OGE Form 278 (Rev. 12/2011)
5. C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209-0001

Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)	Reporting Status (Check Appropriate Boxes)	Incumbent	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate	Termination Filer	Termination Date (If Applicable) (Month, Day, Year)
03-08-2013		☒	2013	☐	☐	

Reporting Individual's Name	Last Name	First Name and Middle Initial
	Brennan	John O.

Position for Which Filing	Title of Position	Department or Agency (If Applicable)
	Director, Central Intelligence Agency	CIA

Location of Present Office (or forwarding address)	Address (Number, Street, City, State, and ZIP Code)	Telephone No. (Include Area Code)
	Building: Original Headquarters Building; Room: 7E64	9337555

Position(s) Held with the Federal Government During the Preceding 12 Months (if Not Same as Above)	Title of Position(s) and Date(s) Held
	No Additional Positions have been added.

Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination	Do You Intend to Create a Qualified Diversified Trust?
	N/A	☐ Yes ☐ No

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	John O. Brennan	05-15-2014
Other Review (If desired by agency)	Signature of Other Reviewer	Date (Month, Day, Year)
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Official	Date (Month, Day, Year)
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Karla Anthony	05-15-2014
Office of Government Ethics Use Only	Signature	Date (Month, Day, Year)
	Barbara Mullen-Roth	7-17-14

Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)

(Check box if filing extension granted & indicate number of days _____) ☐

(Check box if comments are continued in 'Comments' section) ☐

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A- -The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B- -Not applicable.

Schedule C, Part I(Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.
Schedule C, Part II(Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D- -The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only

OGE Use Only

JUN 5 2014

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Reporting Individual's Name	SCHEDULE A	
John O. Brennan		

Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C																	
																Type				Amount												
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse). None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples																																
Central Airlines Common				X												X					X										
Doe Jones & Smith, Hometown, State			X																											*Law Partnership Income $130,000*	
Kempstone Equity Fund					X								X										X								
IRA: Heartland 500 Index Fund							X						X											X							
1. (J) Northwest Federal Credit Union (Checking, Savings)			X																	X											
2. LPL Financial Retirement Account Strategic Wealth Management					X											X		X	X				X								
3. - Artisan Mid Cap Investor CL - ARTMX		X											X							X											
4. - Blackrock Global Allocation Institutional CL - MALOX			X										X			X		X	X		X										
5. - Columbia Technology CL Z - CMTFX		X											X							X											
6. - Harbor Cap Appreciation Institutional CL - HACAX			X										X							X											
7. - Harbor International Institutional CL - HAINX		X											X							X											
8. - Ivy Asset Strategy CL I - IVAEX		X											X			X		X	X		X										
9. - Ivy High Income CL I - IVHIX		X											X			X		X	X		X										
10. - Loomis Sayles Global Equity & Income CL Y - LSWWX		X											X							X											
11. - Loomis Sayles Investment Grade Bond CL Y - LSIIX		X											X			X		X	X		X										
12. - Loomis Sayles Strategic Income CL Y - NEZYX			X										X			X		X	X		X										

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name	SCHEDULE A continued	
John O. Brennan		

Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C																	
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse). None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
13. – Lord Abbett Value Opptys CL F - LVOFX		X											X							X												
14. – Oppenheimer Developing Markets CL Y - ODVYX		X											X							X												
15. – PIMCO Income CL P - PONPX		X											X			X		X	X		X											
16. – Putnam Capital Spectrum CL Y - PVSYX		X											X							X												
17. (S) LPL Retirement Account 401(K) QRP				X												X		X	X			X										
18. – (S) Blackrock Global Allocation Investor CL C - MCLOX		X											X							X												
19. – (S) Loomis Sayles Investment Grade Bond CL C - LGBCX		X											X			X		X	X		X											
20. – (S) Oppenheimer Active Allocation CL C - OAACX			X										X							X												
21. – (S) PIMCO All Asset CL C - PASCX		X											X							X												
22. – (S) PIMCO Emerging Markets Bond CL C - PEBCX		X											X							X												
23. – (S) PIMCO Total Return CL C - PTTCX		X											X							X												
24. – (S) Pioneer Global High Yield CL C - PGYCX		X											X			X		X	X		X											

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name	SCHEDULE A continued	
John O. Brennan		

Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B															Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C																		
																	Type				Amount												
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse). None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
25. – (S) Putnam Capital Spectrum CL C - PVSCX		X											X							X												
26. SOTERA Defense Solutions (Defined Contribution Plan) *401(K)*					X															X												
27. – Principal Life ~~Term~~ 2020 Time Account (SAR 7-15-14)					X								X							X												
28. (S) WeePlay LLC *Child Enrichment Classes*			X																	X												
29.																																
30.																																
31.																																
32.																																
33.																																
34.																																
35.																																
36.																																

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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B	
John O. Brennan		

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
	Example — Central Airlines Common	*X*			*02/01/99*			*X*									
1.	Allianz RCM Technology CL P (ARTPX)		X		01/10/13	X											
2.	Harbor Cap Appreciation Institutional CL (HACAX)		X		01/28/13	X											
3.	Lord Abbett Income CL F (LAUFX)		X		07/18/13	X											
4.	Oppenheimer Developing Markets CL Y (ODVYX)		X		06/24/13	X											
5.	Oppenheimer Developing Markets CL Y (ODVYX)		X		06/24/13	X											

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $350 and (2) travel-related cash reimbursements received from one source totaling more than $350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided.

Exclude anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $140 or less. See instructions for other exclusions.

None ☒

	Source *(Name and Address)*	Brief Description	Value
	Examples: Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$385
1.			
2.			
3.			
4.			
5.			

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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B continued	
John O. Brennan	(Use only if needed)	

Part I: Transactions

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)												
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
6.	Oppenheimer Senior Floating Rate CL Y (OOSYX)		X		10/31/13	X												
7.																		
8.																		
9.																		
10.																		
11.																		
12.																		
13.																		
14.																		
15.																		
16.																		
17.																		
18.																		
19.																		
20.																		

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

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Reporting Individual's Name	SCHEDULE C	
John O. Brennan		

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period.

Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)										
						$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	*First District Bank, Washington, DC*	*Mortgage on rental property, Delaware*	*1991*	*8%*	*25 yrs.*			*X*								
	John Jones, Washington, DC	*Promissory note*	*1999*	*10%*	*on demand*					*X*						
1.	(J) Northwest Federal Credit Union, Herndon, VA	Mortgage on primary residence	2013	3.63%	30 year					X						
2.	(J) Northwest Federal Credit Union, Herndon, VA	Revolving Credit card account	2013	6.0%		X										
3.																
4.																
5.																
6.																

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/09.	Doe Jones & Smith, Hometown, State	7/85
1.	Filer has an agreement to continue to participate in Sotera's 401(k) plan; neither Filer nor his employer made any contributions or transactions to the plan since his employment terminated.	Sotera Defense Solutions, Inc. , Des Moines , IA	11/2006
2.			
3.			
4.			
5.			
6.			

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Reporting Individual's Name	SCHEDULE D	
John O. Brennan		

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☒

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo., Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law Firm	Partner	7/85	1/00
1.					
2.					
3.					
4.					
5.					
6.					

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provide the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☒

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1.		
2.		
3.		
4.		
5.		
6.		

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Reporting Individual's Name John O. Brennan	Privacy Act Statement	

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. ¤ 101 et seq., and 5 C.F.R. Part 2634 of the Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person pursuant to section 105 of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of a violation or potential violation of law or regulation; (2) to a court or party in a court or Federal administrative proceeding if the Government is a party or in order to comply with a judge-issued subpoena; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or decision; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget (OMB) during legislative coordination on private relief legislation; and (6) in response to a request for discovery or for the appearance of a witness in a pending judicial or administrative proceeding, if the information is relevant to the subject matter. See also the OGE/GOVT-1 executive branchwide Privacy Act system of records. Knowing and willful falsification of information, or failure to file or report information required to be reported by section 102 of the Act, may subject you to a civil monetary penalty and to disciplinary action by your employing agency or other appropriate authority under section 104 of the Act. Knowing and willful falsification of information required to be filed by section 102 of the Act may also subject you to criminal prosecution.

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Reporting Individual's Name John O. Brennan	278 Filer and Approver Comments	

Comments

05-15-2014 - System -	' - OGC reviewer approved form.
05-15-2014 - System - Filer:	- Filer indicates NO to a COI
05-15-2014 - System - Filer:	- User completed form.
05-15-2014 - Sec. C: Liability -	' - In 2013, Filer consolidated the two mortgage loans for his primary residence previously reported on his OGE 278
05-05-2014 - System - Filer:	: created initial draft.