

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Perdue, George Ervin

Secretary, Department of Agriculture

Date of Termination: 01/20/2021

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Perdue, George Ervin [electronically signed on 01/13/2021 by Perdue, George Ervin in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bender, Stuart, Certifying Official [electronically signed on 01/13/2021 by Bender, Stuart in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 01/19/2021 by Granahan, Megan in Integrity.gov]

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Data Revised 01/13/2021

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Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(01/13/2021, Perdue, George Ervin): The filer agreed to update the reported information if it changes before or on the indicated termination date.

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Perdue Properties LLC	See Endnote	Bonaire, Georgia	Limited Liability Company	Sole Owner	11/2010	Present
2	Perdue Real Estate Holdings LLLP	See Endnote	Bonaire, Georgia	Limited Liability Limited Partnership	Sole Owner	11/2010	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Roth IRA				
1.1	Fidelity Government Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	Fidelity 500 Index (FXAIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.3	Baron Emerging Markets Fund Retail (BEXFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	Columbia Dividend Opportunity CL A (INUTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	Columbia Select Lrg Cap Growth CL A (ELGAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.6	Delaware Value Fund CL A (DDVAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.7	Driehaus Micro Cap Growth Fund (DMCRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.8	MFS International Value Fund CL A (MGIAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.9	T Rowe Price Growth Stock Advisor CL (TRSAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.10	Ridgeworth Ceredex Mid Cap Value EQ I (SMVTX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.11	T Rowe Price Intl Stock Advisor CL (PAITX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.12	TCW Relative Value Dividend Apprec CL N (TGIGX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.13	Touchstone Focused CL A (TFOAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.14	Touchstone Small Cap Value CL A (TVOAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.15	Victory Global Natural Resources A (RSNRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.16	Wasatch International Opportunities Fund (WAIOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.17	Wasatch Frontier Emerging Small CO's (WAFMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.18	Avenue Credit Strategies Investor (ACSAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.19	Doubleline Total RT Bond FD CL N (DLTNX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.20	Doubleline Low Durtn Emerg Mkts FIX Inc N (DELNX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.21	Dreyfus Bond Market Index Invs (DBMIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.22	Driehaus Event Driven Fund (DEVDX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.23	JP Morgan Strategic Income Opport A (JSOAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.24	Riverpark Strategic Income Fund Retail (RSIVX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.25	Riverpark Short Term High Yield Retail (RPHYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.26	Rivernorth Core Opportunity FD L R (RNCOX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.27	Driehaus Active Income Fund (LCMAX)	See Endnote	Yes	None (or less than \$1,001)	
2	Georgia Legislative Retirement System (Defined Benefit Pension - Value not Readily Ascertainable)	N/A		State retirement payments. Filer receives \$326.71 per month.	

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Georgia Legislative Retirement System	Atlanta, Georgia	I have a defined benefit pension from the State of Georgia. I receive \$326.71 per month. I will continue to participate in this plan.	1/1992

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Roth IRA					
1.1	JP Morgan Income Builder Fund CI C (JNBCX)	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S.bank account #1 (cash)		N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
2	Residential real estate, Butts County, Georgia		N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3	Undeveloped land, Osceola County, Florida		N/A	\$250,001 - \$500,000		None (or less than \$201)
4	Undeveloped land, Houston County, Georgia		N/A	\$250,001 - \$500,000		None (or less than \$201)
5	Undeveloped land, Houston County, Georgia		N/A	\$100,001 - \$250,000		None (or less than \$201)
6	TIAA Path2College 529 Plan	See Endnote	Yes	\$100,001 - \$250,000		None (or less than \$201)
7	The FALF Preservation Trust	See Endnote	No			
7.1	FALF LLC	See Endnote	No			
7.1.1	Soque River Conservation LLC	See Endnote	N/A	\$500,001 - \$1,000,000	Land rent and sale of conservation mitigation bank credits.	\$17,136
7.2	Perdue Business Holdings Inc.	See Endnote	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.2.1	Perdue, Inc.	See Endnote	N/A	\$1,000,001 - \$5,000,000	Passive business ownership income	\$5,301
7.2.2	Houston Fertilizer and Grain Co., Inc.	See Endnote	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
7.2.3	StarPort Logistics LLC	See Endnote	N/A	\$100,001 - \$250,000	Passive business ownership income.	\$745
7.2.4	Legacy 13:22 LLC	See Endnote	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
7.2.4.1	TW Rocky Mount Real Estate LLC	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
7.2.4.2	TWAS Rocky Mount LLC	See Endnote	N/A	\$100,001 - \$250,000	Passive car wash business ownership interest.	\$3,696
7.2.4.3	U.S. bank account #2 (cash)	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.2.4.4	TW Apex Real Estate LLC	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
7.2.4.5	TWAS Apex LLC	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
7.2.4.6	TWAS Camden LLC	See Endnote	N/A	None (or less than \$1,001)	Passive car wash business ownership interest.	\$935
7.2.4.7	TW Camden Real Estate LLC	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
7.2.4.8	TW Pinehurst Real Estate LLC	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.2.4.9	TWAS Pinehurst LLC	See Endnote	N/A	None (or less than \$1,001)	Passive car wash business ownership interest.	\$2,296
7.2.4.1 0	TW Rapid City Real Estate,	See Endnote	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
7.2.4.1 1	TWAS Rapid City LLC	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
7.2.5	Perdue Partners LLC (dormant)	See Endnote	No	None (or less than \$1,001)		None (or less than \$201)
7.2.5.1	Perdue Consulting Group LLC (dormant)	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
7.2.6	U.S. bank account #3 (cash)	See Endnote	N/A	\$250,001 - \$500,000		None (or less than \$201)
7.2.7	U.S. bank account #4 (cash)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Interest	\$1,001 - \$2,500
8	FALF Management Trust	See Endnote	No			
8.1	Perdue Management Holdings LLC	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)

## 7. Transactions

None

## 8. Liabilities



#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Morris Bank	See Endnote	Loan Guarantor	\$1,000,001 - \$5,000,000	2016	4.45%	192 Months
2	Synovus	See Endnote	Loan Guarantor	\$1,000,001 - \$5,000,000	2019	5.19%	120 Months
3	LoanCare		Mortgage on Personal Residence	\$500,001 - \$1,000,000	2017	3.00%	07/2047
4	Synovus	See Endnote	Loan Guarantor	\$1,000,001 - \$5,000,000	2019	5.19%	120 months
5	Planters First Bank	See Endnote	Loan Guarantor	\$1,000,001 - \$5,000,000	2019	5.13%	120 months

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
1.	1	Perdue Properties LLC is an empty company which has never held any assets nor engaged in any business.
1.	2	Perdue Real Estate Holdings LLLP is an empty company which has never held assets nor engaged in any business.
2.	1.27	Driehaus Active Fund (LCMAX) was terminated by Driehaus. The money in this fund was distributed among two other funds, DBMIX and DEVDX. These are in Part 6, Item, 1.21 and Part 6, Item 1.22, respectively.
5.	1.1	Roth IRA distributed to TIAA Path2College 529 Plan in Part 6, Item 6..

PART	#	ENDNOTE
6.	6	The Path2College 529 Plan is a college savings plan offered by the State of Georgia with TIAA-Cref Tuition Financing Inc.as a program manager. This investment, the 100% EQUITY option, tracks the TIAA-CREF Equity Index Fund Institutional Class (TIEIX) US Equity Index Portfolio.
6.	7	The FALF Preservation Trust owns FALF LLC and 100% of the non-voting stock in Perdue Business Holdings Inc.
6.	7.1	FALF LLC is a single member Limited Liability Company owned by FALF Preservation Trust. FALF LLC is a holding company for Soque River Conservation LLC.
6.	7.1.1	Soque River Conservation LLC is owned by FALF LLC. Soque River Conservation LLC is a passive landholding partnership on the Soque River in Georgia. Income amount reflects filer's one percent (1%) beneficial interest.
6.	7.2	Perdue Business Holdings Inc. is owned by the FALF Preservation Trust and the FALF Management Trust. Underlying assets of Perdue Business Holdings are shown in Lines 7.2.1 through 7.2.7, and the value shown for the underlying assets is the full value for each holding.
6.	7.2.1	Perdue Inc. is a Qualified Subchapter S Subsidiary owned by Perdue Business Holdings Inc. Perdue Inc. is a trucking company. Income amount reflects filer's one percent (1%) beneficial interest.
6.	7.2.2	Houston Fertilizer and Grain Co., Inc. is a Qualified Subchapter S Subsidiary owned by Perdue Business Holdings Inc. Houston Fertilizer and Grain Co, Inc. does not engage in agribusiness, it simply holds and manages commercial real estate in Bonaire, Georgia. Income amount reflects filer's one percent (1%) beneficial interest.
6.	7.2.3	StarPort Logistics LLC is a single member Limited Liability Company owned by Perdue Business Holdings Inc. StarPort Logistics LLC is an operating logistics company. Income amount reflects filer's one percent (1%) beneficial interest.
6.	7.2.4	Legacy 13:22 LLC is a single member LLC owned by Perdue Business Holdings Inc. Legacy 13:22 is a holding company for TWAS Rocky Mount LLC, TWAS Apex LLC, TWAS Camden LLC, TWAS Pinehurst LLC, TWAS Rapid City LLC, an TW Rapid City Real Estate LLC.
6.	7.2.4.1	TW Rocky Mount Real Estate LLC is minority owned by Legacy 13:22 LLC as a result of restructuring in December 2020. TW Rocky Mount Real Estate LLC owns the real estate in Rocky Mount, North Carolina, upon which the car wash owned by TWAS Rocky Mount LLC is located. Income amount reflects filer's one percent (1%) beneficial interest.
6.	7.2.4.2	TWAS Rocky Mount LLC is majority owned by Legacy 13:22 LLC. TWAS Rocky Mount LLC is an operating business that owns a car wash in Rocky Mount, NC.Income amount reflects filer's one percent (1%) beneficial interest.
6.	7.2.4.3	Income amount reflects filer's one percent (1%) beneficial interest.

PART	#	ENDNOTE
6.	7.2.4.4	TW Apex Real Estate LLC is minority owned by Legacy 13:22 LLC as a result of restructuring in December 2020. TW Apex Real Estate LLC owns the real estate in Apex, NC, upon which the car wash owned by TWAS Apex LLC is constructed. Income amount reflects filer's one percent (1%) beneficial interest.
6.	7.2.4.5	TWAS Apex LLC is majority owned by Legacy 13:22 LLC. TWAS Apex LLC is an operating business that owns a car wash in Apex, NC. Income amount reflects filer's one percent (1%) beneficial interest.
6.	7.2.4.6	TWAS Camden LLC is majority owned by Legacy 13:22 LLC. TWAS Camden LLC is an operating business that is in the process of building a car wash in Camden, SC. Income amount reflects filer's one percent (1%) beneficial interest.
6.	7.2.4.7	TW Camden Real Estate LLC is minority owned by Legacy 13:22 LLC as a result of restructuring in December 2020. TW Camden Real Estate LLC owns the real estate in Camden, South Carolina upon which the car wash owned by TWAS Camden LLC is being constructed. Income amount reflects filer's one percent (1%) beneficial interest.
6.	7.2.4.8	TW Pinehurst Real Estate LLC is minority owned by Legacy 13:22 LLC as a result of restructuring in December 2020. TW Pinehurst Real Estate LLC owns the real estate in Pinehurst, North Carolina, upon which the car wash owned by TWAS Pinehurst LLC is being constructed. Income amount reflects filer's one percent (1%) beneficial interest.
6.	7.2.4.9	TWAS Pinehurst LLC is majority owned by Legacy 13:22 LLC. TWAS Pinehurst LLC is an operating business that is in the process of building a car wash in Pinehurst, NC. Income amount reflects filer's one percent (1%) beneficial interest.
6.	7.2.4.10	TW Rapid City Real Estate LLC is majority owned by Legacy 13:22 LLC. TW Rapid City Real Estate LLC owns the real estate in Rapid City, SD, upon which the car wash owned by TWAS Rapid City LLC is currently being constructed. No income.
6.	7.2.4.11	TWAS Rapid City LLC is majority owned by Legacy 13:22 LLC. TWAS Rapid City LLC is a business that is in the process of building a car wash in Rapid City, SD. Income amount reflects filer's one percent (1%) beneficial interest.
6.	7.2.5	Perdue Partners LLC is 40% owned by Perdue Business Holdings Inc. This consulting company is dormant.
6.	7.2.5.1	Perdue Consulting Group LLC is owned by Perdue Partners LLC. This business consulting company is not currently operating and has no current clients.
6.	7.2.6	Income amount reflects filer's one percent (1%) beneficial interest.
6.	7.2.7	Income amount reflects filer's one percent (1%) beneficial interest.

PART	#	ENDNOTE
6.	8	FALF Management Trust owns Perdue Management Holding LLC and 100% of voting stock of Perdue Business Holdings Inc.
6.	8.1	Perdue Management Holdings LLC is 100% owned by the FALF Management Trust. Perdue Management Holdings LLC is a holding company that is dormant and has no assets or holdings.
8.	1	Paid off in full in December, 2020.
8.	2	Paid off in full in December, 2020.
8.	4	Paid off in full in December, 2020.
8.	5	Paid off in full in December, 2020.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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