

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Cordova, France A

Director, National Science Foundation, National Science Foundation

Report Year: 2018

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Cordova, France A [electronically signed on 07/16/2018 by Cordova, France A in Integrity.gov] - Filer received a 75 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Clay, Robin, Certifying Official [electronically signed on 07/23/2018 by Clay, Robin in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Skalla, Daniel L, Certifying Official [electronically signed on 07/27/2018 by Skalla, Daniel L in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Wells Fargo Generation Skipping Trust		Trust	Trustee	1/1990	Present
2	Wells Fargo Living Trust		Trust	Trustee	1/1988	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SEP IRA:				
1.1	- Fidelity International Index Premium Class	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.2	- Fidelity Pacific Basin	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
1.3	- Matthews Asia Dividend Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
2	Roth IRA:				
2.1	- Fidelity Four in One Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Harbor Hi Yield Bond Inst	See Endnote	\$1,001 - \$15,000		None (or less than \$201)
3	University of California, defined benefit plan (value not readily ascertainable)	N/A		Retirement Payments (annual)	\$14,745
4	Edison International Stock Options	N/A	\$50,001 - \$100,000	ordinary income	\$53,953

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	- - 2,500 sh, 4/24/08; strike price \$52.78; exp 4/18	N/A			None (or less than \$201)
4.2	- 2,500 sh, 4/23/09; strike price \$28.00; exp 4/19	N/A			None (or less than \$201)
5	Edison In'tl deferred Compensation Plan	No			
5.1	- Cash Account	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
5.2	- Deferred Stock Unit Account	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
6	Edison Internation deferred comp payout distribution:				
6.1	- Cash Acct distribution	N/A		cash account payout	\$151,142
6.2	- Stock Distribution	N/A		stock payout	\$228,129
7	SAIC Key Executive Stock Deferred Plan - Restricted Stock Units	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
7.1	- Plan payout			payout	\$178,886
7.2	SAIC stock	See Endnote	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
8	Edison International stock	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
9	Leidos Stock	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
10	Fidelity Rollover IRA:				
10.1	Fidelity Freedom 2040	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
10.2	Fidelity Total Bond	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.3	Fidelity Pacific Basin	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
10.4	Fidelity Puritan	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
10.5	Fidelity Four In One Index	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
10.6	Fidelity Blue Chip Growth	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
10.7	American Bond Fund of America	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.8	American Capital Income Builder	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
10.9	American Capital World Growth & Income	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.10	Harbor High-Yield Bond Instl	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
10.11	Nuveen Symphony Flat Rate Income	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
10.12	Pimco Income Fund Institutional	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
11	TIAA	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Purdue	West Lafayette,, Indiana	Continue to participate in TIAA/CREF 401(a)	6/2007

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	University of California	Riverside, California	Receive monthly pension payment.	7/2007
3	Edison International	Rosemead, California	Continued part in Edison Deferred Comp plan comprised of cash and deferred stock Units and receive annual payout. Continue to hold stock options.	5/2004
4	SAIC	McLean, Virginia	Continued participation in plan and receive annual payout.	12/2008
5	Mayo Clinic	Rochester, Minnesota	As a former Trustee, receive lifetime medical coverage at Mayo Clinics for myself and my spouse in accordance with Trustee benefit plan	5/2008

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	TIAA Traditional	No	\$1,001 - \$15,000	Interest	None (or less than \$201)
2	Fidelity Rollover IRA				
2.1	- Fidelity International Discovery	N/A	\$100,001 - \$250,000		\$5,001 - \$15,000
2.2	- Fidelity Canada Fund	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
2.3	- Vanguard Life Strategy Mod Growth	Yes	Over \$1,000,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.4	Fidelity Government MM	Yes	None (or less than \$1,001)		None (or less than \$201)
3	Roth IRA - Vanguard Life Strategy Moderate Growth	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4	New Mexico State Retirement System, defined benefit plan (value not readily ascertainable)	N/A		Retirement Payments	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Purdue Federal Credit Union Cash Account	N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
2	Jackson Life Perspective II: Lifeguard Freedom 6 Annuity	No			
2.1	Lazard Emerging Markets Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	T. Rowe Price Mid-Cap Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	MC Dow Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	JNL Harris Oakmark Growth Equity Fund	See Endnote	\$1,001 - \$15,000		None (or less than \$201)
2.5	MC Technology Sector Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Capital Guardian	No			
3.1	American Mutual Fund	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2	Capital Group Core Municipal Fund	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
3.3	Capital Income Builder	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
3.4	Capital World Growth & Income Fund	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
3.5	Fundamental Investors Fund	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
3.6	International Growth & Income Fund	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
3.7	New Economy Fund	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
3.8	New Perspective Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
3.9	Washington Mutual Investors	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
4	Wells Fargo - Living Trust - est. 1988	No			
4.1	T. Rowe Price Institutional Float Rate Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.2	Fidelity Advisors Emerging Markets Income Fund	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.3	AQR Managed Futures Strategy Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.4	ASG Global Alternatives Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.5	Blackrock Global Long/Short Credit Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.6	Boston Partners Long/Short Research Fund	Yes	None (or less than \$1,001)		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.7	Eaton Vance Global Macro Absolute Return Fund	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4.8	Neuberger Berman Long Short Fund Inst	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.9	The Merger Fund Class Inst	Yes	None (or less than \$1,001)		\$201 - \$1,000
4.10	SPDR DJ Wilshire International Real Estate ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.11	T. Rowe Price Real Estate Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.12	Vanguard REIT Viper	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.13	Blackrock Institutional Funds Trust for Federal Securities	Yes	\$100,001 - \$250,000		\$201 - \$1,000
4.14	JP Morgan High Yield Fund Select	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.15	Vanguard Inflation Protected Securities Fund - ADM	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.16	Vanguard Intermediate Term B	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.17	Vanguard Short Term Bond ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.18	AMEX Consumer Discr SPDR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.19	Consumer Staples Sector SPDR Tr	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.20	AMEX Energy Select SPDR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.21	Financial Select Sector SPDR Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.22	Healthcare Select Sector SPDR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.23	AMEX Industrial	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.24	AMEX Technology Select SPDR	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.25	AMEX Materials SPDR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.26	iShares Core S&P Small-Cap ETF	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4.27	iShares S&P Mid-Cap 400 Growth	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.28	iShares S&P Mid-Cap 400 Value	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.29	Real Estate Select Sector SPDR Fund	See Endnote	\$1,001 - \$15,000		\$201 - \$1,000
4.30	Vanguard FTSE Developed Markets ETF	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
4.31	Vanguard FTSE Emerging Markets ETF	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.32	ASG Managed Futures Strategy Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.33	John Hancock Fund II Absolute Return Currency fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Generation Skipping Trust (1990) - Wells Fargo	No			
5.1	Blackrock Institutional Funds Trust for Federal Securities T-Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.2	Fidelity Advisors Emerging Markets Income Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.3	AQR Managed Futures Strategy Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	Blackrock Global Long/Short Credit Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	Boston Partners Long/Short Research Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.6	Eaton Vance Global Macro Absolute Return Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.7	SPDR DJ Wilshire Intl Real Estate ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.8	T. Rowe Price Real Estate Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.9	Vanguard REIT Viper	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.10	Wells Fargo Intermediate Tax-Free Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.11	AMEX Consumer Discr SPDR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.12	Consumer Staples Sector SPDR TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.13	AMEX Energy Select SPDR	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.14	Financial Select Sector SPDR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.15	Health Care Select Sector SPDR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.16	AMEX Industrial SPDR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.17	AMEX Technology Select SPDR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.18	AMEX Materials SPDR	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.19	iShares Core S&P Small-Cap ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.20	iShares S&P Mid-Cap 400 Growth	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.21	iShares S&P Mid Cap 400 Value	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.22	Real Estate Select Sector SPDR Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.23	Morgan Stanley Institutional Fund - Frontier Emerging Markets	Yes	None (or less than \$1,001)		\$201 - \$1,000
5.24	Templeton Emerging Markets Small Cap	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.25	Vanguard FTSE Developed Markets ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.26	Vanguard FTSE Emerging Markets ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.27	John Hancock Fund II Absolute Return Currency	Yes	None (or less than \$1,001)		None (or less than \$201)
6	AMS Group, LLC (mini-storage in Covina CA) See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	AQR MManaged Futuress STR-	Purchase	various	\$1,001 - \$15,000
2	Blackrock GL L/S Credit	Purchase	7/28/17	\$1,001 - \$15,000
3	Boston P Lng/Shrt Res	Purchase	5/30/17	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
4	Eaton Vance Global Macro	Purchase	various	\$1,001 - \$15,000
5	iShares S&P Mid-Cap Value	Purchase	7/28/17	\$1,001 - \$15,000
6	John Hancock II - Absolute Return	Purchase	10/23/17	\$1,001 - \$15,000
7	SPDR DJ Wilshire International	Purchase	1/23/17	\$1,001 - \$15,000
8	T Rowe Price Real Estate Fd	Purchase	various	\$1,001 - \$15,000
9	Templeton Emerging Markets Small Cap Adv	Purchase	various	\$1,001 - \$15,000
10	Vanguard FTSE Developed ETF	Purchase	1/23/17	\$1,001 - \$15,000
11	Vanguard FTSE Emerging Markets ETF	Purchase	various	\$1,001 - \$15,000
12	Wells Fargo Intm T/F	Purchase	various	\$1,001 - \$15,000
13	AQR Managed Futures STR	Purchase	various	\$1,001 - \$15,000
14	ASG Global Alternatives	Purchase	various	\$1,001 - \$15,000
15	Boston P Lng/Sht Res	Purchase	5/30/17	\$1,001 - \$15,000
16	Eaton Vance Global Macro Adv	Purchase	various	\$15,001 - \$50,000
17	Fidelity Advisor Emerging Mkts Inc	Purchase	various	\$1,001 - \$15,000
18	iShares S&P Mid-Cap 400 Value	Purchase	7/28/17	\$1,001 - \$15,000
19	John Hancock II-Abslt Return	Purchase	10/23/17	\$15,001 - \$50,000
20	Merger Fund Inst	Purchase	5/30/17	\$1,001 - \$15,000
21	Neuberger Berman Long Sh - Ins	Purchase	various	\$15,001 - \$50,000
22	SPDR DJ Wilshire Int'l Real	Purchase	1/23/17	\$15,001 - \$50,000
23	T Rowe Price Real Estate Fd	Purchase	various	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
24	Vanguard FTSE Developed ETF	Purchase	1/23/17	\$1,001 - \$15,000
25	Vanguard FTSE Emerging Markets	Purchase	1/23/17	\$1,001 - \$15,000
26	Vanguard Inflation Protected Secs Adm	Purchase	1/23/17	\$1,001 - \$15,000
27	Vanguard Intermediate Term B	Purchase	various	\$15,001 - \$50,000
28	Vanguard REIT Viper	Purchase	7/28/17	\$1,001 - \$15,000
29	Vanguard Short Term Bond ETF	Purchase	various	\$1,001 - \$15,000
30	AMEX Consumer DISCR SPDR	Sale	various	\$1,001 - \$15,000
31	AMEX Energy Select SPDR	Sale	various	\$1,001 - \$15,000
32	AMEX Industrial SPDR	Sale	various	\$1,001 - \$15,000
33	AMEX Technology Select SPDR	Sale	various	\$1,001 - \$15,000
34	AQR Managed Futures STR	Sale	12/6/17	\$1,001 - \$15,000
35	Boston P Lng/Shrt Res	Sale	10/20/17	\$15,001 - \$50,000
36	Consumer Staples Sector SPDR	Sale	various	\$1,001 - \$15,000
37	Credit Suisse Comm Ret	Sale	1/19/17	\$15,001 - \$50,000
38	Eaton Vance Global Macro	Sale	10/20/17	\$15,001 - \$50,000
39	Financial Select Sector SPDR	Sale	various	\$1,001 - \$15,000
40	Health Care Select Sector	Sale	various	\$1,001 - \$15,000
41	iShares Core S&P Smal Cap	Sale	various	\$15,001 - \$50,000
42	iShares S&P Mid-Cap 400 Value	Sale	1/23/17	\$1,001 - \$15,000
43	iShares S&P Mid-Cap 400 Growth	Sale	various	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
44	JP Morgan High Yield Fund	Sale	1/19/17	\$15,001 - \$50,000
45	Merger Fund Inst	Sale	10/20/17	\$15,001 - \$50,000
46	T Rowe Price Inst Float Rate	Sale	1/19/17	\$1,001 - \$15,000
47	Vanguard FTSE Developed ETF	Sale	various	\$1,001 - \$15,000
48	Vanguard FTSE Emerging Markets ETF	Sale	various	\$1,001 - \$15,000
49	Vanguard Short Term Bond ETF	Sale	6/12/17	\$15,001 - \$50,000
50	AMEX Consumer Discr SPDR	Sale	various	\$1,001 - \$15,000
51	AMEX Technology Select SPDR	Sale	various	\$1,001 - \$15,000
52	ASG Global Alternatives	Sale	10/20/17	\$1,001 - \$15,000
53	Credit Suisse Comm Ret St	Sale	1/19/17	\$1,001 - \$15,000
54	Eaton Vance Global Macro	Sale	10/20/17	\$1,001 - \$15,000
55	Financial Select Sector SPDR	Sale	1/23/17	\$1,001 - \$15,000
56	Health Care Select Sector	Sale	1/23/17	\$1,001 - \$15,000
57	iShares Core S&P Small Cap	Sale	various	\$1,001 - \$15,000
58	iShares S&P Mid-Cap 400 Value	Sale	1/23/17	\$1,001 - \$15,000
59	JP Morgan High Yield Fund	Sale	1/19/17	\$1,001 - \$15,000
60	Merger Fund Inst	Sale	10/20/17	\$1,001 - \$15,000
61	MSIF Frontier Markets	Sale	7/26/17	\$1,001 - \$15,000
62	Nuveen High Yield Muni Bd	Sale	1/19/17	\$1,001 - \$15,000
63	Principal GL Mult Strat Inst	Sale	7/26/17	\$1,001 - \$15,000
64	T. Rowe Price Inst Float Rate	Sale	1/19/17	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
65	Vanguard FTSE Developed ETF	Sale	various	\$1,001 - \$15,000
66	American Funds American Mutual	Purchase	various	\$15,001 - \$50,000
67	Capital Group Core Municipal Fund	Purchase	various	\$50,001 - \$100,000
68	Capital Income Builder	Purchase	various	\$15,001 - \$50,000
69	Capital World Growth & Income Fund	Purchase	various	\$15,001 - \$50,000
70	Fundamental Investors Fund	Purchase	various	\$15,001 - \$50,000
71	International Growth & Income Fund	Purchase	various	\$1,001 - \$15,000
72	New Economy Fund	Purchase	various	\$15,001 - \$50,000
73	New Perspective Fund	Purchase	various	\$15,001 - \$50,000
74	Washington Mutual Investors Fund	Purchase	various	\$15,001 - \$50,000
75	Capital Group Core Municipal Fund	Sale	various	\$15,001 - \$50,000
76	Matthews Asia Dividend Fund	Purchase	various	\$15,001 - \$50,000
77	Fidelity Pacific Basin	Purchase	various	\$15,001 - \$50,000
78	Fidelity Freedom 2040	Purchase	various	\$1,001 - \$15,000
79	Fidelity Total Bond		various	\$1,001 - \$15,000
80	Fidelity Pacific Basin	Purchase	12/15/17	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
81	Fidelity Puritan	Purchase	various	\$15,001 - \$50,000
82	Fidelity Four-in-One Index	Purchase	various	\$50,001 - \$100,000
83	Fidelity Blue Chip Growth	Purchase	various	\$15,001 - \$50,000
84	American Capital Income Builder	Purchase	various	\$1,001 - \$15,000
85	American Capital World Growth & Income	Purchase	various	\$1,001 - \$15,000
86	Harbor High-Yield Bond Institutional	Purchase	various	\$1,001 - \$15,000
87	Nuveen Symphony Flat Rate Income	Purchase	various	\$15,001 - \$50,000
88	Pimco Income fund Institutional	Purchase	various	\$15,001 - \$50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	2.2	asset value rose over reporting threshold
2.	7.2	Although I have reported the stock payout each year, did not provide the total value for the payout. Have added.
6.	2.4	Name change from Red Rocks Listed Private Equity fund
6.	4.29	Spinoff of Financial Select Sector SPDR
6.	6	Includes personal ownership and ownership through Frederick and Joan Cordova Family Trust.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
