

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Work, Robert O.

Deputy Secretary of Defense, Department of Defense

Report Year: 2016

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Work, Robert O. [electronically signed on 06/29/2016 by Work, Robert O. in Integrity.gov] - Filer received a 36 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Vetter, Ruth, Certifying Official [electronically signed on 10/03/2016 by Vetter, Ruth in Integrity.gov]

Other review conducted by

/s/ Irvine, Dani, Ethics Official [electronically signed on 07/05/2016 by Irvine, Dani in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Rounds, Emory, Certifying Official [electronically signed on 10/19/2016 by Rounds, Emory in Integrity.gov]

Data Revised 09/13/2016

Data Revised 07/05/2016

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	CSBA 403b GSRA	No			
1.1	CREF Stock	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
1.2	CREF Global Equities	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.3	CREF Equity Index	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.4	CREF Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	CREF Bond Market Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.6	CREF Inflation-Linked Bond	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.7	TIAA Real Estate (QREARX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	CSBA 403b GRA	No			
2.1	TIAA Traditional	N/A	\$50,001 - \$100,000		\$5,001 - \$15,000
2.2	CREF Stock	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
2.3	CREF Global Equities	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
2.4	CREF Equity Index	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.5	CREF Growth	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.6	CREF Bond Market Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.7	CREF Inflation-Linked Bond	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.8	TIAA Real Estate (QREARX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	CSBA 457b	No			
3.1	CREF Stock	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.2	CREF Bond Market Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.3	CREF Inflation-Linked Bond	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4	CSBA Voya Financial 403B Variable Annuity	See Endnote			
4.1	Voya Fixed Account	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4.2	Voya Fixed Plus Account	No	\$1,001 - \$15,000		None (or less than \$201)
5	CNAS 403B	No			
5.1	American Funds AMCAP R6(40)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	Principal Real Estate Securities Inst (20)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.3	Putnam Income Fund Class R6(40)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	Vanguard Total Bond Market Adm	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
1	Center for Strategic and Budgetary Analysis	See Endnote	Washington, District of Columbia	I have a vested 403b plan and 457b plan at CSBA. CSBA no longer makes contributions to these plans on my behalf.	5/2009
2	Center for a New American Security		Washington, District of Columbia	I have a vested 403b plan at CNAS. CNAS will no longer make contributions to this plan on my behalf.	4/2013

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Robert O Work IRA Acct (NFS-HDM)	No			
1.1	US bank acct #1 Deposit Sweep (cash)	No	\$1,001 - \$15,000		None (or less than \$201)
1.2	BlackRock Total Return Fund Inv C	Yes	None (or less than \$1,001)		\$201 - \$1,000
1.3	BlackRock 20/80 Target Allocation Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.4	Ivy Balanced A	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.5	Loomis Sayles Inv Grade Bond Fund C	Yes	None (or less than \$1,001)		None (or less than \$201)
1.6	Loomis Sayles Global Equity & Inc Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.7	Oppenheimer Core Bond Fund A	Yes	None (or less than \$1,001)		None (or less than \$201)
1.8	Oppenheimer Core Bond Fund B	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.9	Oppenheimer Global Fund A	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.10	Oppenheimer Portfolio Series Eqty Inv A	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.11	Oppenheimer Portfolio Series Eqty Inv B	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.12	Vanguard Intermediate-Term Bond Index Admiral Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.13	Doubleline Core Fixed Income	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2	Robert O Work IRA Acct (NFS-B3S)	No			
2.1	US bank acct #2 Deposit Sweep (cash)	No	\$1,001 - \$15,000		None (or less than \$201)
2.2	Calamos Market Neutral Inc Fund Instl	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.3	Doubleline Core Fixed Income Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.4	Metropolitan West Tot Ret Bond Fund M	Yes	None (or less than \$1,001)		None (or less than \$201)
2.5	Oakmark Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	PIMCO Income Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.7	T Rowe Price Blue Chip Growth Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.8	T. Rowe Price Floating Rate Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	T Rowe Price New Horizons Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.10	T Rowe Price New Income Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.11	TCW Total Return Bond Fund N	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.12	Vanguard Capital Value Fund Inv	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	Spouse Roth IRA Acct NFS	No			
3.1	US bank acct #3 Deposit Sweep (cash)	No	\$1,001 - \$15,000		None (or less than \$201)
3.2	American Beacon Small Cap Val Instl	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.3	American Funds EuroPac Growth F2	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.4	AMG Systematic Mid-Cap Value Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.5	Eaton Vance Income Fund of Boston	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	Fidelity Total Bond Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.7	FPA New Income Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	FMI Large Cap Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.9	Harding Loevner Emerging Mkt Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.10	Ivy Mid-Cap Growth	Yes	None (or less than \$1,001)		None (or less than \$201)
3.11	John Hancock Disciplined Value	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.12	John Hancock Strat Inc Opportunities	Yes	None (or less than \$1,001)		None (or less than \$201)
3.13	Legg Mason Global Opportunities Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.14	Mainstay ICAP Select Equity I	Yes	None (or less than \$1,001)		None (or less than \$201)
3.15	MFS Growth Fund I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.16	Nuveen Real Estate I	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.17	Prudential Short Term Corp Bond Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.18	Touchstone Mid Cap Growth Y	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.19	Victory Trivalent Intl Small-Cap Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.20	Voya Floating Rate Fund W	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Joint Mgd Brokerage Account #1-B3S	No			
4.1	US bank acct #4 (cash)	No	\$1,001 - \$15,000		None (or less than \$201)
4.2	American Funds Capital World G/I F1	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.3	Calamos Market Neutral Inc Fund Instl	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.4	DFA TA US Core Equity 2 Port Instl	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.5	DFA Tax-Managed US Mktwide Val Port	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.6	DFA US Vector Equity Port Instl	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.7	Doubleline Core Fixed Inc Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.8	FPA New Income Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000
4.9	Gabelli Asset AAA	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
4.10	John Hancock Strat Inc Opportunities I	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.11	Oakmark Equity & Income Fund I	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4.12	PIMCO Income Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.13	T Rowe Price Cap Appreciation Fund	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.14	T. Rowe Price Floating Rate Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.15	T Rowe Price New Income Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000
4.16	Vanguard Div Growth Fund Inv	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.17	Vanguard Diversified Equity Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.18	Vanguard Equity Income Fund Inv	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
4.19	Vanguard Global Minimum Volatility Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.20	Vanguard Intermediate-Term Bond Index Admiral Shares	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.21	Vanguard Intermediate -Term Government Bond ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.22	Vanguard Short-Term Federal Fund Inv	Yes	None (or less than \$1,001)		None (or less than \$201)
4.23	Vanguard Strategic Equity Fund	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
5	Spouse IRA Acct (NFS-H2Y)	No			
5.1	US bank acct #5 Deposit Sweep (cash)	No	\$1,001 - \$15,000		None (or less than \$201)
5.2	Columbia Contrarian Core Fund C	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.3	Ivy International Core Equity C	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	Oppenheimer Core Bond Fund C	Yes	None (or less than \$1,001)		None (or less than \$201)
5.5	Vanguard Intermediate-Term Bond Index Admiral Shares	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.6	Vanguard US Growth Portfolio Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6	US joint bank acct #1	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.1	Joint checking acct	No	\$50,001 - \$100,000	Interest	\$201 - \$1,000
6.2	Joint savings acct	No	None (or less than \$1,001)	Interest	None (or less than \$201)
6.3	Joint money market acct	No	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
6.4	Savings certificate	No	\$1,001 - \$15,000	Interest	None (or less than \$201)
6.5	Savings certificate	No	\$1,001 - \$15,000	Interest	None (or less than \$201)
7	US joint bank acct #2	No	\$1,001 - \$15,000	Interest	None (or less than \$201)
8	NMAA Whole Life Ins Pol Cash Value	No	\$50,001 - \$100,000	Interest	\$201 - \$1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Calamos Market Neutral Inc Fund Instl	Purchase	08/19/2015	\$15,001 - \$50,000
2	T. Rowe Price Cap Appreciation Fund	Purchase	08/17/15	\$1,001 - \$15,000
3	Vanguard Equity Income Fund Inv	Purchase	07/28/15	\$1,001 - \$15,000
4	Vanguard Equity Income Fund Inv	Purchase	08/26/15	\$1,001 - \$15,000
5	Vanguard Equity Income Fund Inv	Purchase	09/28/15	\$1,001 - \$15,000
6	Vanguard Equity Income Fund Inv	Purchase	10/27/15	\$1,001 - \$15,000
7	Vanguard Equity Income Fund Inv	Purchase	11/27/15	\$1,001 - \$15,000
8	Vanguard Equity Income Fund Inv	Purchase	12/29/15	\$1,001 - \$15,000
9	Vanguard Equity Income Fund Inv	Purchase	01/27/15	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
10	Vanguard Equity Income Fund Inv	Purchase	02/26/15	\$1,001 - \$15,000
11	Vanguard Equity Income Fund Inv	Purchase	03/26/15	\$1,001 - \$15,000
12	Vanguard Equity Income Fund Inv	Purchase	04/28/15	\$1,001 - \$15,000
13	Vanguard Equity Income Fund Inv	Purchase	05/27/15	\$1,001 - \$15,000
14	Vanguard Equity Income Fund Inv	Purchase	06/26/15	\$1,001 - \$15,000
15	Vanguard Short Term Federal Fund Inv	Purchase	01/05/15	\$1,001 - \$15,000
16	Vanguard Strategic Equity Fund	Purchase	08/14/15	\$1,001 - \$15,000
17	PIMCO Income Fund	Purchase	08/17/15	\$15,001 - \$50,000
18	Vanguard Diversified Equity Fund	Purchase	08/14/15	\$15,001 - \$50,000
19	Vanguard Intermediate-Term Government Bond EFT	Purchase	08/17/15	\$15,001 - \$50,000
20	Doubleline Core Fixed Inc Fund	Purchase	08/17/15	\$15,001 - \$50,000
21	T. Rowe Price Floating Rate	Purchase	08/14/15	\$15,001 - \$50,000
22	Vanguard Global Minimum Volatility Fund	Purchase	08/17/15	\$15,001 - \$50,000
23	DFA Emerging Markets Core Equity	Sale	08/14/15	\$1,001 - \$15,000
24	DFA Short Term Municipal Bond	Sale	08/14/15	\$15,001 - \$50,000
25	DFA Tax Managed International Value	Sale	08/14/15	\$1,001 - \$15,000
26	DFA Emerging Markets Value	Sale	08/14/15	\$1,001 - \$15,000
27	DFA Two Year Global Fixed Income	Sale	08/14/15	\$1,001 - \$15,000
28	DFA Real Estate Securities	Sale	08/14/15	\$1,001 - \$15,000
29	DFA Five Year Global Fixed Income	Sale	08/14/15	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
30	FPA New Income Fund	Sale	08/14/15	\$15,001 - \$50,000
31	T. Rowe Price New Income Fund	Sale	08/14/15	\$15,001 - \$50,000
32	Vanguard Equity Income Fund Inv	Sale	01/05/15	\$1,001 - \$15,000
33	Vanguard Short-Term Federal Fund Inv	Sale	07/07/15	\$1,001 - \$15,000
34	Vanguard Short-Term Federal Fund Inv	Sale	08/14/15	\$1,001 - \$15,000
35	DFA TA US Core Equity 2 Port Instl	Sale	08/14/15	\$1,001 - \$15,000
36	DFA International Vector Equity Port Instl	Sale	08/14/15	\$1,001 - \$15,000
37	Calamos Market Neutral Income Fund Instl	Purchase	08/18/15	\$15,001 - \$50,000
38	T. Rowe Price New Horizons Fund	Purchase	08/14/15	\$1,001 - \$15,000
39	T. Rowe Price Floating Rate	Purchase	08/14/15	\$1,001 - \$15,000
40	Metropolitan West Tot Ret Bond Fund M	Sale	08/14/15	\$15,001 - \$50,000
41	T. Rowe Price New Income	Sale	08/14/15	\$1,001 - \$15,000
42	DFA TA US Core Equity 2 Port Instl	Sale	04/10/15	\$1,001 - \$15,000
43	American Beacon Small Cap Value Instl	Purchase	08/24/15	\$1,001 - \$15,000
44	FPA New Income Fund	Purchase	01/05/15	\$1,001 - \$15,000
45	Fidelity Total Bond Fund	Purchase	01/05/15	\$1,001 - \$15,000
46	Harding Loevner Institutional Emerging Mkt Portfolio	Purchase	08/26/15	\$1,001 - \$15,000
47	MFS Growth Fund	Purchase	08/26/15	\$1,001 - \$15,000
48	John Hancock Disciplined Value	Purchase	12/04/15	\$1,001 - \$15,000
49	Touchstone Mid Cap Growth Y	Purchase	12/04/15	\$1,001 - \$15,000
50	John Hancock Strat Inc Opportunities	Purchase	01/07/15	\$1,001 - \$15,000
51	Prudential Short-Term Corp Bond Fund	Purchase	01/05/15	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
52	Mainstay ICAP Select Equity I	Purchase	08/24/15	\$1,001 - \$15,000
53	Nuveen Real Estate	Purchase	08/26/15	\$1,001 - \$15,000
54	AMG Systematic Mid Cap Value Fund	Purchase	08/24/15	\$1,001 - \$15,000
55	Victory Trivalent Intl Small Cap Fund	Purchase	08/24/15	\$1,001 - \$15,000
56	FPA New Income Fund	Sale	08/24/15	\$1,001 - \$15,000
57	FMI Large Cap Fund	Sale	01/05/15	\$1,001 - \$15,000
58	Fidelity Total Bond	Sale	08/24/15	\$1,001 - \$15,000
59	Harding Loevner Institutional Emerging Mkt Portfolio	Sale	01/07/15	\$1,001 - \$15,000
60	Ivy Mid Cap Growth	Sale	12/04/15	\$1,001 - \$15,000
61	MFS Growth Fund I	Sale	01/07/15	\$1,001 - \$15,000
62	MFS Growth Fund I	Sale	06/11/15	\$1,001 - \$15,000
63	John Hancock Funds Strat Inc Opportunities Fund	Sale	08/26/15	\$1,001 - \$15,000
64	Prudential Short Term Corp Bond Fund	Sale	08/24/15	\$1,001 - \$15,000
65	Mainstay ICAP Select Equity I	Sale	01/05/15	\$1,001 - \$15,000
66	Mainstay ICAP Select Equity I	Sale	12/02/15	\$1,001 - \$15,000
67	Nuveen Real Estate Securities Fund	Sale	01/07/15	\$1,001 - \$15,000
68	AMG Systematic Mid Cap Value Fund	Sale	01/05/15	\$1,001 - \$15,000
69	Ivy International Core Equity	Purchase	08/19/15	\$1,001 - \$15,000
70	Vanguard US Growth Portfolio Fund	Purchase	08/14/15	\$1,001 - \$15,000
71	Columbia Contrarian Core Fund	Purchase	08/18/15	\$1,001 - \$15,000
72	Oppenheimer Core Bond Fund C	Sale	08/14/15	\$1,001 - \$15,000
73	Ivy Balanced A	Purchase	02/18/15	\$1,001 - \$15,000
74	Loomis Sayles Global Equity & Inc Fund	Purchase	08/17/15	\$1,001 - \$15,000
75	Oppenheimer Portfolio Series Eqty Inv	Purchase	08/17/15	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
76	Oppenheimer Global Fund A		Purchase	08/17/15	\$1,001 - \$15,000
77	BlackRock 20/80 Target Allocation Fund		Purchase	08/17/15	\$15,001 - \$50,000
78	BlackRock Total Return Inv C		Sale	08/17/15	\$15,001 - \$50,000
79	Ivy Asset Strategy Fund A	See Endnote	Sale	02/18/15	\$1,001 - \$15,000
80	Loomis Sayles Inv Grade Bond Fund C		Sale	08/17/15	\$1,001 - \$15,000
81	Oppenheimer Core Bond Fund A	See Endnote	Sale	08/17/15	\$1,001 - \$15,000
82	Oppenheimer Core Bond Fund B		Sale	08/17/15	\$1,001 - \$15,000
83	Vanguard Short-Term Federal Fund Inv		Purchase	01/02/2015	\$1,001 - \$15,000
84	Oppenheimer Core Bond Fund A		Purchase	1st Quarter 2015	\$1,001 - \$15,000
85	DFA Real Estate Securities Institutional	See Endnote	Sale	08/13/15	\$1,001 - \$15,000
86	DFA TA World Ex US Core Equity		Sale	08/13/2015	\$1,001 - \$15,000
87	CSBA CREF Equity Index		Purchase	Mutliple	\$15,001 - \$50,000
88	CSBA CREF Growth		Purchase	multiple	\$15,001 - \$50,000
89	TIAA Real Estate Annuity (QREARX)		Purchase	multiple	\$1,001 - \$15,000
90	CREF Bond market		Purchase	01/20/15	\$1,001 - \$15,000
91	CREF Inflation Linked Bond		Purchase	01/20/15	\$1,001 - \$15,000
92	CREF Stock		Sale	1/20/15	\$50,001 - \$100,000
93	CREF Bond Market		Sale	01/20/15	\$1,001 - \$15,000
94	CREF Global Equities		Sale	01/20/15	\$1,001 - \$15,000
95	CREF Inflation Linked Bond		Sale	1/20/15	\$1,001 - \$15,000
96	Fidelity Total Bond		Purchase	multiple	\$1,001 - \$15,000
97	Vanguard Strategic Equity		Purchase	8/13/15	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
98	Ivy International Core	Purchase	8/14/15	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	BB&T	Mortgage on Personal Residence	\$250,001 - \$500,000	2011	4	30 Year

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	4	Originally CSBA ING Group. Shifted to Voya Financial
3.	1	Filer erroneously put 1/2007 in prior report.
7.	79	Previously Ivy Asset Strategy Fund B.
7.	81	Purchased as Fund B, however, this automatically became Fund A once free of surrender charges.
7.	85	Erroneously identified on previous reports as "DFA International Real Estate Securities"

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
