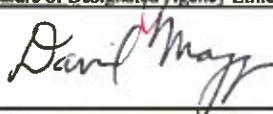


Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election, or Nomination (<i>Month, Day, Year</i>)	Reporting Status (Check Appropriate Boxes)	Incumbent <input type="checkbox"/>	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate <input type="checkbox"/>	Termination Filer <input checked="" type="checkbox"/>	Termination Date (<i>If Applicable</i>) (<i>Month, Day, Year</i>)	<p>Fee for Late Filing Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a \$200 fee.</p> <p>Reporting Periods Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.</p> <p>Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.</p> <p>Nominees, New Entrants and Candidates for President and Vice President: Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing. Schedule B--Not applicable. Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing. Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing. Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.</p>
June 26, 2013					<input checked="" type="checkbox"/>	1/20/2017	
Reporting Individual's Name	Last Name		First Name and Middle Initial				
	Pritzker		Penny S.				
Position for Which Filing	Title of Position		Department or Agency (<i>If Applicable</i>)				
	Secretary of Commerce		Department of Commerce				
Location of Present Office (or forwarding address)	Address (<i>Number, Street, City, State, and ZIP Code</i>)				Telephone No. (<i>Include Area Code</i>)		
	300 N. LaSalle St., Suite 1500, Chicago, IL 60654				312-873-4801		
Position(s) Held with the Federal Government During the Preceding 12 Months (<i>If Not Same as Above</i>)	Title of Position(s) and Date(s) Held						
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination			Do You Intend to Create a Qualified Diversified Trust?			
				<input type="checkbox"/> Yes <input type="checkbox"/> No			
Certification	Signature of Reporting Individual				Date (<i>Month, Day, Year</i>)		
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	x 				April 28, 2017		
Other Review (If desired by agency)	Signature of Other Reviewer				Date (<i>Month, Day, Year</i>)		
					May 5, 2017		
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Official				Date (<i>Month, Day, Year</i>)		
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).					May 5, 2017		
Office of Government Ethics Use Only	Signature				Date (<i>Month, Day, Year</i>)		
Comments of Reviewing Officials (<i>If additional space is required, use the reverse side of this sheet</i>)							
(Check box if filing extension granted & indicate number of days <u>90</u>) <input checked="" type="checkbox"/>							
(Check box if comments are continued on the reverse side) <input type="checkbox"/>							
Agency Use Only							
OGE Use Only							
MAY 5, 2017							

Reporting Individual's Name Penny S. Pritzker	SCHEDULE A continued (Use only if needed)	Page Number 2
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Assets and Income		Valuation of Assets at close of reporting period											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																			
BLOCK A		BLOCK B											BLOCK C																			
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount							Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria			
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000			\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000
1	AREP Investors, L.L.C. (See Note 2-1)												X				X	X	X										X			
2	ASH I, L.L.C. (See Note 2-2)				X																				X							
3	ASH II, L.L.C. (See Note 2-3)			X																					X							
4	Asworth, L.L.C. (See Note 2-4)		X																						X							
5	AVEF VII Investor, L.L.C. (See Note 2-5)										X											X	X							X		
6	AW-PAG, L.L.C. (See Note 2-6)		X																						X							
7	Blackrock SIO Mutual Fund (BSIIX) (S)						X							X			X										X					
8	Blackrock SIO Mutual Fund (BSIIX)										X			X											X							
9	Bombay Hotel Corporation (See Note 2-9)				X																				X							

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name Penny S. Pritzker	SCHEDULE A continued (Use only if needed)	Page Number 4
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Assets and Income		Valuation of Assets at close of reporting period											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																									
BLOCK A		BLOCK B											BLOCK C																									
1	Description	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount							Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria									
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000			\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000					
1	CPC, Inc. (See Note 4-1)			X																X																		
2	Intentionally not used																																					
3	DBHC Acquisiton. LLC (See Note 4-3)						X																														LLC Distribution \$582,804	
4	Deposit - Agency Account (See Note 4-4)						X															X																
5	Depository Account - PSP Capital Resources, L.L.C. (See Note 4-5) (S)							X																														
6	Depository Account - PSP Capital Resources, L.L.C. (See Note 4-6)									X																												
7	Intentionally not used																																					
8	Intentionally not used																																					
9	Eagle Pines Investors, L.L.C (See Note 4-9)		X																																			

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name Penny S. Pritzker	SCHEDULE A continued (Use only if needed)	Page Number 5
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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C																
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount							Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000		
1	Intentionally not used																											
2	Escrow Account - US Financial Advisors, Inc. (See Note 5-2)																				X	X						
3	Evergreen Park Investors, L.L.C. (See Note 5-3)																				X	X						
4	Exchange Holding Co. (See Note 5-4)																				X	X						
5	Intentionally not used																											
6	Eye Physicians & Surgeons of Chicago (See Note 5-6) (S)																				X	X						
7	FBU Archive Company, L.L.C. (See Note 5-7)																				X	X						
8	Frontier MFG GLBL EQTY (S)																				X	X						
9	Ganesh Investments, L.L.C. (See Note 5-9)																				X	X						

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Reporting Individual's Name Penny S. Pritzker	SCHEDULE A continued (Use only if needed)	Page Number 7
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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C																							
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount							Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria							
																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000			\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000			
1	Intentionally not used																																		
2	HTC Capital Resources, L.L.C. (See Note 7-2)											X																	Ordinary Income \$1,930,996						
3	Hyatt Hotels Corporation - Class A Shares														X																				
4	Hyatt Hotels Corporation - Class B Shares																																		
5	iShares Curr. Hedged Fund (S)																																		
6	Illinois RBG, L.L.C. (See Note 7-6)																															LLC Distribution of \$814,168			
7	Indiana RBG, L.L.C. (See Note 7-7)																															LLC Distribution of \$242,023			
8	Island Investment Group, L.L.C. (See Note 7-8)																																	LLC Distribution of \$357,614	
9	Jacksonville Town Center Investors, L.L.C. (See Note 7-9)																																		

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name Penny S. Pritzker	SCHEDULE A continued (Use only if needed)	Page Number 16
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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C																					
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount							Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria					
																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000			\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	
1	X												X					X															
2							X						X						X	X													
3					X								X						X														
4					X								X						X	X													
5									X				X						X	X							X						
6					X								X						X														
7					X								X						X	X													
8					X								X						X														
9									X				X						X														

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Reporting Individual's Name Penny S. Pritzker	SCHEDULE A continued (Use only if needed)	Page Number 28
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Assets and Income		Valuation of Assets at close of reporting period											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																						
BLOCK A		BLOCK B											BLOCK C																						
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount							Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria						
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000			\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000		
1	IL NTHRN IL MUNI PWR AGY				X													X																	
2	PA BERMUDIAN SPRINGS SD				X														X																
3	SC SUMTER TWO SCH FACS	X																	X																
4	MI YPSILANTI SD-A-REF				X																	X													
5	CORPUS CHRISTI TEX GEN IMPT REF BDS 2012A GO	X																		X			X												
6	NJ BAYONNE-REF-SCH				X															X				X											
7	OK CLEVELAND EDU FACS				X															X					X										
8	PHILADELPHIA PA MUN AUTH REV CITY AGREEMENT REV BDS 2012	X																		X				X											
9	PA BEAVER CO-A				X															X					X										

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Reporting Individual's Name Penny S. Pritzker	SCHEDULE A continued (Use only if needed)	Page Number 32
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BLOCK A Assets and Income	BLOCK B Valuation of Assets at close of reporting period											BLOCK C Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																												
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount						Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria													
																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000			\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000								
1	Horton Trust Company (S)																														Board Fees									
2	Eye Physicians & Surgeons of Chicago (S)																																	Deferred Salary						
3	F.L.P. Trust #19																																	Trust Distribution \$53,000,000 & \$10,000,000						
4	Intentionally not used																																							
5	Intentionally not used																																							
6	Intentionally not used																																							
7	Intentionally not used																																							
8	Intentionally not used																																							
9	Intentionally not used																																							

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name Penny S. Pritzker	SCHEDULE B	Page Number 33
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Part I: Transactions

None

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)												
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture	
	Example Central Airlines Common	x			2/1/99			x										
1	RAST 2004-R2 A3	X			1/6/16							X						
2	CSMC 2007-3 4A15	X			1/20/16					X								
3	SASC 2005-174A4	X			1/22/16								X					
4	RFMSI 2004-S9 2A1	X			1/27/16			X										
5	BOAMS 2004-1 3A1	X			2/29/16				X									

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$350 and (2) travel-related cash reimbursements received from one source totaling more than \$350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$140 or less. See instructions for other exclusions.

None

	Source (Name and Address)	Brief Description	Value
	Examples Nat'l Assn. of Rock Collectors, NY, NY Frank Jones, San Francisco, CA	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty) Leather briefcase (personal friend)	\$500 \$385
1			
2			
3			
4			
5			

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name Penny S. Pritzker	SCHEDULE B continued (Use only if needed)	Page Number 34
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Part I: Transactions

Identification of Assets		Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)												
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture	
1	MSM 2007-6XS 1A2S	X			2/29/16			X										
2	RFMSI 2007-S2 A4		X		2/29/16				X									
3	SASC 2006-BC4 A4		X		3/9/16							X						
4	GSR 2007-1F 2A4		X		3/14/16				X									
5	OPMAC 2005-5 2AD1	X			4/26/16							X						
6	MSM 2005-7 7A7	X			4/29/16							X						
7	WFMBS 2005-14 2A1	X			5/9/16			X										
8	BAFC 2007-5 6A1	X			5/24/16	X												
9	CWALT 2004-18CB 4A1	X			5/24/16				X									
10	IMM 2005-5 A3W	X			6/3/16							X						
11	MSM 2006-11 2A1	X			6/7/16				X									
12	WFMBS 2005-9 1A10	X			6/8/16		X											
13	GSA 2007-7 1A2	X			6/14/16						X							
14	MARM 2005-1 7A1	X			7/5/16						X							
15	MLMI 2005-A9 2A1C	X			7/6/16						X							
16	INDX 2006-AR9 3A2	X			8/30/16							X						

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Reporting Individual's Name Penny S. Pritzker	SCHEDULE B continued (Use only if needed)	Page Number 35
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Part I: Transactions

#	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)												
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture	
1	CSMC 2007-5 8A2	X			10/4/16				X									
2	WFMBS 2006-4 1A5	X			10/7/16					X								
3	BOAA 2006-2 6A1	X			10/12/16							X						
4	BOAA 2006-5 CB14	X			10/17/16						X							
5	GMACM 2005-AR3 3A1	X			10/18/16						X							
6	WFALT 2007-PA5 1A1		X		10/28/16					X								
7	BSARM 2005-6 3A1	X			11/15/16							X						
8	RFMSI 2006-S3 A9		X		11/17/16				X									
9	BOAA 2005-8 2CB1	X			11/21/16				X									
10	MSM 2007-6XS 1A2S	X			12/9/16			X										
11	SASC 2004-13 2A1	X			12/12/16							X						
12	BOAA 2005-10-1CB3	X			12/13/16						X							
13	BOAA 2004-2 1A1	X			12/27/16		X											
14	BOAA 2005-8 2CB1	X			1/12/17					X								
15	Intentionally not used																	
16	SC RICHLAND ETC ARPT-B		X		1/1/16			X										

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Reporting Individual's Name Penny S. Pritzker	SCHEDULE B continued (Use only if needed)	Page Number 36
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Part I: Transactions

#	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture
1	IL WSTRN IL UNIV	X			1/22/16				X								
2	MI WARREN SD-B-REF	X			2/3/16				X								
3	MI LIVONIA PUB SD-II	X			2/4/16				X								
4	PA BERMUDIAN SPRINGS SD	X			2/17/16				X								
5	PA MIFFLIN CO SD-REF	X			2/26/16				X								
6	WASHINGTON HLTH CARE-SEATTLE CAN		X		3/1/16				X								
7	CORPUS CHRISTI TEX GEN IMPT REF BDS 2012A GO		X		3/1/16			X									
8	SC SUMTER TWO SCH FACS	X			3/2/16				X								
9	PHILADELPHIA PA MUN AUTH REV CITY AGREEMENT REV BDS 2012		X		3/15/16				X								
10	NJ BAYONNE-REF-SCH	X			3/23/16				X								
11	OK CLEVELAND EDU FACS	X			3/23/16				X								
12	WARREN CNTY KY HOSP REV HOSP 4/1/16		X		4/1/16				X								
13	PA BEAVER CO-A	X			4/27/16				X								
14	MI N KENT SWR AUTH-REF	X			4/28/16				X								
15	CITY OF ELIZABETH N J G/P GEN IMPT RFDG BDS SERIES 2012		X		5/15/16				X								
16	NJ JERSEY CITY-B-REF-WTR	X			5/17/16				X								

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Part I: Transactions

#	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture
1	PA S PUB SCH BLDG AUTH-A-WESTMORELA	X			5/17/16				X								
2	MI ROMULUS TIF - REF	X			5/24/16				X								
3	CT NAUGATUCK COPS-A		X		6/15/16				X								
4	OK TULSA CO INDL AUTH	X			6/21/16				X								
5	TX TARRANT CULT EDU-A-BAYLOR SCOTT	X			6/22/16				X								
6	PA MIFFLIN CO SD-REF		X		6/29/16				X								
7	TX TARRANT CLT EDU-A-BAYLOR SCOTT		X		6/29/16				X								
8	ABAG FIN AUTH FOR NONPROFIT CO REV BDS 2012		X		7/1/16			X									
9	NEW YORK ST DORM AUTH THE CULINARY INSTITUTE OF AMERICA REV BONDS SERIES 2012		X		7/1/16			X									
10	WAYNE CNTY PA HOSP & HEALTH FA GTD HOSP REV BK QLFD		X		7/1/16				X								
11	NJ EDUCNTL-C-ROWAN UNIVERS	X			7/6/16				X								
12	PA LANCASTER-A	X			7/7/16			X									
13	KY LOUISVILLE & JEFFERSO-NORTON HEA	X			7/13/16				X								
14	IL RAILSPLITTER	X			7/19/16				X								
15	PA HAZLETON ASD-B-REF	X			7/21/16				X								
16	IL CRESTWOOD VLG-B-REF	X			8/11/16				X								

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Part I: Transactions

Identification of Assets		Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)												
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over	\$1,000,000* \$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over	\$50,000,000 Certificate of divestiture	
1	TX CLIFTON HGR EDU FIN-IDEA PUBLIC		X		8/15/16				X									
2	IL NTHRN IL MUNI PWR AGY	X			8/24/16				X									
3	MI YPSILANTI SD-A-REF	X			8/31/16				X									
4	JERSEY CITY N J GO REF BDS 2012-A GO		X		9/1/16					X								
5	MA ST HLTH & EDU FACS AUTH REV	X			9/13/16						X							
6	KY ST PPTY-REF-#101		X		10/1/16				X									
7	PA ST PUB SCH BLDG AUTH-HARRISBURG		X		10/1/16				X									
8	VI VI FIN GROSS RCPTS LN		X		10/1/16				X									
9	NJ BORDERTOWN SD-REF	X			10/13/16				X									
10	LOUISIANA LOC GOVT ENVIRONMENT REV BDS 2012		X		11/1/16			X										
11	RHODE ISLAND HEALTH & EDL BLDG REV 4.00%		X		11/1/16				X									
12	PA READING-A		X		11/15/16				X									
13	SC SUMTER SCH FACS REF-2		X		12/1/16				X									
14	NY RENSSELAER CITY SD	X			12/8/16				X									
15	CO TRIVIEW MET DIST-REF	X			12/9/16				X									
16	WY MUN PWR AGY-A-REF	X			12/14/16			X										

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Part I: Transactions

#	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	Over \$1,000,000*	Over \$5,000,000	Over \$25,000,000	Over \$50,000,000	Over \$50,000,000 Certificate of divestiture
1	NY BLD NYC RESOURCE-ROYAL CHARTER P		X		12/15/16			X									
2	SC SUMTER TWO SCH FACS		X		12/27/16			X									
3	SC RICHLAND ETC ARPT-B		X		1/1/17			X									
4	SC SUMTER TWO SCH FACS		X		1/6/17		X										
5	SC SUMTER TWO SCH FACS		X		1/10/17			X									
6	Intentionally not used																
7	SEVEN SPRINGS VILLAGE (WASHINGTON, DC)		X		9/30/16					X							
8	CHATHAM PINES (WINTER SPRINGS, FL)		X		5/9/16					X							
9	COLUMNS AT HIRAM (HIRAM, GA)		X		6/17/16				X								
10	FAIRWAYS AT JENNINGS MILL (ATHENS, GA)		X		6/17/16				X								
11	COLUMNS AT PAXTON LANE (LILBURN, GA)		X		6/17/16				X								
12	COLUMNS AT EAST BAY (LARGO, FL)		X		6/17/16			X									
13	COLUMNS AT SWEETWATER (LITHIA SPRINGS, GA)		X		6/17/16			X									
14	COLUMNS AT BEAR CREEK (NEW PORT RICHEY, FL)		X		6/17/16			X									
15	COLUMNS AT CYPRESS POINT (WESLEY CHAPEL, FL)		X		6/17/16				X								
16	COLUMNS AT PILGRIM MILL (CUMMING, GA)		X		6/17/16			X									

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Part I: Transactions

#	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)												
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over	\$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over	\$50,000,000
1	COLUMNS AT AKERS MILL (ATLANTA, GA)		X		6/17/16				X									
2	COLUMNS AT CLUB DRIVE (DULUTH, GA)		X		6/17/16			X										
3	REGENCY IN THE FOREST (CONROE, TX)		X		5/4/16					X								
4	REGENCY AT WOODLANDS TOWNHOMES (HOUSTON, TX)		X		9/8/16					X								
5	FOUNDATIONS AT WOODLANDS (HOUSTON, TX)		X		9/8/16					X								
6	270 TECHNOLOGY PARK (FREDERICK, MD)		X		7/18/16				X									
7	HAMPTON OVERLOOK (CAPITAL HEIGHTS, MD)		X		8/4/16				X									
8	PLEASANT HILL (MEMPHIS, TN)		X		1/29/16		X											
9	MIAC COVE (MEMPHIS, TN)		X		1/29/16			X										
10	MCKESSON/QUEST (MEMPHIS, TN)		X		1/29/16				X									
11	DIAMOND COMICS (OLIVE BRANCH, MS)		X		1/29/16					X								
12	760 COMMERCE PARKWAY (INDIANAPOLIS, IN)		X		1/29/16					X								
13	KC LIFE PORTFOLIO (MEMPHIS, TN)		X		1/29/16				X									
14	811 ZEPHYR STREET (STOCKTON, CA)		X		1/29/16					X								
15	2750 BARRETT LAKES BLVD (ATLANTA, GA)		X		5/20/16					X								
16	2850 BARRETT LAKES BLVD (ATLANTA, GA)		X		5/20/16				X									

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Part I: Transactions

#	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - 15,000	\$15,001 - 50,000	\$50,001 - 100,000	\$100,001 - 250,000	\$250,001 - 500,000	\$500,001 - 1,000,000	Over 1,000,000*	\$1,000,000 - 5,000,000	\$5,000,001 - 25,000,000	\$25,000,001 - 50,000,000	Over 50,000,000	Certificate of divestiture
1	649 OMEGA PARKWAY (LOUISVILLE, KY)		X		5/20/16					X							
2	2200 W SAN BERNARDINO (REDLANDS, CA)		X		5/20/16					X							
3	2700 BARRETT LAKES BLVD (ATLANTA, GA)		X		5/20/16					X							
4	JAMESBURG DRIVE (CHARLOTTE, NC)		X		5/20/16					X							
5	1075 TAYLOR ROAD FLORSTAR (CHICAGO, IL)		X		5/20/16					X							
6	4611 DAIRY DRIVE (GREENVILLE, SC)		X		6/21/16				X								
7	52 BRUCE ROAD (GREENVILLE, SC)		X		6/21/16				X								
8	45150 HIGHWAY 27 (ORLANDO, FL)		X		6/21/16					X							
9	305 DEEN STILL ROAD (ORLANDO, FL)		X		6/21/16					X							
10	7815 AMERICAN WAY (ORLANDO, FL)		X		6/21/16				X								
11	200 WEST STEWART HUSTON (PHILADELPHIA, PA)		X		6/28/16				X								
12	1650 HIGHWAY 67 (DALLAS, TX)		X		9/22/16				X								
13	GATEWAY WEST LOOP (CHICAGO, IL)		X		3/1/16								X				
14	FREDDIE MAC K501 (VARIOUS)		X		11/28/16								X				
15	BUSH STREET (SAN FRANCISCO, CA)		X		7/16-1/17					X							
16	Intentionally not used																

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Part I: Transactions

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)												
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture	
1	BRASELTON (BRASELTON, GA)		X		7/29/16					X								
2	BRASELTON INDUSTRIAL LOT 12 (BRASELTON, GA)		X		10/11/16					X								
3	PLAINSBORO PLAZA (PLAINSBORO, NJ)		X		10/18/16						X							
4	RESEARCH CENTER PLAZA (CARLSBAD, CA)		X		12/20/16					X								
5	PARK 20 (LANCASTER, TX)	X			1/29/16						X							
6	GATEWAY DEAL (UNION & FLORISSANT, MO)	X			2/1/16				X									
7	WHITEMARSH DEAL (PHILADELPHIA, PA)	X			2/1/16					X								
8	TWINBROOK METRO PLAZA (ROCKVILLE, MD)	X			3/11/16						X							
9	OAK SPRINGS DEAL (WARRENTON, VA)	X			3/17/16				X									
10	HICKORY CREEK APARTMENTS (RICHMOND, VA)	X			6/23/16						X							
11	SAVOY PARK (NEW YORK, NY)	X			7/11/16								X					
12	518 TOWNSHIP LINE ROAD (BLUE BELL, PA)	X			7/27/16					X								
13	ASHFORD MEZZ (VARIOUS)	X			7/29/16								X					
14	TECH PARK (NORCROSS, GA)	X			8/2/16					X								
15	PARK 840 DISTRIBUTION CENTER (LEBANON, TN)	X			8/19/16				X									
16	MANTECA SELF STORAGE (MANTECA, CA)	X			11/14/16				X									

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Part I: Transactions

#	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture
1	FIVESQUARES (VARIOUS)	X			11/18/16				X								
2	GRAND RESERVE AT GEIST (INDIANAPOLIS, IN)	X			12/14/16					X							
3	WANAQUE (HASKELL, NJ)	X			8/4/16							X					
4	MAJESTIC OAKS (WARMINSTER, PA)	X			8/4/16							X					
5	GALLOWAY (GALLOWAY, NJ)	X			8/4/16						X						
6	BLOOMINGDALE (BLOOMINGDALE, NJ)	X			8/4/16						X						
7	HIGHLAND MANOR (EXETER, PA)	X			8/4/16						X						
8	BARNEGAT (BARNEGAT, NJ)	X			8/4/16						X						
9	PROJECT NEW MEXICO (VARIOUS)	X			11/1/16								X				
10	KNOXVILLE SELF-STORAGE PORTFOLIO (KNOXVILLE, TN)		X		11/17/16	X											
11	TIPPECANOE DISTRIBUTION FACILITY (SAN BERNADINO, CA)		X		5/13/16	X											
12	SABINA APARTMENTS (AUSTIN, TX)	X			3/29/16			X									
13	670 SOUTH 91ST AVE (TOLLESON, AZ)	X			7/1/16			X									
14	PIC N SAVE CENTER (WAUWATOSA, WI)	X			7/27/16		X										
15	DFW AIRPORT DISTRIBUTION (FLOWER MOUND, TX)		X		7/26/16			X									
16	101 MERRIMAC (BOSTON, MA)	X			1/7/16				X								

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Part I: Transactions

#	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture
1	SAN MARCOS (SAN MARCOS, CA)	X			5/25/16			X									
2	ESTATES AT BEE CAVE (AUSTIN, TX)	X			6/29/16				X								
3	DUKE BRIDGES III (DALLAS, TX)	X			8/30/16				X								
4	BEAVERTON (PORTLAND, OR)	X			9/15/16				X								
5	5115 PARK PLACE (CHARLOTTE, NC)	X			10/14/16					X							
6	STONELEIGH (KELLER, TX)	X			12/14/16				X								
7	BLACKROCK SIO MUTUAL FUND (BSIIX)	X			throughout year											X	
8	BLACKROCK SIO MUTUAL FUND (BSIIX)		X		9/22/16											X	
9	GMO QUALITY FUND		X		10/4/16											X	
10	FRONTIER MFG GLBL EQTY (S)	X			throughout year				X								
11	GOTHAM ABSOLUTE RETURN (S)		X		1/4/17							X					
12	BLACKROCK SIO MUTUAL FUND (BSIIX) (S)	X			throughout year		X										
13	MAINSTAY TAX FREE BOND FUND (S)	X			throughout year		X										
14	ISHARES CURRENCY HEDGE (S)	X			throughout year			X									
15	GMO QUALITY FUND (S)	X			throughout year			X									
16	564 RANDOLPH BUILDING	X			8/31/16			X									

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Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your

personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None

Category of Amount or Value (x)

Examples	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
	First District Bank, Washington, DC John Jones, Washington, DC	Mortgage on rental property, Delaware Promissory note	1991 1999	8% 10%	25 yrs. on demand			x		x						
1	Intentionally not used															
2	CitiBank Credit Card	Credit Card Charges	Various	Variable	Revolving	X										
3	American Express Credit Card	Credit Card Charges	Various	Variable	Revolving				X							
4	Neiman Marcus Credit Card	Credit Card Charges	Various	Variable	Revolving		X									
5	Chase Visa	Credit Card Charges	Various	Variable	Revolving		X									

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Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None

Example	Status and Terms of any Agreement or Arrangement	Parties	Date
	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	PSP Capital 401(k) plan (f/k/a Pritzker Realty Group, LLC 401(k) plan; merged 12/31/16)	PSP Capital, Chicago, IL	01/12
2	Wm. Wrigley Jr. Company - Deferred Compensation Plan (See Note 13-5)	Wm. Wrigley Jr. Company	01/94
3	Intentionally not used		
4	Intentionally not used		
5	Intentionally not used		
6	Intentionally not used		

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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples	Nat'l Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1					
2					
3					
4					
5					
6					

Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other

non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None

	Source (Name and Address)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction
1		
2		
3		
4		
5		
6		

ATTACHMENT 14-1

Penny Pritzker 1-20-2017

Location	Asset Name	Asset ID	Ticker	Type of Security
14/1	BANC OF AMERICA FUNDING C M O SER 2005 5 CL 1A11 5.500 09/25/2035	05946KE58	BAFC 2005-5 1A11	Non-agency Residential Mortgage Backed Securities
14/2	JPMORGAN MTG ACQUI 5.49585% 11/25/36	46630LAD6	JPMAC 2007-CH1 AF3	Non-agency Residential Mortgage Backed Securities
14/3	BC AMERICA ALT LN 5.500% 11/25/20	05948KT33	BOAA 2005-10 6A1	Non-agency Residential Mortgage Backed Securities
14/4	RESIDENTIAL FUNDING 5.500% 10/25/21	74958DAH1	RFMSI 2006-S10 2A1	Non-agency Residential Mortgage Backed Securities
14/5	WASHINGTON MUTUAL 5.500% 3/25/35	9393362D4	WMALT 2005-1 1A1	Non-agency Residential Mortgage Backed Securities
14/6	STRUCTURED ASSET SECURITIES CORP C M O SER 2005 17 10/25/2035	86359DSN8	SASC 2005-174A4	Non-agency Residential Mortgage Backed Securities
14/7	BANC OF AMERICA FUNDING CORPORATION C M O SER 05 7 CL 3A15 5.750 11/25/2035	05946XU43	BAFC 2005-7 3A15	Non-agency Residential Mortgage Backed Securities
14/8	RESIDENTIAL ACCREDIT 5.000% 3/25/20	76110HY45	RALI 2005-QS3 2A1	Non-agency Residential Mortgage Backed Securities
14/9	BANC OF AMERICA ALTERNATIVE LN TR C M O SER 2006 3 CL 6A1 6.000 04/25/2021	05948K4R7	BOAA 2006-3 6A1	Non-agency Residential Mortgage Backed Securities
15/1	CITIGROUP MORTGAGE LOAN TRUST 01/25/2037	17311CAC5	CMULTI 2007-WFH1 A3	Non-agency Residential Mortgage Backed Securities
15/2	BANC OF AMERICA MORTGAGE SECURITIES C M O SER 2004 1 CL 3A1 5.000 02/25/2019	05948XX97	BOAMS 2004-1 3A1	Non-agency Residential Mortgage Backed Securities
15/3	BANC OF AMERICA MORTGAGE SECURITIES C M O SER 2003 6 CL 1A1 5.000 08/25/2033	05948XP28	BOAMS 2003-6 1A1	Non-agency Residential Mortgage Backed Securities
15/4	FIRST HORIZON ALT 5.750% 11/25/21	32052FBD7	FHAMS 2006-FA6 3A1	Non-agency Residential Mortgage Backed Securities
15/5	BANC OF AMERICA FUNDING CORPORATION C M O SER 07 4 CL 5A3 5.500 11/25/2034	05953YBV2	BAFC 2007-4 5A3	Non-agency Residential Mortgage Backed Securities
15/6	GSR Mortgage Loan Trust 2.210% 11/25/34	36242DLX9	GSR 2004-13F 4A1	Non-agency Residential Mortgage Backed Securities
15/7	COUNTRIWIDE ALTERNATIVE LOAN TRUST C M O SER 2004 18CB CL 4A1 5.500 09/25/2034	12667FQY5	CWALT 2004-18CB 4A1	Non-agency Residential Mortgage Backed Securities
15/8	COUNTRYWIDE HOME LOANS C M O SER 04 J9 CL 2A5 5.500 01/25/2035	12669GFK2	CWHL 2004-J9 2A5	Non-agency Residential Mortgage Backed Securities
15/9	RESIDENTIAL ACCREDIT 5.000% 5/25/19	76110HTG4	RALI 2004-QS6 A1	Non-agency Residential Mortgage Backed Securities
16/1	COUNTRYWIDE HOME LOANS C M O SER 2005 6 CL 1A1A 5.750 04/25/2035	12669GSQ5	CWHL 2005-6 1A1	Non-agency Residential Mortgage Backed Securities
16/2	GSR MORTGAGE LOAN TRUST C M O SER 2005 6F CL 3A1 07/25/2035	362341DU0	GSR 2005-6F 3A1	Non-agency Residential Mortgage Backed Securities
16/3	GSR MORTGAGE LOAN TRUST C M O SER 2005 4F CL 3A1 6.500 04/25/2020	36242DW33	GSR 2005-4F 3A1	Non-agency Residential Mortgage Backed Securities
16/4	JP MORGAN ALT LN TR 5.710% 12/25/36	466302AJ5	JPALT 2006-SA A6	Non-agency Residential Mortgage Backed Securities
16/5	GSAA HOME EQUITY TRUST C M O SER 07 7 CL 1A2 07/25/2037	36249BAB6	GSAA 2007-7 1A2	Non-agency Residential Mortgage Backed Securities
16/6	RESIDENTIAL ACCREDIT 5.000% 11/25/18	76110HMA4	RALI 2003-QS20 A1	Non-agency Residential Mortgage Backed Securities
16/7	HOME EQUITY LN TRUST A B S SER 2007 FRE1 CL 2AV1 04/25/2037	43710XAB4	HELT 2007-FRE1 2AV1	Non-agency Residential Mortgage Backed Securities
16/8	MASTR ALTERNATIVE LOANS TRUST A B S SER 2003 9 CL 1A1 5.500 12/25/2018	576434KU7	MALT 2003-9 1A1	Non-agency Residential Mortgage Backed Securities
16/9	MORGAN STANLEY MORTGAGE LOAN TRUST C M O SER 2005 7 CL 7A7 5.500 11/25/2035	61748HP54	MSM 2005-7 7A7	Non-agency Residential Mortgage Backed Securities
17/1	RESIDENTIAL ACCRED 5.500% 4/25/22	74923HBA8	RALI 2007-QS4 5A2	Non-agency Residential Mortgage Backed Securities
17/2	OWNIT MORTGAGE LOAN ASSET BACKED TR A B S SER 2005 1 CL M2 09/25/2035	59020USF3	OWNIT 2005-1 M2	Non-agency Residential Mortgage Backed Securities
17/3	COUNTRYWIDE HOME LN 5.500% 9/25/35	12669G3R0	CWHL 2005-16 A23	Non-agency Residential Mortgage Backed Securities
17/4	JP MORGAN ALTERNATIV 5.000% 2/25/21	46627MET0	JPALT 2006-S1 2A2	Non-agency Residential Mortgage Backed Securities
17/5	NOMURA ASSET ACCEPTANCE CORPORATION C M O SER 2004 AR2 CL M1 10/25/2034	65535VEW4	NAA 2004-AR2 M1	Non-agency Residential Mortgage Backed Securities
17/6	Wells Fargo Mortgage Backed Securities 5.500% 3/25/36	94983QAL0	WFMBS 2006-3 A11	Non-agency Residential Mortgage Backed Securities
17/7	WELLS FARGO MBS 5.500% 10/25/35	94982WAK0	WFMBS 2005-9 1A10	Non-agency Residential Mortgage Backed Securities
17/8	Bear Sterns Asset Backed Securities 5.500% 11/25/35	073879Z68	BSABS 2005-AC8 A5	Non-agency Residential Mortgage Backed Securities
17/9	CITIMORTGAGE ALTERNA 5.250% 3/25/21	17309AAH2	CMALT 2006-A1 2A1	Non-agency Residential Mortgage Backed Securities
18/1	OPTEUM MORTGAGE ACCEPTANCE CO C M O SER 2005 5 CL 2AD1 12/25/2035 VAF	68383NDP5	OPMAC 2005-5 2AD1	Non-agency Residential Mortgage Backed Securities
18/2	WELLS FARGO ALT LN 6.00059% 11/25/22	949922AT5	WFALT 2007-PA5 2A1	Non-agency Residential Mortgage Backed Securities
18/3	CREDIT SUISSE MORT 5.500% 4/25/37	12638PCQ0	CSMC 2007-3 4A15	Non-agency Residential Mortgage Backed Securities
18/4	IMPAC CMB TRUST C M O SER 2005 5 CL A3W 08/25/2035 VAF	45254NPW1	IMM 2005-5 A3W	Non-agency Residential Mortgage Backed Securities
18/5	FIRST HORIZON ALT 6.250% 10/25/34	32051D6Y3	FHAMS 2004-FA1 1A1	Non-agency Residential Mortgage Backed Securities
18/6	ADJUSTABLE RATE MT 0.41716% 8/25/36	00703QAG7	ARMT 2006-3 4A32	Non-agency Residential Mortgage Backed Securities
18/7	NOMURA ASSET ACCEP 5.159% 3/25/35	65535VKX5	NAA 2005-WF1 2A5	Non-agency Residential Mortgage Backed Securities
18/8	CREDIT SUISSE MTG CA 6.000% 10/25/24	22944BDM7	CSMC 2007-5 8A2	Non-agency Residential Mortgage Backed Securities
18/9	BC AMERICA FUNDING 6.500% 7/25/37	059523AU4	BAFC 2007-5 6A1	Non-agency Residential Mortgage Backed Securities
19/1	MASTR ADJUSTABLE RATE MORTGAGE TRUST C M O SER 05 1 CL 7A1 02/25/2035 VAF	576433XE1	MARM 2005-1 7A1	Non-agency Residential Mortgage Backed Securities
19/2	MERRILL LYNCH MORTGAGE INVESTORS TR C M O SER 2005 A9 CL 2A1C 12/25/2035 VAF	59020UZ57	MLMI 2005-A9 2A1C	Non-agency Residential Mortgage Backed Securities

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Penny Pritzker 1-20-2017

Location	Asset Name	Asset ID	Ticker	Type of Security
19/3	WELLS FARGO MORTGAGE BACKED C M O SER 05 14 CL 2A1 5.500 12/25/2035	949832AP4	WFMB5 2005-14 2A1	Non-agency Residential Mortgage Backed Securities
19/5	First Horizon Alternative Mortgage Sec 5.750% 12/25/36	32052GAA2	FHAM5 2006-FA7 A1	Non-agency Residential Mortgage Backed Securities
19/6	CHARLIE MAC 6.000% 10/25/34	160762AW0	CHMAC 2004-2 A2	Non-agency Residential Mortgage Backed Securities
19/7	COUNTRYWIDE ALT LN 5.375% 1/25/35	12667FZM0	CWALT 2004-29CB A7	Non-agency Residential Mortgage Backed Securities
19/8	Countrywide Alternative Loan Trust 6.000% 6/25/36	021460AB6	CWALT 2006-16CB A2	Non-agency Residential Mortgage Backed Securities
19/9	MORGAN STANLEY MORTG 6.000% 8/25/36	61749WAN7	MSM 2006-11 2A1	Non-agency Residential Mortgage Backed Securities
20/1	WELLS FARGO MORTGAGE BACKED SECURITI C M O SER 2006 6 CL 1A15 5.750 05/25/2036	94984AAQ3	WFMB5 2006-6 1A15	Non-agency Residential Mortgage Backed Securities
20/2	Banc of America Alternative Loan 5.750% 1/25/36	05948KY86	BOAA 2005-12 4A1	Non-agency Residential Mortgage Backed Securities
20/3	Citi Mortgage Alternative Loan Trust 5.500% 11/25/21	12566RAK7	CMALT 2006-A6 2A1	Non-agency Residential Mortgage Backed Securities
20/4	INDYMAC INDX MORTGAGE LOAN TRUST C M O SER 2006 AR9 CL 3A2 06/25/2036 VAF	45661EGH1	INDX 2006-AR9 3A2	Non-agency Residential Mortgage Backed Securities
20/5	Countrywide Alternative Loan Trust 5.500% 11/25/36	12668AU45	CWALT 2005-73CB 1A7	Non-agency Residential Mortgage Backed Securities
20/6	Countrywide Alternative Loan Trust 5.500% 1/25/36	12668AU52	CWALT 2005-73CB 1A8	Non-agency Residential Mortgage Backed Securities
20/7	ADJUSTABLE RATE MT 0.41716% 8/25/36	12668AV44	CWALT 2005-73CB 2A2	Non-agency Residential Mortgage Backed Securities
20/8	WELLS FARGO MORTGAGE BACKED SEC C M O SER 2006 4 CL 1A5 04/25/2036 VAF	94983BAE9	WFMB5 2006-4 1A5	Non-agency Residential Mortgage Backed Securities
20/9	Deutsche Alt- A Securities Inc Mortgage 5.500% 12/25/35	251510KF9	DBALT 2005-6 2A1	Non-agency Residential Mortgage Backed Securities
21/1	Credit Suisse Mortgage Trust 6.000% 5/25/36	12637HAU2	CSMC 2006-4 6A1	Non-agency Residential Mortgage Backed Securities
21/2	BANC OF AMERICA ALTERNATIVE LN TR C M O SER 2006 2 CL 6A1 5.500 03/25/2021	05948K3P2	BOAA 2006-2 6A1	Non-agency Residential Mortgage Backed Securities
21/3	BANC OF AMERICA ALTERNATIVE LN TR C M O SER 2006 5 CL CB14 06/25/2046 VAF	05950BAP9	BOAA 2006-5 CB14	Non-agency Residential Mortgage Backed Securities
21/4	RESIDENTIAL FUNDING MTG SEC 13/25/36	76111XP72	RFMSI 2006-53 A9	Non-agency Residential Mortgage Backed Securities
21/5	BEAR STEARNS ADJUSTABLE RATE MORTGAG C M O SER 2005 6 CL 3A1 08/25/2035 VAF	07387ADJ1	BSARM 2005-6 3A1	Non-agency Residential Mortgage Backed Securities
21/6	BANC OF AMERICA ALTERNATIVE LOAN C M O SER 2005 10 CL 1CB3 5.500 11/25/2035	05948KR27	BOAA 2005-10-1CB3	Non-agency Residential Mortgage Backed Securities
21/7	STRUCTURED ASSET SECURITIES CORP C M O SER 2004 13 CL 2A1 07/25/2034 VAF	86359BVT5	SASC 2004-13 2A1	Non-agency Residential Mortgage Backed Securities
21/8	CREDIT SUISSE COMM 6.000% 5/25/36	12637HAD0	CSMC 2006-4 1A4	Non-agency Residential Mortgage Backed Securities
21/9	RESIDENTIAL ASSET 5.750% 2/25/36	45660LH9	RAST 2005-A15 5A2	Non-agency Residential Mortgage Backed Securities
22/1	COUNTRYWIDE HOME LNS 5.500% 6/25/37	12544TAM6	CWHL 2007-7 A12	Non-agency Residential Mortgage Backed Securities
22/3	Countrywide Asset-Backed Certificates 4.638% 2/25/36	126670AC2	CWL 2005-10 AF3	Non-agency Residential Mortgage Backed Securities
22/4	Wells Fargo Alternative Loan Trust 6.25% 11/25/37	949922AA6	WFALT 2007-PA5 1A1	Non-agency Residential Mortgage Backed Securities
22/6	Citicorp Mortgage Securities, Inc. 5.25% 2/25/35	172973M89	CMSI 2005-1 1A3	Non-agency Residential Mortgage Backed Securities
23/1	Residential Accredit Loans, Inc. 5.50% 12/25/34	76110HU59	RAU 2004-QS16 1A1	Non-agency Residential Mortgage Backed Securities
23/2	Morgan Stanley Mortgage Loan Trust 5.50% 2/25/47	61751JAB7	MSM 2007-6XS 1A2S	Non-agency Residential Mortgage Backed Securities
23/3	GMAC Mortgage Corporation Loan Trust 4.912% 6/19/35	36185N7B0	GMACM 2005-AR3 3A1	Non-agency Residential Mortgage Backed Securities
23/4	Bank of America Alternative Loan 6.00% 3/25/34	05948KLW7	BOAA 2004-2 1A1	Non-agency Residential Mortgage Backed Securities
23/5	Bank of America Alternative Loan 6.00% 9/25/35	05948KL23	BOAA 2005-8 2CB1	Non-agency Residential Mortgage Backed Securities
23/6	Citimorgage Alternative Loan Trust 5.00% 7/25/20	17308FAG4	CMALT 2005-A1 2A1	Non-agency Residential Mortgage Backed Securities
23/7	Bear Stearns Asset Backed Securities 5.40% 9/25/35	073879L63	BSABS 2005-AC6 1A4	Non-agency Residential Mortgage Backed Securities
23/9	Residential Funding Mtg Sec 14.75% 12/25/19	76111XRG0	RFMSI 2004-S9 2A1	Non-agency Residential Mortgage Backed Securities
24/1	Residential Asset Securitization Trust 5.50% 8/25/34	45660NW68	RAST 2004-R2 A3	Non-agency Residential Mortgage Backed Securities
24/2	Residential Accredit Loans, Inc. 4.00% 6/25/33	76110HEH8	RAU 2003-QS11 A1	Non-agency Residential Mortgage Backed Securities
24/3	Citicorp Mortgage Securities, Inc. 5.50% 5/25/22	17312XAT1	CMSI 2007-4 2A1	Non-agency Residential Mortgage Backed Securities
24/4	Residential Accredit Loans, Inc. 3.84% 7/25/35	761118CF3	RAU 2005-QS11 A2	Non-agency Residential Mortgage Backed Securities
24/5	WELLS FARGO MORTGAGE 5.500% 9/25/37	94986FAF4	WFMB5 2007 12 A6	Non-agency Residential Mortgage Backed Securities

ATTACHMENT TO SCHEDULE A

GENERAL NOTE – THIS DISCLOSURE FORM INCLUDES ALL FINANCIAL INTERESTS HELD DIRECTLY OR THROUGH ATTRIBUTION VIA NON-DISCRETIONARY TRUSTS. FOR DISCLOSURE PURPOSES, THE ASSETS FOR SUCH TRUSTS ARE LISTED SEPARATELY

Note 1-1

1901 Orchard Property, L.L.C. – This entity holds cash on deposit at HTC Capital Resources, L.L.C. and owns residential rental real estate property located at 1901 N. Orchard Street, Chicago, IL. This entity is not currently acquiring new assets.

Note 1-2

564 Randolph Investors, L.L.C. - This entity holds cash on deposit at HTC Capital Resources, L.L.C. and owns an interest in 564 W. Randolph, LLC which owns commercial real estate property located at 564 W. Randolph in Chicago, IL (564 Randolph building). This entity is not currently acquiring new assets.

Note 1-3

564R Investors, L.L.C. – This entity holds cash on deposit at HTC Capital Resources, L.L.C. and owns an interest in 564 W. Randolph, LLC which owns commercial real estate property located at 564 W. Randolph in Chicago, IL (564 Randolph building). This entity is not currently acquiring new assets.

Note 1-4

A.N.P. Gaming Corporation – This entity owns an interest in Niagara Casino Group, L.P., which owns an interest in Falls Management Company, a Nova Scotia unlimited liability company, which owns an interest in Falls Management Group, L.P., an Ontario limited partnership, which operates a casino management business in Canada.

Note 1-5

A.N.P. Investors, L.L.C. – This entity liquidated in 2015 and a cash reserve was established at Bank of America and in the Federated Prime Cash Obligation Fund to cover post liquidation expenses.

Note 1-6

AREOF VI Investor, L.L.C. – This entity holds cash on deposit at HTC Capital Resources, L.L.C. and owns an interest in AREOF VI Sponsor Investor, L.L.C., which owns an interest in AREOF VI Co-Investors, L.L.C. which owns an interest in ARES US Real Estate Opportunity Fund, L.P. f/k/a AREA Real Estate Opportunity Fund VI, L.P. (the “Fund”). The Fund owns interests in the following real estate projects: 110 First Street Jersey City Multifamily (Jersey City, NJ) – Multifamily housing; Hudson Lights at Fort Lee (Fort Lee, NJ) – Multifamily housing; Pilgrim-Triton Multifamily (Foster City, CA) – Multifamily housing; Chicago Multifamily (Chicago, IL) – Multifamily housing; Houston Convention Center Hotel (Houston, TX) – Hotel; Boston Waterfront Hotels (Boston, MA) – Hotel; Block 52 Multifamily (Houston, TX) – Multifamily housing; University of Maryland Student Housing (College Park, MD) – Student Housing; Residences at Kendall Multifamily (Miami, FL) – Multifamily housing. This entity is not currently acquiring new assets.

ATTACHMENT TO SCHEDULE A

Note 2-1

AREP Investors, L.L.C. – This entity holds cash on deposit at HTC Capital Resources, L.L.C. and owns interests in Artemis Real Estate Partners, LLC which owns an interest in Artemis MWBE Spruce Program, LLC, Artemis Real Estate Partners Fund I, L.P., Artemis Real Estate Partners Fund II, L.P., Artemis Mach I Member, LLC which owns an interest in NYSCRF Frontier Mach I, LLC, Artemis Mach II Member, LLC which owns an interest in NYSCRF Frontier Mach II, LLC and Artemis Real Estate Partners Healthcare Fund I which, collectively, own interests in The Triad (King of Prussia, PA) - Office Space; Global Self Storage (Norco, CA) – Self storage property; Charlotte Business Park (Charlotte, Morresville, Concord, Rock Hill, NC) – Industrial properties; Paradise Park (New Lenox, IL) – Senior housing; Greenwich Atrium (Greenwich, CT) – Office building; King Road (San Jose, CA) – Self storage property; Security Self Storage (Rohnert, CA) – Self storage; 10 Fawcett (Boston, MA) – Office building; 4151 Ashford (Atlanta, GA) – Office building; Cherry Creek (Glendale, CO) – Office building; Mount Kemble Corporate Center (Morristown, NJ) – Office property; East Elk Grove Self Storage (Elk Grove, CA) – Self storage; CBD Self-Storage (San Jose, CA) – Self storage; Penn Station (District Heights, MD) – Retail property; Springfield Corporate Center (Springfield, VA) – Office building; Carlyle Center (Alexandria, VA) – Office building; Residence Inn Birmingham (Birmingham, AL) – Hospitality; SpringHill Suites Bakery Square (Pittsburgh, PA) – Hospitality; 1 & 3 Burlington Woods (Burlington, MA) – Office building; 660 N. Capitol Street (Washington, DC) – Office building; Promenade Jersey Village (Houston, TX) – Multifamily property; Western Place (Fort Worth, TX) – Office building; Wildwood at Villa Rica (Villa Rica, GA) – Multifamily property; Campanile Court (Berkeley, CA) – Multifamily property; Reserve at Ashbrook (Tigard, OR) – Multifamily property; Sugar Creek I and II (Sugarland, TX) – Office building; Legends of Ridgeview Ranch (Plano, TX) – Multifamily property; Vantage at Kitty Hawk (San Antonio, TX) – Multifamily property; Arvada Estates (Arvada, CO) – Healthcare; Dancing River (Grapevine, TX) – Healthcare; Sarasota Hotel Portfolio (Sarasota, FL) – Hospitality; 202 Canal Street (New York, NY) – Retail; Signature Ridge Apts (San Antonio, TX) – Multifamily; Las Colinas ALF (San Antonio, TX) – Healthcare; Sabina Apartments (Austin, TX) – Multifamily; 670 South 91st Avenue (Tolleson, AZ) – Industrial; Pic N Save Center (Wauwatosa, WI) - Retail; 161 Bowery (New York, NY) – Mixed use; Acadia (Dallas, TX) – Healthcare; Corporate Park and Hickory Hill (Memphis, TN) – Industrial property; 600-602 Office Center Drive Fort Washington (Fort Washington, PA) – Office building; Lenexa Industrial Portfolio (Lenexa, KS) – Industrial property; Market Square (Arlington, VA) – Retail property; Rivermarket (Kansas City, MO) – Multifamily property; Tamarac Plaza Office (Denver, CO) – Office building; 111 South (Statesboro, GA) – Multifamily; 112 Barnum Road (Devens, MA) – Industrial; Augusta Meadows Apartments (Tomball, TX) – Multifamily; Bluegrass Manor (Louisville, KY) – Retail; Chatham Woods (High Point, NC) – Multifamily; Clocktower Nashua (Nashua, NH) – Multifamily; Lakepointe Office (Charlotte, NC) – Office; Maynard Office Complex (Maynard, MA) – Office; Randhurst Shopping Center (Mount Prospect, IL) – Retail; The Addison (Addison, TX) – Office; Upland Town Square (Upland, CA) – Retail; Southpark Industrial Development (Smyrna, TN) – Industrial; Regency Industrial Development (Garland, TX) – Industrial; Lone Elm Industrial Development (Olathe, KS) – Industrial; 181 Main Avenue (Sacramento, CA) – Self-Storage; Osgood Road Self-Storage (Fremont, CA) – Self-Storage; Rest Haven (Schuylkill Haven, PA) – Healthcare; Skyline (Various, FL) – Healthcare; 518 Township Line Road (Blue Bell, PA) – Office; Ashford Mezz (Various) – Hospitality; Fivesquares (Various) – Mixed Use; Hickory Creek Apartments (Richmond, VA) – Multifamily; Savoy Park (New York, NY) – Multifamily; Tech Park (Norcross, GA) – Office; Twinbrook Metro Plaza (Rockville, MD) – Office; Manteca Self Storage (Manteca, CA) – Self-Storage; Gateway Deal (Union & Florissant, MO) – Healthcare; Oak Springs Deal (Warrenton, VA) – Healthcare; Whitmarsh Deal (Philadelphia, PA) – Healthcare; Park 20 (Lancaster, TX) – Industrial; Grand Reserve at Geist (Indianapolis, IN) – Multifamily; Park 840 (Lebanon, TN) – Industrial; Town Center Apartment (Overland Park, KS) – Multifamily property; 180 Grand Avenue (Oakland, CA) – Office building; 4040 Civic Center (San Rafael, CA) – Office building; Chandler

ATTACHMENT TO SCHEDULE A

Hotel Portfolio (Chandler, AZ) – Hospitality; Waterpark Office (Centennial, CO) – Office building; Middleborough Portfolio (Middleborough, MA) – Industrial; Courtyard Long Beach (Long Beach, CA) – Hospitality; Woodside Office (Novato, CA) – Office; Indian Rocks/Grove Park (Lakeland & Largo, FL) – Retail; Corbin Crossing (Overland Park, KS) – Multifamily; Hoboken Portfolio (Hoboken, NJ) – Retail; Showcase Mall II (Las Vegas, NV) – Retail; Silver Portfolio, (Fredericksburg, VA) – Retail; Monroe Apartments (Atlanta, GA) – Multifamily; 101 Merrimac (Boston, MA) – Office; San Marcos (San Marcos, CA) – Self Storage; Estates at Bee Cave (Austin, TX) – Multifamily; Duke Bridges III (Dallas, TX) – Office; Beaverton (Portland, OR) – Office; 5115 Park Place (Charlotte, NC) – Multifamily; Stoneleigh (Keller, TX) - Multifamily; Arcadia (Little Egg Harbor, NJ) – Healthcare; Riverfront (Bradenton, FL) – Healthcare; Corner Brook (Kansas City, MO) – Healthcare; Thro Portfolio (Various, MN) – Healthcare; Project New Mexico (Various) – Mezz Debt; Wanaque (Haskell, NJ) – Healthcare; Majestic Oaks (Warminster, PA) – Healthcare; Galloway (Galloway, NJ) – Healthcare; Bloomingdale (Bloomingdale, NJ) – Healthcare; Highland Manor (Exeter, PA) – Healthcare; Barnegat (Barnegat, NJ) – Healthcare; Half Street (Washington, DC) – Mixed Use.

This entity owns an interest in Artemis Real Estate Partners, LLC which provides investment advisory and management services to Artemis Real Estate Partners Fund I, L.P., Artemis Real Estate Partners Fund II, L.P., Artemis Mach II Member, L.L.C., Artemis Mach I Member, LLC, Artemis Real Estate Partners Healthcare Fund I and Artemis MWBE Spruce Program, LLC.

Artemis Real Estate Partners Fund I, L.P., Artemis Real Estate Partners Fund II, L.P., Artemis Mach II Member, LLC, Artemis Mach I Member, LLC, Artemis Real Estate Partners Healthcare Fund I and Artemis MWBE Spruce Program, LLC only own investments in real estate.

Note 2-2

ASH I, L.L.C. – This entity holds cash on deposit at HTC Capital Resources, L.L.C. and owned an interest in Austin Student Venture I Partners, L.L.C. which owned an interest in Austin Student Venture I, L.P. and Austin Student Venture I Phase II, L.P. which formerly owned a residential rental real estate property in Austin, Texas, until such property was sold in June 2015. This entity is not currently acquiring new assets.

Note 2-3

ASH II, L.L.C. – This entity holds cash on deposit at HTC Capital Resources, L.L.C. and owned an interest in Austin Student Venture II Partners, L.L.C. which owned an interest in Austin Student Venture II L.P. which formerly owned a residential rental real estate property in Austin, Texas, until such property was sold in June 2015. This entity is not currently acquiring new assets.

Note 2-4

Asworth, L.L.C. – This entity holds cash on deposit at Bank of America and in the Federated Prime Cash Obligation Fund. This entity is not currently acquiring new assets and will ultimately be liquidated.

Note 2-5

AVEF VII Investors, L.L.C. – This entity holds cash on deposit at HTC Capital Resources, L.L.C. and owns an interest in PRG Sponsor Investor, L.L.C. which owns an interest in Apollo VEF VII Co-Investors, L.L.C. which owns an interest in Apollo Value Enhancement Fund VII, L.P. Apollo Value Enhancement Fund VII, L.P. qualifies as an Excepted Investment Fund. This entity is not currently acquiring new assets.

ATTACHMENT TO SCHEDULE A

Note 2-6

AW-PAG, L.L.C. – This entity liquidated in 2015 and a cash reserve was established at Bank of America and in the Federated Prime Cash Obligation Fund to cover post liquidation expenses.

Note 2-9

Bombay Hotel Corporation – This entity holds cash and owns shares of Hyatt Hotels Corporation. The cash is on deposit at CIBC Bank & Trust Co. (Cayman) Ltd.

Note 3-1

Brookland Investors, L.L.C. – Brookland Investors, L.L.C.; Union Wharf Investors, L.L.C.; Waugh Chapel Investors, L.L.C.; Second and San Pedro Investors, L.L.C.; and Los Altos Garden Center Investors, L.L.C. each hold cash on deposit at HTC Capital Resources, L.L.C. and own an interest in PSP/VRS Investors, L.L.C. which owns an interest in Pritzker/VRS Investors, L.L.C. which owns an interest in HTC-VA Multifamily, L.P. which owns interests in:

- 1) BrookSouth, L.L.C. which owns an interest in Brookland South Campus, LLC which owns a retail/commercial/apartment development project in Washington, DC;
- 2) BA/PRGUW, L.L.C. which owns an interest in BA/PRG Union Wharf, LLC which owns an interest in Union Wharf Apartments, LLC which owned an apartment development project in Baltimore, Maryland;
- 3) WaughChapel, L.L.C. which owns an interest in Waugh Chapel Apartments, LLC which owns an apartment development project in Gambrills, Maryland;
- 4) SSP, L.L.C. which owns and interest in Second and San Pedro, LLC which owns an apartment development project in Los Angeles, CA; and
- 5) LAGC, L.L.C. which owns an interest in 4740 ECR-Los Altos, LLC which owns an apartment development project in Los Altos, CA.
- 6) Potomac Yards Investors, L.L.C. which owns an interest in Potomac Yards Apartments, L.L.C. which owns an apartment development project in Alexandria, VA;
- 7) PRG BD Investors, L.L.C. which owns an apartment development project in Boston, MA;
- 8) 60 Pierce Investors, L.L.C. which owns an interest in 60 Pierce-San Jose, LLC which owns an apartment development project in San Jose, CA;
- 9) 610 Weddell Investors, L.L.C. which owns an interest in 610 Weddell-Sunnyvale, LLC which owns an apartment development project in Sunnyvale, CA;
- 10) N 45 Investors, L.L.C. which owns an interest in MU N 45 Owner LLC which owns an apartment development project in Seattle, WA.

ATTACHMENT TO SCHEDULE A

Note 3-5

Castro Valley Associates, L.P. – This entity liquidated in 2015 and a cash reserve was established at JPMorgan Chase to cover post liquidation expenses.

Note 3-6

Castro Valley, Inc. – This entity held the general partnership interest in Castro Valley Associates, L.P., which was liquidated in 2016. This entity has a cash reserve at JPMorgan Chase to cover post liquidation expenses.

Note 3-7

Cerromar Beach, L.L.C. – This entity liquidated in 2016 and a cash reserve was established in the Federal Prime Cash Obligation Fund to cover post liquidation expenses.

Note 3-8

Claudco, L.L.C. – This entity holds cash on deposit at Bank of America and in the Federated Prime Cash Obligation Fund. This entity is not currently acquiring new assets and will ultimately be liquidated.

Note 3-9

CML Investors, L.L.C. – This entity liquidated in 2015 and a cash reserve was established at Bank of America and in the Federated Prime Cash Obligation Fund to cover post liquidation expenses.

Note 4-1

CPC, Inc. – This entity holds cash and owns shares of Hyatt Hotels Corporation. The cash is on deposit at CIBC Trust Co. (Bahamas) Ltd.

Note 4-2

Crossroads Business Center, L.L.C. – This entity liquidated in 2015 and a cash reserve was established at Bank of America and in the Federated Prime Cash Obligation Fund to cover post liquidation expenses.

Note 4-3

DBHC Acquisition, L.L.C. – This entity owned 100% of the outstanding stock of Dorado Beach Hotel Corporation, which liquidated in 2016, which owned an interest in Cliffside Associates, S.E., which also liquidated in 2016. This entity holds cash on deposit at Bank of America and in the Federated Prime Cash Obligation Fund. This entity is not currently acquiring new assets and will ultimately be liquidated.

Note 4-4

Deposit- Agency Account – This account was set up to pay for future accounting and administration costs of the former family business office. The cash is on deposit at JP Morgan Chase.

ATTACHMENT TO SCHEDULE A

Note 4-5

Depository Account – PSP Capital Resources, L.L.C. – This account has cash on deposit at JP Morgan Chase & Wells Fargo and is not currently acquiring new assets.

Note 4-6

Depository Account – PSP Capital Resources, L.L.C. – This account has cash on deposit at JP Morgan Chase & Wells Fargo and is not currently acquiring new assets.

Note 4-9

Eagle Pines Investors, L.L.C. – This entity holds cash on deposit at Bank of America and in the Federated Prime Cash Obligation Fund. This entity is not currently acquiring new assets and will ultimately be liquidated.

Note 5-2

Escrow Account – U.S. Financial Advisors, Inc. – This cash escrow account is held at JPMorgan Chase. This escrow account is being held until the expiration of certain statutes of limitations after which the cash will be released to the filer. This account is not currently acquiring new assets.

Note 5-3

Evergreen Park Investors, L.L.C. – This entity holds cash on deposit at HTC Capital Resources, L.L.C. and owns an interest in EPE Investor, L.L.C. which owns an interest in Evergreen Park Development LLC which owns a retail real estate development in Evergreen Park, IL. This entity is not currently acquiring new assets.

Note 5-4

Exchange Holding Company – This entity holds cash on deposit at Bank of America and in the Federated Prime Cash Obligation Fund and owns artwork. This entity is not currently acquiring new assets.

Note 5-6

Eye Physicians & Surgeons of Chicago – This company was the source of my spouse's income until December 31, 2013. My spouse was redeemed from this Company on January 1, 2014 and will receive payments over a period of several years with respect thereto.

Note 5-7

FBU Archive Company, L.L.C. – This entity holds a cash escrow account at JPMorgan Chase and in the Federated Prime Cash Obligation Fund. This entity is currently not acquiring new assets.

Note 5-9

Ganesh Investments, L.L.C. – This entity is in the business of identifying third party fund managers and monitoring investments in such third party fund managers but does not make any investments of its own.

ATTACHMENT TO SCHEDULE A

Note 6-1

GMO Quality Fund – This investment is held by an internal holding entity, P19M2 Investors II, L.L.C.

Note 6-5

Golden State Developers, Inc. – This entity was in the business of providing development, consulting, and management services to various affiliated real estate entities. The company is in the process of being liquidated.

Note 6-7

H Investments, L.L.C. – This entity owns interests in SB West Memphis, L.L.C., which owns leasehold interests in land in Arkansas and holds cash on deposit at Bank of America and in the Federated Prime Cash Obligation Fund. This entity is not currently acquiring new assets.

Note 6-8

HG Lender, L.L.C. – This entity liquidated in 2015 and a cash reserve was established at Bank of America and in the Federated Prime Cash Obligation Fund to cover post liquidation expenses.

Note 6-9

Horton Trust Company – This entity is a private trust company formed under the Illinois banking laws and serves as trustee for various trusts f/b/o the filer and her family. This entity also owns an interest in HTC Multifamily GP, L.L.C. which is the general partner of HTC-VA Multifamily, L.P. (See Note 3-1). Additionally, this entity owns 100% of HTC 68th & 8th Manager, L.L.C., which holds cash on deposit at JP Morgan Chase and an interest in 68th Street and 8th Avenue Investors, L.L.C., which owns an interest in Pritzker/68th and 8th Investors, L.L.C., which owns an interest in MU 68th and 8th Owner, L.L.C., which owns a 260 unit apartment development in Seattle, Washington. Any investments by Horton Trust Company, as trustee of those certain trusts that are attributable to filer, will only be made into investments deemed not to raise conflicts.

Note 7-2

HTC Capital Resources, L.L.C. – This entity functions as an internal cash clearing vehicle for trusts and other entities that are affiliated with the filer and her family. The cash held by this entity is on deposit at JPMorgan Chase and Wells Fargo. This entity is not currently acquiring new assets.

Note 7-6

Illinois RBG, L.L.C. – This entity owns an interest in Grand Victoria Casino, which owns and operates the Grand Victoria riverboat casino in Elgin, Illinois.

Note 7-7

Indiana RBG, L.L.C. – This entity liquidated in 2016 and a cash reserve was established at Bank of America and in the Federated Primate Cash Obligation Fund to cover post liquidation expenses.

ATTACHMENT TO SCHEDULE A

Note 7-8

Island Investment Group, L.L.C. – This entity liquidated in 2016 and a cash reserve was established at Bank of America and in the Federated Prime Cash Obligation Fund to cover post liquidation expenses.

Note 7-9

Jacksonville Town Center Investors, L.L.C. – This entity holds cash on deposit at HTC Capital Resources, L.L.C. and owns an interest in Jacksonville Town Center Venture, LLC which owns a residential rental real estate property in Jacksonville, Florida. This entity is not currently acquiring new assets.

Note 8-1

JTC Investors, L.L.C. – This entity holds cash on deposit at HTC Capital Resources, L.L.C. and owns an interest in Jacksonville Town Center Venture, LLC which owns a residential rental real estate property in Jacksonville, Florida. This entity is not currently acquiring new assets.

Note 8-2

LAS GP Corp. – This entity owned an interest in Pritzker Residential Equities, L.L.C., which was liquidated in 2016. A cash reserve was established at Bank of America and in the Federated Prime Cash Obligation Fund to cover post liquidation expenses.

Note 8-4

Los Altos Garden Center Investors, L.L.C. – See Note 3-1

Note 8-5

LR Partners, L.L.C. – This entity holds cash and owns royalty rights to certain cable television revenues. This entity holds cash on deposit at Bank of America and in the Federated Prime Cash Obligation Fund. This entity is not currently acquiring new assets.

Note 8-8

MaxFoster, L.L.C. – This entity liquidated in 2015 and a cash reserve was established at Bank of America and in the Federated Prime Cash Obligation Fund to cover post liquidation expenses.

Note 8-9

New Cap, L.L.C. (f/k/a MC Liquidating, L.L.C.) – This entity holds cash and an interest in an escrow account. This entity holds cash on deposit at Bank of America and in the Prime Federated Cash Obligation Fund. The cash escrow account is held at JPMorgan Chase. This entity is not currently acquiring new assets.

Note 9-1

Niagara Casino Group, L.P. – This entity owns an interest in Falls Management Company, a Nova Scotia unlimited liability company, which owns an interest in Falls Management Group, L.P., an Ontario limited partnership, which operates a casino management business in Canada.

ATTACHMENT TO SCHEDULE A

Note 9-2

Note Receivable – NFP QSST #9 – NFP QSST Trust #9 is a trust that is the obligor under a certain promissory note that is held by a trust, the assets of which are attributable to the filer.

Note 9-4

Oregon Investors, L.L.C. – This entity liquidated in 2015 and a cash reserve was established at Bank of America and in the Federated Prime Cash Obligation to cover post liquidation expenses.

Note 9-5

Note Receivable – PPG 2008 Trust – PPG 2008 Trust is a trust that is the obligor under a certain promissory note that is held by a trust, the assets of which are attributable to the filer.

Note 9-6

P Broadway Associates, L.L.C. – This entity owns an interest in Singin' In The Rain Company, L.P., which owns the certain rights to the theatrical play "Singin' in the Rain".

Note 9-7

P.G. - Penny Investors, L.L.C. – This entity holds cash on deposit at HTC Capital Resources, L.L.C. and an interest in 68th and 8th Holdco, L.L.C. which owns an interest in 68th Street and 8th Avenue Investors, L.L.C., which owns an interest in Pritzker/68th and 8th Investors, L.L.C., which owns an interest in MU 68th and 8th Owner LLC, which owns a 260 unit apartment development in Seattle, WA.

Note 9-8

Note Receivable – PPG 2008 Trust – PPG 2008 Trust is a trust that is the obligor under a certain promissory note that is held by a trust, the assets of which are attributable to the filer.

Note 9-9

PAG GP, Inc. – This entity liquidated in 2015 and a cash reserve was established at Bank of America to cover post liquidation expenses.

Note 10-1

Penguin Realty Associates L.P. (f/k/a Pritzker Realty Group, L.P.) – This entity holds cash Bank of America and in the Federated Prime Cash Obligation Fund. This entity is not currently acquiring new assets.

Note 10-2

PRG Parking GP, Inc. – This entity holds cash on deposit at PSP Capital Resources, L.L.C. and this entity is not currently acquiring new assets.

ATTACHMENT TO SCHEDULE A

Note 10-5

PRGP Corp. – This entity owns an interest in Penguin Realty Associates, L.P. (f/k/a Pritzker Realty Group, L.P.) (See Note 10-1).

Note 10-8

Pritzker Residential Equities, L.L.C. – This entity was liquidated in 2016 and a cash reserve was established at the Federated Prime Cash Obligation Fund to cover post liquidation expenses.

Note 10-9

PSP Capital Partners, L.L.C. – This entity is in the business of sourcing and developing direct investing opportunities. This entity is involved in servicing of investments but does not make any investments of its own. This entity owns Pritzker Realty Group, L.L.C., a disregarded entity which is also in the business of sourcing and developing direct investing opportunities and owns furniture, equipment, leasehold improvements and a plane.

Note 11-1

PSP Capital Resources, L.L.C. – This entity functions as an internal cash clearing vehicle for trusts and other entities that are affiliated with the filer and her family. The cash held by this entity is on deposit at JPMorgan Chase and Wells Fargo. This entity is not currently acquiring new assets.

Note 11-2

PT Art, L.L.C. – This entity owns art.

Note 11-3

PSP Indemnico, L.L.C. – This entity owns an interest in SPIND, LLC, which holds an escrow account on deposit at JPMorgan Chase. This entity is not currently acquiring new assets.

Note 11-4

Sandburg Village Associates, L.L.C. – This entity holds cash and owns an interest in PHLM Partnership, which holds a note receivable from Sandburg Elderly Housing Partnership, which owns condominiums. This entity holds cash on deposit at Bank of America and in the Federated Prime Cash Obligation Fund.

Note 11-6

SBIA, L.L.C. – This entity owns (i) cash, (ii) an interest in an escrow account at JPMorgan Chase and (iii) 100% of SBLC, L.L.C., which holds cash on deposit at Bank of America and in the Federated Prime Cash Obligation Fund. This entity is not currently acquiring new assets. This entity holds cash on deposit at Bank of America and in the Federated Prime Cash Obligation Fund.

Note 11-8

Second and San Pedro Investors, L.L.C. – See Note 3-1.

ATTACHMENT TO SCHEDULE A

Note 11-9

SPEGAR, L.L.C. – This entity owns an interest in an escrow account which holds cash on deposit at JPMorgan Chase. This entity is not currently acquiring new assets.

Note 12-1

SPEIR, L.L.C. – This entity owns an interest in a money market account at JPMorgan Chase Bank that was pledged to secure a letter of credit. This entity is not currently acquiring new assets.

Note 12-2

SPEX, L.L.C. – This entity holds cash and owns an interest in three escrow accounts (Money Market Accounts at JP Morgan Chase Bank). This entity holds cash on deposit at Bank of America and in the Federated Prime Cash Obligation Fund. This entity is not currently acquiring new assets.

Note 12-3

SRP Investors, L.L.C. – This entity holds cash at Bank of America and the Federated Prime Cash Obligation Fund and owns 100% of H/King Farm, L.L.C. which has a 100% preferred and 85% residual interest in King Farm Associates, L.L.C. which holds cash on deposit at Wells Fargo and US Bank and owns a parcel of land located in Rockville, Maryland as well as Seven Irvington Associates, L.L.C. which owns a parcel of land located in Rockville, Maryland and Eight Irvington Associates, L.L.C. which owns a parcel of land located in Rockville, Maryland.

Note 12-5

TKI Presidential, L.L.C. – This entity liquidated in 2015 and a cash reserve was established at JPMorgan Chase to cover post liquidation expenses.

Note 12-7

Union Wharf Investors, L.L.C. – See Note 3-1.

Note 12-9

VGRP Holdings, L.L.C. – This entity holds cash and a piece of art. The cash is on deposit at JPMorgan Chase. This entity is not currently acquiring new assets.

Note 13-1

Vivco, Inc. – This entity holds cash on deposit at Bank of America and in the Federated Prime Cash Obligation Fund and an escrow account at JPMorgan Chase. This entity is not currently acquiring new assets.

Note 13-3

Waugh Chapel Investors, L.L.C. – See Note 3-1.

ATTACHMENT TO SCHEDULE A

Note 13-4

Western International Financial Group Ltd. – This entity holds cash which is on deposit at CIBC Bank and Trust Company (Cayman) Ltd. This entity is not currently acquiring new assets.

Note 13-5

Wm. Wrigley Jr. Deferred Compensation Plan – This deferred compensation was initially paid out in company stock, all of which was sold. The remaining cash balance in the deferred compensation arrangement cannot be distributed until 2020.

Note 14-1

Each of the assets listed on pages 14 through 24 are or were owned during the reporting period by P19M5 Investors II, L.L.C., which is an internal holding entity. (See Attachment 14-1 for additional information regarding the assets listed on pages 14 through 24.)

Note 25-1

Each of the assets listed on pages 25 through 31 are or were owned during the reporting period by PSP Muni Investors, L.L.C., which is owned by P19M2 Investors II, L.L.C. which is an internal holding entity.

Additional Footnote:

**** due to timing of filing, certain of the values set forth herein are the filer's best estimates.**