

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Mayorkas, Alejandro N

Secretary, Department of Homeland Security

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Names of Congressional Committees Considering Nomination:

- Committee on Homeland Security and Governmental Affairs
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Mayorkas, Alejandro N [electronically signed on 01/02/2021 by Mayorkas, Alejandro N in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Dornburg, Erica M, Certifying Official [electronically signed on 01/05/2021 by Dornburg, Erica M in Integrity.gov]

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Other review conducted by

/s/ Dornburg, Erica M, Ethics Official [electronically signed on 01/05/2021 by Dornburg, Erica M in Integrity.gov]

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U.S. Office of Government Ethics Certification

/s/ Rounds, Emory, Certifying Official [electronically signed on 01/05/2021 by Rounds, Emory in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Wilmer Cutler Pickering Hale & Dorr LLP (WilmerHale)	Washington, District of Columbia	Law Firm	Partner	11/2016	Present
2	Bet Tzedek Legal Services	Los Angeles, California	Non-Profit	Member, Board of Directors	1/2018	11/2020
3	City Year New York	New York, New York	Non-Profit	Member, Board of Directors	7/2020	11/2020
4	The Tenement Museum	New York, New York	Non-Profit	Member, Board of Trustees	1/2018	11/2020
5	Family Revocable Trust	Washington, District of Columbia	Trust	Trustee, Grantor	3/2006	Present
6	Hebrew Immigrant Aid Society	Washington, District of Columbia	Non-Profit	Member, Board of Director	7/2020	11/2020

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Wilmer Cutler Pickering Hale & Dorr LLP (WilmerHale) (law firm) anticipated partnership share	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
2	Wilmer Cutler Pickering Hale & Dorr LLP (WilmerHale) (law firm)	N/A		Partnership Share	\$3,317,756
3	Wilmer Cutler Pickering Hale & Dorr LLP (WilmerHale) capital account	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Wilmer Cutler Pickering Hale & Dorr LLP, 401K plan:	No			
4.1	New York Life Insurance Anchor VI, Stable Value Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
5	Wilmer Cutler Pickering Hale & Dorr LLP, defined benefit plan (value not readily ascertainable): eligible for \$1,300/mo. at age 62	N/A			None (or less than \$201)
6	IRA 1	No			
6.1	Goldman Sachs Financial Square Treasury Instruments Fund (FTIXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.2	Russell Investments Multi-Asset Growth Strategy Fund (RMGSX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
6.3	Russell Investments Opportunistic Credit Fund (RGCSX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.4	Russell Investments Emerging Markets Fund (REMSX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.5	Russell Investments U.S. Small Cap Equity Fund (RLESX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.6	Russell International Developed Markets Fund Class S Shares (RINTX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.7	Russell Investments Investment Grade Bond Fund (RFATX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.8	Russell Strategic Bond Fund (RFCTX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.9	Russell Investments U.S. Strategic Equity Fund (RSESX)	Yes	\$250,001 - \$500,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Wilmer Cutler Pickering Hale & Dorr LLP (WilmerHale)	Washington, District of Columbia	The final 2020 partnership profit payment will be made at the time of my separation and prior to assuming the duties of the position.	12/2020
2	Wilmer Cutler Pickering Hale & Dorr LLP (WilmerHale)	Washington, District of Columbia	I will receive a lump sum payment of my capital contribution at the time of separation and prior to assuming the duties of the position, less the estimate for any tax payments/tax reconciliation that may be owed.	11/2016
3	Wilmer Cutler Pickering Hale & Dorr LLP	Washington, District of Columbia	I will continue to participate in this 401K defined contribution plan. The plan sponsor will not make further contributions after my separation.	11/2016
4	Wilmer Cutler Pickering Hale & Dorr LLP	Washington, District of Columbia	I will receive a lump sum payout of my defined benefit plan upon my separation, calculated using an objective formula, to be paid out within 2 months of my separation. I will not be eligible for any future benefit.	12/2020
5	Wilmer Cutler Pickering Hale & Dorr LLP (WilmerHale)	Washington, District of Columbia	I will not receive any 2021 partnership share. Instead, I will receive a fixed rate of compensation based on services I render in 2021. Amounts owed to me for my 2021 services will be calculated at the time of my separation and paid prior to assuming the duties of the position.	12/2020
6	Wilmer Cutler Pickering Hale & Dorr LLP (WilmerHale)	Washington, District of Columbia	I will retain the benefit of tax preparation services for my participation in the partnership for 2020, prepaid by the firm for all partners.	11/2016

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Wilmer Cutler Pickering Hale & Dorr LLP (WilmerHale)	Washington, District of Columbia	Partner

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
2	ABT Associates, Inc.	Rockville, Maryland	Legal services
3	Harmony Gold USA, Inc.	Los Angeles, California	Legal services for Harmony Gold USA, Inc., Frank Agrama, Olfet Agrama, and Jehan Agrama
4	Airbnb, Inc.	San Francisco, California	Legal services
5	Blackstone Group, Inc.	New York, New York	Legal services
6	Cisco Systems, Inc.	San Jose, California	Legal services
7	The Clorox Company	Oakland, California	Legal services
8	Denver Great Hall, LLC	Denver, Colorado	Legal services
9	T-Mobile US, Inc	Bellevue, Washington	Legal services for Deutsche Telekom AG
10	G6 Hospitality LLC	Carrollton, Texas	Legal services
11	Intuit, Inc.	Mountain View, California	Legal services
12	MGM Resorts International Operations, Inc.	Las Vegas, Nevada	Legal services
13	Leidos Holdings, Inc.	Reston, Virginia	Legal services for Mission Support Alliance LLC
14	NiSource, Inc. (incl. subsidiary Bay State Gas Co.)	Merriville, Indiana	Legal services
15	Northrop Grumman Corp.	Falls Church, Virginia	Legal services
16	ES Parent LP	, Delaware	Legal services for PNC Financial Services Group, Inc.
17	Twitter, Inc.	San Francisco, California	Legal services for Gabriel Stricker

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
18	Uber Technologies, Inc.	San Francisco, California	Legal services
19	West Basin Municipal Water District	Westlake Village, California	Legal services
20	The Agrama Trust of 1984	Los Angeles, California	Legal Services for Frank Agrama, Ms. Ofet Agrama, Ms. Jehan Agrama, and Harmony Gold USA, Inc.

## 5. Spouse's Employment Assets & Income and Retirement Accounts

None

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Family Revocable Trust	No			
1.1	Brokerage Account 1	No			
1.1.1	Baron Emerging Markets Fund (BEXIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.2	Baron Small Cap Fund (BSFIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.1.3	Baird Aggregate Bond Fund (BAGIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.1.4	Eaton Vance Income Fund of Boston (EIBIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.5	Edgewood Growth Fund (EGFIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.1.6	Harbor Capital Appreciation Fund (HACAX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.7	Washington Mutual Investors Fund (WMFFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.8	MFS Value Fund (MEIIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.1.9	MFS Emerging Markets Debt Fund (MEDIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.10	T Rowe Price Overseas Stock Fund (TROSX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.1.11	T Rowe Price Mid-Cap Growth Fund Inc (RPMGX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.1.12	Virtus Vontobel Emerging Markets Opportunities Fund (HIEMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.13	Virtus Ceredex Mid-Cap Value Equity Fund (SMVTX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.14	Victory Sycamore Small Company Opportunity Fund (VSOIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.15	U.S. sweep account (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
1.1.16	American Funds Europacific F2 (AEPFX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
1.1.17	Federated Hermes US Treasury Cash Reserve (UTIXX)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
1.2	Brokerage Account 2	No			
1.2.1	Intermediate Bond Fund of America (IBAFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.2	First Eagle Global Fund (SGIIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.3	New World Fund, Inc (NFFFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.4	iShares National Muni Bond ETF (MUB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.5	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.6	iShares Core S&P 500 ETF (IVV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.7	iShares Russell 2000 ETF (IWM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.8	iShares S&P 500 Value ETF (IVE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.9	iShares S&P 500 Growth ETF (IVW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.10	SPDRNuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.11	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.12	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.13	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.14	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.15	U.S. sweep account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	IRA 2 - Beneficiary	No			
2.1	Baron Emerging Markets Fund (BEXIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Baron Small Cap Fund (BSFIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.3	Baird Aggregate Bond Fund (BAGIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	Eaton Vance Income Fund of Boston (EIBIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	Edgewood Growth Fund (EGFIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	Harbor Capital Appreciation Fund (HACAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.7	Washington Mutual Investors Fund (WMFFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.8	MFS Value Fund Class I Shares (MEIIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	MFS Emerging Markets Debt Fund (MEDIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.10	T Rowe Price Overseas Stock Fund (TROSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.11	T Rowe Price Mid-Cap Growth Fund Inc (RPMGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.12	Virtus Vontobel Emerging Markets Opportunities Fund (HIEMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.13	Virtus Ceredex Mid-Cap Value Equity Fund (SMVTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.14	Victory Sycamore Small Company Opportunity Fund (VSOIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	US bank (cash) - Savings account	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	US bank (cash) - Checking Account	N/A	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	US bank (cash) - Checking account	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	US bank (cash) - Checking account	N/A	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Cenlar (from Citi)	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2020	3.25	30 year
2	Cenlar (from Citi)	Exercised Line of Credit	\$100,001 - \$250,000	2010	3.25	30 year
3	Chase Card Services	Credit Card	\$15,001 - \$50,000	2020	15.99%	Revolving
4	Citi	Law firm partnership capital (consolidated loan)	\$500,001 - \$1,000,000	2020	2.025 (variable)	5 year
5	Citi (paid off)	Law firm partnership capital loan	\$100,001 - \$250,000	2020	2.025 (variable)	5 year
6	Citi (paid off)	Personal Loan	\$50,001 - \$100,000	2020	2.025 (variable)	36 Months

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
7	Georgetown Day School	Tuition Installment Plan	\$15,001 - \$50,000	2020	N/A	10 months
8	Cenlar (from Citi)(paid off)	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2017	3.75%	30 year
9	Citi (paid off)	Law firm partnership capital loan	\$50,001 - \$100,000	2017	1.85% (variable)	5 year
10	Citi (paid off)	Law firm partnership capital loan	\$50,001 - \$100,000	2018	1.85% (variable)	5 year
11	Citi (paid off)	Law firm partnership capital loan	\$50,001 - \$100,000	2019	1.85% (variable)	5 year

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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