OGE Form 278e (Updated 08/2024) (Expires 08/31/2027)
U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001)

Report Type: Nominee Report Year (Annual Report only): Date of Appointment: Date of Termination:

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

Hegseth, Pete

Secretary of Defense, DoD - Department of Defense

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

Committee on Armed Services

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Hegseth, Pete [electronically signed on 12/23/2024 by Hegseth, Pete in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Irvine, Danica, Certifying Official [electronically signed on 01/10/2025 by Irvine, Danica in Integrity.gov]

Other review conducted by

/s/ Urgese, Jocelyn S, Ethics Official [electronically signed on 01/10/2025 by Urgese, Jocelyn S in Integrity.gov] /s/ Urgese, Jocelyn S, Screener [electronically signed on 01/10/2025 by Urgese, Jocelyn S in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Huitema, David, Certifying Official [electronically signed on 01/10/2025 by Huitema, David in Integrity.gov]

### 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Fox News Network, LLC	New York, New York	Corporation	Employee: TV Host	1/2022	11/2024
2	Peter Hegseth	Goodlettsville, Tennessee	Sole Proprietorship	Owner/Operator	1/2022	Present

# 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fox News Network, LLC	N/A		Salary	\$4,602,340
2	SEP IRA	No			
2.1	U.S. bank (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	"Battle for the American Mind," HarperCollins (value not readily ascertainable)	N/A		Rent or Royalties	\$100,001 - \$1,000,000
4	Fox News Cash Balance Plan	N/A			
4.1	U.S. bank (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.2	Alerian MLP ETF (AMLP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.3	JPMorgan Equity Premium Income ETF (JEPI)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.4	Unit First Trust Innovative Technology 38	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.5	Vanguard Target Retirement 2045 Fund Investor Class Shares (VTIVX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
5	"Battle for the American Mind," HarperCollins (value not readily ascertainable)			Advance	\$150,000
6	"American Crusade," Center Street (value not readily ascertainable)	N/A		Rent or Royalties	\$2,501 - \$5,000
7	"The War on Warriors," HarperCollins (value not readily ascertainable)	N/A		advance	\$348,000
8	"In the Arena," Simon & Schuster (value not readily ascertainable)	N/A			None (or less than \$201)
9	Peter Hegseth	No			
9.1	David Horowitz Freedom Center - Naples, FL - 11/22/24	N/A		Honorarium	\$15,000
9.2	Turning Point USA - May 25, 2023	N/A		Honorarium	\$10,000
9.3	Tripp Scott - Ft. Lauderdale, FL - 2/16/23	N/A		Honorarium	\$7,500
9.4	American Legislative Exchange Council - 2/16/23 - Arlington, VA	N/A		Honorarium	\$150,000
9.5	The Leadership Program of the Rockies - Denver, CO - 2/17/23	N/A		Honorarium	\$20,000
9.6	Providence Academy - Johnson City, TN - 3/7/23	N/A		Honorarium	\$15,000
9.7	Covenant Classical Christian School - Columbia, SC - 3/9/23	N/A		Honorarium	\$10,000
9.8	Project Medsend - Fort Mill, SC - 3/23/23	N/A		Honorarium	\$20,000
9.9	The Parkinson's Association of SWFL - Naples, FL - 3/29/23	N/A		Honorarium	\$32,000
9.10	StoneBridge School - Chesapeake, VA - 4/15/23	N/A		Honorarium	\$18,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.11	The Heritage Foundation - Washington, DC - 4/20/23	N/A		Honorarium	\$25,000
9.12	Oklahoma Council of Public Affairs - Oklahoma City, OK - 4/26/23	N/A		Honorarium	\$25,000
9.13	Dayspring Christian Academy - Mountville, PA - 4/29/23	N/A		Honorarium	\$20,000
9.14	Our Savior New American School - Centereach, NY - 5/20/23	N/A		Honorarium	\$10,000
9.15	HelpingAHero.org - Houston, TX - 5/23/23	N/A		Honorarium	\$15,000
9.16	HelpingAHero.org - Houston, TX - 8/29/23	N/A		Honorarium	\$15,000
9.17	Citizens' Council For Health Freedom - Saint Paul, MN - 9/7/23	N/A		Honorarium	\$20,000
9.18	Westgate Chapel - Edmonds, WA - 9/17/23	N/A		Honorarium	\$15,000
9.19	CFD Investments - Kokomo, IN - 9/21/23	N/A		Honorarium	\$25,000
9.20	Point of View Ministries - Dallas, TX - 10/5/23	N/A		Honorarium	\$20,000
9.21	HelpingAHero.org - Houston, TX - 11/30/23	N/A		Honorarium	\$16,000
9.22	Alabama Farmers Federation - Montgomer, AL - 12/4/23	N/A		Honorarium	\$25,000
9.23	Pathway to Victory - Dallas, TX - 2/2/24	N/A		Honorarium	\$15,000
9.24	James Madison Institute - Tallahassee, FL - 2/28/24	N/A		Honorarium	\$25,000
9.25	New Jersey State Police Benevolent Association - Woodbridge, NJ - 3/5/24	N/A		Honorarium	\$10,000
9.26	Idaho Family Policy Center - Boise, ID - 3/6/24	N/A		Honorarium	\$27,500
9.27	Philos Classical Christian School - Chapel Hill, TN - 3/12/24	N/A		Honorarium	\$10,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.28	Cornerstone Christian School - Abingdon, VA - 4/11/24	N/A		Honorarium	\$25,000
9.29	Oklahoma City Town Hall - Oklahoma City, OK - 4/18/24	N/A		Honorarium	\$21,000
9.30	National Rifle Association of America - Fairfax, VA - 5/17/24	N/A		Honorarium	\$25,000
9.31	HelpingAHero.org - Houston, TX - 5/21/24	N/A		Honorarium	\$15,000
9.32	Tennessee Firearms Association Legislative Action Committee - Nashville, TN - 9/7/24	N/A		Honorarium	\$25,000
9.33	Westgate Chapel - Edmonds, WA - 9/15/24	N/A		Honorarium	\$20,000
9.34	Florida Nursery, Growers, and Landscape Association - Orlando, FL - 10/2/24	N/A		Honorarium	\$15,000
9.35	Ghost Rx Inc Orlando, FL - 10/3/24	N/A		Honorarium	\$25,000
9.36	Paideia Academy - Knoxville, TN - 10/10/24	N/A		Honorarium	\$8,000
9.37	Pennsylvania Pro-Life Federation - Harrisburg, PA - 10/12/24	N/A		Honorarium	\$25,000
9.38	Point of Friction PAC - Roanoke, VA - 10/21/24	N/A		Honorarium	\$20,000
9.39	Georgia Christian School - Valdosta, GA - 11/21/24	N/A		Honorarium	\$25,000
9.40	New Jersey Family Policy Center / New Jersey Family Foundation - Warren, NJ - 11/22/24	N/A		Honorarium	\$20,000
9.41	David Horowitz Freedom Center - Naples, FL 12/6/23	N/A		Honorarium	\$15,000
10	"The War on Warriors," HarperCollins (value not readily ascertainable)	N/A		Rent or Royalties	\$100,001 - \$1,000,000

# 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Fox News Network, LLC	New York, New York	I will continue to participate in this cash balance pension plan, but the plan sponsor no longer makes contributions.	6/2014
2	Peter Hegseth	Goodlettsville, Tennessee	This entity will be inactive during my government appointment.	1/2025

# 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Fox News Network, LLC	New York, New York	Services as Employee: TV Host
2	David Horowitz Freedom Center	Naples, Florida	speech
3	Turning Point USA	Phoenix, Arizona	speech
4	Tripp Scott	Ft. Lauderdale, Florida	speech
5	American Legislative Exchange Council	Arlington, Virginia	speech
6	The Leadership Program of the Rockies	Denver, Colorado	speech
7	Providence Academy	Johnson City, Tennessee	speech
8	Covenant Classical Christian School	Columbia, South Carolina	speech
9	Project Medsend	Fort Mill, South Carolina	speech

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
10	The Parkinson's Association of SWFL	Naples, Florida	speech
11	StoneBridge School	Chesapeake, Virginia	speech
12	The Heritage Foundation	Washington, District of Columbia	speech
13	Oklahoma Council of Public Affairs	Oklahoma City, Oklahoma	speech
14	Dayspring Christian Academy	Mountville, Pennsylvania	speech
15	Our Savior New American School	Centereach, New York	speech
16	HelpingAHero.org	Houston, Texas	speech
17	Citizens' Council For Health Freedom	Saint Paul, Minnesota	speech
18	Westgate Chapel	Edmonds, Washington	speech
19	CFD Investments	Kokomo, Indiana	speech
20	Point of View Ministries	Dallas, Texas	speech
21	Alabama Farmers Federation	Montgomery, Alabama	speech
22	Pathway to Victory	Dallas, Texas	speech
23	James Madison Institute	Tallahassee, Florida	speech
24	New Jersey State Police Benevolent Association	Woodbridge, New Jersey	speech
25	Idaho Family Policy Center	Boise, Idaho	speech

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
26	Philos Classical Christian School	Chapel Hill, Tennessee	speech
27	Cornerstone Christian School	Abingdon, Virginia	speech
28	Oklahoma City Town Hall	Oklahoma City, Oklahoma	speech
29	National Rifle Association of America	Fairfax, Virginia	speech
30	Tennessee Firearms Association Legislative Action Committee	Nashvill, Tennessee	speech
31	Florida Nursery, Growers, and Landscape Association	Orlando, Florida	speech
32	Ghost Rx Inc.	Orlando, Florida	speech
33	Paideia Academy	Knoxville, Tennessee	speech
34	Pennsylvania Pro-Life Federation	Harrisburg, Pennsylvania	speech
35	Point of Friction PAC	Roanoke, Virginia	speech
36	Georgia Christian School	Valdosta, Georgia	speech
37	New Jersey Family Policy Center / New Jersey Family Foundation	Warren, New Jersey	speech
38	Customers Bank	Reading, Pennsylvania	speech
39	Conklin Company, Inc.	Branson, Missouri	speech
40	NC Faith and Freedom Coalition	Charlotte, North Carolina	speech

# 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fox News Network, LLC	N/A	-	salary/bonus	
2	Rollover IRA 1	No			
2.1	U.S. bank (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2	Advanced Micro Devices, Inc. (AMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.3	Alphabet, Inc. (GOOG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.4	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.5	Apple, Inc. (AAPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.6	Blackstone Inc. Common Stock (BX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.7	Chubb Ltd. (CB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.8	Costco Wholesale Corp. (COST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.9	Danaher Corp. (DHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.10	Honeywell International, Inc. (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.11	International Business Machines Corp. (IBM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.12	JPMorgan Chase & Co. (JPM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.13	KKR & Co., Inc. (KKR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.14	Laboratory Corp. of America Holdings (LH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.15	Lockheed Martin Corp. (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.16	Lowe's Companies Inc. (LOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.17	Mastercard, Inc. (MA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.18	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.19	Mondelez International, Inc. (MDLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.20	Morgan Stanley (MS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.21	Northrop Grumman Corp. (NOC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.22	Oracle Corp. (ORCL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.23	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.24	The PNC Financial Services Group, Inc. (PNC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.25	T-Mobile US, Inc. (TMUS)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE IN	COME TYPE	INCOME AMOUNT
2.26	Thermo Fisher Scientific, Inc. (TMO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.27	Trane Technologies plc (TT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.28	Visa, Inc. (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.29	Walmart, Inc. (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.30	Ares Capital Corporation Common Stock (ARCC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.31	Avantis US Equity ETF (AVUS)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.32	Avantis US Small Cap Value ETF (AVUV)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	Rollover IRA 2	No			
3.1	Apollo Diversified Real Estate Fund (GMREX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4	Fox News Deferred Compensation Plan	No			
4.1	MFS Blended Research International Equity Fund Class R6 Shares (BRXVX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.2	Fidelity 500 Index Fund (FXAIX)	Yes	None (or less than \$1,001)		None (or less than \$201)
4.3	T. Rowe Price Blue Chip Growth Trust (Class T4)	Yes	None (or less than \$1,001)		None (or less than \$201)
4.4	Vanguard Target Retirement 2040 Fund Investor Class Shares (VFORX)	Yes	None (or less than \$1,001)		None (or less than \$201)
4.5	Vanguard Target Retirement 2050 Fund Investor Class Shares (VFIFX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)

### 6. Other Assets and Income

#	DESCRIPTION	_	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Coinbase wallet		No			
1.1	Bitcoin		N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
2	Baltimore MD rental house	See Endnote	N/A	None (or less than \$1,001)	Capital Gains Rent or Royalties	\$100,001 - \$1,000,000
3	QBF Holdings LLC - limited partnership		No			
3.1	U.S. bank (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
4	U.S. bank #1 (cash)		N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
5	U.S. bank #2 (cash)		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
6	U.S. bank #3 (cash)		N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Farm Credit Mid-America FLCA	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2022	5.8	20 year
2	Chase Bank	Mortgage (investment/rent al property)	\$100,001 - \$250,000	2013	3.875	15 year

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

### **Endnotes**

PART	#	ENDNOTE
6.	2	Sold in 2023

### **Summary of Contents**

#### 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

#### 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

#### 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

#### 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

#### **Privacy Act Statement**

5 U.S.C.\s 13101 et seq., and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made; (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation: (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination: (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government. or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

#### **Public Burden Information**

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).