SF278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

OMB N

Date of Appointment, Candidacy, Election or Nomination (*Month, Day, Year*)	Reporting Status (*Check appropriate boxes*)	Calendar Year Covered by Report		Termination Date (*If Applicable*) (*Month, Day, Year*)
	[X] Incumbent	01/01/2012-12/31/20	[ ] New Entrant, Nominee, or Candidate / [ ] Termination Filer	

Reporting Individual's Name	Last Name: Shinseki	First Name and Middle Initial: Eric K.
Position for Which Filing	Title of Position: Secretary	Department or Agency (*If Applicable*): Veterans Affairs
Location of Present Office (or forwarding address)	Address (*Number, Street, City, State, and ZIP Code*): 810 Vermont Avenue NW Washington, DC 20420	Telephone No. (*Include Area Code*): (202) 461-4809
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s) Held: N/A	

Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination:	Do You Intend to Create a Qualified Diversified Trust? [ ] Yes [ ] No

Certification: I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual: Eric K Shinseki	Date (*Month, Day, Year*): May 12, 2013
Other Review (If desired by agency)	Signature of Other Reviewer:	Date (*Month, Day, Year*):
Agency Ethics Official's Opinion: On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official: J.R. Dodd III	Date (*Month, Day, Year*): June 18, 2013
Office of Government Ethics Use Only	Signature: Walter M. Shaub, Jr.	Date (*Month, Day, Year*): 11/20/13

Comments of Reviewing Officials (*If additional space is required, use the reverse side of this sheet*)

(*Check box if filing extension granted & indicate number of days* _____) [ ]

ON 2/11/11 THE FILER ROLLED OVER THE VANGUARD PRIME MONEY MARKET FUND INTO THE VANGUARD SHORT-TERM INVEST GR ADMT SHT ERM, P. 5 YEARS. THIS TRANSACTION WAS INADVERTENTLY OMITTED FROM THE CY 2011 REPORT. 10/31/12

*Original received 7/26/2013. Revised report received 11/7/2013. JM/OGE

(*Check box if comments are continued on the reverse side*) [ ]

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)-- Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only: MAY 13 2013

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Reporting Individual's Name	SCHEDULE A	Page Number
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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B											Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C												
													Type				Amount									
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual acount of any honoraria over $200 of your spouse). None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Federal Income Trust	Excepted Trust	Qualified Trust	Dividends	Rent or Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples: Central Airlines Common			X													X						X								
Examples: Doe Jones & Smith, Hometown, State																													Less Partnership Income $130,000	
Examples: Kempstone Equity Fund				X																			X							
Examples: IRA: Heartland 500 Index Fund							X						X*											X						
1 Andrews Federal Credit Union Clinton, MD (savings account)			X															X		X										
2 Pentagon Federal Credit Union Alexandria, VA (savings, checking, money market, IRA (cash acct)					X													X			X									
3 First Hawaiian Bank Honolulu, HI (savings, checking accts)				X														X		X										
4 Armed Forces Bank Ft. Leavenworth, KS (checking acct)				X														X		X										
5 Burke & Herbert Bank and Trust Alexandria, VA (Checking acccount)		X																X		X										
6 Roth IRA Hennessy Cornerstone Growth Fund (HICGX)		X											X							X										

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
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Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)																
															Type				Amount											
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Tax-deferred	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Roth IRA Hennessy Cornerstone MidCap 30 (HIMDX)		X											X							X												
2 Roth IRA Hennessy Cornerstone Lg Growth Fnd (HILGX)		X											X							X												
3 Traditional IRA, Hennessy Cornerstone MidCap 30 (HIMDX)		X											X							X												
4 Traditional IRA, Hennessy Cornerstone Lg Growth Fnd (HILGX)		X											X							X												
5 Roth IRA (S) Hennessy Cornerstone Growth Fund (HICGX)		X											X							X												
6 Roth IRA (S) Hennessy Cornerstone MidCap 30 (HIMDX)		X											X							X												
7 Roth IRA (S) Hennessy Cornerstone Lg Growth Fnd (HILGX)		X											X							X												
8 Traditional IRA (S) Hennessy Cornerstone MidCap 30 (HIMDX)		X											X							X												
9 Traditional IRA (S) Hennessy Cornerstone Lg Growth Fnd (HILGX)		X											X							X												

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Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)															
													Type				Amount											
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt from Fiduciary Duties	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Traditional IRA JANUS Worldwide Fund		x											x							x												
2 Traditional IRA (S) JANUS Worldwide Fund			x										x							x												
3 Traditional IRA USAA S&P 500 Index Fund		x											x							x												
4 Traditional IRA (S) USAA S&P 500 Index Fund		x											x							x												
5 Traditional IRA (Cash Account) Pentagon Federal Credit Union			x										x					x		x												
6 Traditional IRA (S) (Cash Account) Pentagon Federal Credit Union			x										x					x		x												
7 Honeywell Common Stock					x											x								x								
8 Van Kampen Comstock Fund (ACSTX)			x										x			x					x											
9 Manulife Financial Corp (MFC)		x														x					x											

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SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Kauai Investment Assoc., Inc (K-1) Lihue, HI Commercial Rental Building			x														x						x									
2	U.S. Savings Bonds			x															x		x												
3	Vanguard 500 Index Admiral Fund (VFIAX)				x									x			x						x										
4	Vanguard Total Stock Market Index Adm Fund (R/O IRA)					x											x				x												
5	Vanguard Short-Term Invest-GR Adm SEP IRA					x											x				x												
6	Residential Rental Property Honolulu, HI							x										x								x							
7	Honeywell Deferred Compensation Account Tracks Following:							x									x											x				2012 Deferred Compensation $126,968	1/12/2012
8	Honeywell Common Stock							x									x											x				2012 Deferred Compensation $126, 968	1/12/2012
9	Life Insurance (Whole Life) Guardian Life Insurance Co of Am New York, NY		x														x					x											

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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C													
													Type				Amount									
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Life Insurance (Whole Life) Army Mutual Aid Assoc Fort Myer, VA 22211		X																X		X										
2 Life Insurance (Whole Life) Army/AF Mutual Aid Assoc Fort Myer, VA 22211		X																X		X										
3 Life Insurance (Whole Life) Mass Mutual Springfield, MA			X													X						X								
4 Life Insurance (Whole Life) Mass Mutual Springfield, MA		X														X						X								
5 Life Insurance (Whole Life) (S) Mass Mutual Springfield, MA			X													X						X								
6 Life Insurance (Whole Life) John Hancock Life Insurance Boston, MA			X													X						X								
7 VCSP/College America (Relative 1) Am Fnds: The Grwth Fnd of Am 529			X										X							X										
8 VCSP/College America (Relative 1) Am Fnds: Cap World Grwth & Inc 529			X										X								X									
9 VCSP/CollegeAmerica (Relative 1) Am Fnds: Wash Mut Inv Fnd 529		X											X								X									

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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C													
													Type			Amount										
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 VCSP/CollegeAmerica (Relative 1) Amer Fnds: The Grwth Fnd of Am 529 (S)			X										X							X											
2 VCSP/CollegeAmerica (Relative 1 Amer Fnds: Cap World Grwth & Inc 529 (S)			X										X								X										
3 VCSP/CollegeAmerica (Relative 1) Amer Fnds: Wash Mut Inv Fnd 529 (S)		X											X								X										
4 VCSP/CollegeAmerica (Relative 2) Amer Fnds: The Grwth Fnd of Am 529			X										X							X											
5 VCSP/CollegeAmerica (Relative 2) Amer Fnds: Cap World Grwth & Inc 529			X										X								X										
6 VCSP/CollegeAmerica (Relative 2) Amer Fnds: Wash Mut Inv Fnd 529		X											X								X										
7 VCSP/CollegeAmerica (Relative 2) Amer Fnds: The Grwth Fnd of Am 529 (S)			X										X							X											
8 VCSP/CollegeAmerica (Relative 2) Amer Fnds: Cap World Grwth & Inc 529 (S)			X										X								X										
9 VCSP/CollegeAmerica (Relative 2) Amer Fnds: Wash Mut Inv Fnd 529 (S)		X											X								X										

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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C														
														Type				Amount										
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Essential Asset	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 VCSP/CollegeAmerica (Relative 3) Amer Fnds: The Growth Fnd of Am 529			X										X							X												
2 VCSP/CollegeAmerica (Relative 3) Amer Fnds: Cap World Grwth & Inc 529			X										X								X											
3 VCSP/CollegeAmerica (Relative 3) Amer Fnds: Wash Mut Inv Fnd 529		X											X								X											
4 VCSP/CollegeAmerica (Relative 3) Amer Fnds: The Growth Fnd of Am 529 (S)			X										X							X												
5 VCSP/College America (Relative 3) Amer Fnds: Cap World Grwth & Inc 529 (S)			X										X								X											
6 VCSP/College America (Relative 3) Amer Fnds: Wash Mut Inv Fnd 529 (S)		X											X								X											
7 VCSP/College America (Relative 4) Amer Fnds: The Growth Fnd of Am 529			X										X							X												
8 VCSP/College America (Relative 4) Amer Fnds: Cap World Grwth & Inc 529			X										X								X											
9 VCSP/College America (Relative 4) Amer Fnds: Wash Mut Inv Fnd 529		X											X								X											

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Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)															
														Type				Amount											
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 VCSP/College America (Relative 4) Amer Fnds: The Growth Fnd of Am 529 (S)			X										X							X											
2 VCSP/College America (Relative 4) Amer Fnds: Cap World Grwth & Inc 529 (S)			X										X								X										
3 VCSP/College America (Relative 4) Amer Fnds: Wash Mut Inv Fnd 520 (S)		X											X								X										
4 VCSP/College America (Relative 5) Amer Fnds: The Growth Fnd of Am 529			X										X							X											
5 VCSP/College America (Relative 5) Amer Fnds: Cap World Grwth & Inc 529			X										X								X										
6 VCSP/College America (Relative 5) Amer Fnds: Wash Mut Inv Fnd 529		X											X								X										
7 VCSP/College America (Relative 5) Amer Fnds: The Growth Fnd of Am 529 (S)			X										X							X											
8 VCSP/College America (Relative 5) Amer Fnds: Cap World Grwth & Inc 529 (S)			X										X								X										
9 VCSP/College America (Relative 5) Amer Fnds: Wash Mut Inv Fnd 529 (S)		X											X								X										

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Assets and Income	Valuation of Assets at close of reporting period													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B													BLOCK C															
														Type				Amount											
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 VCSP/College America (Relative 6) Amer Fnds: The Growth Fnd of Am 529		x											x							x												
2 VCSP/College America (Relative 6) Amer Fnds: Cap World Grwth & Inc 529		x											x								x											
3 VCSP/College America (Relative 6) Amer Fnds: Wash Mut Inv Fnd 529		x											x							x												
4 VCSP/College America (Relative 6) Amer Fnds: The Growth Fnd of Am 529 (S)		x											x							x												
5 VCSP/College America (Relative 6) Amer Fnds: Cap World Grwth & Inc 529 (S)		x											x								x											
6 VCSP/College America (Relative 6) Amer Fnds: Wash Mut Inv Fnd 529 (S)		x											x							x												
7 VCSP/College America (Relative 7) Amer Fnds: The Growth Fnd of Am 529		x											x							x												
8 VCSP/College America (Relative 7) Amer Fnds: Cap World Grwth & Inc 529		x											x								x											
9 VCSP/College America (Relative 7) Amer Fnds: Wash Mut Inv Fnd 529		x											x							x												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name: Eric K. Shinseki

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	VCSP/College America (Relative 7) Amer Fnds: The Growth Fnd of Am 529 (S)		x											x							x												
2	VCSP/College America (Relative 7) Amer Fnds: Cap World Growth & Inc 529 (S)		x											x								x											
3	VCSP/College America (Relative 7) Amer Fnds: Wash Mut Inv Fnd 529 (S)		x											x							x												
4	Kaseman LLC CHANTILLY, VA (CRITICAL OPERATIONAL SUPPORT)			x																[illegible]						✓	[illegible]						
5																																	
6																																	
7																																	
8																																	
9																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B	Page Number
Eric K Shinseki		12

Part I: Transactions

None ☐

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)												
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
	Example: Central Airlines Common	x			2/1/99			x									
1	~~Kaseman LLC sold its 51% interest in a joint Venture (International Development Solutions, LLC). Estimated tax advance provided.~~ *		x		8/29/2012	x											
2	Roth IRA FBR Mid Cap Fund (FBPMX)converted to Hennessey Comerstone Growth Fund Inst (HICGX)			x	10/26/2012	x											
3	Roth IRA FBR Small Cap Fund (FBPYX)converted to Hennessey Comerstone Mid Cap 30-Inst (HIMDX)			x	10/26/2012	x											
4	Roth IRA FBR Large Cap Fund (FBPIX)converted to Hennessy Comerstone Large Growth Fund Inst (HILGX)			x	10/26/2012	x											
5	Traditional IRA FBR Mid Cap Fund (FBMX)converted to Hennessy Comerstone Mid Cap 30-Inst (HIMDX)			x	10/26/2012	x											

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260; and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None ☒

	Source (Name and Address)	Brief Description	Value
	Examples: Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$300
1			
2			
3			
4			
5			

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*STRUCK FROM DISCUSSION WITH DOJ BOARD @ OGE AS SALE OF IDS WAS BY KASEMAN LLC FILER. #3

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Do not Complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B continued (Use only if needed)	Page Number
Eric K Shinseki		13

Part I: Transactions

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)												
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 -$50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	$50,000,000	Over $50,000,000	Certificate of divestiture
	Example: Central Airlines Common	x			2/1/99			x										
1	Traditional IRA FBR Large Cap Fund (FBPIX) converted to Hennessey Cornerstone Lg Growth Fund Inst (HILGX)			x	10/26/2012	x												
2	Roth IRA (S) FBR Mid Cap Fund (FBPMX)converted to Hennessey Cornerstone Growth Fund Inst (HICGX)			x	10/26/2012	x												
3	Roth IRA (S) FBR Small Cap Fund (FBPYX)converted to Hennessey Cornerstone Mid Cap 30-Inst (HIMDX)			x	10/26/2012	x												
4	Roth IRA(S) FBR Large Cap Fund (FBPIX)converted to Hennessey Cornerstone Lg Growth Fund Inst (HILGX)			x	10/26/2012	x												
5	Traditional IRA FBR Mid Cap Fund (FBMX)converted to Hennessey Hennessey Cornerstone Mid Cap 30-Inst (HIMDX)			x	10/26/2012	x												
6	Traditional IRA FBR Large Cap Fund (FBPIX) converted to Hennessey Cornerstone Lg Growth Fund Inst (HILGX)			x	10/26/2012	x												
7	~~Refinance of Personal Home Mortgage - Wells Fargo 4.500% 15 years~~			x	10/26/2012						x							
8																		
9																		
10																		
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14																		
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16																		

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

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RE-FINANCE NOT REPORTABLE AS A TRANSACTION #7

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Reporting Individual's Name	SCHEDULE C	Page Number
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Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x): $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples:	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10 %	on demand					x						
1	First Hawaiian Bank Honolulu, HI	Mortgage on Residental Rental Property	2009	5.774%	30 yrs.				x							
2	Wells Fargo Bank Frederick, MD	Mortgage on Primary Residential Property	2012	3.50%	15 yrs.					x						
3																
4																
5																

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation; (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	Deferred Compensation Account – following resignation, deferred compensation will be paid in ten annual installments based on annual determinations of fair market value of tracked assets.	Honeywell, International Morristown, NJ	2003
2	SEP IRA – will continue to participate in SEP IRA ~~Vanguard Prime Money Market Fund~~ BUT THERE WILL BE NO ONGOING ** CONTRIBUTIONS FOLLOWING APPOINTMENT	Eric K. Shinseki (originally with Pegasus Associates) Falls Church, VA	2004
3	~~Individual 401K – will continue to participate Vanguard Total Stock Market Index Adm Fund~~	~~Eric K. Shinseki (originally with Pegasus Associates) Falls Church, VA~~ *	2004
5			
6			

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* ROLLED OVER FUNDS TO TRADITIONAL IRA AS NOTED ON LAST YEAR'S REPORT

** REVISED ENTRY SO THAT IT IS CONSISTENT WITH LAST YEAR'S REPORT.

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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	The Eric K. Shinseki Trust	Trust	Co-Trustee	11/07/05	Present
2	The Patricia K. Shinseki Trust	Trust	Co-Trustee	11/07/05	Present
3	The Eric K. Shinseki Insurance Trust	Trust	Co-Trustee	11/07/05	Present
4					
5					
6					

Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
6		

Prior Editions Cannot Be Used.