

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

O'Malley, Martin

Commissioner, Social Security Administration

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Finance
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ O'Malley, Martin [electronically signed on 03/09/2023 by O'Malley, Martin in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ White, Angela R, Certifying Official [electronically signed on 07/27/2023 by White, Angela R in Integrity.gov]

Other review conducted by

/s/ White, Angela R, Ethics Official [electronically signed on 07/27/2023 by White, Angela R in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 08/04/2023 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	International Commission Against the Death Penalty		Madrid, Spain, Outside U.S.	Non-Profit	Commissioner	1/2021	Present
2	Guidehouse Consulting		Washington, D.C., District of Columbia	Corporation	Senior Advisor	10/2022	Present
3	Grant Thornton		Washington, D.C., District of Columbia	Corporation	Senior Advisor	5/2018	9/2022
4	Incapsulate		Washington, DC, District of Columbia	Corporation	Advisor	7/2019	Present
5	MetroLab Network	See Endnote	Washington, DC, District of Columbia	Non-Profit	Senior Fellow/Advisory Bd Chair	5/2016	Present
6	Tecore Networks		Hanover, Maryland	Corporation	consultant	4/2021	8/2022
7	Queensland Investment Corporation, USA. An American Investor subsidiary of Queensland Investment Corp.. which is a government owned investment company owned by the Queensland Government., Brisbane Australia		New York, New York	Corporation	Advisor	8/2019	Present
8	Ecosystem Investment Partners, EIP		Baltimore, Maryland	Corporation	Advisor	6/2020	6/2022
9	ClimateView		Stockholm, Sweden, Outside U.S.	Corporation	Advisor	1/2023	Present
10	ESRI		Redlands, California	Corporation	consultant	11/2022	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
11	Rivada Networks, Inc.	Washington, District of Columbia	Corporation	Board Member	3/2016	Present
12	BuildWithin (apprenticeship-software as service platform)	Washington DC, District of Columbia	Corporation	Advisor	1/2023	Present
13	Amida Technology Solutions LLC (Data integration and cyber security services)	Washington, DC, District of Columbia	Corporation	Advisory Board Member	9/2016	Present
14	ClearGov	Maynard, Massachusetts	Corporation	Board Member	6/2017	Present
15	Geolitica	Santa Cruz, California	Corporation	Board Member	7/2017	Present
16	Merit ((Govtech software service company for portable/multi-state professional credentialing)	Sunnyvale, California	Corporation	Advisor	6/2021	Present
17	Nitra ((Medical and Financial tech company offering credit to medical practices)	New York, New York	Corporation	Advisory Board Member	9/2021	Present
18	National Academy of Public Administration	Washington, District of Columbia	Non-Profit	Fellow	9/2019	Present
19	O'Malley Clan Association	Limerick Ireland, Outside U.S.	Non-Profit	Chieftain	6/2022	Present
20	Route Fifty	Washington, District of Columbia	News Publication	Advisory Board Member	3/2021	Present
21	SPIN an electronic scooter company	San Francisco, California	Corporation	Advisory Board member	11/2017	1/2022
22	Banner LLC, sole proprietorship for consultant services	Hunt Valley, Maryland	Limited Liability Company	Sole Proprietor	12/2014	Present
23	ProtectedBy.AI, Cyber Security firm	Waterford, Connecticut	Corporation	Advisory Board Member	1/2020	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
24	Barcoding, Inc. supply chain and software services	Baltimore, Maryland	Corporation	Advisory Board Members	1/2015	Present
25	Hytch, LLC	Nashville, Tennessee	Corporation	Consultant	10/2018	Present
26	Bloomberg Harvard City Leadershi Initiative	New York, New York	University/College	Consultant	1/2021	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Rivada Networks, Inc. (Telecommunications and Broadband services company) vested stock options as of 5/16/2019 (value not readily ascertainable), 1000 shares, \$7.10 per, exp. 9/14/28	N/A			None (or less than \$201)
2	ClearGov, (Govtech software service company for financial and performance data) vested stock options as of 1/1/2022 (value not readily ascertainable): 35,000 shares, \$.15, exp. 1/1/27	N/A			None (or less than \$201)
3	Geolitica, (Govtech software service company for patrol accountability data) vested stock options as of 6/20/2021, 200,000 shares/ \$.0272 (value not readily ascertainable), exp. 6/19/2027.	N/A			None (or less than \$201)
4	City of Baltimore, defined benefit plan (value not readily ascertainable):	N/A		Pension payments of \$6,634.80 monthly.	\$99,522
5	State of Maryland, defined benefit plan (value not readily ascertainable)	N/A		Pension payments of \$7,500 monthly.	\$112,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Nigerian Governors Induction Program Speaking Engagement - May 12 through 16, 2023, anticipated honorarium	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Incapsulate vested stock appreciation right as of 7/11/2022 for 6 performance shares, matures at separation as advisor or change in control of company.	N/A	\$100,001 - \$250,000		None (or less than \$201)
8	Buildwithin (apprenticeship-software as service platform) stock (4,288 shares purchased 12/2022 at .01 per share, no exp).	N/A	None (or less than \$1,001)		None (or less than \$201)
9	Hytch a mobility incentive platform helping employers and communities motivate, measure and map safer, greener and smarter commuting. 15,000 profit interest shares vested as of 1/1/2022, (value not readily ascertainable).	N/A			None (or less than \$201)
10	Banner LLC	No	\$100,001 - \$250,000		
10.1	Guidehouse Consulting (Management Consulting)	N/A		Consulting Fees	\$80,000
10.2	Grant Thornton (Management Consulting)	N/A		Consulting Fees	\$180,000
10.3	Incapsulate (Technology on Salesforce Platform)	N/A		Consulting Fees	\$75,000
10.4	MetroLab Network (Smart cities consortium of U.S cities and university partners making greater use of data science for city challenges)	N/A		Salary	\$5,000
10.5	Tecore Networks (Wireless Communications Technologies/Broadband)	N/A		Consulting Fees	\$40,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.6	Queensland Investment Corporation, USA (An American Investor subsidiary of Queensland Investment Corp.. which is a government owned investment company owned by the Queensland Government., Brisbane Australia)	N/A		Consulting Fees	\$57,804
10.7	Ecosystem Investment Partners, EIP (Stream, River Environmental Restoration)	N/A		Consulting Fees	\$65,000
10.8	ClimateView (Common Platform for Driving Actions to Reduce Carbon Emissions)	N/A		Consulting Fees	\$6,216
10.9	ESRI (Geographic Information SAS)	N/A		Consulting Fees	\$21,535
10.10	SPIN	N/A		Board member fees	\$52,000
10.11	Harvard University	N/A		Teaching fees	\$7,500
11	Nitra (Medical and Financial tech company offering credit to medical practices), vested stock options, (value not readily ascertainable). 6250 shares, strike \$0.26, exp. 3 months after separation.	N/A			None (or less than \$201)
12	Merit (Govtech software service company for portable/multi-state professional credentialing), vested stock option as of 4/1/2022, (value not readily ascertainable), 10,000 shares/ \$0.35, exp. 8/12/2030.	N/A			None (or less than \$201)
13	Amida Technology Solutions LLC (Data integration and cyber security services), vested phantom units as of 12/04/2020, 100,000 shares/\$0.15, no exp.	N/A	\$15,001 - \$50,000		None (or less than \$201)
14	Nitra, unvested stock options (value not readily ascertainable). 8750 shares, strike \$0.2, Vest equally each month for 24 months starting in January 2022	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Rivada Networks, Inc., deferred compensation: cash receivable	N/A	\$100,001 - \$250,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Rivada Networks, Inc.	Washington, District of Columbia	I will retain my vested stock options but must exercise them within 3 months of separation or forfeit.	3/2016
2	ClearGov	Maynard, Massachusetts	I will retain my vested stock options.	6/2017
3	Geolitica	Santa Cruz, California	I will retain my vested stock options.	7/2016
4	City of Baltimore	Baltimore, Maryland	I will continue to participate in this defined benefit plan.	10/1988
5	State of Maryland	Annapolis, Maryland	I will continue to participate in this defined benefit plan.	1/2007
6	Amida Technology Solutions LLC	Washington, District of Columbia	I will retain my vested phantom units.	9/2016
7	Nitra	New York, New York	I will retain my vested stock option but must exercise the options within 3 months of my separation or forfeit. I will forfeit any stock options that are unvested at the time of my separation.	9/2021
8	Merit	Sunnyvale, California	I will retain my vested stock options.	6/2021
9	Rivada Networks	Washington, District of Columbia	I will retain my deferred compensation plan with Rivada Networks. I will not receive any distributions of my accrued deferred compensation unless certain specified criteria is met as outlined in my compensation agreement with Rivada Networks.	3/2016

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
10	Hytch	Knoxville, Tennessee	Per the company agreement, I can retain those profit interest shares when I resign. I am only entitled to cash payments from the company if the company is sold, there is a change of control, or the owners take profits from the company as distributions. I will receive .012 cents for every dollar of profits that are paid out by the company. In addition, if there is a sale of the company or change of control of the company, I will be entitled to .012% of the sale price of the company.	10/2018
11	Incapsulate	Washington, District of Columbia	Pursuant to the company plan, I will exercise my vested stock appreciation rights in the six performance shares upon resignation. Payment of any exercised rights will be fixed at the time of separation and will be based on the equity value as of the maturity date of each performance share. Payout will be in the form of cash payments over 36 equal monthly installments beginning one year following separation, with interest at the short-term applicable federal rate.	7/2019

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Guidehouse Consulting	Washington, D.C., District of Columbia	Provided consulting services regarding a range of public sector endeavors from transportation to sustainability to IT systems and performance management.
2	Ecosystem Investment Partners, EIP	Baltimore, Maryland	Provided consulting services regarding large scale pay-for-success rivershed restorations.
3	ClimateView	Stockholm, Sweden, Outside U.S.	Provided consulting services regarding a software as service/performance management tool for cities and states to drive and measure carbon reductions across the whole of society.
4	ESRI	Redlands, California	Provided consulting services regarding geographic information systems as a tool for data integration, modelling, and measuring of policy and actions.
5	SPIN	San Francisco, California	Advisory Board member

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
6	Banner LLC	Hunt Valley, Maryland	Proprietor
7	Grant Thornton	Washington, District of Columbia	Provided consulting services regarding a range of public sector endeavors from transportation to sustainability to IT systems and performance management
8	Incapsulate	Washington, District of Columbia	Provided consulting services regarding 311 systems, call centers, licensing, and case management systems.
9	Nitra	New York, New York	Member of Advisory Board
10	Merit	Sunnyvale, California	Member of Advisory Board.
11	Geolitica	Santa Cruz, California	Board Member
12	Clear Gov	Maynard, Massachusetts	Member of Board of Directors
13	Amida	Washington, District of Columbia	Member of Advisory Board
14	Rivada Networks, Inc.	Washington, District of Columbia	Member of Board of Directors
15	MetroLab Network	Washington, District of Columbia	Advisory Board Member and Senior Fellow
16	Tecore Networks	Hanover, Maryland	Provided consulting services regarding broadband networks and systems for cities and local governments.
17	Queensland Investment Corp.	New York, New York	Provided consulting services regarding long-term investments in infrastructure and public private partnerships in the U.S.
18	Harvard University	Cambridge, Massachusetts	Mentoring and coaching for the Bloomberg Harvard City Leadership Initiative

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Maryland Judiciary	N/A		salary	
2	State of Maryland, defined benefit plan (value not readily ascertainable)	N/A		Retirement Payments	
3	Loan receivable from campaign committee	N/A	\$100,001 - \$250,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	U.S. bank (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Earnest	Student Loan	\$250,001 - \$500,000	2009	4.09	15 years
2	U.S. Bancorp.	Mortgage on Personal Residence	\$250,001 - \$500,000	2021	2.75	30 years
3	Nelnet	Student Loan	\$15,001 - \$50,000	2018	7.9	25 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	5	Ended position as Chair of the Advisory Board 1/22

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
