LLC		No	$1,001 - $15,000		
7.1	Albright Stonebridge Group		N/A		Consulting Fees	$44,123
7.2	University of Kentucky Virtual Discussion - Honorarium July 29, 2020	See Endnote	N/A		Income from Speech,	$7,500
7.3	U.S. bank, cash account WRS Global Business Fundamental		N/A	$1,001 - $15,000	Interest	None (or less than $201)
8	TIAA Cref 403(b) Harvard Kennedy School		No			
8.1	TIAA Cref Multi-Asset 100%Vanguard Institutional Target Retirement 2015 Institutional	See Endnote	Yes	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.2	TIAA Administrative Services LLC		N/A	$1,001 - $15,000	Non Qualified plan distribution upon termination reported on a W-2 (boxes 1 and 11)	$1,691
9	Harvard Kennedy School		N/A		Salary	$105,104
9.1	Harvard Kennedy School		N/A	$1,001 - $15,000	Mortgage benefit paid as part of the transition fund provided for in the employment contract paid for months prior to termination, included in the salary.	$12,807
10	Not for the Faint of Heart : Lessons in Courage, Power, and Persistence - Book, Published 2018 by PublicAffairs	See Endnote	N/A			None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Harvard Kennedy School	Cambridge, Massachusetts	Director, Center for Public Leadership and Professor of the Practice of Public Leadership. Upon resignation March 30, 2021, the housing benefit was terminated and there are no other benefits. Participate in the 403(b) defined contribution plan stopped after the date of my separation.	1/2019

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	WRS Global LLC	Bethesda, Maryland	My business will be inactive during my appointment. Through this LLC, among other services, I had an arrangement with NBC/MSNBC to provide commentary and I provided consulting with the Albright Stonebridge Group. Upon confirmation, my arrangements with NBC/MSNBC were terminated, I resigned my position with the Albright Stonebridge Group, all other services ceased, and all outstanding client fees were fixed before I entered government service. I made a contribution to in my single-member defined contribution plan based upon income earned prior to my confirmation.	1/2021

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SHINCHOSHA Foresight Web News Magazine (Japan)	See Endnote	N/A	$1,001 - $15,000	4 payments	
1.1	Foresight Web News Magazine (Japan) - July 28, 2021		N/A	$1,001 - $15,000	"Web Foresight" Manuscript Fee	$1,400
1.2	Foresight Web News Magazine (Japan) - August 24, 2021		N/A	$1,001 - $15,000	"Web Foresight" Manuscript Fee	$1,398
1.3	Foresight Web News Magazine (Japan) - November 9, 2021		N/A	$1,001 - $15,000	"Web Foresight" Manuscript Fee	$1,513
1.4	Foresight Web News Magazine (Japan) - May 25, 2021		N/A	$1,001 - $15,000	"Web Foresight" Manuscript Fee	$1,400
2	Politico Group (Europe) - honorarium September 10, 2021		N/A	None (or less than $1,001)	Opinion Piece	$166

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	German Marshall Fund - Consultant/Fellow 2020	See Endnote	N/A		Executive Director for the Transaltantic task force and nonresident fellow. Writing final report for the task force. $36,500 received in 2021.	$36,500
4	line intentionally left blank		N/A			
5	TIAA-CREF 403(b)		No			
5.1	Council on Foreign Relations DC Retirement Plan		No			
5.1.1	TIAA Cref Council on Foreign Relations		N/A		Required Minimum Distribution	$6,128
5.1.2	Annuity -Invested in TIAA Traditional		N/A	$100,001 - $250,000		None (or less than $201)
5.2	Worldwatch Institute 403(b) Defined Contribution Retirement		No			
5.2.1	TIAA Cref World Watch Institute 403(b) Defined Contribution Plan		N/A		Required Minimum Distribution	$8,198
5.2.2	Annuity invested in TIAA Traditional		N/A	$100,001 - $250,000		None (or less than $201)
5.3	Council on Foreign Relations TDA Plan		N/A			
5.3.1	TIAA Cref Council on Foreign Relations		N/A		Required Minimum Distribution	$2,150
5.3.2	Annuity invested in TIAA Traditional		N/A	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Line intentionally left blank				
7	Sp IRA/SEP Account # 1	No			$15,001 - $50,000
7.1	U.S. Brokerage firm, cash account	N/A	$100,001 - $250,000		None (or less than $201)
7.2	EEMV - ISHARES EDGE MSCI MIN VOL EMER	Yes	$15,001 - $50,000		$201 - $1,000
7.3	MTUM - ISHARES EDGE MSCI US MOMENTUM	Yes	$15,001 - $50,000		$201 - $1,000
7.4	IUSB - ISHARES CORE TOTAL BOND ETF	Yes	$100,001 - $250,000		$2,501 - $5,000
7.5	GLD - SPDR GOLD TR GOLD SHS - Sold 05-17-21	Yes	None (or less than $1,001)		None (or less than $201)
7.6	XLP - CONS STAPLES SEL SECT SPDR FD	Yes	$15,001 - $50,000		$201 - $1,000
7.7	VIG - VANGUARD DIVIDEND APPRECIATION	Yes	$50,001 - $100,000		$1,001 - $2,500
7.8	AQMIX - AQR MNGD FUTURES STRAT I	Yes	$15,001 - $50,000		$1,001 - $2,500
7.9	CIPIX - CHAMPLAIN MID CAP INST	Yes	$50,001 - $100,000		None (or less than $201)
7.10	IVIQX - IVA INTERNATIONAL I - Sold 04-16-21	Yes	None (or less than $1,001)		None (or less than $201)
7.11	WCMIX - WCM FOCUSED INTL GWTH INST	Yes	$50,001 - $100,000		None (or less than $201)
7.12	PCOXX - FEDERATED HRMS PRIME CS OBL WS	Yes	$100,001 - $250,000		None (or less than $201)
7.13	PSPLX - PIMCO STOCKSPLUS SH I2	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.14	VGHCX - VANGUARD HEALTH CARE INV - Sold 05-17-21	Yes	None (or less than $1,001)		None (or less than $201)
7.15	VWINX - VANGUARD WELLESLEY INC INV	Yes	$100,001 - $250,000		$5,001 - $15,000
7.16	Cash Payment Required Minimum Distribution		$50,001 - $100,000	Required Minimum Distribution	$50,531
8	Sp IRA #2 (Roth)	N/A		cash payments	$1,565
9	Sp IRA #2 (Roth)	No			
9.1	U.S. brokerage firm, cash account	N/A	$1,001 - $15,000		None (or less than $201)
9.2	EEMV - ISHARES EDGE MSCI MIN VOL EMER - Sold 08-17-21	Yes	None (or less than $1,001)		None (or less than $201)
9.3	IJH - ISHARES S&P MIDCAP 400 INDEX	Yes	$1,001 - $15,000		None (or less than $201)
9.4	IUSB - ISHARES CORE TOTAL BOND ETF	Yes	$1,001 - $15,000		None (or less than $201)
9.5	SLY - SPDR S&P 600 SMALL CAP ETF	Yes	$1,001 - $15,000		None (or less than $201)
9.6	SCHV - SCWAB U.S. LARGE-CAP VALUE	Yes	$1,001 - $15,000		None (or less than $201)
9.7	BSV - VANGUARD SHORT TERM BND	Yes	$1,001 - $15,000		None (or less than $201)
9.8	IPPXX - INVESCO PREMIER INST	Yes	$1,001 - $15,000		None (or less than $201)
9.9	WCMIX - WCM FOCUSED INTL GWTH INST	Yes	$1,001 - $15,000		None (or less than $201)
9.10	PARWX - Parnassus Endeavor Fund Investor Shares	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	Sp IRA # 3 - brokerage firm, cash account		No	$1,001 - $15,000		None (or less than $201)
10.1	FTVIP FRANKLIN INCOME VIP FUND		Yes	$15,001 - $50,000		None (or less than $201)
10.2	FTVIP-MUTUAL SHARES VIP FND-CL 2		Yes	$1,001 - $15,000		None (or less than $201)
10.3	FTVIP-TEMPLETON FOREIGN VIP FND		Yes	$1,001 - $15,000		None (or less than $201)
10.4	INVESCO VI AMERCN FRANCHISE FND		Yes	$1,001 - $15,000		None (or less than $201)
10.5	MORGAN STANLEY VIF EM MARKETS EQ		Yes	$1,001 - $15,000		None (or less than $201)
10.6	MORGAN STANLEY VIF US REAL ESTAT		Yes	$1,001 - $15,000		None (or less than $201)
10.7	PUTNAM SMALL CAP VALUE IB		Yes	$1,001 - $15,000		None (or less than $201)
11	Transamerica Retirement Solutions defined benefit plan	See Endnote	Yes			

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. brokerage firm, cash account	N/A	$100,001 - $250,000		$2,501 - $5,000
2	MYI - BLACKROCK MUNIYIELD QLTY FD 3	Yes	None (or less than $1,001)		None (or less than $201)
3	UTIXX - FEDERATED HRMS USTRSY CS RS IS	Yes	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	NHMAX - NUVEEN HI YLD MUNI BOND A	Yes	$15,001 - $50,000		$1,001 - $2,500
5	BALTIMORE CNTY M OIDCoupon 3.00% Mature 02/01/2035 (D2MZ3)	N/A	$15,001 - $50,000	Interest	$201 - $1,000
6	UNIVERSITY MD SYS AUXILIARY FAC & TUITI OIDCoupon 3.00% Mature 04/01/2036 (C8TA8)	N/A	$15,001 - $50,000	Interest	$201 - $1,000
7	BILLERICA MASS MUN PURP LN GENL OBLIGCoupon 4.00% Mature 10/15/2028 (F26W6)	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
8	CASCADE CNTY MONT ELEM SCH DIST NO 1 GREAT FALLSCoupon 4.00% Mature 07/01/2029 (F22MK)	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
9	JEFFERSON CNTY COLO SCH DIST NO R-001 REFCoupon 4.00% Mature 12/15/2023 (2500B)	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
10	KING CNTY WASH SWR REV REF SER-BCoupon 5.00% Mature 07/01/2029 (EQW17)	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
11	MASSACHUSETTS BAY TRANSN AUTH MASS REV-ACoupon 4.00% Mature 07/01/2026 (FG03N)	N/A	$15,001 - $50,000	Interest	$201 - $1,000
12	MASSACHUSETTS DEPT TRANSN MET HWY SYS REV REF-ACoupon 5.00% Mature 01/01/2027 (BP07Y)	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
13	MASSACHUSETTS ST CLEAN WTR TR GREEN REVOLVING FD REVCoupon 5.00% Mature 08/01/2032 (A70E5)	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
14	MASSACHUSETTS ST DEV FIN AGY REVCoupon 5.00% Mature 10/15/2027 (E39UR)	N/A	$100,001 - $250,000	Interest	$2,501 - $5,000
15	MASSACHUSETTS ST PORT AUTH REV-BCoupon 5.00% Mature 07/01/2034 (E433H)	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	MASSACHUSETTS ST SCH BLDG AUTHDEDICATED SALES TAX REVCoupon 5.00% Mature 02/15/2026 (B8QX6)	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
17	MASSACHUSETTS ST WTR RES AUTH GREEN GEN REV-CCoupon 4.00% Mature 08/01/2022 (E935X)	N/A	$15,001 - $50,000	Interest	$201 - $1,000
18	MASSACHUSETTS ST WTR RES AUTH GREEN GEN REV-CCoupon 4.00% Mature 08/01/2022 (E937J)	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
19	NATICK MASSCoupon 3.00% Mature 08/01/2032 (B472E)	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
20	NEW YORK ST URBAN DEV CORP PERS INCOME REV-ACoupon 5.00% Mature 03/15/2030 (D99B5)	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
21	OREGON ST GENL OBLIG REV-ACoupon 5.00% Mature 05/01/2034 (C2C63)	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
22	QUINCY MASS MULT PURP LN GENL OBLIGCoupon 4.00% Mature 07/01/2032 (FBU69)	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
23	REGIONAL TRANSPORTATION DISTRICT COLO SALES TAX REV REF-ACoupon 5.00% Mature 11/01/2027 (C6TV3)	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
24	TAUNTON MASS MUN PURP LN GENL OBLIGCoupon 4.00% Mature 08/15/2033 (FY4W6)	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
25	TEWKSBURY MASS GENL OBLIGCoupon 4.00% Mature 06/01/2030 (FGK38)	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
26	UNIVERSITY WASH UNIV REVS REV REF-ACoupon 5.00% Mature 12/01/2032 (B8SQ4)	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
27	WESTON MASS GENL OBLIGCoupon 5.00% Mature 01/15/2030 (E8Z7Z)	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28	WISCONSIN ST GENL OBLIG SER-CCoupon 4.00% Pre-Ref 05/01/2021 @ 100.000 (AN24S) - Called Bond 05-03-21	N/A	None (or less than $1,001)	Interest	None (or less than $201)
29	U.S. brokerage firm, cash account	N/A	$50,001 - $100,000		$15,001 - $50,000
30	EEMV - ISHARES EDGE MSCI MIN VOL EMER	Yes	$1,001 - $15,000		$201 - $1,000
31	AGG - ISHARES CORE U.S. AGGREGATE	Yes	$50,001 - $100,000		$1,001 - $2,500
32	IWS - ISHARES RUSSELL MIDCAP V ETF	Yes	$15,001 - $50,000		$201 - $1,000
33	IEI - ISHARES 3-7 YR TREASURY BD ETF	Yes	$15,001 - $50,000		$201 - $1,000
34	EFAV - ISHARES EDGE MSCI MIN VOL EAFE	Yes	$15,001 - $50,000		$201 - $1,000
35	MTUM - ISHARES EDGE MSCI US MOMENTUM	Yes	$15,001 - $50,000		None (or less than $201)
36	SCHD - SCHWAB US DVD EQUITY ETF	Yes	$50,001 - $100,000		$1,001 - $2,500
37	BNDX - VANGUARD TOTAL INTL BOND ETF	Yes	$15,001 - $50,000		$201 - $1,000
38	IPPXX - INVESCO PREMIER INST	Yes	$50,001 - $100,000		None (or less than $201)
39	SHSSX - BLACKROCK HEALTH SCIENCE OPP I - Sold 05-17-21	Yes	None (or less than $1,001)		None (or less than $201)
40	WCMIX - WCM FOCUSED INTL GWTH INST	Yes	$15,001 - $50,000		None (or less than $201)
41	PARWX - PARNASSUS ENDEAVOR FUND	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
42	LTMIX - THORNBURG LTD TRM MUNI I		Yes	$50,001 - $100,000		$201 - $1,000
43	VWINX - VANGUARD WELLESLEY INC INV		Yes	$100,001 - $250,000		$2,501 - $5,000
44	Joint Prudential Life Insurance - Fixed Universal Life - Second to Die Policy- $500,000 Death Benefit		N/A	$15,001 - $50,000		None (or less than $201)
45	Transamerica Advisors Life Insurance Annuity - Annuity Distribution	See Endnote	N/A		Inherited Annuity payments, no readily ascertainable value	$6,684
46	Bruce E. Stokes Irrevocable Trust					
46.1	U.S. bank, cash account		N/A	$1,001 - $15,000		None (or less than $201)
47	Wendy R. Sherman Irrevocable Trust					
47.1	U.S. bank, cash account		N/A	None (or less than $1,001)		None (or less than $201)
48	U.S. bank, cash account		N/A	$100,001 - $250,000	Interest	$1,001 - $2,500
49	U.S. bank, cash account Business Economy		N/A	$1,001 - $15,000	Interest	None (or less than $201)
50	Joint LAL Account - Liquid Access Line Account	See Endnote	N/A	None (or less than $1,001)		None (or less than $201)
51	JT Account US Brokerage Firm, Cash Account		N/A	$15,001 - $50,000		None (or less than $201)
52	JT Account US Brokerage		N/A			$50,001 - $100,000
53	Gardner Mass Genl Oblig Matures 08/01/2036 Coupon 2.00% Fixed (CW24Q)		N/A	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
54	Mass St Transn FD REV Matures 06/01/2036 Coupon 5.00% Fixed (HT9H4)		N/A	$50,001 - $100,000		$2,501 - $5,000
55	Internal Revenue Service	See Endnote	N/A	None (or less than $1,001)	Interest	$201 - $1,000

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Matthews Asia Dividend Inst Class 1 Share		Purchase	05/07/2021	$15,001 - $50,000
2	Vanguard Health Care INV		Sale	05/17/2021	$15,001 - $50,000
3	IShares Edge MSCI US Momentum xx		Purchase	08/17/2021	$1,001 - $15,000
4	Vanguard Dividend Appreciation xx		Purchase	08/17/2021	$15,001 - $50,000
5	All required purchase and sales previously reported to the OGE Committee as transactions occurred				
6	Inherited Painting	See Endnote	Sale	12/03/2021	$1,001 - $15,000
7	Wisconsin St Genl Oblig Ser-C		Sale	05/03/2021	$15,001 - $50,000
8	Matthews Asia Divident Inv Class IV Share		Sale	05/07/2021	$15,001 - $50,000
9	SPDR Gold TR Gold SHS		Sale	05/19/2021	$1,001 - $15,000
10	SPDR GOLD TR GOLD SHS		Sale	05/19/2021	$50,001 - $100,000
11	Vanguard Health Care INV		Sale	05/18/2021	$50,001 - $100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
12	SPDR Gold TR Gold SHS	Sale	05/19/2021	$15,001 - $50,000
13	Blackrock Health Science Opp 1	Sale	05/19/2021	$15,001 - $50,000
14	Massachusetts St Transn FD Rev	Purchase	06/24/2021	$50,001 - $100,000
15	Gardner Mass Genl Oblig	Purchase	08/12/2001	$15,001 - $50,000
16	Vanguard Dividend Appreciation xx	Purchase	08/17/2021	$15,001 - $50,000
17	IShares Russell Midcap V ETF	Purchase	08/17/2021	$1,001 - $15,000
18	Schwab US DVD Equity ETF	Purchase	08/17/2021	$1,001 - $15,000
19	IShares Edge MSCI Min Vol Emer	Sale	08/17/2021	$1,001 - $15,000
20	IShares Edge MSCI Min Vol Emer	Sale	08/17/2021	$1,001 - $15,000
21	WCM Focused Intl Gwth Inst	Purchase	08/17/2021	$15,001 - $50,000
22	Matthews Asia Dividend Inst xx	Purchase	08/17/2021	$1,001 - $15,000
23	Champlain Mid Cap Fund Institutional Shares (CIPIX)	Purchase	08/17/2021	$15,001 - $50,000
24	WCM Focused Intl Grth Inst	Purchase	08/17/2021	$1,001 - $15,000
25	WCM Focused Intl Gwth Inst	Purchase	08/17/2021	$1,001 - $15,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Chase	See Endnote	Mortgage on Personal Residence	$500,001 - $1,000,000	2018	4%	30 years
2	Morgan Stanley	See Endnote	Mortgage on Personal Residence	$500,001 - $1,000,000	2021	2.6%	30 year - 10 year/6month SOFR ARM
3	Morgan Stanley	See Endnote	Mortgage on Personal Residence	$1,000,001 - $5,000,000	2021	1.325%	90 Days
4	Citi MasterCard	See Endnote	Credit Card	$15,001 - $50,000	2021	variable	revolving credit card

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	3	Contract is currently suspended per agreement signed December 23 since I began work as volunteer on the Transition.
1.	4	Inactive in a 100% owned one member LLC.
1.	8	Member of Condo Association that consists of 2 homes, one of them mine. The other Members are Bruce Stokes and the other homeowner. Membership automatically terminated May 14, 2021, the date of sale of the Principal Residence.
2.	5.7	$19,026.08 was rolled over in a tax free direct rollover to Wendy R. Sherman IRA

PART	#	ENDNOTE
2.	5.11	Associated with WRS Global, final contribution has been made in 2022 for the 2021 contribution to the plan. If WRS Global is terminated, this plan will be rolled over into an IRA with Morgan Stanley. This is the 2021 Required Minimum Distribution
2.	7.2	This was reported on the prior year OGE report as the speech was given in 2020, however, a 1099-MISC was received in 2021 for this.
2.	8.1	The plan sponsor will no longer made contributions upon the date of my separation from Harvard Kennedy School employment.
2.	10	Value no readily ascertainable at this time. There are currently no expected additional royalties as confirmed with the publisher.
5.	1	$5,711 total amount from Foresight Web News Magazine
5.	3	$17,500 received January 6, 2021, $17,500 received March 24, 2021, $1,500 received May 26, 2021
5.	11	$4,809.84 defined benefit account, no readily ascertainable value
6.	45	The value of this asset is not readily ascertainable. Filer receives a fixed annual payment of $6,684.38 and holds no interest other than the right to this payment.
6.	50	Interest Paid $5,978
6.	55	Interest received on a tax refund
7.	6	Inherited Painting Sold to Georgetown Frame Shoppe, Inc
8.	1	Mortgage paid off on May 24, 2021, the date of the sale of the personal residence
8.	2	Rate Change date 6/01/2031
8.	3	Bridge Loan for purchase of new home during the purchase period and the sale of the old principal residence
8.	4	Balances paid off immediately

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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