

July 24, 2009

Christopher M. Runkel
Designated Agency Ethics Official
National Archives and Records Administration
8601 Adelphi Road, Suite 3110
College Park, MD 20740-6001

Dear Mr. Runkel:

The purpose of this letter is to describe the steps that I will take to avoid any actual or apparent conflict of interest in the event that I am confirmed for the position of Archivist of the United States (Archivist).

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest or in which I know that a person whose interests are imputed to me has a financial interest, if the particular matter has a direct and predictable effect on that interest, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have disclosed in my financial disclosure report financial interests that my wife and I each have in the Bond Oriented Balanced Fund, which is a custom fund created specifically for participants in the MIT Supplemental 401(k) Retirement Plan. However, there is insufficient information available to enable me to disclose the fund's underlying assets in my financial disclosure report. Therefore, my wife and I will divest our respective financial interests in the Bond Oriented Balanced Fund within 90 days of my confirmation. Until we both have divested the Bond Oriented Balanced Fund, I will not participate personally and substantially in any particular matter in which I know that I have a financial interest, if the particular matter has a direct and predictable effect on the financial interests of the Bond Oriented Balanced Fund, or its underlying assets, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).

Upon confirmation, I will resign from my position as The Andrew W. Mellon Director of the New York Public Libraries. For one year after my resignation, I will not participate personally and substantially in any particular matter involving specific

parties in which the New York Public Library (NYPL) is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

Upon confirmation, I will resign from my position as a director of the Research Collections and Preservation Consortium (ReCAP). For a period of one year after my resignation, I will not participate personally and substantially in any particular matter involving specific parties in which ReCAP is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

Upon confirmation, I will resign the following unpaid positions: (1) Member of The Frick Art Reference Library Visiting Committee, which is an advisory body supporting the Board of Trustees for the Frick Collection; (2) Member of the New York State Regents Advisory Council on Libraries; (3) Partner Representative for NYPL of the Research Library Group Programs, which is part of the Online Computer Library Center, Inc. (OCLC); and (4) Member of the Washington University Libraries' National Council, an advisory board that discusses Libraries' initiatives and projects twice a year with the University's administration. For one year after I terminate my positions with these organizations, I will not participate personally and substantially in any particular matter involving specific parties in which any of the organizations is a party or represents a party, unless I am authorized to participate pursuant to 5 C.F.R. § 2635.502(d).

My wife is the Associate General Manager of UNC-TV, which is the 22-station public television network licensed to the University of North Carolina. The University of North Carolina at Chapel Hill pays my wife's salary and associated benefits. I will not participate personally and substantially in any particular matter that has a direct and predictable effect on my spouse's compensation or employment with UNC-TV or UNC-Chapel Hill, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1). I also will not participate personally and substantially in any particular matter involving specific parties in which UNC-TV or UNC-Chapel Hill is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

Finally, I understand that as an appointee I am required to sign the Ethics Pledge (Exec. Order No. 13490) and that I will be bound by the requirements and restrictions therein in addition to the commitments I have made in this and any other ethics agreement.

Sincerely,



DAVID S. FERRIERO