

ETHICS AGREEMENT COMPLIANCE CERTIFICATION

Senate Confirmed Presidential Appointee

1. Appointee's Information	
a. Appointee's Name:	Michael Kratsios
b. Position Title:	Director
c. Agency:	Office of Science and Technology Policy
d. Date Ethics Agreement Signed:	2/13/2025
e. Date Confirmed:	3/25/2025
f. Due Date for Submission of Certification of Ethics Agreement Compliance to OGE:	6/30/2025
2. Resignations	
I completed all of the resignations indicated in my ethics agreement before I assumed the duties of my current government position.	☒ Yes ☐ No ☐ N/A
3. Divestitures	
a. I completed all of the divestitures indicated in my ethics agreement within the time period specified. I understand that I may not reacquire these assets during my appointment without OGE's prior approval.	☒ Yes ☐ No ☐ N/A
b. I have filed a periodic transaction report, or periodic transaction reports (OGE Form 278-T) to disclose the completion of these agreed upon divestitures.	☒ Yes ☐ No ☐ N/A Filing Date(s) of OGE Form 278-T Report (s): 6/23/25
c. I completed the divestiture through a means not requiring a periodic transaction report (OGE Form 278-T).	☒ Yes ☐ No ☐ N/A

4. Managed Accounts	
If I have a managed account or use the services of an investment professional, I have notified the manager or professional of the limitations indicated in my ethics agreement. In addition, I am continuing to monitor purchases to ensure compliance with these limitations.	☐ Yes ☒ No ☐ N/A
5. Interim Recusals	
I have complied with my interim recusal obligations pending the divestitures required by my ethics agreement.	☒ Yes ☐ No ☐ N/A
6. Recusals (Note: These factual statements describe the appointee's current status. They are not intended to modify ethics agreement commitments or create new recusal obligations.)	
a. As required by 18 U.S.C. § 208, I will continue to recuse from particular matters in which I know I have a <u>personal</u> or <u>imputed</u> financial interest directly and predictably affected by the matter, unless I receive a waiver or qualify for a regulatory exemption.	☒ Yes ☐ No
b. I am recusing from particular matters in which any former employer or client of mine for whom I provided services to in the past year, or in which my spouse's employer, or a current client is a party or represents a party, unless I have been authorized under 5 C.F.R. § 2635.502(d).	☒ Yes ☐ No ☐ N/A

7. Waivers and Authorizations (Consult with your agency's DAEO if uncertain under what authority a waiver or authorization was granted.)		
a. I received a waiver pursuant to 18 U.S.C. § 208. If yes, indicate the date of the waiver and indicate the financial interest covered by the waiver.	☐ Yes ☒ No	
	Date: Financial Interest:	
b. I received an authorization pursuant to 5 C.F.R. § 2635.502(d). If yes, indicate date of authorization and identify the covered person(s) as to whom you have been authorized (e.g., former employer, former client, spouse's employer, spouse's current client, etc.).	☐ Yes ☒ No	
	Date: Covered person(s):	
c. I received a waiver pursuant to 5 C.F.R. § 2635.503(c). If yes, indicate the date of the waiver and identify the former employer or payer.	☐ Yes ☒ No	
	Date: Former employer or payer:	
8. Payments, Accelerations, or Divestitures Required to be Completed Prior to Entering Government Service		
☒ Mark this box if not applicable	a. If I committed that I would forfeit a financial interest or payment, unless it was received or accelerated prior to my assumption of the duties of the government position:	☐ I received it (or it was accelerated) <u>prior to</u> my assumption of the duties of the position. ☐ I received it (or it was accelerated) <u>after</u> my assumption of the duties of the position. ☐ I forfeited it.
	b. Financial interest or payment at issue:	

9. Requirements for Regular Appointees	
I have completed my initial ethics briefing, pursuant to 5 C.F.R. § 2638.305. If you are a Special Government Employee (SGE) or career Foreign Service Officer (FSO), select N/A.	☒ Yes ☐ No ☐ N/A
10. Additional Ethics Agreement Requirements	
My spouse has divested the stock and unvested restricted stock units issued by her employer.	I am complying with these requirements as described in the adjacent box. ☒ Yes ☐ No ☐ N/A
11. Comments of Appointee	
I previously submitted a Compliance Certification dated 6/24/25, noting that, due to a blackout period instituted by my former employer, I was unable to divest from the vested stock options issued by that company by the deadline of June 23. However, I have now completed that divestiture and am fully compliant with the terms of my Ethics Agreement.	
12. Certification-	
Any intentionally false or misleading statement or response provided in this certification is a violation of law punishable by a fine or imprisonment, or both, under 18 U.S.C. § 1001.	
I certify that the information I have provided is complete and accurate.	
Appointee's Signature:	Date:
	7/24/25

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c. I completed the divestiture through a means not requiring a periodic transaction report (OGE Form 278-T).	☒ Yes ☐ No ☐ N/A

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I have complied with my interim recusal obligations pending the divestitures required by my ethics agreement.	☒ Yes ☐ No ☐ N/A
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b. I am recusing from particular matters in which any former employer or client of mine for whom I provided services to in the past year, or in which my spouse's employer, or a current client is a party or represents a party, unless I have been authorized under 5 C.F.R. § 2635.502(d).	☒ Yes ☐ No ☐ N/A

7. Waivers and Authorizations (Consult with your agency's DAEO if uncertain under what authority a waiver or authorization was granted.)		
a. I received a waiver pursuant to 18 U.S.C. § 208. If yes, indicate the date of the waiver and indicate the financial interest covered by the waiver.	☐ Yes ☒ No	
	Date: Financial Interest:	
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My spouse has divested the stock and unvested restricted stock units issued by her employer.	I am complying with these requirements as described in the adjacent box. ☒ Yes ☐ No ☐ N/A
11. Comments of Appointee	
Due to a blackout period instituted by my former employer, I was unable to sell the vested stock options issued by that company by the deadline of June 23. However, on June 15, I submitted my election to have my options purchased and canceled as part of the company's tender offer. The purchase and cancellation of my options is expected to occur on or around July 23.	
12. Certification-	
Any intentionally false or misleading statement or response provided in this certification is a violation of law punishable by a fine or imprisonment, or both, under 18 U.S.C. § 1001.	
I certify that the information I have provided is complete and accurate.	
Appointee's Signature:	Date:
	6/24/25