

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Hassett, Kevin A**

Chairman, Council of Economic Advisers

Report Year: 2018

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Hassett, Kevin A [electronically signed on 05/07/2018 by Hassett, Kevin A in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Zinberg, Joel M, Certifying Official [workflow re-designation - 06/13/2018 electronically signed on 06/13/2018 by Zinberg, Joel M in Integrity.gov]**

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Other review conducted by

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U.S. Office of Government Ethics Certification

**/s/ Skalla, Daniel L, Certifying Official [workflow re-designation - 06/13/2018 electronically signed on 07/05/2018 by Skalla, Daniel L in Integrity.gov]**

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Data Revised 05/29/2018

## 1. Filer's Positions Held Outside United States Government

| # | ORGANIZATION NAME             | CITY, STATE                      | ORGANIZATION TYPE     | POSITION HELD                                                                                                  | FROM                    | TO      |        |
|---|-------------------------------|----------------------------------|-----------------------|----------------------------------------------------------------------------------------------------------------|-------------------------|---------|--------|
| 1 | American Enterprise Institute | Washington, District of Columbia | Non-Profit            | State Farm James Q. Wilson Chair in American Politics and Culture and Director of Research for Domestic Policy | 2/1997                  | 6/2017  |        |
| 2 | The Lindsey Group             | Fairfax, Virginia                | Corporation           | Senior Academic Advisor                                                                                        | 9/2016                  | 6/2017  |        |
| 3 | Sonecon                       | Washington, District of Columbia | Corporation           | Consultant                                                                                                     | 5/2002                  | 6/2017  |        |
| 4 | Precision Economics           | Washington, District of Columbia | Corporation           | Consultant                                                                                                     | 10/2001                 | 6/2017  |        |
| 5 | Urban Baseball Foundation     | Washington, District of Columbia | Non-Profit            | President and Founder                                                                                          | 6/2009                  | 6/2017  |        |
| 6 | Cote Capital                  | New York, New York               | LLC                   | Advisor                                                                                                        | 12/2012                 | 6/2017  |        |
| 7 | The Cote Foundation           | New York, New York               | Non-Profit            | Director                                                                                                       | 12/2015                 | 6/2017  |        |
| 8 | Randolph Foundation           | New York, New York               | Non-Profit            | Advisory Board Member                                                                                          | 1/2013                  | 6/2017  |        |
| 9 | Walmart                       | See Endnote                      | Bentonville, Arkansas | Corporation                                                                                                    | Advisory Council Member | 10/2016 | 6/2017 |

| #  | ORGANIZATION NAME                               | CITY, STATE                      | ORGANIZATION TYPE  | POSITION HELD             | FROM    | TO      |
|----|-------------------------------------------------|----------------------------------|--------------------|---------------------------|---------|---------|
| 10 | Citigroup                                       | New York, New York               | Corporation        | Consultant                | 11/2014 | 1/2016  |
| 11 | Columbia University Graduate School of Business | New York, New York               | University/College | Visiting Research Scholar | 9/2016  | 6/2017  |
| 12 | American Action Forum                           | Washington, District of Columbia | Non-Profit         | Consultant                | 1/2016  | 12/2016 |

## 2. Filer's Employment Assets & Income and Retirement Accounts

| #  | DESCRIPTION                               | EIF | VALUE                     | INCOME TYPE      | INCOME AMOUNT       |
|----|-------------------------------------------|-----|---------------------------|------------------|---------------------|
| 1  | Vanguard Target Retirement 2025 403B Plan | Yes | \$1,000,001 - \$5,000,000 |                  | \$15,001 - \$50,000 |
| 2  | FCC Services Speech - 2/18/16             | N/A |                           | Speaking fee     | \$28,400            |
| 3  | FCC Services Speech - 11/08/16            | N/A |                           | Speaking fee     | \$28,400            |
| 4  | FCC Services Speech - 3/3/17              | N/A |                           | Speaking fee     | \$28,400            |
| 5  | National Mining Assn Speech - 3/3/16      | N/A |                           | Speaking fee     | \$10,800            |
| 6  | Choice Hotels - 12/2/16                   | N/A |                           | Speaking fee     | \$11,250            |
| 7  | American Enterprise Institute             | N/A |                           | Salary and bonus | \$286,557           |
| 8  | The Lindsey Group                         | N/A |                           | Salary and bonus | \$187,000           |
| 9  | Citigroup                                 | N/A |                           | Consulting fees  | \$112,500           |
| 10 | Washington Campus - March 16, 2017        | N/A |                           | Speaking fee     | \$500               |

| #    | DESCRIPTION                                                    |             | EIF | VALUE                 | INCOME TYPE                                                                                        | INCOME AMOUNT             |
|------|----------------------------------------------------------------|-------------|-----|-----------------------|----------------------------------------------------------------------------------------------------|---------------------------|
| 11   | WSJ/ Dow Jones - August 14, 2016                               |             | N/A |                       | Payment for article                                                                                | \$200                     |
| 12   | American Action Forum                                          |             | N/A |                       | Consulting fee                                                                                     | \$10,000                  |
| 13   | University of California                                       |             | N/A |                       | Payment for comment in book "The Economics of Tax Policy," Auerbach and Smetters eds., March, 2017 | \$1,000                   |
| 14   | Randolph Foundation                                            |             | N/A |                       | Advisory board compensation                                                                        | \$10,000                  |
| 15   | Precision Economics (Consulting)                               |             | N/A |                       | Consulting Fees                                                                                    | \$16,150                  |
| 16   | Sonecon (Consulting)                                           |             | N/A |                       | Consulting Fees                                                                                    | \$60,000                  |
| 17   | Prudential Whole Life Policy                                   | See Endnote | N/A | \$50,001 - \$100,000  |                                                                                                    | None (or less than \$201) |
| 18   | Prudential Financial Inc                                       | See Endnote | N/A | \$1,001 - \$15,000    | Dividends                                                                                          | \$201 - \$1,000           |
| 19   | Washington Campus - August 24, 2016                            |             | N/A |                       | Speaking fee                                                                                       | \$500                     |
| 20   | Washington Campus - May 31, 2016                               |             | N/A |                       | Speaking fee                                                                                       | \$500                     |
| 21   | Washington Campus - May 17, 2016                               |             | N/A |                       | Speaking fee                                                                                       | \$500                     |
| 22   | Cote Capital, LLC, (IP capital investment)                     |             | No  | \$100,001 - \$250,000 |                                                                                                    | None (or less than \$201) |
| 22.1 | Xockets, Inc., (hardware and software acceleration technology) |             | N/A |                       |                                                                                                    |                           |
| 22.2 | Energybox Inc., (energy data technology)                       |             | N/A |                       |                                                                                                    |                           |
| 22.3 | Lexos Media, (cursor based advertising technology)             |             | N/A |                       |                                                                                                    |                           |

| #  | DESCRIPTION                                                         | EIF | VALUE              | INCOME TYPE         | INCOME AMOUNT             |
|----|---------------------------------------------------------------------|-----|--------------------|---------------------|---------------------------|
| 23 | University of Calgary-anticipated honorarium as payment for article | N/A | \$1,001 - \$15,000 |                     | None (or less than \$201) |
| 24 | National Review - February 29, 2016                                 | N/A |                    | Payment for Article | \$250                     |
| 25 | National Review - March 31, 2016                                    | N/A |                    | Payment for article | \$250                     |
| 26 | National Review - April 25, 2016                                    | N/A |                    | Payment for article | \$250                     |
| 27 | National Review - May 23, 2016                                      | N/A |                    | Payment for article | \$250                     |
| 28 | National Review - June 28, 2016                                     | N/A |                    | Payment for article | \$250                     |
| 29 | National Review - August 1, 2016                                    | N/A |                    | Payment for article | \$250                     |
| 30 | National Review - August 29, 2016                                   | N/A |                    | Payment for article | \$225                     |
| 31 | National Review - October 10, 2016                                  | N/A |                    | Payment for article | \$250                     |
| 32 | National Review - November 7, 2016                                  | N/A |                    | Payment for article | \$250                     |
| 33 | National Review - December 9, 2016                                  | N/A |                    | Payment for article | \$250                     |
| 34 | National Review - February 6, 2017                                  | N/A |                    | Payment for article | \$250                     |
| 35 | National Review - March 6, 2017                                     | N/A |                    | Payment for article | \$250                     |
| 36 | WSJ/ Dow Jones - March 4, 2016                                      | N/A |                    | Payment for article | \$500                     |

| #  | DESCRIPTION                                                                    | EIF         | VALUE | INCOME TYPE | INCOME AMOUNT             |
|----|--------------------------------------------------------------------------------|-------------|-------|-------------|---------------------------|
| 37 | Copyright for "Bubbleology," Crown Business, (value not readily ascertainable) | N/A         |       |             | None (or less than \$201) |
| 38 | Copyright for "Dow 36,000," Crown Business, (value not readily ascertainable)  | See Endnote | N/A   |             | None (or less than \$201) |

### 3. Filer's Employment Agreements and Arrangements

| # | EMPLOYER OR PARTY             | CITY, STATE                      | STATUS AND TERMS                                                                                                                                        | DATE   |
|---|-------------------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|--------|
| 1 | American Enterprise Institute | Washington, District of Columbia | I will continue to participate in this defined contribution plan, but neither I nor the employer will continue to contribute to it after my separation. | 2/1997 |

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION                 | EIF | VALUE                 | INCOME TYPE | INCOME AMOUNT      |
|---|-----------------------------|-----|-----------------------|-------------|--------------------|
| 1 | Vanguard Morgan Growth Fund | Yes | \$100,001 - \$250,000 |             | \$5,001 - \$15,000 |

### 6. Other Assets and Income

| #  | DESCRIPTION                                  | EIF | VALUE                   | INCOME TYPE | INCOME AMOUNT             |
|----|----------------------------------------------|-----|-------------------------|-------------|---------------------------|
| 1  | Franklin-Templeton Mutual Beacon Z           | Yes | \$250,001 - \$500,000   |             | \$15,001 - \$50,000       |
| 2  | Franklin Templeton Mutual Global Discovery Z | Yes | \$50,001 - \$100,000    |             | \$5,001 - \$15,000        |
| 3  | Franklin-Templeton Mutual European Z         | Yes | \$50,001 - \$100,000    |             | \$2,501 - \$5,000         |
| 4  | Vanguard 500 Index Admiral Shares            | Yes | \$100,001 - \$250,000   |             | \$2,501 - \$5,000         |
| 5  | Vanguard GNMA                                | Yes | \$250,001 - \$500,000   |             | \$15,001 - \$50,000       |
| 6  | Vanguard Long-Term Tax-Exempt                | Yes | \$100,001 - \$250,000   |             | \$5,001 - \$15,000        |
| 7  | Vanguard Prime Money Market                  | Yes | \$50,001 - \$100,000    |             | \$201 - \$1,000           |
| 8  | Vanguard REIT Index Admiral Shares           | Yes | \$15,001 - \$50,000     |             | \$1,001 - \$2,500         |
| 9  | Vanguard Short-Term Investment-Grade         | Yes | \$100,001 - \$250,000   |             | \$2,501 - \$5,000         |
| 10 | US Treasury Bonds                            | N/A | \$500,001 - \$1,000,000 | Interest    | \$5,001 - \$15,000        |
| 11 | US brokerage money market account (cash)     | N/A | \$50,001 - \$100,000    |             | None (or less than \$201) |
| 12 | iShares MSCI EAFE Index                      | Yes | \$50,001 - \$100,000    |             | \$2,501 - \$5,000         |
| 13 | Vanguard REIT ETF                            | Yes | \$100,001 - \$250,000   |             | \$5,001 - \$15,000        |
| 14 | Colony Starwood Homes REIT                   | Yes | \$1,001 - \$15,000      |             | None (or less than \$201) |



| #  | DESCRIPTION                        | EIF | VALUE                 | INCOME TYPE                            | INCOME AMOUNT             |
|----|------------------------------------|-----|-----------------------|----------------------------------------|---------------------------|
| 15 | Starwood PPTY TR Inc               | N/A | \$1,001 - \$15,000    | Dividends<br>Capital Gains<br>Interest | \$1,001 - \$2,500         |
| 16 | Madrigal Pharmaceuticals           | N/A | \$1,001 - \$15,000    |                                        | None (or less than \$201) |
| 17 | Ishares Cohen and Steers REIT ETF  | Yes | \$50,001 - \$100,000  |                                        | \$2,501 - \$5,000         |
| 18 | Ishares MSCI Emerging MKTS         | Yes | \$15,001 - \$50,000   |                                        | \$201 - \$1,000           |
| 19 | Ishares US Utilities               | Yes | \$100,001 - \$250,000 |                                        | \$2,501 - \$5,000         |
| 20 | SPDR Gold Trust                    | Yes | \$15,001 - \$50,000   |                                        | None (or less than \$201) |
| 21 | Vanguard Utilities ETF             | Yes | \$100,001 - \$250,000 |                                        | \$2,501 - \$5,000         |
| 22 | Vanguard High Dividend Yield Index | Yes | \$50,001 - \$100,000  |                                        | \$1,001 - \$2,500         |
| 23 | Applied Materials                  | N/A | \$15,001 - \$50,000   |                                        | None (or less than \$201) |
| 24 | Hewlett Packard Enterprise Co      | N/A | \$1,001 - \$15,000    |                                        | None (or less than \$201) |
| 25 | HP Inc                             | N/A | \$1,001 - \$15,000    |                                        | None (or less than \$201) |
| 26 | Monsanto Co.                       | N/A | \$1,001 - \$15,000    |                                        | None (or less than \$201) |
| 27 | Pfizer                             | N/A | \$1,001 - \$15,000    | Dividends                              | \$201 - \$1,000           |
| 28 | iShares Russell 1000 Index         | Yes | \$15,001 - \$50,000   |                                        | \$201 - \$1,000           |

| #  | DESCRIPTION                                      | EIF | VALUE               | INCOME TYPE | INCOME AMOUNT             |
|----|--------------------------------------------------|-----|---------------------|-------------|---------------------------|
| 29 | Vanguard FTSE Emerging MKTS                      | Yes | \$15,001 - \$50,000 |             | \$201 - \$1,000           |
| 30 | NH Portfolio 2018/ Fidelity College Savings Fund | Yes | \$15,001 - \$50,000 |             | \$201 - \$1,000           |
| 31 | US bank account #1 (cash)                        | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 32 | US bank account #2 (cash)                        | N/A | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 33 | US bank account #3 (cash)                        | N/A | \$15,001 - \$50,000 |             | None (or less than \$201) |

## 7. Transactions

| # | DESCRIPTION                                  |             | TYPE     | DATE     | AMOUNT                |
|---|----------------------------------------------|-------------|----------|----------|-----------------------|
| 1 | Cote equity - donation                       | See Endnote | Sale     | 11/02/17 | \$50,001 - \$100,000  |
| 2 | Hewlett-Packard Co                           |             | Sale     | 11/14/17 | \$1,001 - \$15,000    |
| 3 | Monsanto Co.                                 |             | Sale     | 11/14/17 | \$1,001 - \$15,000    |
| 4 | Applied Materials Inc                        |             | Sale     | 11/14/17 | \$15,001 - \$50,000   |
| 5 | Vanguard GNMA to Vanguard Prime Money Market |             | Exchange | 11/14/17 | \$250,001 - \$500,000 |
| 6 | Pfizer Inc                                   |             | Sale     | 11/14/17 | \$1,001 - \$15,000    |
| 7 | DXC Technology Co                            |             | Sale     | 11/14/17 | \$1,001 - \$15,000    |
| 8 | Hewlett Packard Enterprise Co                |             | Sale     | 11/14/17 | \$1,001 - \$15,000    |
| 9 | Prudential Financial Inc                     |             | Sale     | 10/30/17 | \$1,001 - \$15,000    |

| #  | DESCRIPTION                       | TYPE | DATE     | AMOUNT                |
|----|-----------------------------------|------|----------|-----------------------|
| 10 | Starwood Ppty TR Inc Common Stock | Sale | 11/15/17 | \$1,001 - \$15,000    |
| 11 | Vanguard Utilities ETF            | Sale | 11/15/17 | \$100,001 - \$250,000 |
| 12 | Starwood Waypoint Homes           | Sale | 11/15/17 | \$1,001 - \$15,000    |
| 13 | iShares Dow Jones US Utilities    | Sale | 11/15/17 | \$100,001 - \$250,000 |
| 14 | SPDR Gold Shares                  | Sale | 11/15/17 | \$15,001 - \$50,000   |
| 15 | Madrigal Pharmaceuticals Inc      | Sale | 11/15/17 | \$1,001 - \$15,000    |

## 8. Liabilities

| # | CREDITOR NAME         | TYPE                           | AMOUNT               | YEAR INCURRED | RATE | TERM               |
|---|-----------------------|--------------------------------|----------------------|---------------|------|--------------------|
| 1 | US Bank Home Mortgage | Mortgage on Personal Residence | \$50,001 - \$100,000 | 2011          | 3.25 | 15 Year Fixed Rate |

## 9. Gifts and Travel Reimbursements

None

## Endnotes

| PART | #  | ENDNOTE                                                                                                                                                                                     |
|------|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1.   | 9  | Note: This position includes a \$1500 honorarium for attending meetings, but I was conflicted and did not attend the first meeting, and thus, was not paid.                                 |
| 2.   | 17 | This was originally an insurance policy related to my employment at the Federal Reserve, but I continued to pay the premiums after I departed.                                              |
| 2.   | 18 | This equity was received from Prudential because of the whole life policy                                                                                                                   |
| 2.   | 38 | Equally shared with coauthor James K. Glassman                                                                                                                                              |
| 7.   | 1  | This entry is submitted after the 30-day reporting period due to an error in transcription.<br>The type of transaction has been selected as "Sale" but it is in fact a charitable donation. |

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## **8. Liabilities**

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## **9. Gifts and Travel Reimbursements**

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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