

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Coats, Daniel Ray

Director, Office of the Director of National Intelligence

Other Federal Government Positions Held During the Preceding 12 Months:

U.S. Senator (1/2011 - 1/2017)

Names of Congressional Committees Considering Nomination:

- **Select Committee on Intelligence**
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Coats, Daniel Ray [electronically signed on 01/20/2017 by Coats, Daniel Ray in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Brooker, Bradley A, Certifying Official [electronically signed on 02/10/2017 by Brooker, Bradley A in Integrity.gov]

Other review conducted by

/s/ Cox, Kerri A, Ethics Official [electronically signed on 02/10/2017 by Cox, Kerri A in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Shaub, Walter M, Certifying Official [electronically signed on 02/10/2017 by Shaub, Walter M in Integrity.gov]

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fidelity IRA	No			
1.1	Vident US Equity (VUSE)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.2	Baron Real Estate Instl (BREIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	Eaton Vance Global Macro Cap Oppty I (EICOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	AQR Large Cap Multi Style (QCERX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.5	Vanguard FTSE Europe ETF (VGK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.6	Vident International Equity Fund (VIDI)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.7	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	iShares Core MSCI Pacific (IPAC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.9	American Beacon Sim High Yield Opps Y Cls (SHOYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.10	Eaton Vance Global Macro Advantage I (EGRIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.11	Flexshares IBOXX 5 Year Target Duration (TDTF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.12	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.13	Vident Core US Bond Strategy (VBND)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
1.14	PIMCO CommoditiesPLUS (PCLIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.15	iShares Comex Gold Trust (IAU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.16	Fidelity Gov't Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.17	JPMorgan Inflation Mgd Bond (JRBSX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
1.18	JPMorgan Strat Income Oppor (JSOSX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
1.19	MFS International Value I (MINIX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
1.20	Templeton Instl Foreign Smaller Companies	Yes	None (or less than \$1,001)		\$201 - \$1,000
1.21	Vanguard Inter Gov Bond (VGIT)	Yes	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

None

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Coca-Cola Co (KO)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
2	AQR Large Cap Multi Style Fund Class (QCERX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
3	Vident US Equity (VUSE)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
4	Baron Real Estate Instl (BREIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5	Eaton Vance Global Macro Cap Oppty I (EICOX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6	Vanguard FTSE Europe ETF (VGK)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
7	Vident International Equity Fund (VIDI)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
8	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9	iShares Core MSCI Pacific (IPAC)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
10	American Beacon Sim High Yield Opps Y Cls (SHOYX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
11	Vident Core US Bond Strategy (VBND)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
12	Eaton Vance Global Macro Advantage I (EGRIX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
13	Flexshares IBOXX 5 Year Target (TDTF)	Yes	\$100,001 - \$250,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	PIMCO Inv GR Corporate Bond Inst (PIGIX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
15	PIMCO CommoditiesPLUS (PCLIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
16	iShares Comex Gold Trust (IAU)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
17	Fidelity Gov't Cash Reserves (FDRXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
18	JPMorgan Inflation Mgd Bond (JRBSX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
19	JPMorgan Strat Income Oppor (JSOSX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
20	MFS International Value I	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
21	Templeton Instl Foreign Smaller Companies	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
22	U.S. bank account #1 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
23	U.S. bank account #2 (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
24	U.S. bank account #3 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
25	U.S. bank account #4 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
26	Belvedere Equity Fund	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
27	Ohio National Life Insurance Company (variable annuity)	No			
27.1	PIMCO Total Return	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
27.2	Fidelity VIP Eq-Inc	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.3	Franklin Income VIP	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
28	Ohio National Life Insurance Company (variable annuity)	No			
28.1	PIMCO Total Return	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
28.2	Fidelity VIP Eq-Inc	Yes	\$15,001 - \$50,000		\$201 - \$1,000
28.3	Franklin Income VIP	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
29	Ohio National Life Insurance Company - whole life insurance policy	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
30	Ohio National Life Insurance Company - whole life insurance policy	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
31	Ohio National Life Insurance Company - whole life insurance policy	N/A	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000
32	Radio Paradiso LP (Christian Radio Station, Berlin Germany)	N/A	\$1,001 - \$15,000		None (or less than \$201)
33	Family Trust #1	See Endnote	No		
33.1	AQR Large Cap Multi Style (QCERX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
33.2	AQR Small Cap Multi Style (QCERX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
33.3	Gotham Enhanced Return Inst (GENIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
33.4	Vident US Equity (VUSE)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
33.5	Eaton Vance Global Macro Cap Oppty I (EICOX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
33.6	Vident International Equity Fund (VIDI)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.7	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
33.8	Eaton Vance Global Macro Advantage I (EGRIX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
33.9	JPMorgan Inflation Mgd Bond (JRBSX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
33.10	JPMorgan Strat Income Oppor (JSOSX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
33.11	Loomis Sayles Bond Instl (LSBDX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
33.12	Vident Core US Bond Strategy (VBND)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
33.13	Spartan US Govt Money Market (SPAXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
33.14	AT&T Corporation (T)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
33.15	Allstate Corp (ALL)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
33.16	Banc California Inc Prf (Banc.Prc)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
33.17	Casey's General Stores (CASY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
33.18	Cisco Systems Inc (CSCO)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
33.19	Health Care Select Sector SPDR	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
33.20	Home Depot Inc (HD)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
33.21	Kimberly-Clark Corp (KMB)	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
33.22	Phillips 66 (PSX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.23	Target Corp Com (TGT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
33.24	Vanguard FTSE Europe ETF (VGK)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
33.25	AQR Small Cap Multi (QSERX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
34	Family Trust # 2	See Endnote	No		
34.1	Regal-Beloit Corporation (RBC)	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
34.2	AQR Large Cap Multi Style (QCERX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
34.3	AQR Small Cap Multi Style (QCERX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
34.4	Gotham Enhanced Return Institutional (GENIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
34.5	Vident US Equity (VUSE)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
34.6	Eaton Vance Global Macro Cap Oppty I (EICOX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
34.7	Vanguard FTSE Europe ETF (VGK)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
34.8	Vident International Equity Fund (VIDI)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
34.9	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
34.10	iShares Core MSCI Pacific (IPAC)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
34.11	American Beacon Sim High Yield (SHOYX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
34.12	Eaton Vance Global Macro Advantage I (EGRIX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.13	Flexshares IBOXX 5 Year Target (TDTF)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
34.14	PIMCO Inv GR Corporate Bond In (PIGIX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
34.15	Vident Core US Bond Strategy (VBND)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
34.16	Fidelity Gov't Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
34.17	Henry Schein Inc	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
34.18	Home Depot Inc (HD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
34.19	Vanguard Inter Gov Bond (VGIT)	Yes	\$15,001 - \$50,000		None (or less than \$201)
34.20	AQR Small Cap Multi (QSERX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
35	Regal-Beloit Corporation (RBC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
36	West Fork Irrevocable Trust	No			
36.1	Undeveloped Land (Conroe, TX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
37	Indianola Irrevocable Trust	No			
37.1	Undeveloped Land (Indianola, Utah)	N/A	\$15,001 - \$50,000		None (or less than \$201)
38	Southeast Landco Aquisition Fund LLC	No			
38.1	Undeveloped Land (Atlanta, GA)	N/A	\$100,001 - \$250,000		None (or less than \$201)
39	Carolina Partner Group LLC	No			
39.1	Undeveloped Land (North Carolina)	N/A	\$50,001 - \$100,000		None (or less than \$201)
40	17th Street Properties, LLC	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40.1	Undeveloped Land (North Carolina)		N/A	\$250,001 - \$500,000		None (or less than \$201)
41	Healthcare Equity Partners LP (Chicago, IL) (cash)	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
42	AES Corp (AES)		N/A	\$1,001 - \$15,000		None (or less than \$201)
43	Vanguard Inter Gov Bond (VGIT)		Yes	\$100,001 - \$250,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
6.	33	Spouse is not a trustee and is one of a number of family members eligible to receive distributions of income or principal from this trust.
6.	34	Spouse is not a trustee and is one of a number of family members eligible to receive distributions of income or principal from this trust.

PART	#	ENDNOTE
6.	41	Asset is being liquidated and holds only cash.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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