Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Sherman, Wendy R

Deputy Secretary of State, Department of State

Date of Termination: 07/31/2023

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Sherman, Wendy R [electronically signed on 08/11/2023 by Sherman, Wendy R in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Kiingi, Elizabeth M, Certifying Official [electronically signed on 09/01/2023 by Kiingi, Elizabeth M in Integrity.gov]

Other review conducted by
/s/ Kiingi, Elizabeth M, Ethics Official [electronically signed on 08/25/2023 by Kiingi, Elizabeth M in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 09/22/2023 by Granahan, Megan in Integrity.gov]

Data Revised 08/25/2023

Data Revised 08/24/2023

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	WRS Global, LLC	See Endnote	Bethesda, Maryland	LLC, Single Member	Sole Member	1/2016	Present
2	Bruce E. Stokes Irrevocable Trust		Bethesda, Maryland	Trust	Trustee	9/2006	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA # 1	No			
1.1	U.S. brokerage firm, cash account	N/A	$15,001 - $50,000		None (or less than $201)
1.2	EEMV - ISHARES EDGE MSCI MIN VOL EMER	Yes	$15,001 - $50,000		None (or less than $201)
1.3	EFAV - ISHARES EDGE MSCI MIN VOL EAFE	Yes	$50,001 - $100,000		None (or less than $201)
1.4	MTUM - ISHARES EDGE MSCI US MOMENTUM	Yes	$50,001 - $100,000		None (or less than $201)
1.5	IUSB - ISHARES CORE TOTAL BOND ETF	Yes	$100,001 - $250,000		None (or less than $201)
1.6	XLP - CONS STAPLES SEL SECT SPDR FD	Yes	$50,001 - $100,000		None (or less than $201)
1.7	VIG - VANGUARD DIVIDEND APPRECIATION	Yes	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.8	IPPXX - INVESCO PREMIER INST	Yes	$100,001 - $250,000		None (or less than $201)
1.9	AQMIX - AQR MNGD FUTURES STRAT I	Yes	$15,001 - $50,000		None (or less than $201)
1.10	MIPIX - MATTHEWS ASIA DIVIDEND INV	Yes	$15,001 - $50,000		None (or less than $201)
1.11	PSPLX - PIMCO STOCKSPLUS SH I2	Yes	$1,001 - $15,000		None (or less than $201)
1.12	UBVSX - JPMORGAN UNDSC MNGR BEHV VL I	Yes	$15,001 - $50,000		None (or less than $201)
1.13	VWINX - VANGUARD WELLESLEY INC INV	Yes	$100,001 - $250,000		None (or less than $201)
1.14	IRA #1	No		IRA Contributions	
1.14.1	IRA Contribution 2023 Cash Payments	N/A	$1,001 - $15,000		$7,500
1.14.2	IRA Contribution 2022 cash payments	N/A	$1,001 - $15,000		$7,000
1.15	U.S. brokerage firm, cash account	N/A	$1,001 - $15,000		None (or less than $201)
1.16	IUSB - ISHARES CORE TOTAL BOND ETF	Yes	$50,001 - $100,000		None (or less than $201)
1.17	SCHV - SCWAB U.S. LARGE-CAP VALUE	Yes	$15,001 - $50,000		None (or less than $201)
1.18	BSV - VANGUARD SHORT TERM BND	Yes	$50,001 - $100,000		None (or less than $201)
1.19	SPSM SPDR PORTFOLIO S&P 600 SMALL	Yes	$1,001 - $15,000		None (or less than $201)
1.20	CIPIX - CHAMPLAIN MID CAP INST	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.21	WCMIX - WCM FOCUSED INTL GWTH INST	Yes	$1,001 - $15,000		None (or less than $201)
1.22	TRBCX - T ROWE PRICE BLUE CHIP GR	Yes	$15,001 - $50,000		None (or less than $201)
1.23	Cash Payment Required Minimum Distribution				
1.23.1	Cash Payment Required Minimum Distriution 2023	N/A	$15,001 - $50,000	Cash Payment Required Minimum Distribution 2023	$48,900
1.23.2	Cash Payment Required Minumum Distribution 2022	N/A	$15,001 - $50,000	Cash Payment Required Minumum Distribution 2022	$54,563
1.24	UNITED STATES TREASURY BILL Zero Coupon; Matures 11/02/2023; CUSIP 912796YT0	N/A	$50,001 - $100,000		None (or less than $201)
2	IRA #2	N/A			
2.1	IRA # 2 - Cash Payment Required Minimum Distribution				
2.1.1	Cash Payment Required Minimum Distribution 2023 Inherited IRA	N/A	$1,001 - $15,000	Cash Payment Required Minimum Distribution 2023 Inherited IRA	$13,153
2.1.2	Cash Payment Required Minimum Distribution 2022 Inherited IRA		$1,001 - $15,000	Cash Payment Required Minimum Distribution 2022 Inherited IRA	$14,632
2.2	IRA #2 - Cash	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.3	VANGUARD DIVIDEND APPRECIATION (VIG)		Yes	$1,001 - $15,000		None (or less than $201)
2.4	VANGUARD SHORT TERM BND (BSV)		Yes	$50,001 - $100,000		None (or less than $201)
2.5	INVESCO PREMIER INST (IPPXX)		Yes	$1,001 - $15,000		None (or less than $201)
2.6	AQR MNGD FUTURES STRAT I (AQMIX)		Yes	$1,001 - $15,000		None (or less than $201)
2.7	FIRST EAGLE OVERSEAS I (SGOIX)		Yes	$1,001 - $15,000		None (or less than $201)
2.8	VANGUARD WELLESLEY INC INV (VWINX)		Yes	$50,001 - $100,000		None (or less than $201)
2.9	WASATCH SM CAP VAL FD (WMCVX)		Yes	$1,001 - $15,000		None (or less than $201)
2.10	WCM FOCUSED INTL GWTH INST (WCMIX)		Yes	$1,001 - $15,000		None (or less than $201)
3	WRS Global 401(k) # 1	See Endnote	No			
3.1	WRS Global 401(k) # 1	See Endnote	N/A	$1,001 - $15,000	cash payments required minimum distribution 2023	$7,637
3.2	WRS Global 401(k) #1	See Endnote	N/A	$1,001 - $15,000	Cash payments required minimum distribution	$8,543
4	Prudential - Cash In IRA		No			None (or less than $201)
4.1	Variable Annuity # 1 in IRA		No			None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1.1	AST INVESTMENT GRADE BOND PORTFOLIO		Yes	$100,001 - $250,000		None (or less than $201)
4.1.2	AST PRESERVATION ASSET ALLOCATION FUND		Yes	$15,001 - $50,000		None (or less than $201)
4.2	Variable Annuity # 2 in IRA		No			None (or less than $201)
4.2.1	AST PRESERVATION ASSET ALLOCATION Fund		Yes	$100,001 - $250,000		None (or less than $201)
5	WRS Global, LLC		No	$1,001 - $15,000		
5.1	U.S. bank, cash account WRS Global Business Fundamental		N/A	$1,001 - $15,000	Interest	None (or less than $201)
6	TIAA Cref 403(b) Harvard Kennedy School		No			
6.1	TIAA Cref Multi-Asset 100%Vanguard Institutional Target Retirement 2015 Institutional	See Endnote	Yes	$100,001 - $250,000		None (or less than $201)
6.2	TIAA Cref - Required Minimum Distribution 2023		N/A	$1,001 - $15,000	Required Minimum Distribution 2023	$2,281
7	Not for the Faint of Heart : Lessons in Courage, Power, and Persistence - Book, Published 2018 by PublicAffairs	See Endnote	N/A			None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	WRS Global LLC	Bethesda, Maryland	My business will be inactive during my appointment.	1/2021

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SHINCHOSHA Foresight Web News Magazine (Japan)	See Endnote	N/A	$1,001 - $15,000	payments detailed below	
1.1	Foresight Web News Magazine (Japan) - December 10, 2021		N/A	$1,001 - $15,000	"Web Foresight" Manuscript Fee, payment received in 2022	$1,210
1.2	Foresight Web News Magazine (Japan) - January 12, 2022		N/A	$1,001 - $15,000	"Web Foresight" Manuscript Fee	$1,247
1.3	Foresight Web News Magazine (Japan) - March 14, 2022		N/A	$1,001 - $15,000	"Web Foresight" Manuscript Fee	$2,343
1.4	Foresight Web News Magazine (Japan) - April 19, 2022		N/A	$1,001 - $15,000	"Web Foresight" Manuscript Fee	$1,630
1.5	Foresight Web News Magazine (Japan) - June 17,2022		N/A	None (or less than $1,001)	"Web Foresight" Manuscript Fee	$800
1.6	Foresight Web News Magazine (Japan) - July 22, 2022		N/A	None (or less than $1,001)	"Web Foresight" Manuscript Fee	$800
1.7	Foresight Web News Magazine (Japan) - September 14,2022		N/A	None (or less than $1,001)	"Web Foresight" Manuscript Fee	$800
1.8	Foresight Web News Magazine (Japan) - October 7, 2022		N/A	None (or less than $1,001)	"Web Foresight" Manuscript Fee	$800
1.9	Foresight Web News Magazine (Japan) - December 15, 2022		N/A	None (or less than $1,001)	"Web Foresight" Manuscript Fee	$800

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.10	Foresight Web News Magazine (Japan) - January 2023		N/A	None (or less than $1,001)	"Web Foresight" Manuscript Fee	$1,000
1.11	Foresight Web News Magazine (Japan) - February 2023		N/A	None (or less than $1,001)	"Web Foresight" Manuscript Fee	$1,000
1.12	Foresight Web News Magazine (Japan) - May 2023		N/A	None (or less than $1,001)	"Web Foresight" Manuscript Fee	$636
1.13	Foresight Web News Magazine (Japan) - June 2023		N/A	None (or less than $1,001)	"Web Foresight" Manuscript Fee	$636
2	Eisenhower Institute Gettysburg College - September 21, 2022		N/A	None (or less than $1,001)	Two-hour talk for Industrial Heartlands program	$300
3	German Marshall Fund - Consultant/Fellow 2022	See Endnote	N/A	$50,001 - $100,000	Executive Director for the Transaltantic task force and nonresident fellow. Writing final report for the task force.	$85,000
4	Counsel on Foreign Relations		N/A	None (or less than $1,001)		$150
5	TIAA-CREF 403(b)		No			
5.1	Council on Foreign Relations DC Retirement Plan		No			None (or less than $201)
5.1.1	TIAA Cref Council on Foreign Relations		N/A		Required Minimum Distribution	$5,904
5.1.2	Annuity -Invested in TIAA Traditional		N/A	$100,001 - $250,000		None (or less than $201)
5.2	Worldwatch Institute 403(b) Defined Contribution Retirement		No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.2.1	TIAA Cref World Watch Institute 403(b) Defined Contribution Plan	N/A		Required Minimum Distribution	$7,954
5.2.2	Annuity invested in TIAA Traditional	N/A	$100,001 - $250,000		None (or less than $201)
5.3	Council on Foreign Relations TDA Plan	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.3.1	TIAA Cref Council on Foreign Relations	N/A		Required Minimum Distribution	$2,150
5.3.2	Annuity invested in TIAA Traditional	N/A	$50,001 - $100,000		None (or less than $201)
6	Line intentionally left blank				
7	Sp IRA/SEP Account # 1	No			None (or less than $201)
7.1	U.S. Brokerage firm, cash account	N/A	$50,001 - $100,000		None (or less than $201)
7.2	EEMV - ISHARES EDGE MSCI MIN VOL EMER	Yes	$15,001 - $50,000		None (or less than $201)
7.3	MTUM - ISHARES EDGE MSCI US MOMENTUM	Yes	$15,001 - $50,000		None (or less than $201)
7.4	IUSB - ISHARES CORE TOTAL BOND ETF	Yes	$100,001 - $250,000		None (or less than $201)
7.5	UNITED STATES TREASURY BILL Zero Coupon; Matures 11/02/2023; CUSIP 912796YT0	Yes	$50,001 - $100,000		None (or less than $201)
7.6	XLP - CONS STAPLES SEL SECT SPDR FD	Yes	$50,001 - $100,000		None (or less than $201)
7.7	VIG - VANGUARD DIVIDEND APPRECIATION	Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.8	AQMIX - AQR MNGD FUTURES STRAT I	Yes	$15,001 - $50,000		None (or less than $201)
7.9	CIPIX - CHAMPLAIN MID CAP INST	Yes	$50,001 - $100,000		None (or less than $201)
7.10	WCMIX - WCM FOCUSED INTL GWTH INST	Yes	$50,001 - $100,000		None (or less than $201)
7.11	PCOXX - FEDERATED HRMS PRIME CS OBL WS	Yes	$100,001 - $250,000		None (or less than $201)
7.12	PSPLX - PIMCO STOCKSPLUS SH I2	Yes	$1,001 - $15,000		None (or less than $201)
7.13	VWINX - VANGUARD WELLESLEY INC INV	Yes	$100,001 - $250,000		$5,001 - $15,000
7.14	Cash Payment Required Minimum Distribution		$50,001 - $100,000	Required Minimum Distribution	$49,647
8	Sp IRA #2 (Roth)	No			
8.1	U.S. brokerage firm, cash account	N/A	$1,001 - $15,000		None (or less than $201)
8.2	IJH - ISHARES S&P MIDCAP 400 INDEX	Yes	$1,001 - $15,000		None (or less than $201)
8.3	IUSB - ISHARES CORE TOTAL BOND ETF	Yes	$1,001 - $15,000		None (or less than $201)
8.4	SPDR PORTFOLIO S&P 600 SMALL (SPSM)	Yes	$1,001 - $15,000		None (or less than $201)
8.5	SCHV - SCWAB U.S. LARGE-CAP VALUE	Yes	$1,001 - $15,000		None (or less than $201)
8.6	BSV - VANGUARD SHORT TERM BND	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.7	IPPXX - INVESCO PREMIER INST		Yes	$1,001 - $15,000		None (or less than $201)
8.8	WCMIX - WCM FOCUSED INTL GWTH INST		Yes	$1,001 - $15,000		None (or less than $201)
8.9	PARWX - Parnassus Endeavor Fund Investor Shares		Yes	$1,001 - $15,000		None (or less than $201)
9	Sp IRA # 3		No			
9.1	FTVIP FRANKLIN INCOME VIP FUND		Yes	$15,001 - $50,000		None (or less than $201)
9.2	FTVIP-MUTUAL SHARES VIP FND-CL 2		Yes	$1,001 - $15,000		None (or less than $201)
9.3	FTVIP-TEMPLETON FOREIGN VIP FND		Yes	$1,001 - $15,000		None (or less than $201)
9.4	INVESCO VI AMERCN FRANCHISE FND		Yes	$1,001 - $15,000		None (or less than $201)
9.5	MORGAN STANLEY VIF EM MARKETS EQ		Yes	$1,001 - $15,000		None (or less than $201)
9.6	MORGAN STANLEY VIF US REAL ESTAT		Yes	$1,001 - $15,000		None (or less than $201)
9.7	PUTNAM SMALL CAP VALUE IB		Yes	$1,001 - $15,000		None (or less than $201)
9.8	cash		N/A	$1,001 - $15,000		None (or less than $201)
10	Transamerica Retirement Solutions defined benefit plan	See Endnote	Yes	$1,001 - $15,000		
11	SP BS Solo 401(K) Cash Only	See Endnote	N/A	None (or less than $1,001)		None (or less than $201)
11.1	Cash Contribution for 2022 in 2023	See Endnote	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	Kyodo News Manuscripts - June 2023	N/A	None (or less than $1,001)	Manuscripts	$383
12.1	Kyodo News Manuscript - November 25, 2022	N/A	None (or less than $1,001)	Manuscript	$400
12.2	Kyodo News Manuscript - June 2023	N/A	None (or less than $1,001)	Manuscript	$383
13	European Parliament - November 11, 2022	N/A	$1,001 - $15,000	Manuscript	$14,500
14	Club Concordia Press Club - hosted by Forum Journalismus und Medien, Vienna - May 25, 2022	N/A	None (or less than $1,001)	Honorarium Zoom briefing on Central European journalists	$256
15	Research Institute of Economics - November 15, 2022	N/A		Honorarium Zoom Speech	$429
16	1014 Inc. - Speech - April 18, 2023	N/A	None (or less than $1,001)	Speech - payment not received	$500
17	AT Kearney, Inc. - June 27, 2022	N/A	$1,001 - $15,000	Speech	$10,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. brokerage firm, cash account	N/A	$15,001 - $50,000		None (or less than $201)
1.1	MYI - BLACKROCK MUNIYIELD QLTY FD 3	Yes	None (or less than $1,001)		None (or less than $201)
1.2	UTIXX - FEDERATED HRMS USTRSY CS RS IS	Yes	$100,001 - $250,000		$5,001 - $15,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3	NHMAX - NUVEEN HI YLD MUNI BOND A		Yes	$15,001 - $50,000		$2,501 - $5,000
1.4	BALTIMORE CNTY M OIDCoupon 3.00% Mature 02/01/2035 (D2MZ3)		N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
1.5	UNIVERSITY MD SYS AUXILIARY FAC & TUITI OIDCoupon 3.00% Mature 04/01/2036 (C8TA8)		N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
1.6	Joint Prudential Life Insurance - Fixed Universal Life - Second to Die Policy- $500,000 Death Benefit		N/A	$15,001 - $50,000		None (or less than $201)
1.7	UNITED STATES TREASURY BILL Zero Coupon; Matures 11/02/2023; CUSIP 912796YT0		N/A	$50,001 - $100,000		$1,001 - $2,500
2	Transamerica Advisors Life Insurance Annuity - Annuity Distribution	See Endnote	N/A		Inherited Annuity payments, no readily ascertainable value	$6,684
3	B.S. Irrevocable Trust		No			
3.1	U.S. bank, cash account		N/A	$1,001 - $15,000		None (or less than $201)
4	W.S. Irrevocable Trust		No			
4.1	U.S. bank, cash account		N/A	None (or less than $1,001)		None (or less than $201)
5	U.S. bank, cash account		N/A	$100,001 - $250,000	Interest	$1,001 - $2,500
6	U.S. bank, cash account Business Economy		N/A	$1,001 - $15,000	Interest	None (or less than $201)
7	JT Account US Brokerage		N/A			$50,001 - $100,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	Internal Revenue Service	See Endnote	N/A	None (or less than $1,001)	Interest	$201 - $1,000
9	#2 U.S. brokerage firm, Taxable Managed Account		N/A	None (or less than $1,001)		None (or less than $201)
9.1	BILLERICA MASS MUN PURP LN GENL OBLIGCoupon 4.00% Mature 10/15/2028 (F26W6)		N/A	$15,001 - $50,000	Interest	$2,501 - $5,000
9.2	CASCADE CNTY MONT ELEM SCH DIST NO 1 GREAT FALLSCoupon 4.00% Mature 07/01/2029 (F22MK)		N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
9.3	JEFFERSON CNTY COLO SCH DIST NO R-001 REFCoupon 4.00% Mature 12/15/2023 (2500B)		N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
9.4	KING CNTY WASH SWR REV REF SER-BCoupon 5.00% Mature 07/01/2029 (EQW17)		N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
9.5	MASSACHUSETTS BAY TRANSN AUTH MASS REV-ACoupon 4.00% Mature 07/01/2026 (FG03N)		N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
9.6	MASSACHUSETTS DEPT TRANSN MET HWY SYS REV REF-ACoupon 5.00% Mature 01/01/2027 (BP07Y)		N/A	$50,001 - $100,000	Interest	$5,001 - $15,000
9.7	MASSACHUSETTS ST CLEAN WTR TR GREEN REVOLVING FD REVCoupon 5.00% Mature 08/01/2032 (A70E5)		N/A	$50,001 - $100,000	Interest	$5,001 - $15,000
9.8	MASSACHUSETTS ST PORT AUTH REV-BCoupon 5.00% Mature 07/01/2034 (E433H)		N/A	$50,001 - $100,000	Interest	$5,001 - $15,000
9.9	MASSACHUSETTS ST SCH BLDG AUTHDEDICATED SALES TAX REVCoupon 5.00% Mature 02/15/2026 (B8QX6)		N/A	$50,001 - $100,000	Interest	$5,001 - $15,000
9.10	NATICK MASSCoupon 3.00% Mature 08/01/2032 (B472E)		N/A	$50,001 - $100,000	Interest	$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.11	NEW YORK ST URBAN DEV CORP PERS INCOME REV-ACoupon 5.00% Mature 03/15/2030 (D99B5)	N/A	$50,001 - $100,000	Interest	$5,001 - $15,000
9.12	OREGON ST GENL OBLIG REV-ACoupon 5.00% Mature 05/01/2034 (C2C63)	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
9.13	QUINCY MASS MULT PURP LN GENL OBLIGCoupon 4.00% Mature 07/01/2032 (FBU69)	N/A	$50,001 - $100,000	Interest	$5,001 - $15,000
9.14	REGIONAL TRANSPORTATION DISTRICT COLO SALES TAX REV REF-ACoupon 5.00% Mature 11/01/2027 (C6TV3)	N/A	$15,001 - $50,000	Interest	$2,501 - $5,000
9.15	TAUNTON MASS MUN PURP LN GENL OBLIGCoupon 4.00% Mature 08/15/2033 (FY4W6)	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
9.16	TEWKSBURY MASS GENL OBLIGCoupon 4.00% Mature 06/01/2030 (FGK38)	N/A	$50,001 - $100,000	Interest	$5,001 - $15,000
9.17	UNIVERSITY WASH UNIV REVS REV REF-ACoupon 5.00% Mature 12/01/2032 (B8SQ4)	N/A	$50,001 - $100,000	Interest	$5,001 - $15,000
9.18	WESTON MASS GENL OBLIGCoupon 5.00% Mature 01/15/2030 (E8Z7Z)	N/A	$50,001 - $100,000	Interest	$5,001 - $15,000
9.19	JT Account US Brokerage Firm, Managed Acct - Cash	N/A	$15,001 - $50,000		None (or less than $201)
9.20	Gardner Mass Genl Oblig Matures 08/01/2036 Coupon 2.00% Fixed (CW24Q)	N/A	$15,001 - $50,000		$1,001 - $2,500
9.21	MASSACHUSETTS ST CONSOLIDATED LN GENL OBLIG SER-C Coupon Rate 5.000%; Matures 09/01/2028; CUSIP 57582RP42	N/A	$15,001 - $50,000		$1,001 - $2,500
9.22	MASSACHUSETTS ST WTR RES AUTH GREEN Coupon Rate 5.000%; Matures 08/01/2031; CUSIP 576051E93	N/A	$1,001 - $15,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.23	ORANGE MASS GENL OBLIG Coupon Rate 4.000%; Matures 06/01/2033; CUSIP 685066DW9	N/A	$1,001 - $15,000		$1,001 - $2,500
9.24	MASSACHUSETTS ST WTR RES AUTH Coupon Rate 5.250%; Matures 08/01/2033; CUSIP 576049W63	N/A	$50,001 - $100,000		$5,001 - $15,000
9.25	MASSACHUSETTS ST WTR RES AUTH GREEN Coupon Rate 5.000%; Matures 08/01/2035; CUSIP 576051YX8	N/A	$15,001 - $50,000		$1,001 - $2,500
9.26	QUINCY MASS GENL OBLIG SER-C Coupon Rate 5.000%; Matures 09/15/2035; CUSIP 748508K64	N/A	$50,001 - $100,000		$5,001 - $15,000
9.27	MASSACHUSETTS ST WTR RES AUTH GREEN Coupon Rate 5.000%; Matures 08/01/2036; CUSIP 576051D52	N/A	$1,001 - $15,000		$1,001 - $2,500
9.28	NEW BEDFORD MASS GENL OBLIG Coupon Rate 5.000%; Matures 09/01/2036; CUSIP 642170RQ9	N/A	$100,001 - $250,000		$5,001 - $15,000
10	#3 U.S. brokerage firm, account	No			
10.1	U.S. brokerage firm, cash account	N/A	$50,001 - $100,000		None (or less than $201)
10.2	EEMV - ISHARES EDGE MSCI MIN VOL EMER	Yes	$1,001 - $15,000		$201 - $1,000
10.3	IWS - ISHARES RUSSELL MIDCAP V ETF	Yes	$15,001 - $50,000		$1,001 - $2,500
10.4	IEI - ISHARES 3-7 YR TREASURY BD ETF	Yes	$50,001 - $100,000		$2,501 - $5,000
10.5	EFAV - ISHARES EDGE MSCI MIN VOL EAFE	Yes	$15,001 - $50,000		$2,501 - $5,000
10.6	MTUM - ISHARES EDGE MSCI US MOMENTUM	Yes	$15,001 - $50,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.7	SCHD - SCHWAB US DVD EQUITY ETF	Yes	$50,001 - $100,000		$2,501 - $5,000
10.8	BNDX - VANGUARD TOTAL INTL BOND ETF	Yes	$15,001 - $50,000		$201 - $1,000
10.9	IPPXX - INVESCO PREMIER INST	Yes	$50,001 - $100,000		$5,001 - $15,000
10.10	WCMIX - WCM FOCUSED INTL GWTH INST	Yes	$15,001 - $50,000		None (or less than $201)
10.11	PARWX - PARNASSUS ENDEAVOR FUND	Yes	$15,001 - $50,000		$201 - $1,000
10.12	LTMIX - THORNBURG LTD TRM MUNI I	Yes	$50,001 - $100,000		$2,501 - $5,000
10.13	VWINX - VANGUARD WELLESLEY INC INV	Yes	$100,001 - $250,000		$5,001 - $15,000
11	Joint Prudential Life Insurance - Fixed Universal Life - Second to Die Policy - $500,000 Death Benefit	N/A	$15,001 - $50,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Massachusetts ST WTR RES AUTH GREEN	Purchase	01/04/2022	$15,001 - $50,000
2	Invesco Premier Inst	Sale	02/01/2022	$1,001 - $15,000
3	WCM Focused Intl GWTH Inst	Sale	02/01/2022	$1,001 - $15,000
4	iShares Core Total USD Bond Market ETF	Sale	02/02/2022	$1,001 - $15,000
5	SPDR Portfolio S&P 600 Small Cap ETF	Sale	02/02/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
6	Orange Mass Genl Oblig	Purchase	03/03/2022	$1,001 - $15,000
7	ISHARES 3-7 YR Treasury BD EFT	Purchase	09/30/2022	$50,001 - $100,000
8	ISHARES CORE U.S. AGGREGATE	Sale	09/30/2022	$50,001 - $100,000
9	VANGUARD SHORT TERM BND	Purchase	09/30/2022	$1,001 - $15,000
10	WCM FOCUSED INTL GWTH INST	Sale	09/29/2022	$1,001 - $15,000
11	iShares Core Total USD Bond Market ETF	Purchase	09/30/2022	$15,001 - $50,000
12	AQR MNGD FUTURES STRAT 1	Sale	09/29/2022	$15,001 - $50,000
13	T ROWE PRICE BLUE CHIP GR	Sale	09/29/2022	$1,001 - $15,000
14	AQR MNGD FUTURES STRAT 1	Sale	09/29/2022	$1,001 - $15,000
15	CONS STAPLES SEL SECT SPDR FD	Purchase	09/30/2022	$1,001 - $15,000
16	ISHARES CORE TOTAL BOND ETF	Purchase	09/30/2022	$1,001 - $15,000
17	AQR MNGD FUTURES STRAT 1	Sale	09/29/2022	$15,001 - $50,000
18	SCWAB U.S. LARGE-CAP VALUE	Purchase	09/30/2022	$1,001 - $15,000
19	T ROWE PRICE BLUE CHIP GR	Sale	09/29/2022	$1,001 - $15,000
20	Massachusetts St Dev Fin Agy Rev	Sale	09/21/2022	$100,001 - $250,000
21	New Bedford Mass Genl Oblig	Purchase	09/29/2022	$100,001 - $250,000
22	Massachusetts St Transn Fd Rev	Sale	09/13/2022	$50,001 - $100,000
23	Quincy Mass Genl Oblig Ser-C	Purchase	09/15/2022	$50,001 - $100,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
24	United States Treasury Bill		Purchase	11/03/2022	$50,001 - $100,000
25	United States Treasury Bill		Purchase	11/03/2022	$50,001 - $100,000
26	United States Treasury Bill		Purchase	11/03/2022	$50,001 - $100,000
27	Massachusetts St Consolidated LN Genl Oblig Ser-C		Purchase	11/29/2022	$15,001 - $50,000
28	Weston Mass Genl Oblig		Purchase	12/15/2022	$1,001 - $15,000
29	MASSACHUSETTS ST WTR RES AUTH GREEN Coupon Rate 5.000%	See Endnote	Purchase	04/20/2023	$1,001 - $15,000
30	MASSACHUSETTS ST WTR RES AUTH Coupon Rate 5.250%	See Endnote	Purchase	08/05/2022	$50,001 - $100,000
31	MASSACHUSETTS ST WTR RES AUTH GREEN Coupon Rate 5.000%	See Endnote	Purchase	04/20/2023	$1,001 - $15,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Morgan Stanley	See Endnote	Mortgage on Personal Residence	$500,001 - $1,000,000	2021	2.6%	30 year - 10 year/6month SOFR ARM
2	Citi MasterCard	See Endnote	Credit Card	$15,001 - $50,000	2021	variable	revolving credit card

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	1	Inactive in a 100% owned one member LLC.
2.	3	Plan was terminated, account closed in July 2023 and assets rolled over as is to IRA Rollover Account.
2.	3.1	Associated with WRS Global, final contribution has been made in 2022 for the 2021 contribution to the plan. WRS Global plan was terminated, plan rolled over into an IRA with Morgan Stanley. Also did a 2022 Required Minimum Distribution. The plan was terminated, account closed in July 2023 and assets rolled over as is to IRA Rollover Account.
2.	3.2	Associated with WRS Global, final contribution has been made in 2022 for the 2021 contribution to the plan. WRS Global plan was terminated, plan rolled over into an IRA with Morgan Stanley. Also did a 2022 Required Minimum Distribution. The plan was terminated, account closed in July 2023 and assets rolled over as is to IRA Rollover Account.
2.	6.1	The plan sponsor will no longer made contributions upon the date of my separation from Harvard Kennedy School employment.
2.	7	Value no readily ascertainable at this time. There are currently no expected additional royalties as confirmed with the publisher.
5.	1	$5,711 total amount from Foresight Web News Magazine
5.	3	$55,000 received in 2022 plus $30,000 due and payable for 2022 and 2023 work performed and/or to be performed
5.	10	$4,809.84 defined benefit account distribution, no readily ascertainable value
5.	11	Plan assets rolled over as is to IRA rollover account July 2023
5.	11.1	Contribution was made in 2023 for the 2022 year - contribution to plan.
6.	2	The value of this asset is not readily ascertainable. Filer receives a fixed annual payment of $6,684.38 and holds no interest other than the right to this payment.

PART	#	ENDNOTE
6.	8	Interest received on a tax refund
7.	29	Matures 08/01/2031; CUSIP 57051E93
7.	30	Matures 08/01/2033; CUSIP 57049W63
7.	31	Matures 08/01/2036; CUSIP 576051D52
8.	1	Rate Change date 6/01/2031
8.	2	Balances paid off immediately

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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