NB

OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209 - 0001

Date of Appointment, Candidacy, Election, or Nomination *(Month, Day, Year)*	Reporting Status (Check Appropriate Boxes)	Incumbent	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate	Termination Filer	Termination Date *(If Applicable) (Month, Day, Year)*
5/19/09*		☒	2011	☐	☐	

Reporting Individual's Name	Last Name: Mabus	First Name and Middle Initial: Raymond E
Position for Which Filing	Title of Position: Secretary of the Navy	Department or Agency *(If Applicable)*: Department of Defense
Location of Present Office (or forwarding address)	Address *(Number, Street, City, State, and ZIP Code)*: Pentagon, Washington DC 20350	Telephone No. *(Include Area Code)*: (703) 695-3131
Position(s) Held with the Federal Government During the Preceding 12 Months *(If Not Same as Above)*	Title of Position(s) and Date(s) Held	
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Not Applicable	Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☐ No

Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual	Date *(Month, Day, Year)*
Other Review (If desired by agency)	Signature of Other Reviewer: ① [signature]	Date *(Month, Day, Year)*: May 9, 2012
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official: [signature]	Date *(Month, Day, Year)*: 27 JUNE 2012
Office of Government Ethics Use Only RSS 7/12/12	Signature: Nicole Stein	Date *(Month, Day, Year)*: 7-24-12

Comments of Reviewing Officials *(If additional space is required, use the reverse side of this sheet)*

① Filer inadvertently signed in "Other Review" block. DWL 6/29/12.

(Check box if filing extension granted & indicate number of days ______) ☐

(Check box if comments are continued on the reverse side) ☐

*per prior reports RSS 7/11/12

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A—The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B—Not applicable.

Schedule C, Part I (Liabilities)—The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)—Show any agreements or arrangements as of the date of filing.

Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only

Recd 5/9/2012 [initials]

OGE Use Only

JUN 29 2012

Supersedes Prior Editions.

Reporting Individual's Name
Mabus, Raymond E

SCHEDULE A

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples	Central Airlines Common				x												x						x										
Examples	Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
Examples	Kempstone Equity Fund					x								x										x									
Examples	IRA: Heartland 500 Index Fund							x						x											x								
1	AXP American Express Common		X														X					X											
2	AZO AutoZone Common					X															X												
3	DIA SPDR DOW JONES INDL AVG ETF					X								X											X								
4	EEB Guggenheim Bric ETF (formerly The Claymore/BNY Mellon BRIC ETF)			X										X									X										
5	EEM Ishares MSCI Emerging Mkts Index FD				X									X												X							
6	EPP Ishares MSCI Pacific Ex-Japan Index FD		X											X								X											

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name: Mabus, Raymond E

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	EWZ Ishares MSCI Brazil Index Fund	X												X									X										
2	FSNN Fusion Telecommunications INTL Common		X																		X												
3	GLD SPDR Gold TR Gold Shares				X									X											X								
4	HBHC Hancock Holding Co. Common				X												X						X										
5	HYG Ishares IBOXX High YLD Cop Bond FD	X												X								X											
6	IJK Ishares Trust S&P Midcap 400 Growth Index FD			X										X									X										
7	ILF Ishares S&P Latin America 40 Index FD			X										X									X										
8	IVV Ishares TR S&P 500 Index FD					X								X										X									
9	IVW Ishares S&P 500 Growth Index FD				X									X									X										

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name		Page Number
Mabus, Raymond E	**SCHEDULE A continued** (Use only if needed)	

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

	BLOCK A	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
1	DVY Ishares TR Dow Jones Select Dividend Index FD		X											X		
2	IWD Ishares Russell 1000 Value Ind Fund				X									X		
3	IWM Ishares Russell 2000 Index FD				X									X		
4	IWN Ishares TR Russell 2000 Value Index FD			X										X		
5	IWO Ishares TR Russell 2000 Growth Index FD				X									X		
6	IYZ Ishares DJ US Telecom Sector FD	X												X		
7	MOO Market Vectors ETF TR Agribusiness ETF FD			X										X		
8	QQQ Powershares QQQ Tr Ser FD						X							X		
9	RSP Rydex S&P Equal Weight ETF FD				X									X		

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

	BLOCK A	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	DVY Ishares TR Dow Jones Select Dividend Index FD					X												
2	IWD Ishares Russell 1000 Value Ind Fund							X										
3	IWM Ishares Russell 2000 Index FD						X											
4	IWN Ishares TR Russell 2000 Value Index FD						X											
5	IWO Ishares TR Russell 2000 Growth Index FD						X											
6	IYZ Ishares DJ US Telecom Sector FD						X											
7	MOO Market Vectors ETF TR Agribusiness ETF FD					X												
8	QQQ Powershares QQQ Tr Ser FD									X								
9	RSP Rydex S&P Equal Weight ETF FD							X										

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Reporting Individual's Name: Mabus, Raymond E

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
1	SPY Spdr S&P 500 TF Trust FD			X										X		
2	VTI Vanguard Total Stock Market ETF FD					X								X		
3	WFC Wells Fargo & CO New Common		X													
4	XLI Select Sector Spdr Industrial ETF FD	X												X		
5	XLK Select Sector Spdr Technology ETF FD			X										X		
6	XLV Select Sector Spdr Health Care ETF FD	X												X		
7	XLY Select Sector Spdr Consumer Discretionary ETF FD		X											X		
8	XME Spdr Ser Tr S&P Metals and Mining ETF FD	X												X		
9	Fusion Telecomunicatations prefered warrants 2,995@$1.67 expire 6/13/14	X														

#	Assets and Income (BLOCK A)	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	SPY Spdr S&P 500 TF Trust FD										X							
2	VTI Vanguard Total Stock Market ETF FD									X								
3	WFC Wells Fargo & CO New Common					X												
4	XLI Select Sector Spdr Industrial ETF FD						X											
5	XLK Select Sector Spdr Technology ETF FD								X									
6	XLV Select Sector Spdr Health Care ETF FD						X											
7	XLY Select Sector Spdr Consumer Discretionary ETF FD					X												
8	XME Spdr Ser Tr S&P Metals and Mining ETF FD						X											
9	Fusion Telecomunicatations prefered warrants 2,995@$1.67 expire 6/13/14					X											Value not readily assertainable	

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
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	Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B															Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C																
																	Type				Amount											
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	GAUTIER MISS UTIL DIST UTIL Coupon 5.125% Mature 03/01/16		X																X			X											
2	GULFPORT MISS RFDG Coupon 5% Mature 03/01/13		X																X			X											
3	HARRISON CNTY MISS SCH DIST Coupon 5% Mature 03/01/13			X															X				X										
4	HARRISON CO MISS S/D ST AID Coupon 4.7% Mature 08/01/11	X																	X			X											
5	JACKSON ST UNIV EDL BLDG CORP Coupon 5% Pre-Ref 05/01/12 @100.000			X															X			X											
6	MISSISSIPPI DEV BK SPL OBLIG Coupon 4.45% Mature 10/01/14		X																X			X											
7	MISSISSIPPI DEV BK SPL OBLIG Coupon 4.5% Pre-Ref 07/01/11 @100.000	X																	X			X											
8	MISSISSIPPI DEV BK SPL OBLIG Coupon 5.5% Pre-Ref 07/01/11 @100.000	X																	X			X											
9	MISSISSIPPI DEV BK SPL OBLIG Coupon 4.4% Mature 07/01/15		X																X			X											

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Reporting Individual's Name: Mabus, Raymond E

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	MISSISSIPPI DEV BK SPL OBLIG Coupon 3.75% Mature 10/01/16	X																	X					X									
2	MISSISSIPPI DEV BK SPL OBLIG Coupon 4.25% Mature 09/01/18		X																X			X											
3	MISSISSIPPI DEV BK SPL OBLIG Coupon 5% Mature 09/01/32		X																X			X											
4	MISSISSIPPI DEV BK SPL OBLIG Coupon 5% Mature 12/01/25			X															X				X										
5	MISSISSIPPI DEV BK SPL OBLIG Coupon 5% Mature 01/01/11	X																	X			X											
6	MISSISSIPPI DEV BK SPL OBLIG Coupon 5% Mature 01/01/16		X																X			X											
7	MISSISSIPPI STATE REF SER A Coupon 5.1% Mature 11/15/11	X																	X			X											
8	MISSISSIPPI ST RFDG-SER A Coupon 5.5% Mature 12/01/18			X															X				X										
9	MISSISSIPPI STATE G/O SER D Coupon 4.4% Mature 07/01/18		X																X			X											

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Reporting Individual's Name: Mabus, Raymond E

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	MISSISSIPPI ST RFDG Coupon 5.75% Mature 12/01/13		X																X			X											
2	MISSISSIPPI ST CAP IMP G/O Coupon 5% Pre-Ref 11/01/12 @100.000		X																X			X											
3	MISSISSIPPI ST G.O. 2003-A Coupon 5% Mature 11/01/12			X															X				X										
4	MISSISSIPPI ST RFDG-NTS Coupon 4.25% Mature 12/01/19				X														X				X										
5	OLIVE BRANCH MISS REV RFDG-TAX Coupon 3.85% Mature 10/01/14			X															X			X											
6	UNIVERSITY MISS EDL BLDG CORP Coupon 4% Mature 10/01/16			X															X				X										
7	Western Asset Government Money Market FD				X									X							X												
8	IRA / EWZ Ishares MSCI Brazil Index Fund	X												X							X												
9	IRA/ IVV Ishares TR S&P 500 Index FD		X											X							X												

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Reporting Individual's Name: Mabus, Raymond E

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income Type (BLOCK C): Dividends	Rent and Royalties	Interest	Capital Gains	Income Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 IRA/ EEM Ishares MSCI Emerging Mkts Index FD		X											X							X												
2 IRA/ IWM Ishares Russell 2000 Index FD		X											X							X												
3 IRA/ MOO Market Vectors ETF Agribusiness ETF		X											X							X												
4 IRA/ QQQ Powershares QQQ TR		X											X							X												
5 IRA/ SPY SPDR S&P 500 ETF Trust		X											X							X												
6 IRA/ GLD Spdr Gold Tr Gold Shares		X											X							X												
7 IRA/ DIA SPDR Dow Jones INDL AVG ETF		X											X							X												
8 IRA/ XLK Select Sector Spdr Technology		X											X							X												
9 IRA/ VDC Vanguard Consumer Staples ETF		X											X							X												

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number

Assets and Income (BLOCK A) — **Valuation of Assets** at close of reporting period (BLOCK B) — **Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

#	BLOCK A: Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	IRA/ VTI Vanguard Total Stock Mkt ETF		X											X							X												
2	IRA/ SHY Ishares Barclays 1-3 year Treas Bond Fund		X											X							X												
3	IRA/ BIL SPDR Ser TR Barclays 1-3 Month T-bill ETF		X											X							X												
4	IRA/Dreyfus Money mkt inst. gov.securities series		X											X							X												
5	DC DIA SPDR DOW JONES INDL AVG ETF		X											X								X											
6	DC HYG ISHARES IBOXX HIGH YLD CORP	X												X							X												
7	DC IJK ISHARES TRUST S&P MIDCAP 400		X											X								X											
8	DC IVV ISHARES TR S&P 500 INDEX FD		X											X								X											
9	DC IWD ISHARES RUSSELL 1000 VALUE IND		X											X								X											

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Reporting Individual's Name
Mabus, Raymond E

SCHEDULE A continued
(Use only if needed)

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	DC IWN ISHARES TR RUSSELL 2000 VALUE	X												X								X											
2	DC IWO ISHARES TR RUSSELL 2000 GROWTH	X												X								X											
3	DC QQQ POWERSHARES QQQ TR SER 1		X											X									X										
4	DC RSP RYDEX S&P EQUAL WEIGHT ETF	X												X									X										
5	DC VTI VANGUARD TOTAL STOCK MKT ETF		X											X									X										
6	DC EPP Ishares MSCI Pacific Ex Japan	X												X								X											
7	DC EEM ISHARES MSCI EMERGING MKTS INDEX	X												X								X											
8	DC IVW ISHARES S&P 500 GROWTH INDEX	X												X								X											
9	DC ILF ISHARES LATIN AMERICAT ETF FD.	X												X								X											

Valuation of Assets at close of reporting period (BLOCK B). Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

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Reporting Individual's Name: Mabus, Raymond E

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	DC GLD SPDR GOLD TR GOLD SHARES	X												X									X										
2	DC HBHC Hancock Holding Co.			X													X			X						X							
3	DC IWV Ishares Rus 3000 Index FD			X										X									X										
4	DC AGTHX Growth Fund of America Class A			X										X												X							
5	DC SHFVX Legg Mason Clearbridge Fundamental All Cap Value Cl A	X												X										X									
6	DC SONC Sonic Corp			X																	X												
7	DC SPY SPDR S&P 500 ETF Trust		X											X										X									
8	DC VWO Vangaurd Emerging Markets ETF		X											X							X												
9	DC AWSHX Washington Mutual Investors Fund Class A			X										X											X								

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Reporting Individual's Name: Mabus, Raymond E

SCHEDULE A continued
(Use only if needed)

#	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	DC Mississippi Dev Bk spl oblig wter & Swr ser b fsa Int 5.25% MATY 07/01/2026 call 7/01/11		X																X			X											
2	DC Mississippi Dev Bk spl oblig rfdg-corr fac pj-a-ambac dd 6/1/02 int 4.4% Maty 7/01/2015 c		X																X			X											
3	DC BDP Bank Deposit Prgram Citibank		X																X		X												
4	MS Affordagble College Savings Plan Managed Allocaton Option Age 8-11		X											X							X												
5	Timberland in Choctaw, Yalobusha, Lafayette, Itawamba, Montgomery and Calhoun Ctys MS										X							X		X							X					Agricultural payments $18,872	
6	Mutual of New York (Whole Life)		X																		X												
7	Thomas Engine Options 15000@$6 ex 2/8/16, 10,000@$10 exp 2/9/17																				X											Value not readily ascertainable	
8	Ms Public Employees Retirement System																															Pension Income $19,290	
9	Light on Earth Photography of the World																				X											Value not readily ascertainable	

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Reporting Individual's Name		Page Number
Maybus, Raymond E	**SCHEDULE A continued** (Use only if needed)	14 of 24

#	Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B: None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C — Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	ARIEL FOCUS FUND			X										X							X												
2	CLIPPER FUND			X										X								X											
3	DELAFIELD FUND			X										X								X											
4	DODGE & COX STOCK FUND		X											X								X											
5	FPA PARAMOUNT FUND		X											X							X												
6	KEELEY ALL CAP VALUE FUND			X										X							X												
7	LONGLEAF PARTNERS FUND			X										X								X											
8	MADISON MOSAIC MID-CAP FUND		X											X								X											
9	MUTUAL QUEST FUND			X										X									X										

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Reporting Individual's Name: Maybus, Raymond E

SCHEDULE A continued
(Use only if needed)

	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	OAKMARK SELECT FUND			X										X							X											
2	OSTERWEIS FUND			X										X								X										
3	PRIMECAP ODYSSEY GROWTH FUND			X										X							X											
4	AMERICAN BEACON INTERNATIONAL EQUITY FUND			X										X								X										
5	DODGE & COX GLOBAL STOCK FUND			X										X								X										
6	IVA INTERNATIONAL FUND			X										X									X									
7	OAKMARK GLOBAL SELECT FUND			X										X							X											
8	THORNBURG INTERNATIONAL VALUE FUND			X										X								X										
9	TWEEDY BROWNE WORLDWIDE HIGH DIVIDEND FUND			X										X								X										

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Reporting Individual's Name: Maybus, Raymond E

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A		Valuation of Assets at close of reporting period — BLOCK B															Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C — Type				Amount												
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	TWEEDY BROWNE GLOBAL VALUE FUND		X											X								X											
2	SCHWAB US TREASURY MONEY FUND		X											X							X												
3																																	
4																																	
5																																	
6																																	
7																																	
8																																	
9																																	

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U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Mabus, Raymond E

SCHEDULE B

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Airlines Common	x			2/1/99			x									
1	AMERICAN BEACON INTERNATIONAL EQUITY FUND	X			11/8/11	X											
2	DODGE & COX STOCK FUND	X			8/17/11	X											
3	MADISON MOSAIC MID-CAP FUND	X			11/8/11	X											
4	MADISON MOSAIC MID-CAP FUND	X			12/23/11	X											
5	MISSISSIPPI DEV BK SPL OBLIG Coupon 3.75% Mature 10/01/16		X		10/3/11			X									

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Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $350 and (2) travel-related cash reimbursements received from one source totaling more than $350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $140 or less. See instructions for other exclusions.

None ☒

	Source *(Name and Address)*	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$385
1			
2			
3			
4			
5			

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U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Mabus, Raymond E

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1																	
2	DIA SPDR DOW JONES INDL AVG ETF		X		04/04/11	X											
3	DIA SPDR DOW JONES INDL AVG ETF		X		05/25/11	X											
4	DIA SPDR DOW JONES INDL AVG ETF		X		12/02/11	X											
5	EEM Ishares MSCI Emerging Mkts Index FD		X		01/28/11	X											
6	EEM Ishares MSCI Emerging Mkts Index FD		X		02/03/11	X											
7	EEM Ishares MSCI Emerging Mkts Index FD		X		03/01/11		X										
8	EEM Ishares MSCI Emerging Mkts Index FD		X		12/02/11	X											
9	EEM Ishares MSCI Emerging Mkts Index FD		X		12/19/11	X											
10	EWZ Ishares MSCI Brazil Index Fund		X		03/11/11	X											
11	GLD SPDR Gold TR Gold Shares		X		01/24/11	X											
12	GLD SPDR Gold TR Gold Shares		X		01/25/11	X											
13	GLD SPDR Gold TR Gold Shares		X		12/19/11	X											
14	HYG Ishares IBOXX High YLD Cop Bond FD		X		06/14/11	X											
15	HYG Ishares IBOXX High YLD Cop Bond FD		X		06/15/11	X											
16	IJK Ishares Trust S&P Midcap 400 Growth Index FD		X		08/30/11	X											

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Reporting Individual's Name
Mabus, Raymond E

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

#	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	ILF Ishares S&P Latin America 40 Index FD		X		06/15/11	X											
2	IVV Ishares TR S&P 500 Index FD		X		04/11/11		X										
3	IVV Ishares TR S&P 500 Index FD		X		05/25/11	X											
4	IVV Ishares TR S&P 500 Index FD		X		12/02/11	X											
5	IVW Ishares S&P 500 Growth Index FD		X		06/28/11	X											
6	IWD Ishares Russell 1000 Value Ind Fund		X		06/28/11	X											
7	IYZ Ishares DJ US Telecom Sector FD		X		06/15/11	X											
8	QQQ Powershares QQQ Tr Ser FD		X		03/28/11	X											
9	QQQQ Powershares QQQ Tr Ser FD		X		12/19/11		X										
10	RF Regions Financial Common		X		06/15/11	X											
11	RSP Rydex S&P Equal Weight ETF FD		X		08/30/11	X											
12	SPY Spdr S&P 500 TF Trust FD		X		03/28/11	X											
13	SPY Spdr S&P 500 TF Trust FD		X		04/28/11		X										
14	SPY Spdr S&P 500 TF Trust FD		X		05/25/11	X											
15	SPY Spdr S&P 500 TF Trust FD		X		09/20/11		X										
16	VTI Vanguard Total Stock Market ETF FD		X		03/11/11	X											

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Reporting Individual's Name: Mabus, Raymond E

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

#	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	VTI Vanguard Total Stock Market ETF FD		X		03/11/11	X											
2	VTI Vanguard Total Stock Market ETF FD		X		03/28/11	X											
3	VTI Vanguard Total Stock Market ETF FD		X		05/25/11	X											
4	VTI Vanguard Total Stock Market ETF FD		X		08/30/11	X											
5	VTI Vanguard Total Stock Market ETF FD		X		09/20/11	X											
6	XLI Select Sector Spdr Industrial ETF FD		X		08/30/11	X											
7	XLK Select Sector Spdr Technology ETF FD		X		03/11/11	X											
8	XLK Select Sector Spdr Technology ETF FD		X		12/05/11	X											
9	XLV Select Sactor Spdr Health Care ETF FD		X		06/28/11	X											
10	XME Spdr Ser Tr S&P Metals and Mining ETF FD		X		06/28/11	X											
11	DC AGTHX Growth Fund of America Class A		X		01/28/11	X											
12	DC AGTHX Growth Fund of America Class A		X		05/18/11	X											
13	DC AGTHX Growth Fund of America Class A		X		05/23/11	X											
14	DC AGTHX Growth Fund of America Class A		X		06/03/11		X										
15	DC AGTHX Growth Fund of America Class A		X		06/23/11	X											
16	DC AGTHX Growth Fund of America Class A		X		07/08/11	X											

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Reporting Individual's Name
Mabus, Raymond E

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	DC AWSHX Washington Mutual Investors Fund Class A		X		04/01/11	X											
2	DC AWSHX Washington Mutual Investors Fund Class A		X		06/23/11	X											
3	DC AWSHX Washington Mutual Investors Fund Class A		X		07/21/11	X											
4	DC AWSHX Washington Mutual Investors Fund Class A		X		07/26/11	X											
5	DC AWSHX Washington Mutual Investors Fund Class A		X		12/07/11	X											
6	DC DIA SPDR DOW JONES INDL AVG ETF		X		07/08/11	X											
7	DC EEM ISHARES MSCI EMERGING MKTS INDEX		X		02/01/11	X											
8	DC EEM ISHARES MSCI EMERGING MKTS INDEX		X		12/08/11	X											
9	DC EPP Ishares MSCI Pacific Ex Japan		X		02/11/11	X											
10	DC EPP Ishares MSCI Pacific Ex Japan		X		12/08/11	X											
11	DC GLD SPDR GOLD TR GOLD SHARES		X		01/25/11	X											
12	DC HBHC Hancock Holding Co.		X		07/08/11		X										
13	DC HYG ISHARES IBOXX HIGH YLD CORP		X		05/18/11	X											
14	DC IJK ISHARES TRUST S&P MIDCAP 400		X		07/21/11	X											
15	DC ILF ISHARES LATIN AMERICAT ETF FD.		X		02/11/11	X											
16	DC ILF ISHARES LATIN AMERICAT ETF FD.		X		12/08/11	X											

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Mabus, Raymond E

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

#	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	DC IVV ISHARES TR S&P 500 INDEX FD		X		07/21/11	X											
2	DC IVW ISHARES S&P 500 GROWTH INDEX		X		07/08/11	X											
3	DC IVW ISHARES S&P 500 GROWTH INDEX		X		12/08/11	X											
4	DC IWD ISHARES RUSSELL 1000 VALUE IND		X		05/18/11	X											
5	DC IWN ISHARES TR RUSSELL 2000 VALUE		X		07/21/11	X											
6	DC IWO ISHARES TR RUSSELL 2000 GROWTH		X		07/21/11	X											
7	DC IWO ISHARES TR RUSSELL 2000 GROWTH		X		12/08/11	X											
8	DC IWV Ishares Rus 3000 Index FD		X		12/07/11	X											
9	DC QQQ POWERSHARES QQQ TR SER 1		X		07/21/11	X											
10	DC RSP RYDEX S&P EQUAL WEIGHT ETF		X		07/21/11	X											
11	DC RSP RYDEX S&P EQUAL WEIGHT ETF		X		12/08/11	X											
12	DC SHFVX Legg Mason Clearbridge Fundamental All Cap Value Cl A		X		02/11/11	X											
13	DC SPY SPDR S&P 500 ETF Trust		X		12/07/11	X											
14	DC VTI VANGUARD TOTAL STOCK MKT ETF		X		05/18/11	X											
15	DC VWO Vangaurd Emerging Markets ETF		X		12/07/11	X											
16	TBF Proshares short 20+year Treasury Fund		X		04/5/11		X										

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Reporting Individual's Name: Mabus, Raymond E

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors *(Name and Address)*	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x): $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, Washington, DC	Promissory note	1999	10%	on demand					x						
1	Morgan Stanley Smith Barney	Margin Account	2011	3.99%	open-ended			X								
2	Metropolitan Bank, Ridgaland, MS	Mortgage on personal residence	2012	5%	15 yrs.								X			
3																
4																
5																

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	MS Public Employees Retirement System. Defined Benefit Plan starting at age 60, from which I currently receive $19,290 per year. Benefit increases 2% per year for each year that I am retired from MS. The increase is paid in a single check on 1 Dec of each year.	MS Public Employees Retirement System	11/08
2	25,000 vested stock options granted by Thomas Engine Company	Thomas Engine Comapny	4/04
3	2,995 warrants for Fusion Telecommunications International Preferred Stock	Fusion Telecommunications International	4/99
4			
5			
6			

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Reporting Individual's Name		Page Number
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

		Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo.,Yr.)*
Examples		Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
		Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1		REM Strategies LLC, Ridgeland MS (dormant)	Consulting	Managing Member	05/2008	present
2						
3						
4						
5						
6						

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

		Source *(Name and Address)*	Brief Description of Duties
Examples		Doe Jones & Smith, Hometown, State	Legal services
		Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1			
2			
3			
4			
5			
6			