



U.S. Department of Justice

Washington, D.C. 20530

Emory A. Rounds, III
Director
Office of Government Ethics
Suite 500
1201 New York Avenue, NW
Washington, DC 20005-3919

Dear Mr. Rounds:

In accordance with the provisions of Title I of the Ethics in Government Act of 1978 as amended, I am forwarding the financial disclosure report of Shelley Lane Tucker. President Biden has nominated Ms. Tucker to serve as the United States Attorney for the District of Alaska. We have conducted a thorough review of the nominee's report and have counseled her on the government ethics rules. The purpose of this letter is to describe the steps that Ms. Tucker will take to avoid any actual or apparent conflict of interest in the event that she is confirmed for the aforementioned position. Ms. Tucker understands that it is her responsibility to understand and comply with commitments outlined in this agreement.

Although it is not possible to provide for all of the specific circumstances which might give rise to a financial conflict of interest because the work of United States Attorneys involves a wide variety of matters involving specific parties, and it is not possible to predict where conflicts will arise until specific parties are identified, we have counseled Ms. Tucker on the applicable conflict of interest laws and regulations and, in particular, on the application of the criminal conflicts of interest law at 18 U.S.C. § 208.

SECTION 1 – GENERAL COMMITMENTS

As required by the criminal conflicts of interest law at 18 U.S.C. § 208(a), Ms. Tucker will not participate personally and substantially in any particular matter in which she knows that she has a financial interest directly and predictably affected by the matter, or in which she knows that a person whose interests are imputed to her has a financial interest directly and predictably affected by the particular matter, unless she first obtains a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualifies for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). She understands that the interests of the following persons are imputed to her:

- Her spouse or any minor child of hers;
- Any general partner of a partnership in which she is a limited or general partner;
- Any organization in which she serves as officer, director, trustee, general partner or employee; and

- Any person or organization with which she is negotiating or has an arrangement concerning prospective employment.

In determining whether a particular matter has a direct and predictable effect on her financial interests or on those of any other person whose interests are imputed to her, Ms. Tucker will consult with the Executive Office for United States Attorneys (EOUSA), General Counsel's Office, and will follow the procedures outlined in the United States Attorneys' Procedures, (USAP) 3-2.170.001, et seq. As stated in the attachment to this Ethics Agreement, Ms. Tucker understands and agrees to comply with the conflict of interest laws and regulations, and to follow the procedures set forth in this agreement.

If Ms. Tucker has a managed account or otherwise uses the services of an investment professional during her appointment, she will ensure that the account manager or investment professional obtains her prior approval on a case-by-case basis for the purchase of any assets other than cash, cash equivalents, investment funds that qualify for the regulatory exemption for diversified mutual funds and unit investment trusts at 5 C.F.R. § 2640.201(a), obligations of the United States, or municipal bonds.

Ms. Tucker will receive a live ethics briefing from the United States Attorney's Office ethics advisor in connection with her appointment to the position of United States Attorney for the District of Alaska after her confirmation but not later than 15 days after her appointment pursuant to the ethics program regulation at 5 C.F.R. § 2638.305. Within 90 days of her confirmation, Ms. Tucker will submit her Certification of Ethics Agreement Compliance to the EOUSA, General Counsel's Office, which documents her compliance with this ethics agreement.

Ms. Tucker understands that as an appointee she will be required to sign the Ethics Pledge (Exec. Order No. 13989) and that she will be bound by it. Among other obligations, she will be required to recuse from particular matters involving specific parties involving her former employer or former clients for a period of two years after she is appointed, with the exception of Federal, state and local government.

She will not modify this ethics agreement without my approval and the approval of the U.S. Office of Government Ethics pursuant to the ethics agreement requirements contained in the financial disclosure regulation at 5 C.F.R. § 2634.803(a)(4).

SECTION 2 – LAW FIRM

Upon confirmation, Ms. Tucker will resign from her position as partner with the law firm of Stoel Rives. Following her departure from the firm, Ms. Tucker will receive a refund of her cash draw account before assuming duties as U.S. Attorney, and will receive a refund of her capital account balance within 90 days of her withdrawal from the firm. In addition, Ms. Tucker will receive the balance of budgeted compensation owed to her by the firm, which will be prorated to cover the duration of her time at the firm. The timing of that payment will depend on the timing of her withdrawal, but will be paid no later than the end of the quarter filling her withdrawal. The firm makes partnership share distributions partners who are at the firm on December 31 of the year in question. If Ms. Tucker is at the firm on December 31, she will receive a final partnership share distribution for that year, which will be paid to be before she assumes the duties of U.S. Attorney. Ms. Tucker also is eligible for a discretionary year-end bonus. If she is

awarded such a bonus for 2021, she will receive the payment before she assumes the duties of the position. She does not anticipate receiving a bonus for 2022, and will not accept such a bonus if awarded to her. Until she has received all amounts owed to her by the firm, she will not participate personally and substantially in any particular matter that to her knowledge has a direct and predictable effect on the ability or willingness of the firm to pay amounts owed to her, unless she first obtains a written waiver, pursuant to 18 U.S.C. § 208(b)(1).

In addition, pursuant to the impartiality regulation at 5 C.F.R. § 2635.502, for a period of one year after her resignation from Stoel Rives, Ms. Tucker will not participate personally and substantially in any particular matter involving specific parties in which she knows the firm is a party or represents a party, unless she is first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d). She also will not participate personally and substantially in any particular matter involving specific parties in which she knows a former client of hers is a party or represents a party for a period of one year after she last provided service to that client, unless she is first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

SECTION 3 – CONFLICTS OF INTEREST REVIEW AND COUNSELING

Ms. Tucker has been advised that the duties of the position of United States Attorney may involve particular confidential matters identified by the United States Attorney's Office (USAO) that may affect her financial interests. EOUSA has determined that it is not necessary at this time for Ms. Tucker to divest her financial interests because her recusal from particular matters in which these interests pose a conflict of interest will not substantially limit her ability to perform the essential duties of the position of United States Attorney. Immediately upon confirmation, EOUSA will prepare recusals for all particular matters in which Ms. Tucker will not be granted a waiver or will not qualify for a regulatory exemption.

The USAO has conducted a conflict review, and has found no additional conflicts of interest. Should additional conflicts arise, the USAO will seek the necessary waiver(s) or recusal(s) of the nominee immediately upon her confirmation. An appropriate screening mechanism will be identified and immediately upon appointment of the new United States Attorney, all necessary USAO personnel will be advised in writing of all matters in which the new United States Attorney may not participate. The notification will specifically list the matters and identify the Acting United States Attorney for those matters.

SECTION 4 – OTHER RESIGNATIONS

Upon confirmation, Ms. Tucker will resign from her position with Alaska Community Foundation. Pursuant to the impartiality regulation at 5 C.F.R. § 2635.502, for a period of one year after her resignation from Alaska Community Foundation, she will not participate personally and substantially in any particular matter involving specific parties in which that entity is a party or represents a party, unless she is first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

SECTION 5 – SPOUSE EMPLOYMENT

Ms. Tucker's spouse is employed by Crow Canyon Archaeological Center in which she receives

a fixed salary and a bonus tied to her performance and the Center's performance. For as long as her spouse works at the Crow Canyon Archaeological Center, Ms. Tucker will not participate personally and substantially in any particular matter that to her knowledge has a direct and predictable effect on the financial interests of the Crow Canyon Archaeological Center unless she first obtains a written waiver, pursuant to 18 U.S.C. § 208(b)(1).

SECTION 6 – PUBLIC POSTING

Ms. Tucker has been advised that this ethics agreement and the Certification of Ethics Agreement Compliance will be posted publicly, consistent with the public information law at 5 U.S.C. § 552, on the website of the U.S. Office of Government Ethics with ethics agreements of other Presidential nominees who file public financial disclosure reports.

Based on the above agreements and counseling, I am satisfied that the enclosed report presents no conflicts of interest under applicable laws and regulations and that you may so certify to the Senate Judiciary Committee.

Sincerely,

Lee Lofthus Date: 2022.02.10
15:56:28 -05'00'

Lee J. Lofthus
Assistant Attorney General
for Administration and
Designated Agency Ethics Official

Enclosure

NOMINEE STATEMENT

I have read the Ethics Agreement signed by Lee J. Lofthus, Assistant Attorney General for Administration and Designated Agency Ethics Official on 2-10-2022 and I agree to comply with the commitments outlined in this agreement. In addition, in the event that an actual or potential conflict of interest arises during my appointment, I will consult with the Executive Office for United States Attorneys (EOUSA), General Counsel's Office and take the measures necessary to resolve the conflict, such as recusal from the particular matter or divestiture of an asset. I will not modify this ethics agreement without your approval and the approval of the U.S. Office of Government Ethics pursuant to the ethics agreement requirements contained in the financial disclosure regulation at 5 C.F.R. § 2634.803(a)(4). I (including my spouse and dependent children if applicable) also will not repurchase any asset I was required to divest without my consultation with the EOUSA, General Counsel's Office and the U.S. Office of Government Ethics. Finally, I understand that as an appointee I will be required to sign the Ethics Pledge (Exec. Order No. 13989) and that I will be bound by it. Among other obligations, I will be required to recuse from particular matters involving specific parties involving my former employer or former clients for a period of two years after I am appointed, with the exception of Federal, state and local government.

Shelley Lane Tucker
Shelley Lane Tucker

Date: 2-10-2022